1	0	NE AND TWO-YEAR PERSISTENCE WITH DIFFERENT ANTI-OSTEOPOROSIS
2		MEDICATIONS: A RETROSPECTIVE COHORT STUDY
3		
4	AUTH	ORS
5	C.Reye	s ¹ , C.Tebe ^{2,3} , D.Martinez-Laguna ^{1,4} , M.S. Ali ⁵ , A. Soria-Castro ^{1,4} , C. Carbonell ^{1,4} , D. Prieto-
6	Alhamb	ora ^{1,5,6}
7		
8	AFFIL	IATIONS
9	1-	GREMPAL (Grup de Recerca en Epidemiologia de les Malalties Prevalents de l'Aparell
10		Locomotor) Research Group, IDIAP Jordi Gol (Universitat Autònoma de Barcelona), Av Gran
11		Via de les Corts Catalanes, 587, Atic,08007 Barcelona, Spain.
12	2-	Bellvitge Biomedical Research Institute (IDIBELL), Avinguda Granvia, 199-203, 08908
13		L'Hospitalet de Llobregat, Spain
14	3-	Faculty of Medicine and Health Sciences, Rovira i Virgili University, Reus, Spain.
15	4-	Atenció Primària Barcelona, Institut Català de la Salut (ICS), Balmes 22, 08007, Barcelona,
16		Spain
17	5-	Musculoskeletal Pharmaco and Device Epidemiology, Nuffield Department of Orthopaedics,
18		Rheumatology, and Musculoskeletal Sciences, University of Oxford, Windmill Road, OX3 7LD,
19		Oxford, United Kingdom.
20	6-	Musculoskeletal Research Unit, IMIM-Parc Salut Mar, Universitat Autònoma de Barcelona,
21		Address: Doctor Aiguader 88, 08003, Barcelona, Spain
22		
23	Corres	ponding author
24	Daniel	Prieto-Alhambra, MD, PhD; Associate Professor
25	Group Lead, GREMPAL Research Group, IDIAP Jordi Gol	
26	Av Gran Via Corts Catalanes, 587, Atic	
27	08007, Barcelona	
28	Spain	
29	e-mail:	Daniel.prietoalhambra@ndorms.ox.ac.uk
30		

1 ABSTRACT

2	Purpose
3	To estimate real-world persistence amongst incident users of anti-osteoporosis medications.
4	Methods
5	Retrospective cohort using data from anonymised records and dispensation data (www.sidiap.org).
6	Eligibility: Women aged \geq 50, incident users of anti-osteoporosis medication (2012), with data available
7	for at least 12 months prior to therapy initiation. Exclusions: Other bone diseases/treatments and
8	uncommon anti-osteoporosis drugs (N<100). Follow-up: From first pharmacy dispensation until
9	cessation, end of study, censoring or switching. Outcomes: 2 and 1-year persistence with a permissible
10	gap of up to 90 days. Persistence with alendronate was compared to other bisphosphonates, strontium
11	ranelate, selective estrogen receptor modulators, teriparatide and denosumab. Cox models were used to
12	estimate Hazard Ratios of therapy cessation according to drug used after adjustment for age, sex, BMI,
13	smoking, alcohol drinking, Charlson co-morbidity index, previous fractures and use of anti-osteoporosis
14	medication/s, oral corticosteroids and socio-economic status.
15	Results
16	A total of 19,253 women were included. Unadjusted 2-year persistence [95%CI] ranged from 10.3%
17	[9.1%-11.6%] (strontium ranelate) to 45.4% [43.1%-47.8%] (denosumab). 1-year persistence went from
18	35.8% [33.9%-37.7%] (strontium ranelate) to 65.8% [63.6%-68.0%](denosumab). At the end of the 1^{st} -
19	year and compared to alendronate users, both teriparatide and denosumab users had reduced cessation
20	risk (adjusted HR 0.76, 95%CI 0.67-0.86 and 0.54, 95%CI 0.50-0.59 respectively) while at the end of the
21	2 nd year, only denosumab had a lower risk of discontinuation (adjusted HR 0.60, 95% CI 0.56-0.64).
22	Conclusions
23	Unadjusted 2-year persistence is suboptimal. Although both teriparatide and denosumab users had better
24	1-year persistence and only denosumab had 2-year better persistence compared to alendronate users.
25	Unmeasured confounding by indication might partially explain our findings.
26	
27	KEY WORDS (4-6)

28 Osteoporosis, medication adherence, cohort study, primary health care

29

1	CONFLICT OF INTEREST: DPA's research team has received unrestricted research funding from
2	Bioiberica, Amgen, and Servier Laboratoires; DPA's department has received fees for speaker services
3	from Amgen. C.T reports personal fees from Fundació Jordi Gol i Gorina, during the conduct of the
4	study; personal fees from Boehringer Ingelheim, outside the submitted work. DML reports and Fees for
5	speaker or consultant services from Amgen, Lilly, MSD and Servier. CR, ASC, CCA, MSA report no
6	conflict of interest.
7	
8	MINI-ABTRACT
9	Adherence to anti-osteoporosis medications is poor. We carried out a cohort study using a real-world
10	population database to estimate the persistence of anti-osteoporosis drugs. Unadjusted 2-year persistence
11	ranged from 10.3% to 45.4%. Denosumab users had a 40% lower risk of discontinuation at 2-years
12	compared to alendronate users.
13	
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19	analysis plan were mutually agreed by SIDIAP and Amgen, based on the principle of the "best science
20	known in the research field". Amgen also provided comments on the publication prior to its submission
21	to the journal. However SIDIAP alone decided whether to incorporate Amgen comments in the submitted
22	publication.
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26 27 28 29 30 31 32 33 34	

1	INTRODUCTIO)N
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3	Regardless of the medical condition studied, adherence to the medications recommended is essential for
4	successful disease control. Persistence is an important matter particularly in osteoporosis given that
5	higher persistence rates have been associated with further reductions in hip and overall fracture risk [1, 2].
6	Despite this evidence, poor medication adherence is still considered a major issue. Low persistence rates
7	have been extensively reported by both prospective and retrospective studies [3-7]; nearly 50% of patients
8	do not follow their prescribed treatment regimen with early discontinuation of most of the therapies
9	ranging from 50% to 78% [8-10].
10	Not only persistence is low but also seriously decreases from the 1 st to the 2 nd year [11] and up to the 5 ^{fth}
11	year of treatment [3].
12	In the last few years, a newly commercialized anti-osteoporosis drug (Denosumab), which was not
13	included in the aforementioned studies, has proved to have higher persistence rates compared to other
14	anti-ostoporosis therapies (73-84%) [12-15] compared to other intravenous (i.v) (27%) and oral
15	bisphosphonates (28%) [7,16]
16	Therefore, the aim of this study is to estimate the 1 and 2-year persistence and risk of discontinuation
17	with each of the pharmacological treatments available in primary care (including denosumab) for post-
18	menopausal osteoporosis in Catalonia (Spain) amongst incident users of such drugs between January and
19	December 2012, using real world data.
20	
21	METHODS
22	
23	Study design and setting
24	We conducted a population-based cohort study using routinely collected data from de-identified primary
25	care electronic medical records in the Sistema d'Informació per al Desenvolupament de l'Investigació en
26	Atenció Primària (SIDIAP) database (http://www.sidiap.org).
27	Data source/measurements:
28	The SIDIAP database contains electronic medical records from general practitioners and primary care
29	nurses in 274 primary care practices for 5.8 million people in Catalonia (80% of the total population), and
30	comprises extensive information on drug utilization (dispensation date/s, ATC code/s and number of

1 DDDs purchased) through linkage to the regional community pharmacy invoice data and clinical

2 diagnosis using ICD-10 codes. [17].

3 Participants:

4 Eligible subjects were women of at least 50 years old initiating and purchasing at least 56 daily defined 5 doses (DDD) of any the following drugs: oral bisphosphonates (ATC M05BA subcodes), strontium 6 ranelate (ATC M05BX03), selective estrogen receptor modulators = SERMs (ATC G03XC), teriparatide 7 (ATC H05AA02), or denosumab (ATC M05BX04) between 1 January and 31 December 2012 and for 8 whom there was available data for at least 12 months prior to that first dispensation (i.e. index date). 9 The following exclusion criteria were applied: 1.use of the same drug during the 12 months prior to index 10 date, 2.history or concomitant incidence of malignant disease (ICD-10 codes: C00-C97 or D00-D49) or 11 use of antineoplastics (ATC classes: L19), Aromatase Inhibitors (ATC L02BG), or cytostatic hormones 12 (ATC classes L2) 12 months prior to index date, 3.History or concomitant incidence of Morbus Paget 13 (ICD-10 codes: M88) or HIV infection (ICD-10 codes: B20-B24) 12 months prior to index date, OR 5. 14 Drugs with less than 100 users eligible in the study were also excluded for statistical power issues. 15 Follow-up

Each patient was studied from at least 12 months prior to the index date (run-in/wash-out period) until end of follow-up, which is defined as the earliest of: death or end of data availability, switching or treatment cessation.

19 Study outcomes

20 The primary outcome of interest in this study was 2-year persistence with each of the study drugs, with 1-21 year persistence being a secondary outcome. For those drugs that have two or more different dosing 22 frequencies available (e.g. daily, weekly and monthly for risedronate), persistence was estimated 23 separately for each dosing frequency. Patients were assumed to be persistent with any of the analysed 24 drugs, when a new pack of the analysed drug was dispensed within 90 days (maximum permissible gap) 25 after the end of days supply from the previous prescription. In this analysis, a different number of days 26 per pack dispensed were used according to the national medicines catalogue and the estimated number of 27 daily defined doses (DDDs) extrapolated from the World Health Organization ATC/DDD catalogue: 28 28 days per pack dispensed were considered for daily and weekly BP, strontium ranelate, selective estrogen 29 receptor modulators, and teriparatide; 30 days per pack dispensed for monthly BPs; and 182 days per 30 pack dispensed for denosumab.

1	A sensitivity analysis was carried out extending the permissible gap to 180 days in order to capture any
2	variations in drug persistence.
3	Both 1 and 2-year persistence with each of the studied drugs were compared to that amongst users of the
4	recommended first-line therapy (weekly alendronate), defined as the reference group.

5 Statistical methods

6 Survival analyses were performed where the study outcome was time from index date (treatment

7 initiation) to 2-year (primary) or 1-year (secondary outcome) cessation or censoring for each drug user

8 group. In this survival analysis, failure (the event) was defined as non-persistence with each drug of

9 interest, irrespective of whether the patient terminated treatment completely or restarted treatment after

10 the permissible gap. For this analysis censoring was considered at end of follow-up, death, or date of

11 treatment switching (to an alternative anti-osteoporosis medication). The survival probability (i.e.

12 persistence estimate) at 1 and 2 years was presented with 95% confidence bands.

13 Kaplan-Meier estimates of cumulative incidence of therapy discontinuation stratified by osteoporosis

14 drug used were plotted. In order to account for potential confounders, multivariable Cox regression

15 models were fitted adjusting for a list of pre-specified confounders as assessed at index date: age, gender,

16 body mass index, smoking, alcohol drinking, Charlson co-morbidity index, previous number of fractures

17 $(0, 1, 2, \text{ or } \ge 3)$, previous use of anti-osteoporosis medication/s, socio-economic status (measured using

18 the ecologic MEDEA socio-economic scale [18]), and use of oral corticosteroids. Hazard ratios (HR) and

19 95% confidence intervals for risk of discontinuation according to drug use were estimated, using weekly

20 alendronate (the most commonly dispensed anti-osteoporosis medication in our setting) as the reference

21 group.

Multiple imputations with chained equations (MICE) were used to impute variables with missing values
(body mass index, smoking, alcohol drinking, and socio-economic status) in the multivariable regression
models. All the statistical analyses were carried out using STATA SE for Mac V.12.0.

25 Sample Size/Power:

For the primary study outcome: accepting an alpha risk of 0.05 and in a two-sided Log-Rank test, and assuming 50% persistence at 2 years for alendronate users (reference group), 754 subjects in the alternative/comparison (other than alendronate) group and 3,762 alendronate users are required to ensure 95% power to detect as significant a HR≤80%. A 10% dropout rate was anticipated for this estimation.

1 **RESULTS**

2 Participants and baseline characteristics:

3 A total of 19,253 patients were identified as incident users of any of the studied drugs between January 1st

and December 31st 2012. Baseline characteristics of these patients are reported in table 1. Overall, most
women included were under 75 years old, had a previous diagnosis of osteoporosis (57%) were using

6 bone loss medication (71%) and calcium/vitamin D supplements (55%).

7 Two-year persistence with anti-osteoporosis medications (primary outcome)

Kaplan-Meier (unadjusted) analyses confirmed that denosumab users had the highest persistence at the
end of the 2nd year of treatment (45.44% [95% CI 43.12 to 47.77]), followed by alendronate (28.88%
[95% CI 28.03 to 29.74]) users (Table 2 and figure 1). Incidence Rates (IR) of therapy discontinuation in
the first 2 years after therapy initiation ranged from 39.12/100 person-years [95% CI 36.75 to 41.65]
amongst Denosumab users to 106.8/100 py [95% CI 102.44 to 111.40] amongst users of Strontium
Ranelate (see table 3 for full details and all study drug/s).

- **13** Raneiale (see table 5 for full details and all study drug/s).
- Multivariable (Cox) models confirmed that -compared to alendronate users, reference group- 2-year cessation risk was significantly lower for denosumab users, even after adjustment for pre-identified confounders: adjusted HR 0.60 [95% CI 0.56 to 0.64]. Conversely, ibandronate, risedronate and strontium ranelate users had an increased risk of cessation compared to alendronate users: adjusted HR of 1.27 [95% CI 1.19 to 1.37], of 1.46 [95% CI 1.36 to 1.57] and of 1.61 [95% CI 1.53 to 1.68] respectively. No significant differences in 2-year risk of cessation were found for raloxifene, bazedoxifene and teriparatide users compared to those using weekly alendronate (see Figure 2).

21 One-year persistence with anti-osteoporosis medication:

22 When analyzing the estimates of persistence by drug (table 2), denosumab, teriparatide and bazedoxifene

23 users reported the highest persistence (65.8%, 95% CI 63.55 to 67.99; 57.54 %, 95% CI 53.51 to 61.5 and

- 24 48.47%, 95% CI 45.18 to 51.76 respectively). In contrast, risedronate and strontium ranelate users had the
- 25 lowest persistence (33.4%, 95% CI 30.45 to 36.45 and 35.8%, 95% CI 33.89 to 37.74 respectively).
- 26 Incidence rates (IR) of therapy discontinuation were higher among users of risedronate and strontium
- 27 ranelate. Conversely, those taking denosumab had the lowest IR of therapy discontinuation compared
- 28 with the rest of anti-osteoporosis medications (table 3).
- 29 Figure 3 reports the estimated adjusted risk of discontinuation at the end of the 1st year compared with
- 30 weekly alendronate. After adjusting for potential confounders, women who were prescribed denosumab

1	reported the lowest risk of cessation compared to weekly alendronate users (adjusted HR 0.54 [95% CI of
2	0.50 to 0.59]), followed by those using teriparatide (adjusted HR 0.76 [95% CI 0.67 to 0.86]. Conversely,
3	users of ibandronate, risendronate, and strontium ranelate had an increased risk of 1-year therapy
4	cessation.
5	Sensitivity analysis: 180-day gap permitted
6	A sensitivity analysis was carried out to determine the persistence at the end of the 2 nd year with a
7	permissible gap between prescriptions of 180 days. No major differences were found when analysing the
8	drug persistence compared with the previous 90-day permissible gap (data not shown).
9	
10	DISCUSSION
11	Key results:
12	In a population-based study using a source population that covers over 5 million subjects, a total of
13	19,267 women were identified as incident users of anti-osteoporosis medication between January and
14	December 2012.
15	Women using denosumab reported the highest 1 and 2-year persistence rates (and the lowest incidence
16	rates of therapy discontinuation): about 2/3 and almost half of the women who started denosumab in 2012
17	were persistent at the end of the first and second year after therapy initiation. This remained significant in
18	multivariable adjusted models: a 46% and 40% significantly lower risk of 1 and 2-year cessation was
19	observed among denosumab users when compared to users of weekly alendronate use respectively.
20	Teriparatide users had also a significantly improved 1-year persistence, but 2-year persistence was similar
21	to that among users of weekly alendronate.
22	In contrast, a significantly higher risk of discontinuation was reported for ibandronate, risedronate and
23	strontium ranelate users at both 1 and 2-year when compared to alendronate users. Regarding the risk of
24	cessation, no differences were found between alendronate and any of raloxifene and bazedoxifene users at
25	1 and 2-year or for teriparatide users at 2-year; however persistence with these medications at the end of
26	the second year was lower than those reported for alendronate users.
27	Comparison with other studies:
28	In our population, overall persistence with anti-osteoporosis therapies was found to be very low; only
29	47% and 26% persisted with the prescribed treatment at 1 and 2-year respectively.

Drug persistence is an important issue thoroughly analysed in the last years. Low persistence rates with these medications has been previously reported [3-7,19,20] in prospective and retrospective studies across the US and Europe, however, methodological differences regarding the refill gap allowed or the list of drugs included make the cross-study comparison difficult.

5 As in our study, two other reports analysed the persistence rates allowing the 90-day refill gap between 6 prescriptions [3,7]. The first study carried out in the Netherlands [3], analysed a total of 8610 subjects of 7 the IADB database and found an overall persistence rate of 67.2%. Differences regarding the definition of 8 the event, such as not counting those subjects who discontinued their treatment for a valid reason, could 9 explain the higher persistence rates found in their study. Although this approach would create a more 10 realistic picture of persistence, the lack of information on drug indication in their databases may have 11 introduced a selection bias, hindering the interpretation of their results assuming that only those subjects 12 who were truly non-persistent would be included. On the other hand, the Grand Study [7], which analysed 13 4147 women in Germany from the (IMS® DA) database, reported persistence rates of approximately 14 50% at the end of the first year and of 22% at the end of the second year, which is more in accordance to 15 what we found.

None of the aforementioned studies included Denosumab [3,4,6,7,19,20] and only one included
teriparatide [5]. Reasons for this were either the studies were published before the commercialization of
the drug [6,19,20] or they included only oral BP [6,7].

High persistence rates at 1-year have been previously reported for teriparatide [5,21,22], which is in line with our results; those subjects using teriparatide reported the second highest persistence and the second lowest risk of discontinuation after denosumab compared to weekly alendronate. The recommended treatment duration for teriparatide goes from 18 to 24 month, which could be the reason underlying the observed high risk of cessation in the second year for this drug.

24 The persistence of denosumab has been demonstrated in the Denosumab Adherence Preference

25 Satisfaction (DAPS) study. The DAPS study showed that patients treated with denosumab had a

significantly high rate of persistence compared with weekly alendronate at 1-year (the adjusted rate ratio

of 0.50, representing a 50% relative risk reduction of cessation with denosumab) [23]. In Germany,

28 persistence with denosumab was evaluated using a retrospective analysis on 2,386 post-menopausal

women, 73% were persistent at 12 months and 58% at 24 months using permissible gap of 60-days [12].

30 In addition, the real-world persistence to denosumab has been demonstrated in other studies in Hungary

and in Sweden, reporting 12 months persistence rates of 84% [13] and 83% [14]. Moreover, prospective
observational studies carried out in Austria, Germany and Greece showed that the overall 12-month
persistence to denosumab is more than 90% [15]. Our results confirm the higher persistence rates among
denosumab users and expand what has been previously published comparing denosumab with the rest of
anti-osteoporosis medications currently available in primary care: Denosumab users reported not only
higher persistence rates but also the lowest risk of cessation at the end of the 1st and 2nd year, compared to
weekly alendronate.

8 Both teripatatide and denosumab are not considered first line therapies in the treatment of osteoporosis

9 and are often used to treat subject more severe cases. Disease severity, such already existing osteoporotic

10 fractures, may affect adherence: in a Meta-analysis carried out in 2007 the perceived disease severity was

strongly associated with a better adherence [24]. Furthermore, higher persistence of anti-osteoporosis

12 medications has been reported (75% at the end of the 1st year) in patients with fractures[25] compared to

13 persistence rates found in the general population [3-7,19,20].

14 At last, strontium ranelate users reported low persistence rates and an increased cessation which could be 15 partly explained by the regulatory warnings on strontium ranelate safety issued by the European Medicine 16 Agency in 2014, which led to a restriction in use of this anti-osteoporosis drug.

17 Limitations

18 The present study is largely descriptive in nature and as such it did not allow assessment of causality or 19 drug effects, but to observe and describe associations between drug used and the proposed outcomes (1 20 and 2-year persistence). Therefore, confounding by indication (i.e. higher disease severity in users of 21 denosumab/teriparatide compared with users of alendronic acid) was likely for the analyses of 22 comparative persistence, despite attempts to adjust for observed potentially unbalanced variables/baseline 23 characteristics. The lack of information on key markers of disease severity like bone mineral density 24 (which was missing for >99% of the included population), and the fact that drugs with less convenient 25 posology (daily injections of teriparatide) have (in some of our analyses) better persistence than weekly 26 oral alendronate indicate that unresolved confounding might partially explain our findings.

Other limitations also need to be considered: first, treatment dates were based on date of filling the prescription at the pharmacy, which is not necessarily the date of treatment administration. However, misclassification is expected to be at random, which should bias our study results (estimates of comparative persistence) to the null. Secondly, we were not able to differentiate in the analysis between

1 the physician's and the patient's decision to abandon or switch treatment, as both would lead to treatment 2 discontinuation as defined in the proposed study. Furthermore, four covariates had missing information: 3 body mass index, socio-economic status, smoking, and alcohol drinking, and out of these the greatest 4 percentage was for the body mass index and alcohol consumption information. Multiple imputation was 5 used to minimise the effect of missingness in the resulting models. Given that this study is based on 6 primary care data, we were unable to assess the persistence with zoledronic acid and cannot draw any 7 conclusion comparing it to any of the other medications analysed. Finally, we were unable to do further 8 sensitive analysis with shorter treatment gaps (30 or 60 days) given that, in Spain, repeated prescriptions 9 are collected from GP practices every 2 months and hence refill gaps of 2 months or less would not 10 identify therapy cessation.

11 Generalizability

The study had broad inclusion criteria, which allowed for the inclusion of virtually all post-menopausal women (aged 50 or older at index date) in the target population treated with each of the analysed drugs. The results should therefore be generalizable to the entire population treated with the analysed drugs for osteoporosis in Catalonia (Spain). Generalizability to other jurisdictions is uncertain.

16 CONCLUSION

Anti-osteoporosis drugs are commonly used in Catalonia, with a total of 19,267 identified as incidentdrug users in the year 2012.

Persistence at 1 and 2-year with anti-osteoporosis drugs is overall suboptimal. Despite also having low persistence rates, users of denosumab and teripartide had a better persistence at the end of the 1st year compared to users of alendronate (first line treatment). However at the end of the 2nd year only denosumab users had a better persistence compared to users of alendronate.

Finally, bazedoxifene and raloxifene users had equivalent compliance to that observed amongst alendronate users, whilst users of Strontium Ranelate, ibandronate, and risedronate were the three groups with poorest 1 and 2-year persistence. Unmeasured confounding due to more severe disease (ie lower bone mineral density or fragility fractures) amongst users of denosumab and teriparatide might partially explain our findings.

28 ETHICAL APROVAL:

Scientific approval was obtained from the SIDIAP Scientific Committee, and ethics approval was granted
 by the relevant board (CEIC IDIAP Jordi Gol). All procedures performed in studies involving human

1	participants were in accordance with the ethical standards of the institutional and/or national research
2	committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical
3	standards.
4	INFORMED CONSENT
5	For this type of study formal consent is not required.
6	
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