

**PLASMA LIPIDOMIC PROFILES AND CARDIOVASCULAR EVENTS IN A RANDOMISED INTERVENTION TRIAL WITH MEDITERRANEAN DIET**

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### **Short running head**

Metabolomics and cardiovascular disease (PREDIMED)

### **Abbreviations**

CVD- Cardiovascular disease

EVOO- extra-virgin olive oil

MedDiet- Mediterranean diet

PREDIMED- PREvención con DIeta MEDiterránea

### **Clinical trial registry number and website**

Controlled-Trials.com number, ISRCTN35739639.

1 **ABSTRACT**

2 Background: Lipid metabolites may partially explain the inverse association between  
3 Mediterranean diet (MedDiet) and cardiovascular disease (CVD).

4 Objective: We evaluated the associations between 1) 202 lipid species and the risk of CVD  
5 (myocardial infarction, stroke or cardiovascular death); 2) a MedDiet intervention  
6 [supplemented with extra-virgin olive oil (EVOO) or nuts] and 1-year changes in these  
7 molecules; 3) 1-year changes in lipid species and subsequent CVD.

8 Design: Using a case-cohort design, we profiled 202 lipid species at baseline and after 1-year  
9 of intervention in the PREDIMED trial in 983 participants [230 cases and a random subcohort  
10 of 790 participants (37 overlapping cases)].

11 Results: Baseline concentrations of cholesterol esters were inversely associated with CVD.

12 Shorter chain length and higher saturation of some lipids were directly associated with CVD.

13 After adjustment for multiple testing, **direct** associations remained significant for **20 lipids**  
14 **and inverse associations remained significant for 6 lipids**. When lipid families were weighted  
15 by the number of carbon atoms and double bonds, the strongest inverse association was  
16 found for cholesterol esters [adjusted HR=0.39 (95% CI, 0.22-0.68) between extreme  
17 quintiles, p(trend)=0.002]. Participants in the MedDiet group+EVOO experienced significant  
18 ( $p < 0.05$ ) 1-year changes in 20 lipids and participants in the MedDiet group+nuts in 17 lipids,  
19 compared to the control group. Out of these, only changes in cholesterol ester CE(20:3) in  
20 the MedDiet+nuts group remained significant after correcting for multiple testing. None of  
21 the 1-year changes was significantly associated with CVD risk after correction for multiple  
22 comparisons.

23 Conclusions: Although the MedDiet interventions induced some significant 1-year changes in  
24 the lipidome, 1-year changes were not significantly associated with subsequent CVD risk.

- 25 Lipid metabolites with a longer acyl chain and a higher number of double bonds at baseline  
26 were significantly and inversely associated with the risk of CVD.

27 **INTRODUCTION**

28 Cardiovascular disease (CVD) is the leading cause of death worldwide, and the number of  
29 deaths worldwide attributable to CVD is expected to rise from 16.7 million in 2002 to 23.3  
30 million in 2030 (1). Thus, preventive strategies to tackle this epidemic are of paramount  
31 importance.

32 For decades (2), the Mediterranean diet (MedDiet) has been linked to a reduced risk of CVD.  
33 The PREDIMED trial, a randomized trial designed to assess the effect of a MedDiet  
34 supplemented either with extra-virgin olive oil (EVOO) or mixed nuts compared to a control  
35 group (advised to follow a low-fat diet) found a 30% relative reduction versus control in the  
36 risk of CVD for both intervention groups (3).

37 Several mechanisms have been suggested to underlie the observed benefits of the MedDiet  
38 on CVD. MedDiets decrease inflammatory markers beyond other control diets according to a  
39 meta-analysis of randomized trials (4) and increase nitric oxide production (5). In addition,  
40 the MedDiet has been suggested to reduce LDL oxidation, decrease LDL atherogenicity and  
41 improve several characteristics of HDL particles (6,7,8). In addition, it exerts beneficial  
42 transcriptomic effects on inflammation and foam cell formation-related genes (4)(9).

43 However, the biological mechanisms underpinning the beneficial effects of the MedDiet for  
44 the primary prevention of CVD are not yet fully understood. Recent advances in  
45 metabolomics techniques allow for a high-throughput assessment of a large number of  
46 small-molecule metabolites involved in different biological pathways, offering a metabolic  
47 profile related to biological status (10,11).

48 The PREDIMED trial –a large randomized field trial ([www.predimed.es](http://www.predimed.es))- showed a beneficial  
49 effect of a holistic dietary pattern on the primary prevention of CVD. This dietary pattern is  
50 characterized by a high consumption of healthy fats, which stem from EVOO and tree nuts to

51 a large degree. This high fat content may influence plasma lipid profiles. Therefore, the  
52 PREDIMED trial offers a unique opportunity to assess the association between the plasma  
53 lipidomic profile (or its changes during the nutritional intervention) and subsequent CVD.  
54 The aims of this study were to 1) describe the association of the baseline lipid metabolic  
55 profile of the PREDIMED participants with the risk of CVD, 2) assess the effect of the dietary  
56 intervention of the PREDIMED trial on lipid metabolite changes, and 3) depict the association  
57 between 1-year changes in the lipidomic profile and subsequent risk of CVD.

58

## 59 **METHODS**

60 The present case-cohort study was conducted within the PREDIMED (PREvención con Dieta  
61 MEDiterránea) trial (3, 12). Briefly, the PREDIMED trial was a multicenter randomized  
62 controlled field trial designed to assess the effect of the MedDiet on the primary prevention  
63 of CVD. Participants were recruited during 2003-2009. Participants were 7447 men (aged 55  
64 to 80 years) and women (aged 60 to 80 years) with no prior CVD but at high cardiovascular  
65 risk due to the presence of either type 2 diabetes or at least three of the following classical  
66 cardiovascular risk factors: current smoking, overweight/obesity, high LDL-cholesterol, low  
67 HDL-cholesterol, family history of early coronary heart disease or hypertension.  
68 Participants were randomly allocated in a 1:1:1 ratio to a MedDiet supplemented with EVOO  
69 (MedDiet+EVOO), to a MedDiet supplemented with nuts (MedDiet+nuts) or to a control  
70 group (advised to follow a low-fat diet and to reduce all types of fat). Supplemental Table 1  
71 shows the dietary recommendations to the participants in the different allocation arms.  
72 Participants in the two MedDiet groups received individual and group dietary educational  
73 sessions by a trained dietitian at the baseline visit and quarterly thereafter. Participants in  
74 the control group also received dietary education at the baseline visit. During the first 3

75 years of the trial, participants in the control group received a leaflet explaining the low-fat  
76 diet yearly. The protocol was amended in October 2006. Thereafter, participants in the  
77 control group received a dietary intervention promoting a low-fat diet with the same  
78 intensity and frequency as the two MedDiet groups.

79 Compliance was assessed by monitoring yearly the adherence to the MedDiet with a 14-item  
80 MedDiet screener (13) and with measurement of biomarkers of key foods in the MedDiet in  
81 random samples of participants, concretely extra-virgin olive oil (hydroxytyrosol in urine)  
82 and walnuts (alpha-linolenic acid in plasma) (3).

83 The trial was stopped because of early benefit on the basis of end points documented  
84 through December 1, 2010, after a median intervention time and follow-up of 4.8 years.

85 For the present study, we followed a case-cohort design and included information from 794  
86 randomly selected participants (approximately 10% of the original study sample) at baseline  
87 and all 233 incident cases of CVD with available plasma samples (55 of the total 288 incident  
88 CVD cases in the PREDIMED trial did not have available plasma samples). We excluded 5  
89 participants because of unavailable lipid information and 2 participants in the initial quality  
90 check. Thus, we included 983 participants in our analysis: 230 incident CVD cases and 790  
91 participants in the subcohort (including 37 overlapping cases). Furthermore, 907 participants  
92 (777 participants in the subcohort and 160 cases, including 30 overlapping cases) had  
93 available plasma samples after 1-year of intervention and were included in the lipidomic  
94 change analyses.

95 At baseline, participants completed an extensive questionnaire including information on  
96 sociodemographic characteristics and medical conditions, the Minnesota leisure time  
97 physical activity questionnaire (14) and a 14-item screener on their adherence to the  
98 traditional MedDiet (13).

99 The Institutional Review Boards of all the recruitment centers approved the overall  
100 PREDIMED trial design and the Institutional Review Boards of the University of Navarra, the  
101 Broad Institute of MIT and Harvard, and the Harvard TH Chan School of Public Health,  
102 approved the case-cohort subproject. All participants gave written informed consent.  
103 Participants underwent a blood withdrawal after an overnight fast by trained and certified  
104 nurses at baseline and after one year of intervention. Samples were processed by the study  
105 personnel according to the study protocol. EDTA plasma samples were coded and kept  
106 refrigerated until they were stored at  $-80^{\circ}\text{C}$  in freezers.  
107 In June 2014, randomly ordered paired samples (baseline and 1-year of follow-up) were  
108 selected and shipped on dry ice to the Broad Institute for metabolomics analysis.

109

110 *Metabolomic analyses of plasma.*

111 Plasma polar and nonpolar lipids were profiled using a Nexera X2 U-HPLC system (Shimadzu  
112 Scientific Instruments; Marlborough, MA) coupled to an Exactive Plus orbitrap mass  
113 spectrometer (Thermo Fisher Scientific; Waltham, MA). Lipids were extracted from plasma  
114 (10  $\mu\text{L}$ ) using 190  $\mu\text{L}$  of isopropanol containing 1,2-didodecanoyl-sn-glycero-3-  
115 phosphocholine as an internal standard (Avanti Polar Lipids; Alabaster, AL). After  
116 centrifugation (10 min, 9,000 x g, ambient temperature), supernatants (10  $\mu\text{L}$ ) were injected  
117 directly onto a 100 x 2.1 mm ACQUITY BEH C8 column (1.7  $\mu\text{m}$ ; Waters; Milford, MA). The  
118 column was eluted at a flow rate of 450  $\mu\text{L}/\text{min}$  isocratically for 1 minute at 80% mobile  
119 phase A (95:5:0.1 vol/vol/vol 10 mM ammonium acetate/methanol/acetic acid), followed by  
120 a linear gradient to 80% mobile-phase B (99.9:0.1 vol/vol methanol/acetic acid) over 2  
121 minutes, a linear gradient to 100% mobile phase B over 7 minutes, and then 3 minutes at  
122 100% mobile-phase B. MS analyses were carried out using electrospray ionization in the

123 positive ion mode using full scan analysis over m/z 200-1100 at 70,000 resolution and 3 Hz  
124 data acquisition rate. Additional MS settings were: ion spray voltage, 3.0 kV; capillary  
125 temperature, 300°C; probe heater temperature, 300 °C; sheath gas, 50; auxiliary gas, 15; and  
126 S-lens RF level 60. Raw data were processed using Progenesis QI software (NonLinear  
127 Dynamics) for feature alignment, nontargeted signal detection, and signal integration.  
128 Targeted processing of a subset of lipids was conducted using TraceFinder software (version  
129 3.2, Thermo Fisher Scientific; Waltham, MA. Lipids are denoted by headgroup and total acyl  
130 carbon content and total acyl double bond content. A full list of measured metabolites,  
131 HMDB id, and m/z values are available on Supplemental Table 2.

132

### 133 *Endpoint ascertainment*

134 The primary endpoint of the PREDIMED trial was a composite outcome of non-fatal acute  
135 myocardial infarction, non-fatal stroke, and cardiovascular death.

136 Medical doctors in each recruitment center blinded with respect to the allocation group  
137 reviewed yearly all the participants' medical charts to assess any incident CVD outcome.

138 Other sources of information such as consultation of the National Death Index were used to  
139 ascertain incident cases (3). Then, anonymized information was sent from the recruitment  
140 center to a blinded central Event Ascertainment Committee which adjudicated the events.

141

### 142 *Statistical analyses*

143 Baseline characteristics of the participants in the subcohort and of the participants who  
144 experienced a primary outcome (cases) were described as means and standard deviations  
145 (SD) for quantitative traits and as percentages for qualitative traits.

146 Baseline individual lipid values were normalized and scaled in multiples of 1 SD with Blom's

147 rank-based inverse normal transformation (15). Changes in the lipid values were calculated  
148 and the resulting difference was normalized and scaled in multiples of 1 SD with Blom's  
149 inverse normal transformation.

150 First, we calculated the correlation coefficients between all the individual lipid species.  
151 Second, in the subcohort, we estimated the effect of the intervention on the 1-year changes  
152 in the lipid values of the two intervention groups (MedDiet+EVOO and MedDiet+nuts)  
153 compared with the control group with multivariable linear regression models adjusted for  
154 the baseline lipid value. In order to correct for multiple testing, we used the procedure  
155 described by Benjamini and Yekutieli (16).

156 Third, we assessed the effect of the intervention (3 categories) on the changes after 1-year  
157 in lipid values with linear regression models using the change in the lipid values as the  
158 dependent variable and with no constant, in order to assess the lipid changes within each  
159 allocation arm among participants in the subcohort. These models were adjusted for the  
160 baseline lipid levels.

161 Fourth, we assessed if the two Mediterranean groups –separately for MedDiet+EVOO and  
162 MedDiet+nuts–had an effect on the lipidomic profile of the participants after 1 year of  
163 intervention by assessing whether changes in the average number of carbon atoms per acyl  
164 chain or the average number of double bonds per acyl chain in each lipid species were  
165 associated with each of the two Mediterranean diet intervention in comparison to the  
166 control group. As a preliminary step, we ran linear regression models with 1-year changes in  
167 each lipid signals as dependent variables and the allocation group as independent term (3  
168 categories, control group as reference), and adjusted the model for baseline lipid levels. We  
169 obtained the beta coefficients for both intervention groups (vs. control) and their  
170 corresponding standard errors for each of the 202 assessed lipids. For lipids with more than

171 one acyl chain, we averaged the number of carbon atoms and double bonds per acyl chain.

172 We then ran weighted linear regression models, in which we assessed if the average number

173 of carbon atoms or the average number of double bonds of the acyl chain were independent

174 predictors for the beta coefficients of the aforementioned regression models. As weights in

175 this regression, we used the inverse of the standard error of the beta coefficient obtained in

176 the aforementioned regression model. We presented the results graphically and separately

177 for both intervention groups. Then, we ran the weighted linear regression models, in which

178 we assessed if the average number of carbon atoms or the average number of double bonds

179 of the acyl chain were independent predictors for the beta coefficients of the

180 aforementioned regression models separately for those lipid families with information on at

181 least 5 lipids. The obtained p values were penalized for multiple comparisons with the

182 procedure described by Benjamini and Yekutieli (16) since we assessed 10 lipid families.

183 Fifth, we calculated HRs and 95% CI for incident CVD per 1-SD in baseline individual lipid

184 levels with weighted Cox regression models taking into account the case-cohort design with

185 Barlow weights (17). We adjusted for age, sex, smoking (never, former, current), body mass

186 index, family history of early coronary heart disease, leisure-time physical activity and

187 educational level and stratified by allocation arm in all models. The HRs for individual lipids

188 and their p values were grouped according to lipid species (family) and plotted in a two-

189 dimensional graph defined by the number of carbon atoms (x axis) and the number of

190 double bonds (y axis) in the acyl chain. Lipids with the same number of carbon atoms and

191 double bonds were slightly pulled apart horizontally to visualize both results.

192 Sixth, because the number of carbon atoms and double bonds appeared to be relevant to

193 CVD, for each lipid species, we additionally calculated a weighted score for the baseline lipid

194 value, weighted by its number of carbon atoms and by the number of double bonds in the

195 acyl chain. Since some lipids had no double bonds, we added a constant (constant=1) to the  
196 number of double bonds:

$$197 \quad \text{score} = \text{lipid level} * \# \text{ carbon atoms} * (\# \text{ double bonds} + 1)$$

198 We calculated this score for lipid species (families) for which at least 5 molecules were  
199 measured, and assessed the association of the score for each lipid family with the risk of  
200 cardiovascular disease using weighted Cox models, as stated above and adjusted for age,  
201 sex, smoking (never, former, current), body mass index, family history of early coronary  
202 heart disease, leisure-time physical activity and educational level (17). As sensitivity  
203 analyses, we changed some assumptions: a) without adding 1 to the number of double  
204 bonds; b) using multiple imputation for missing values instead of the lowest lipid-specific  
205 detected value; c) adding the lipid metabolites without weighting.

206 Finally, we modeled the risk of CVD per 1-SD in the 1-year change in individual lipid levels  
207 using weighted Cox regression models with the same adjustment as the previously described  
208 Cox regression models plus an additional adjustment for baseline lipid concentration (17).

209 Again, HRs and their p values were grouped according to lipid species and plotted in a two-  
210 dimensional graph defined by the number of carbon atoms (x axis) and the number of  
211 double bonds (y axis) in the acyl chain. Lipids with the same number of carbon atoms and  
212 double bonds were slightly pulled apart horizontally to visualize both results. Then, in an  
213 additional analysis, we corrected the associations between the individual baseline lipids (or  
214 their 1-year changes) and CVD for multiple testing using the procedure described by  
215 Benjamini and Yekutieli (16).

216 All analyses were performed with STATA/SE 13.1 (College Station, TX: StataCorp LP).

217

218 **RESULTS**

219 For the present analysis, we included 983 participants (230 cases and 790 participants in the  
220 subcohort, of whom 37 overlapped) (Supplemental Figure 1). Their baseline characteristics  
221 are displayed in Table 1. As expected, the participants included in the subcohort showed  
222 similar baseline characteristics and a similar risk than the entire cohort of participants  
223 included in the PREDIMED trial. In addition, we have included the distribution of the  
224 subcohort participants' characteristics according to their allocation arm in Supplemental  
225 table 3.

226 Correlations between the 202 individual lipid metabolites were strongest within each lipid  
227 family but moderate to strong correlations (data not shown) were also observed for  
228 triacylglycerides with diacylglycerides, phosphatidylcholines, phosphatidylethanolamines, or  
229 phosphatidylcholine plasmalogens; phosphatidylcholines with phosphatidylserines or  
230 cholesterol esters; phosphatidylcholine plasmalogens with phosphatidylthanolamine  
231 plasmalogens and diacylglycerides; and lysophosphatylcholines with  
232 lysophosphatidylethanolamines or phosphatidylethanolamines.

233

#### 234 *1-Year Changes in Lipid Metabolites in the Intervention Groups*

235 As shown in Table 2, participants in the MedDiet+EVOO group or in the MedDiet+nuts group  
236 showed statistically significant reductions versus the control group at 1-year in some lipids.

237 Only changes in cholesterol ester CE(20:3) in the MedDiet+nuts group versus the control  
238 group, but no other association, remained statistically significant after correcting for  
239 multiple comparisons. The full list of 1-year changes in the lipid metabolites levels in both  
240 intervention groups compared to the control group is available on Supplemental Table 4.

241 The full list of 1-year changes in the lipid concentrations in each of the three arms of the trial  
242 is available in Supplemental Table 5.

243 When we considered the lipidome as a whole, there was not a clear pattern in changes in  
244 lipid signals according to acyl chain length and number of double bonds. The change in the  
245 lipid signal was significantly higher with a higher average acyl chain carbon number in the  
246 MedDiet+EVOO group compared to the control group (Figure 1). This means that lipids with  
247 a longer mean acyl chain carbon number showed greater increases than lipids with shorter  
248 mean acyl chain carbon number or a higher number of double bonds in the MedDiet+EVOO  
249 than in the control group. In contrast, no significant changes in the lipid signal were  
250 observed in the MedDiet+nuts group compared to the control group (Figure 2) neither for  
251 the mean acyl chain length nor for the mean acyl chain saturation. When we repeated the  
252 analyses separately for 10 lipid families and after accounting for multiple comparisons, the  
253 only associations that remained significant were a greater increase in triacylglycerols with  
254 longer acyl-chains in the MedDiet+EVOO group vs. control and a greater increase in  
255 triacylglycerols with more double-bonds in the MedDiet+nuts group vs. the control group  
256 (Supplemental figures 2-21).

257

258 *Risk of CVD by Baseline Lipid Metabolite by Acyl Chain Carbon Number and Double Bond*

259 *Number*

260 The associations between individual baseline lipid concentrations and the risk of CVD are  
261 shown graphically in Figure 3 according to the number of carbon atoms in the acyl chain and  
262 to the number of double bonds. All specific comparisons can be found in Supplemental table  
263 6. A higher risk of CVD for those lipids with a lower number of carbon atoms in the acyl chain  
264 or with fewer double bonds was observed for phosphatidylcholines,  
265 phosphatidylethanolamine plasmalogens, phosphatidylinositols, diacylglycerols and  
266 triacylglycerols. On the other hand, a lower risk of CVD was found for those lipids with

267 increasing number of carbon atoms and more double bonds including phosphatidylcholines,  
268 phosphatidylcholine plasmalogens, cholesterol esters and triacylglycerols. Baseline levels of  
269 cholesterol esters with 20 or 22 carbon atoms in their acyl chain and 4, 5 or 6 double bonds  
270 showed inverse associations with CVD. On the contrary, higher baseline concentrations of  
271 hydroxy-phosphatidylcholines, lysophosphatidylethanolamines and ceramides, and, to a  
272 lower extent, of phosphatidylethanolamines with a medium-long acyl chain and  
273 phosphatidylserine plasmalogens, were associated with a higher risk of CVD.  
274 After adjustment for multiple testing, the associations remained statistically significant for  
275 the phosphatidylethanolamines PE(34:2), PE(36:2) and PE(36:1), the  
276 lysophosphatidylethanolamines LPE(16:0), LPE(18:2), and LPE(18:0), the  
277 phosphatidylethanolamine plasmalogen PEP(36:3), the phosphatidylserine PS(38:4), the  
278 ceramides C(16:0) and C(22:0), the hydroxy-phosphatidylcholines [M+Na]<sup>+</sup> OHPCMA(34:2),  
279 OHPCMA(36:4) and OHPC(36:4), and the diacylglycerols DAG(34:2), DAG(34:1), DAG(36:1),  
280 and DAG(36:0), and the triacylglycerols TAG(50:3), TAG(50:2) and TAG(52:3). All of them  
281 were directly associated with the risk of CVD; still after adjustment for multiple testing, an  
282 inverse significant association was observed for the phosphatidylcholine PC(40:10), the  
283 phosphatidylcholine plasmalogen PCP(36:5)a, the cholesterol esters CE(20:5), CE(20:4) and  
284 CE(22:5), and the triacylglycerol TAG(58:8).

285

286 *Risk of CVD by Baseline Lipid Species Family, Weighted by Acyl Chain Carbon Number and*  
287 *Double Bond Number*

288 To test our hypotheses that the length of the acyl chain and the number of double bonds  
289 were key elements to account for the association between lipid species and CVD, we  
290 weighted the metabolites by these two parameters. Results of the associations between the

291 baseline levels of the different lipid species (families of lipids)—with individual lipids  
292 weighted according to their number of double bonds and to the number of carbon atoms in  
293 each lipid species—and the future risk of CVD are displayed in Supplemental table 7. Higher  
294 (weighted) concentrations of lysophosphatidylethanolamines [HR (95% CI) for the 5<sup>th</sup> vs. the  
295 1<sup>st</sup> quintile 2.43 (1.41,4.19), p for trend 0.003] and diacylglycerols [HR (95% CI) for the 5<sup>th</sup> v.  
296 the 1<sup>st</sup> quintile 1.71 (1.02,2.87), p for trend 0.016] were significantly associated with a higher  
297 risk of CVD. Contrarily, higher concentrations of cholesterol esters [HR (95% CI) for the 5<sup>th</sup> v.  
298 the 1<sup>st</sup> quintile 0.39 (0.22,0.68), p for trend 0.002] weighted by their number of double  
299 bonds and carbon atoms were significantly associated with a lower risk of CVD. When we  
300 changed the definition of the score using different assumptions, the only noticeable changes  
301 were that the linear trend for the association between baseline phosphatidylethanolamines  
302 and CVD became statistically significant [HR (95% CI) for the 5<sup>th</sup> v. the 1<sup>st</sup> quintile 1.62  
303 (0.98,2.67), p for trend 0.030] and that the association of the cholesterol esters score was  
304 attenuated [HR (95% CI) for the 5<sup>th</sup> v. the 1<sup>st</sup> quintile 0.65 (0.37,1.13), p for trend 0.041],  
305 both with the unweighted approach.

306

307 *Risk of CVD by Changes in Lipid Metabolite by Acyl Chain Carbon Number and Double Bond*  
308 *Number*

309 In Figure 4 we show the associations between 1-year changes (per 1 SD) in individual lipid  
310 concentrations and the risk of CVD according to the number of carbon atoms in the acyl  
311 chain and to the number of double bonds. The specific estimates of the HRs for all  
312 comparisons can be found in Supplemental table 8. A higher risk of CVD for those lipids with  
313 fewer carbon atoms in the acyl chain and fewer double bonds were observed for  
314 phosphatidylcholines, phosphatidylcholine plasmalogens and cholesterol esters. But these

315 associations were of smaller magnitude and mainly absent for changes in these metabolite  
316 values as opposed to the associations observed with their baseline levels. In addition, after  
317 adjustment for multiple testing, none of these associations remained statistically significant.  
318 Therefore, we did not further assess associations of 1-y changes in lipids with CVD according  
319 to lipid family, nor did we build weighted scores for these changes.

320

## 321 **DISCUSSION**

322 To our knowledge, this is the first study assessing the association between lipid  
323 metabolomics data and subsequent risk of CVD in the context of a dietary intervention trial.  
324 These data suggest that: 1) the baseline lipid metabolic profile was associated with the risk  
325 of CVD in the PREDIMED trial; 2) the intervention, which assessed the effect of the MedDiet  
326 supplemented either with EVOO or nuts, induced some modest changes in the lipid profile of  
327 the participants, especially for MedDiet+nuts; however, 3) 1-year changes in the metabolic  
328 profile were not statistically associated with the subsequent risk of CVD.

329 We observed that baseline triacylglycerols, phosphatidylcholines, and  
330 lysophosphatidylethanolamines were differentially associated with CVD depending on the  
331 structure of the acyl chains such that the shorter the chain and the higher the saturation in  
332 the chain, the higher the risk of CVD. This pattern is consistent with other studies.  
333 Stegemann et al observed that triacylglycerols with a low carbon number and a lower  
334 number of double bonds were predictive of CVD (18). Analogously, this triacylglycerol  
335 pattern was previously associated with a larger BMI, waist circumference, and HOMA-IR in a  
336 cross-sectional study in the Framingham Offspring cohort (19). This same pattern was  
337 observed for triacylglycerol and phosphatidylcholine associations with the risk of diabetes in  
338 the Framingham Heart Study (20). The detrimental effect of saturation in triacylglycerols

339 may be attributable to the higher atherogenic potential of saturated fats.

340 For cholesterol esters, we observed that the longer and the more unsaturated the acyl chain,  
341 the lower the risk of CVD. This finding is consistent with a reported lower diabetes risk,  
342 although this latter association disappeared after multivariable adjustment (20).

343 The observation that the longer and the more unsaturated the acyl chain in  
344 phosphatidylcholines, the lower the associated CVD risk, is also consistent with the inverse  
345 association of carbon number and double bond content and risk of diabetes observed by  
346 Rhee et al (20). On the other hand, in the Bruneck Study, no clear association was observed  
347 for phosphatidylcholines and CVD (18). Phosphatidylcholines are the most abundant  
348 membrane lipids in mammals (21). If phosphatidylcholines with shorter chains and more  
349 highly saturated acyl chains are available, this could confer less fluidity to the cell  
350 membranes. Saturated fatty acid intake is known to be associated with adverse blood lipid  
351 profiles (raised LDL) and with the induction of insulin resistance (22). Therefore, it is likely  
352 that a lower number of double bonds in plasma lipids may be associated with a higher risk of  
353 CVD events. On the other hand, long-chain fatty acids with many double bonds (i.e. long-  
354 chain PUFA), mainly omega-3 PUFAs, are associated with reduced triglyceride levels,  
355 reduced myocardial oxygen demands and beneficial changes in endothelial function (23-25).

356 These physiologic benefits, together with reduced heart rate (and lower heart rate  
357 variability) and decreased blood pressure observed in association with long-chain omega-3  
358 fatty acids support the biologic plausibility for a protective effect of long-chain PUFA on  
359 cardiovascular clinical events. In addition, the intake of saturated fatty acids with a lower  
360 number of carbon atoms (16:0) are known to exert more detrimental effects on lipids and  
361 cardiovascular disease than those with a higher number of carbon atoms (18:0) (26). Long-  
362 chain PUFA are also precursors to bioactive lipid metabolites, including specialized pro-

363 resolving mediators and cytochrome P450-generated monoepoxides (27,28). Long-chain  
364 PUFAs are also assumed to have membrane stabilizing actions in the context of ischemia-  
365 induced ventricular fibrillation (29).

366 We also observed that higher baseline concentrations of diacylglycerols, ceramides,  
367 hydroxy-phosphatidylcholines, and medium-chained phosphatidylethanolamines were  
368 associated with an increased risk of CVD. A specific analysis on the association between  
369 ceramides and CVD has been previously reported (30). Diacylglycerols that showed stronger  
370 associations with the risk of CVD were consistent with the increasing saturation as those  
371 triacylglycerols that also showed a direct association with the risk of CVD. On the other  
372 hand, sphingolipids, such as ceramides, have been associated with insulin resistance and  
373 vascular dysfunction suggesting thus a potential mechanism to explain their association with  
374 CVD (31). Also, hydroxy-phosphatidylcholines can be formed as adducts under conditions of  
375 oxidative stress and/or inflammation and may be components of oxidized LDL, thus  
376 increasing the risk of CVD. Finally, Stegemann et al observed a higher risk of CVD for the  
377 ratio of phosphatidylethanolamines to phosphatidylcholines, which is consistent with our  
378 finding of higher risk with higher levels of phosphatidylethanolamines (18).

379 It could be thought that our results mainly support an inverse association of long-chain  
380 PUFA, primarily of the omega-3 type, with CVD, and this is already well known. However, the  
381 omic approach in conjunction with a randomized intervention trial does add novel findings  
382 because a) we were able to assess the role of lipids jointly classified according to these two  
383 features, namely, the length of the acyl chain and number of double bonds; b) we assessed  
384 these associations not only for baseline lipids, but also for their changes after one year of  
385 dietary intervention, using repeated measurements of the lipidome; c) we provide a  
386 systematic analysis of the effect of the dietary intervention on the whole lipidome. As far as

387 we know, these three approaches to assess the role of the lipidome on the risk of CVD have  
388 not been reported before.

389 In the present study, we have not found an association between induced changes in the  
390 lipidome by the intervention conducted in the PREDIMED trial and subsequent CVD. These  
391 results suggest that the effect of the intervention may be due to other factors, e. g. bioactive  
392 polyphenols with anti-inflammatory properties (32).

393 Our study has several strengths. First, this study was built on a successful dietary  
394 intervention trial that demonstrated beneficial effects of MedDiet interventions for the  
395 primary prevention of CVD. Second, we conducted repeated measurements of lipid  
396 metabolites at baseline and 1 year of intervention, enabling us to examine the effects of the  
397 interventions on changes in lipids.

398 We also acknowledge some limitations of this study. First, although we observed changes in  
399 the lipidome between intervention arms, we did not see appreciable changes within each  
400 intervention arm after one year of intervention, even though the lipid composition of the  
401 intervention diets differed. Several studies have observed short-term changes in the  
402 metabolome induced by a dietary intervention but these changes were nevertheless toned  
403 down after 6 months of follow-up (33,34). Second, the PREDIMED participants were mostly  
404 European whites and roughly half of them had diabetes, which may limit the generalizability  
405 of our results. Third, we observed mainly non-significant changes in metabolite levels  
406 induced by the intervention and no associations between changes in the metabolite levels  
407 and future risk of CVD. It is possible that 1-year changes in metabolites were too small to  
408 detect subtle mediational effects. It is also possible that mechanisms other than lipidomic  
409 changes may account for the observed benefit on clinical hard events. Fourth, some of our  
410 analyses are based on observational data. In spite of having adjusted for the main potential

411 confounders, residual confounding may still have played a role in the observed associations.

412 Fifth, the specific benefit of the MedDiet might have been confounded because during the  
413 first period of the trial the comparator group received less attention than during the second  
414 period. However, the diet for the control group was precisely defined from the very  
415 beginning of the trial and we did not change the definition or the targets of the control  
416 group, we only modified the intensity and frequency of contacts with the dietitians.

417 In conclusion, the MedDiet interventions induced some significant 1-year changes in the  
418 lipidome, but one-year changes were not significantly associated with subsequent CVD risk.  
419 Our study suggests that some lipid classes may be related to the risk of CVD. The effect may  
420 be different in the different lipid species although for several lipid species we have observed  
421 that those lipids with a shorter and more saturated acyl chain were more strongly associated  
422 with the risk of CVD. On the contrary, lipid metabolites with a longer acyl chain and a higher  
423 number of double bonds were inversely associated with the risk of CVD.

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Table 1. Baseline participants' characteristics in the random subcohort and cases

| <b>Characteristic</b>                                    | <b>Entire<br/>PREDIMED<br/>cohort</b> | <b>Subcohort<sup>1</sup></b> | <b>Cases</b> |
|--|---------------------------------------|------------------------------|--------------|
| <b>n</b>   | 7447                                  | 790                          | 230          |
| <b>Sex, % women</b>                                      | 57.5                                  | 57.1                         | 39.6         |
| <b>Age, years</b>  | 67 (6)                                | 67 (6)                       | 70 (6)       |
| <b>Allocation arm, %</b>                                 |                                       |                              |              |
| <b>MedDiet+EVOO</b>                                      | 34.2                                  | 37.1                         | 35.7         |
| <b>MedDiet+nuts</b>                                      | 33.0                                  | 33.2                         | 28.3         |
| <b>Family history of early CHD, %</b>                    | 22.4                                  | 24.9                         | 19.1         |
| <b>Smoking status, %</b>                                 |                                       |                              |              |
| <b>Former smoker</b>                                     | 24.7                                  | 25.4                         | 35.1         |
| <b>Current smoker</b>                                    | 14.1                                  | 12.3                         | 20.0         |
| <b>Dyslipidemia, %</b>                                   | 72.3                                  | 73.5                         | 58.3         |
| <b>Type 2 diabetes, %</b>                                | 48.5                                  | 47.1                         | 64.8         |
| <b>Hypertension, %</b>                                   | 82.8                                  | 83.7                         | 82.6         |
| <b>Waist circumference, cm</b>                           | 100 (11)                              | 100 (10)                     | 102 (11)     |
| <b>Body mass index, kg/m<sup>2</sup></b>                 | 30.0 (3.8)                            | 29.8 (3.6)                   | 29.6 (3.7)   |
| <b>Leisure time physical activity,<br/>METs-min/d</b>    | 230 (239)                             | 258 (258)                    | 237 (238)    |
| <b>Education, %</b>                                      |                                       |                              |              |
| <b>Secondary school or higher</b>                        | 22.2                                  | 23.5                         | 19.6         |
| <b>Total energy intake, kcal/d</b>                       | 2275 (606)                            | 2334 (614)                   | 2366 (686)   |
| <b>Baseline adherence to the<br/>MedDiet<sup>2</sup></b> | 9 (2)                                 | 9 (2)                        | 8 (2)        |

Data are mean (SD) unless otherwise stated

<sup>1</sup>: The randomly selected subcohort included 37 cases.

<sup>2</sup>: Based on the 14-item screener

Abbreviations: MedDiet: Mediterranean diet; CHD: coronary heart disease

Table 2. Significant lipid changes after 1 year of intervention in the two intervention groups of the PREDIMED trial compared to the control group among participants in the subcohort

| Lipid  | MedDiet+EVOO (n=293) vs. control (n=235) |                      | MedDiet+nuts (n=262) vs. control (n=235) |                      |
|--|--|----------------------|--|----------------------|
|  | Mean (95%CI)                             | p value <sup>1</sup> | Mean (95%CI)                             | p value <sup>1</sup> |
| <b>Lysophosphatidylethanolamines</b>         |  |                      |  |                      |
| LPE (22:6)                                   | 0.15 (0.00,0.31)                         | 0.048                | -  | -                    |
| <b>Phosphatidylcholines</b>                  |  |                      |  |                      |
| PC (36:4)b                                   | -0.21 (-0.37,-0.04)                      | 0.014                | -0.19 (-0.36,-0.02)                      | 0.027                |
| PC (38:4)                                    | -0.18 (-0.35,-0.02)                      | 0.027                | -0.18 (-0.35,-0.01)                      | 0.037                |
| PC (38:3)                                    | -  | -                    | -0.23 (-0.39,-0.07)                      | 0.004                |
| PC (38:2)                                    | -  | -                    | -0.17 (-0.33,-0.02)                      | 0.031                |
| PC (40:6)                                    | -  | -                    | -0.28 (-0.44,-0.12)                      | 0.001                |
| <b>Phosphatidylcholine plasmalogens</b>      |  |                      |  |                      |
| PCP (34:3)                                   | -  | -                    | 0.18 (0.02,0.34)                         | 0.032                |
| PCP (34:2)                                   | 0.20 (0.03,0.36)                         | 0.021                | -  | -                    |
| <b>Phosphatidylethanolamines</b>             |  |                      |  |                      |
| PE (34:0)                                    | -  | -                    | -0.17 (-0.33,-0.01)                      | 0.033                |
| PE (38:5)                                    | -0.18 (-0.34,-0.02)                      | 0.027                | -  | -                    |
| PE (38:4)                                    | -0.18 (-0.34,-0.03)                      | 0.022                | -  | -                    |
| <b>Phosphatidylethanolamine plasmalogens</b> |  |                      |  |                      |
| PEP (36:5)                                   | -0.23 (-0.38,-0.08)                      | 0.003                | -  | -                    |
| PEP (36:1)                                   | 0.18 (0.02,0.34)                         | 0.031                | -  | -                    |
| PEP (38:5)                                   | -0.18 (-0.34,-0.03)                      | 0.018                | -  | -                    |
| <b>Phosphatidylserines</b>                   |  |                      |  |                      |
| PS (40:6)                                    | -  | -                    | -0.23 (-0.39,-0.07)                      | 0.004                |
| <b>Phosphatidylserine plasmalogens</b>       |  |                      |  |                      |
| PSP (36:3)                                   | -  | -                    | -0.18 (-0.35,-0.01)                      | 0.041                |
| <b>Ceramides</b>                             |  |                      |  |                      |
| Ceramide (24:1)                              | 0.25 (0.09,0.41)                         | 0.002                | -  | -                    |
| <b>Sphingomyelins</b>                        |  |                      |  |                      |
| SM (18:1)                                    | 0.18 (0.01,0.34)                         | 0.038                | -  | -                    |
| SM (18:0)                                    | 0.18 (0.02,0.34)                         | 0.032                | -  | -                    |
| SM (24:1)                                    | 0.24 (0.08,0.40)                         | 0.003                | -  | -                    |
| <b>Cholesterol esters</b>                    |  |                      |  |                      |
| CE (14:0)                                    | -  | -                    | -0.19 (-0.35,-0.03)                      | 0.023                |
| CE (16:1)                                    | -0.22 (-0.38,-0.05)                      | 0.010                | -0.27 (-0.44,-0.10)                      | 0.002                |
| CE (20:3)                                    | -  | -                    | -0.37 (-0.53,-0.21)                      | <0.001 <sup>2</sup>  |
| <b>Diacylglycerols</b>                       |  |                      |  |                      |

|                         |                     |       |                  |       |
|-------------------------|---------------------|-------|------------------|-------|
| DAG (32:0)              | -0.18 (-0.34,-0.02) | 0.028 |                  |       |
| DAG (36:4)              |                     |       | 0.23 (0.07,0.39) | 0.006 |
| <b>Triacylglycerols</b> |                     |       |                  |       |
| TAG (42:0)              | -0.16 (-0.31,-0.01) | 0.040 | -                | -     |
| TAG (44:0)              | -0.17 (-0.33,-0.01) | 0.033 | -                | -     |
| TAG (46:0)              | -0.18 (-0.34,-0.03) | 0.024 | -                | -     |
| TAG (48:0)              | -0.18 (-0.34,-0.02) | 0.025 | -                | -     |
| TAG (50:0)              | -0.16 (-0.32,-0.01) | 0.043 | -                | -     |
| TAG (52:6)              | -                   | -     | 0.16 (0.01,0.32) | 0.042 |
| TAG (52:5)              | -                   | -     | 0.20 (0.05,0.36) | 0.011 |
| TAG (52:4)              | -                   | -     | 0.19 (0.03,0.35) | 0.020 |
| TAG (54:5)              | -                   | -     | 0.19 (0.03,0.35) | 0.019 |

<sup>1</sup>: p values are unadjusted for multiple comparisons

<sup>2</sup> : this difference remained statistically significant after adjustment for multiple comparisons

Abbreviations: LPC: lysophosphatidyl choline; PC: phosphatidylcholine; PCP: phosphatidylcholine plasmalogen; LPE: lysophosphophatidyl ethanolamine; PE: phosphatidylethanolamines; PEP: phosphatidylethanolamine plasmalogen; PS: phosphatidylserine; PSP: phosphatidylserine plasmalogen; SM: sphingomyelin; CE: cholesterol ester; DAG: diacylglycerol; TAG: triacylglycerol

Results from multivariable linear regression models with 1-year changes in the lipid values as dependent variable and the allocation groups as independent terms (3 categories, control group as reference), and adjusted for baseline lipid value.

The data are LC-MS peak areas that have been transformed by Blom's inverse normal transformation.

Figure 1. One-year changes in each lipid signal according to lipid carbon number and double bond content for participants in the Mediterranean diet group supplemented with extra-virgin olive oil (n=293) versus the control group (n=235).

Results from weighted linear regression models weighted by the inverse of the variance.

Figure 2. One-year changes in each lipid signal according to lipid carbon number and double bond content for participants in the Mediterranean diet group supplemented with nuts (n=262) versus the control group (n=235).

Results from weighted linear regression models weighted by the inverse of the variance.

Figure 3. Hazard ratios for one standard deviation higher baseline lipid concentration and the composite CVD endpoint.

Lipid species were inverse normally transformed and HRs were calculated from weighted Cox models adjusted for age, sex, smoking habit, BMI, family history of early CHD, leisure time physical activity and education, and stratified by allocation arm in the trial (17).

Figure 4. Hazard ratios for one standard deviation of 1-year change in lipid concentration and the composite CVD endpoint.

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