

1 Integrating three tools for the environmental assessment of the Pardo River, Brazil

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14 **Abstract** There is a growing need for strategic assessment of environmental conditions in river basins around the
15 world. In spite of the considerable water resources, Brazil has been suffering from water quality decreased in
16 recent years. Pardo River runs through Minas Gerais and São Paulo, two of the most economically important
17 states in Brazil and is being currently promoted as a future drinking water source. This study aimed at integrating
18 three different tools to conduct a hydromorphological assessment focused on the spatial complexity, connectivity
19 and dynamism of the Pardo River, Brazil. Twelve sampling stretches were evaluated in four sampling
20 campaigns, in dry and rainy seasons. In each stretch, Permanent Preservation Areas (PPA), hydromorphological
21 integrity by Rapid Assessment Protocol (RAP) and physicochemical parameters were qualified. The Kappa
22 coefficient was used to assess statistical agreement among monitoring tools. The PPA analysis showed that in all
23 stretches the vegetation was modified. RAP results revealed environmental deterioration in stretches located near
24 human activities, less variability of substrates available for aquatic fauna and sediment deposition as well. Low
25 values for dissolved oxygen in the river mouth were noted in the rainy season. Electrical conductivity was higher
26 in stretches near sugarcane crops. The poor agreement ($k < 0.35$) between the RAP and physicochemical
27 parameters indicates that the tools generate different and complementary information, while they are not
28 replaceable. Potential changes of the hydromorphological characteristics and variations in physicochemical
29 indicators must be related to extensive PPA modification.

30 **Keywords** Hydromorphology · Pardo River, Brazil · Anthropogenic Impacts · Environmental Assessment

31 1. Introduction

32

33 Because of the anthropogenic influences arising from intensive population growth, increased cultivated areas and
34 industrial expansion, rivers are usually under permanent hydrological stress, being one of the most degraded
35 ecosystems. That situation seems to be more difficult in developing countries where the level of water recycling
36 and wastewater treatment is low, and the intensive use of available water resources can cause severe degradation
37 of water quality (Alcamo et al. 2003). There is a growing need for strategic assessment of the environmental
38 situation of river basins worldwide.

39 River management tools based on hydromorphological evaluation are used to generate information regarding
40 river benthic communities (Urbanič 2014), habitat characteristics and the preservation status in the surroundings
41 of rivers (Rodrigues et al. 2012). The hydromorphological analysis shows that the channel shape plays a
42 fundamental role in the biodiversity and functioning of river ecosystems, according to the spatial complexity,
43 connectivity and dynamism of the river (Elosegi and Sabater 2013).

44 The spatial complexity of a channel reflects that elements differ in width, depth, water velocity and sediment
45 size. Therefore, microhabitat complexity may be also different. Connectivity reflects the different relationships
46 between spatial factors that influence the river, expressed in longitudinal, lateral and vertical dimensions.
47 Longitudinal connectivity controls the downstream flux of water and sediment along the river network. Hence,
48 basic processes shape the channel form. Lateral connectivity explains the relationship between the channel and
49 the margins, while vertical connectivity is related to channel complexity, since the variability of hydraulic
50 pressure on the riverbed comes from the interaction of flow with riverbed forms, or slope discontinuities at
51 reach-scale. Dynamism is an intrinsic characteristic of rivers, linked to channel complexity and connectivity
52 (Elosegi et al. 2010).

53 The anthropogenic impact on river hydromorphology can be analyzed with visual tools as protocols filled by
54 a researcher. There are several Rapid Assessment Protocols (RAPs) used in river monitoring, which are low cost
55 methods consisting of an *in situ* evaluation of river morphological characteristics, covering indicators of spatial
56 complexity, connectivity and dynamism (Rodrigues and Castro 2008). The study of Permanent Preservation
57 Areas (PPA) may represent an interesting complementary tool to hydromorphological assessments, as PPAs are
58 part of the environment around rivers, serving as a water body protection. The Brazilian Forest Code (BFC)
59 (Government of Brazil 2012) determines that PPAs are areas with or without vegetation, intended to protect the
60 environment and to sustain life in all its diversity. With PPA evaluation, it is possible to check the changes in the

61 landscape due to natural and/or anthropogenic actions, seeking possible causes and effects of these
62 morphological changes.

63 Anthropogenic impact on water bodies can result in changes in physicochemical characteristics of water.
64 Physicochemical parameters such as temperature, pH, dissolved oxygen, turbidity and electric conductivity are
65 used to analyze *in situ* the water quality of rivers (Fernandes et al. 2009). The relationship between changes in
66 the river margins and physicochemical characteristics of water has been demonstrated in various studies
67 (Walling 2006; Silva et al. 2010). Wang et al. (2012) found that agricultural lands worsened water quality and
68 produced the highest nutrient concentrations when compared with forested lands in China. Hydromorphological
69 studies that used together the evaluation of PPA indicators, Rapid Assessment Protocol (RAP) and analysis of
70 physicochemical parameters have not been described yet. It is believed that a more integrated assessment of river
71 characteristics will provide important information on impacted watersheds.

72 Despite the abundance of water resources, Brazil has been suffering from a decrease in water quality.
73 Urbanization and intense activities of urban population as well as substantial expansion of agriculture areas and
74 cattle are identified as the major causes of environmental problems in Brazil, including pollution of water
75 resources (Tucci 2008; Lorz et al. 2012; Da Silva et al. 2013). The Pardo River is an important water body,
76 which is being considered as a future water supply. It runs through São Paulo and Minas Gerais, two of the
77 richest states of Brazil, with high population densities and potentially great impacts from anthropogenic actions.

78 The present study was aimed at integrating three tools to conduct a hydromorphological assessment focused
79 on the spatial complexity, connectivity and dynamism of the Pardo River, through the following objectives: (a)
80 to evaluate PPA indicators in accordance with the BFC standards; (b) to assess the spatial complexity,
81 connectivity and dynamism with a Rapid Assessment Protocol (RAP); (c) to verify the physicochemical
82 parameters of water (pH, Dissolved Oxygen, Turbidity, Electrical Conductivity, Temperature); and (d) to verify
83 the agreement between RAP results and physicochemical results.

84 Several studies have been conducted around the world in order to assess external factors to the rivers that act
85 as protectors against runoff water that carries sediments and contaminants, with main focus on riparian forests
86 (Nigel et al. 2014; Sweeney and Newbold 2014; Hansen et al. 2015). However, the differential of the present
87 study is the integration of three tools in order to make a more completed assessment of the river's
88 hydromorphological conditions, which can be used in various water bodies.

89

90 2. Materials and Methods

91

92 2.1 Study area and data collection

93

94 The Pardo River's headwaters are on the south plateau of Minas Gerais, Brazil. It is the largest tributary in the
95 left margin of the Grande River, where it arrives after a course of about 550 km. It has a drainage basin of 10,694
96 km², covering more than one million inhabitants (CBH PARDO 2013). Between 2011 and 2012, four sampling
97 campaigns were performed, two in the dry seasons (June/2011 and August/2011) and two in the rainy seasons
98 (March/2011 and January/2012). In the southeast region of Brazil, the dry season occurs between June and
99 September, and the rainy season between October and April. In each campaign, duplicate water samples were
100 collected in the 12 sampling points along the Pardo River (Fig. 1) with the same number of samples for each
101 location. The coordinates of the sampling stretches were obtained by GPS (Global Positioning System), being
102 these points sequentially numbered along the river. The first stretch began at the source (Ipuiúna city, Minas
103 Gerais, Brazil), while the last point (#12) was the confluence with the Grande River (Colombia city, São Paulo,
104 Brazil).

105

106 2.2 Permanent Protection Area (PPA)

107

108 Measurements of PPA were performed by satellite, with images from Google Earth. PPA data were analyzed
109 based on the limits set by the Brazilian legislation (Government of Brazil 2012), which establishes the size of the
110 preservation areas around the rivers. The recommendation for river sources is to preserve at least 50 m in radius.
111 In turn, for rivers less than 10 m wide, the PPA should be at least 30 m, and for those with 10 to 50 m wide the
112 PPA should be at least 50 m. For rivers with 50 to 200 m wide, the PPA should be at least 100 m, and for rivers
113 with 200 to 600 m wide, the PPA should be at least 200 m. Finally, for rivers exceeding 600 m wide, the PPA
114 should be at least 500 m (Government of Brazil 2012).

115

116 2.3 Hydromorphological integrity

117

118 The Rapid Assessment Protocol is shown in Table 1. This protocol was adapted and applied to Brazilian river
119 stretches by Rodrigues and Castro (2008). The parameters *Substrates and/or habitats available*, *Speed/depth*
120 *regimes* and *Sediment deposition* characterize the spatial complexity of the evaluated stretch. *Channel flow*

121 *status*, *Channel alteration* and *Channel sinuosity* parameters are related to connectivity in the evaluated
122 environment. Finally, the parameters *Margin stability*, *Protection of the margins by vegetation* and *Nearby*
123 *vegetation status of protection* are related to the dynamism observed in the sampling stretch. A score between 0
124 and 20 is attributed to each parameter, which corresponds to the environmental integrity. Scores increase
125 proportionally to environmental integrity and can vary according to the observation site (Rodrigues and Castro
126 2008). The original protocol was adapted, being completed with a tenth descriptor parameter: *Floating solid*
127 *materials*.

128

129 2.4 Physicochemical assessment

130

131 Physicochemical parameters were analyzed *in situ*. Data were evaluated according to the season. Water pH,
132 temperature, dissolved oxygen (DO), electrical conductivity and turbidity were measured with a Phtek (model
133 pH-100), a Minipa digital thermometer (model MV-365), an LT Lutron oximeter (model DO 5510), an LT
134 Lutron conductivimeter (model CD 4303), and a Hanna Instruments turbidimeter (model HI 93703),
135 respectively.

136

137 2.5 Data analysis

138

139 The Mann–Whitney U-test was performed by means of Graph Pad Prism (version 3.02 for Windows, Graph Pad
140 Software, San Diego, CA, USA) to verify the seasonal influence on physicochemical parameters. The standards
141 established by Resolution n° 357 (CONAMA 2005), which addresses the classification of water bodies and
142 related environmental guidelines, were adopted in order to verify the water quality according to physicochemical
143 parameters.

144 Kappa test, using Stata software (version 10.2), was performed to verify the agreement between RAP results
145 and physicochemical parameters (Viera and Garrett 2005).

146

147 3. Results

148

149 The Pardo River suffers from anthropogenic influences arising from the large population living in the
150 surrounding area, as well as the increase of cultivated areas, especially sugarcane. The results for the

151 characterization of the PPA, the hydromorphological assessment and the evaluation of the Pardo River water
152 quality are presented below.

153

154 3.1 Permanent Protection Area (PPA) characterization

155

156 The PPA characteristics along the Pardo River in the years 2011 and 2012 are summarized in Table 2. The Pardo
157 River begins approximately 1.5 m wide, while it reaches a width of 400 m when joining the Grande River at its
158 mouth. The river goes through a large area with several cities and agricultural zones. The width of the PPA is
159 directly related to the width of the river, according to the Brazilian legislation (Government of Brazil 2012).
160 Satellite images showing the 12 sampling stretches and its margins in the Pardo River, Brazil, are shown in Fig.
161 2. Excepting the 4th and 5th stretches, none of the evaluated stretches has preserved the PPA width in accordance
162 with the law standards (Government of Brazil 2012).

163 The stretch showing most changes in the PPA integrity was #12, with sugarcane fields on both sides of the
164 river and no native vegetation for margin protection. The predominant vegetation of stretches #3 and #10, as well
165 as the left margin of stretch #5, was sugarcane crops, showing also small areas with native vegetation. However,
166 these three stretches were not in accordance with the legal standards for PPA. For instance, the PPA of stretch #3
167 should be 100 m, while its width was 70 m. The PPA integrity of the left margin of stretch #4, as well as
168 stretches #6 and #11, was modified by the presence of urban areas. In stretch #4, the river was approximately 50
169 m wide, and in stretch #6, the river width was approximately 110 m, while their PPA should be, at least, 100 m
170 wide. In stretch #11, the river width was approximately 100 m, although the PPA should be at least 200 m wide.
171 Stretch #7 is located near the city of Ribeirão Preto, the largest municipality in the Pardo River basin, with about
172 649,500 inhabitants (IBGE 2014). At the margins of stretch #7, the PPAs were replaced by a recreation club,
173 where the practice of navigation, fishing and other recreational activities is quite common. The BFC standard for
174 rivers with a width of about 150 m is, at least, a 100 m-PPA.

175

176 3.2 Hydromorphological integrity of the Pardo River

177

178 The hydromorphological integrity of the Pardo River using a RAP at each one of the 12 stretches is depicted in
179 Fig. 3. A seasonal study by comparing data from the wet and dry campaigns was conducted. Differences in
180 integrity for each stretch and sampling date were observed. The stretch with the lowest scores in the integrity

181 assessment was stretch #11, while the stretch with the highest scores was #1. Observing all the evaluated
182 stretches, we noted that the parameter with the lowest scores was *Nearby vegetation status of protection*. The
183 highest scores were observed for the parameter *Channel flow status*.

184 The results of the RAP parameter *Substrates and/or habitats available* showed that for stretches #2 and #11,
185 between 20 and 30% of each stretch contained substrates favorable to benthic fauna colonization. Most stretches
186 received the best scores for this parameter in dry season sampling. *Speed/depth regimes* parameter indicates that
187 the stretches #1 and #2 had only two types of regimes: fast/deep and slow/shallow.

188 *Sediment deposition* parameter indicates that in some stretches the natural river course was currently affected
189 by the sediment deposition. These situations were observed in locations with human activities, as stretches #2
190 and #3, situated near urban areas, and in stretches #8, #9, #10, #11 and #12 (sugarcane agriculture, residential
191 area and industry). The *Channel alteration* parameter indicated a low score for stretch #7, where margins have
192 been modified to establish a private recreation area, as well as with stretches #2, #3, #8 and #10.

193 *Protection of the margins by vegetation* parameter indicates that stretches #2, #7, #8 and #12 were the most
194 impacted sites, showing three predominant situations, such as soil sealing (#7), absence of vegetation (#2 and
195 #8) and agriculture (#12). *Nearby vegetation status of protection* parameter indicates that the low scores were
196 assigned to stretches #2, #7, #8, #11 and #12. Finally, *Floating solid materials* parameter indicates that materials
197 such as plastic, metal, paper or glass on the margins, or on the own river were observed at stretch #7, near a
198 private recreation area.

199

200 3.3 Water quality of the Pardo River according to physicochemical parameters

201

202 The median of the physicochemical results, depending on the season, are shown in Table 3. Stretch #1 (rainy and
203 dry season), and stretches #2, #3 and #6 (rainy season) showed pH values lower than those recommended by the
204 Brazilian legislation (CONAMA 2005). By comparing the temperature values according to the season, a
205 statistically significant difference ($p < 0.05$) was found. Furthermore, higher temperatures were recorded in stretch
206 #12 during the rainy season. A significant variation was observed in dissolved oxygen levels between seasons,
207 being lower in the rainy season ($p < 0.05$). It is relevant to note that the lower dissolved oxygen concentrations
208 was verified in stretch #12, in both the rainy and dry seasons.

209 Higher oxygen-dissolved values were found during the rainy season in stretches #1, #4 and #5 (Table 3),
210 being coincident with larger zones of preserved riparian vegetation (Fig. 3). The electrical conductivity was

211 greater in the stretches #8, #9, #10, #11 and #12. Seasonal sampling had no effect on river water electrical
212 conductivity in any of the evaluated stretches ($p>0.05$). The turbidity was significantly higher in the rainy season
213 than in the dry campaigns ($p<0.05$), with the lowest value in stretch #1, corresponding to the source of the Pardo
214 River.

215

216 3.4 Kappa Test

217 The comparison between tools (RAP and physicochemical parameters assessment) by Kappa coefficient showed
218 poor statistical agreement between the variables ($k<0.35$).

219

220 4. Discussion

221 4.1 PPA conditions

222

223 As it can be seen in Table 2 and Fig. 2, all stretches showed modified PPA. Although stretch #1 (river source)
224 contained the most preserved riparian vegetation, it was not yet in accordance with the limits set by the Brazilian
225 legislation (Government of Brazil 2012). In order to preserve water resources, it is established to preserve a
226 radius of 50 m in river sources. In stretch #3, in the left margin of stretch #5, and in stretches #10 and #12, the
227 integrity of the PPA was modified because the forest was replaced by sugarcane crops (Fig. 2). Deforestation
228 results in removal of natural barriers that may contain sediment transport. Furthermore, sugarcane field requires
229 the application of herbicides, pesticides and fertilizers during different cultivation stages, therefore resulting in
230 water river impacts through the leaching process (Corbi et al. 2006).

231 Livestock on the river margins in stretches #2, #8 and #9 can block the growth of sprouts native to the forest
232 (Fagundes and Gastal Junior 2008). Additionally, the flow of animal waste into the riverbed may enhance the
233 concentration of organic matter and can transmit waterborne diseases (Seganfredo et al. 2003).

234 Urban areas on the left margin of stretch #4, and on stretches #6 and #11, are related to population growth
235 and human settlement in areas of flood-plains. Occupation and modification of river margins is one of the main
236 sources of impact, associated with an increased impervious surface area and water temperatures, as well as
237 reduction in channel and habitat structure (Allan 2004).

238 A recreation club at stretch #7 might potentially impact the local ecosystem. The artificial cover of river
239 margins may eliminate the input of terrestrial insects into the river, impacting fish and macroinvertebrate
240 populations, which are a part of the subsistence of terrestrial predators (Elosegi et al. 2010).

241

242 4.2 Hydromorphological assessment

243

244 The hydromorphological complexity of the channel reflects differences in velocity, depth, sediments and habitat
245 composition (Elosegi et al. 2010). The results suggest that there was a variation of the integrity of every
246 parameter in each one of the studied stretches. Due to river extension (550 km), this diversity scenario along the
247 Pardo River could be expected. However, the individual evaluation of each RAP parameter is important, since it
248 allows visualizing the factors that alter the habitat's integrity and might help the local authorities to make
249 decisions for a better preservation and restoration of the riparian environment.

250 The parameters showing the greatest sensitivity were *Substrates and/or habitats available*, *Sediment*
251 *deposition*, *Channel alteration*, *Protection of the margins by vegetation*, *Nearby vegetation status of protection*
252 *parameter* and *Floating solid materials*. The results found for the parameter *Substrates and/or habitats available*
253 in stretch #2 (Fig. 3) may be related to the changes in the river affected by channeling for bridge construction
254 and removal of the surrounding vegetation for grazing area. It can reduce the amount of woody debris that falls
255 into the river, functioning as a favorable habitat colonization of aquatic fauna. In stretch #11, the low scores may
256 be related to residences on the river margins and the sugar and alcohol industries. The land use for urban
257 occupation and industry near rivers strongly influences ecosystems (Maloney and Weller 2011). It may have
258 increased sediment transport from the margins to the river. Physical complexity in ecosystem functioning is
259 important, being the high diversity of substrate sized clearly linked to biodiversity, as they constitute different
260 functional habitats (Adeyemo 2008).

261 It is well known that sediment deposition and decreased river depth are determinants of benthic community
262 structure, being this factor more significant than water variables (Lisboa et al. 2011). In the present study, the
263 hydromorphological assessment was shown to be highly dependent on the presence of a riparian area, and the
264 dense margin vegetation of the riparian zone prevented excess sediment deposition due to margin erosion (Tran
265 et al. 2010). The low scores for the parameter *Sediment deposition* observed in stretches #2, #3, #8, #9, #10, #11
266 and #12 (Fig. 3), was related to the formation of islands in the water body, which may affect the euphotic zone
267 depths, resulting in a decrease in the diversity of benthic communities (Henry 2009; Lisboa et al. 2011).

268 Aquatic organisms are sensitive to river channel alterations, which often may reduce drainage area and the
269 density and diversity of animal species (Rodrigues et al. 2010). Therefore, it is not surprising that the current
270 lowest scores for the parameter *Channel alteration* came from sites located near urban areas (stretches #2, #3,

271 #7, #8 and #10). Impervious surfaces in urban areas result in altered channel morphology, stability and elevated
272 nutrient and contaminant concentrations (Maloney and Weller 2011).

273 It is known that the vegetation cover contributes to reduce lateral sediment influx into water bodies, mainly
274 during rainy periods (Henry 2009). In the present investigation, lowest scores for the *Protection of the margins*
275 *by vegetation* parameter (Fig. 3) were obtained. These were related to human activities developed on the river
276 margins, where the native vegetation has been removed. In stretches #2 and #8, pasture area was observed; in
277 stretch #7, there was a recreation area, while in stretch #12 there were sugarcane crops. The removal of river
278 vegetation is associated with an increase of the organic load in the river water and increased occurrences of
279 *Enterococcus* spp., notably in locations near pastures (Ragosta et al. 2010).

280 The study of habitat assessment and riparian bird diversity indicated that the width of the riparian vegetation
281 was more important than the height. Moreover, there was a correlation of bird species richness and diversity of
282 tree species in riparian vegetation (Cooke and Zack 2009). Low scores for the *Nearby vegetation status of*
283 *protection* parameter in stretches #2, #7, #8, #11 and #12 (Fig. 3) were related to exotic vegetation, such as
284 grasses and bamboo, as well as human activities, like urbanization, pastures and sugarcane crops replacing native
285 vegetation. The riparian vegetation is considered to filter river margins, retaining sediment, organic matter, and
286 also increasing water storage along the river by evaporation decreasing. Thus, its absence can reduce the flow,
287 especially in the dry season (Rodrigues et al. 2010; Fu and Burgher 2015).

288 Management of municipal solid waste is relevant to environmental health and requires government
289 organization, planning, citizen participation, collection, transportation, storage and disposal (Ulnikovic et al.
290 2013). In the current study, there were different types of *Floating solid materials* in stretch #7 (Fig. 3), such as
291 plastic bottles, bags and cans, all improperly disposed at the river. In this stretch, there is a recreation area on the
292 river margin, very frequented by Ribeirão Preto citizens, and also activities such as small vessel navigation were
293 observed. The National Solid Waste Policy (NSWP) defines appropriate disposal, reuse, recycling, recovery,
294 energy recovery, and final disposal of waste to avoid damage or risk to public health and to minimize adverse
295 environmental impacts (Government of Brazil 2010). Consequently, the disposal of solid waste in riverbeds can
296 cause damage to human health, including the proliferation of the vector that causes diseases, such as yellow
297 fever and dengue.

298 The hydromorphological assessment of the Pardo River showed an environmental deterioration in stretches
299 located near urban areas (e.g., stretches #2, #3 and #7). A score improvement at downstream stretches was
300 observed, showing potential recovery and possible river depuration concomitant with urban areas detachment. A

301 number of studies have conducted habitat assessments using visual tools like RAP (Flotemersch et al. 2006;
302 Rodrigues and Castro 2008; Rodrigues et al. 2010; Tran et al. 2010; Ulnikovic et al. 2013). Most of these studies
303 have taken into account the whole list of parameters over a total score of 200. In contrast, individual results of
304 each parameter were here used making possible the identification of critical parameters in each stretch. For
305 aquatic habitat preservation, the restoration of riparian vegetation along the Pardo River should be performed to
306 reduce sediment transport from soil to river, as well as to preserve river substrates and to maintain habitats for
307 wildlife, including birds and mammals.

308

309 4.3 Water quality of the Pardo River

310

311 In contrast to our expectations, low pH values were found in stretch #1, at the source of the Pardo River (Table
312 3). Despite of the fact that stretch #1 has visibly preserved vegetation and organic contamination sources are not
313 present, organic matter is likely to be carried from soil to water. Furthermore, the decomposition of organic
314 matter from fallen leaves and twigs could have produced humic substances that reduce water pH (Gorayeb et al.
315 2010). The pH results of stretch #2 in the rainy season were attributed to the grazing area situated on the river
316 margin. When it rains, animal waste, which contains a range of pollutants and nutrients (e.g., nitrogen and
317 phosphorous) in excess, is likely to be transported to the river. Nitrogen can also degrade ecosystems by making
318 water more acidic (Carpenter et al. 1998; Khan et al. 2014). Low pH values in stretch #3 were due to the
319 proximity to the sugarcane crop and the inputs applied to the crop can be leached into the river, especially on
320 rainy days. The pH results of stretch #6 may be related to the residences situated nearby the river, since the
321 release of domestic sewage can reduce the pH of freshwater.

322 Freshwater degradation may imperil diversity of fish species, facilitating the appearance of invader species
323 (Oyugi et al. 2014). Higher temperatures recorded in stretch #12 may be related to low vegetation protection
324 verified with RAP (Fig. 3). Native vegetation on the river margins in this stretch was completely replaced by
325 sugarcane plantations. A number of studies have demonstrated a strong association between destruction of
326 riparian habitat, increased stream temperatures and reduction of shading, which can impact river biodiversity
327 (Blevins et al. 2013). The difference between seasonal temperature values (Table 3) can be explained by the
328 increase of mean temperature during the rainy season, about 23.6°C, compared with the environmental
329 temperature during the dry season, about 19.5°C (CIIAGRO 2012). In general, Brazil does not present extreme

330 seasonal variations due to the tropical climate of the country. Similar temperature variations were described in
331 Espírito Santo state, Brazil (Souza and Fernandes 2009).

332 The DO concentrations in river water are usually high. They vary along the water body due to changes in the
333 watercourse's characteristics, as a result of environmental and weather conditions (Rixen et al. 2010). In this
334 study, the low results of DO concentrations in the rainy season (Table 3) may be related to the increased
335 concentration of organic matter in the water, because the high rainfall can leach organic matter from the margins
336 into the river. This occurs mainly in places where riparian vegetation has been removed, or when agricultural
337 crops constitute the margins (Silva et al. 2010), such as in stretch #12. According to Resolution n° 357
338 (CONAMA 2005), DO concentrations should not be less than 5 mg·L⁻¹, mainly because oxygen is an essential
339 element in the metabolism of aquatic aerobic organisms. We found that there was a decrease in DO
340 concentrations when water temperatures were higher, which may be related to the decrease in gas solubility at
341 higher temperatures (Souza and Fernandes 2009).

342 It was expected that there would be an increase in river water electrical conductivity in locations near
343 agricultural and pasture areas (Brion et al. 2011). We found the greatest values of electrical conductivity were
344 found in stretches #8, #9, #10, #11 and #12, all of them located near sugarcane crops. Seasonal sampling had no
345 effect on electrical conductivity for any of the evaluated stretches.

346 The high values of water turbidity in the rainy season (Table 3) may be explained by the increase of
347 suspended solids in water. Intense rains and high river turbulence can result in suspension and transport of
348 sediments, increasing turbidity during the rainy season (Poma et al. 2012). During seasons of high flow and high
349 turbidity in rivers containing fine sediments, it is possible that autochthonous production is limited (Roach et al.
350 2014). According to CIIAGRO (2012), the value of mean precipitation during the rainy season in the year 2011
351 was 472.3 mm, while in the dry season of the same year, it was 36.6 mm. A low result for turbidity was found in
352 stretch #1, the river source, possibly due to the preserved vegetation in this stretch (Fig. 3). Riparian vegetation
353 may have contributed to reduce the lateral sediment influx to the water bodies, which is noted mainly during the
354 rainy period (Henry 2009). Moreover, because it is the source of the Pardo River, this stretch does not receive
355 discharge of other rivers, which minimizes possible influences on water turbidity.

356

357 4.4 Agreement between habitat assessment and water quality data

358

359 Defining links between hydromorphological assessment and physicochemical water quality can improve our
360 ability to predict how riparian changes can impact river communities, which is relevant for management
361 activities (Blevins et al. 2013). The Kappa test showed no significant agreement between RAP and
362 physicochemical parameters, which means that both tools generate different and complementary information, but
363 each tool cannot be replaced by another. In contrast to our findings, a recent study from New York State (USA)
364 found significant relationships between land-use types and water quality, using tools such as RAP (Tran et al.
365 2010). However, several studies confirm that assessment protocols are important complementary tools for
366 environmental assessments and contribute to river water quality monitoring (Falcone et al. 2010; Rodrigues et al.
367 2010; Flotemersch et al. 2011).

368

369 5. Conclusion

370

371 When performing a hydromorphological assessment using the RAP, the parameters with more changes were
372 *Substrates and/or habitats available, Sediment deposition, Channel alterations, Margins stability, Protection of*
373 *the margins by vegetation* and *Nearby vegetation status of protection*. This may be related to changes in the
374 PPA. The Pardo River presents several areas with inadequate occupation of the PPA. The changes in the
375 hydromorphological characteristics, low values for dissolved oxygen, and the higher values of electrical
376 conductivity may be related to the extensive modification of the PPA. According to this, the current
377 reformulation of the Brazilian Forest Code (Government of Brazil 2012) should be integrated with the National
378 Water Policy (Government of Brazil 1997). Otherwise, the environmental conditions of large rivers basins, such
379 as the Pardo River, could be easily and quickly degraded.

380 The hydromorphological integrity assessment in the rivers of northeastern of São Paulo state and information
381 regarding PPA are still limited. The integration of three different tools allowed conducting a more integrated
382 assessment of the river hydromorphological conditions. Furthermore, the use of low-cost, easily applicable tools
383 for river assessment are interesting in low-income countries in order to generate current information on
384 environmental integrity. These visual tools, such as RAP, enabled the hydromorphological assessment of rivers.
385 It was here demonstrated that hydromorphological assessment with RAP may indicate areas that require
386 intervention for environmental recovery, preservation of biodiversity and water resources. An intensification of
387 fresh water quality studies, mainly focused on the chemical status (e.g., content of pesticides, metals and
388 microbiological agents) is necessary to evaluate water quality in more detail.

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7. Ethical statement and conflict of interest

This article does not contain any studies with human participants or animals performed by any of the authors. The authors declare no conflict of interest.

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579

580 Table 1 Rapid Assessment Protocol parameters and descriptions of hydromorphological characteristics
581 depending on the integrity category

582

583 Table 2 Permanent Protection Area characteristics along the Pardo River, Brazil, 2011-2012.

584 *Brazilian Forest Code standards for source, the PPA should have minimum radius of 50 m around it. For
585 watercourses 50-200 m wide, the minimum PPA is 100 m. For watercourses 200-600 m wide, the minimum PPA
586 is 200 m.

587

588 Table 3 Median values of physicochemical parameters according to the season in the Pardo River, Brazil, 2011-
589 2012.

590

591 Fig. 1 Location of study area and sampling stretches in the Pardo River, Brazil, 2011-2012. (Adapted from
592 Alves, et al., 2014. Metal concentrations in surface water and sediments from Pardo River, Brazil: Human health
593 risks)

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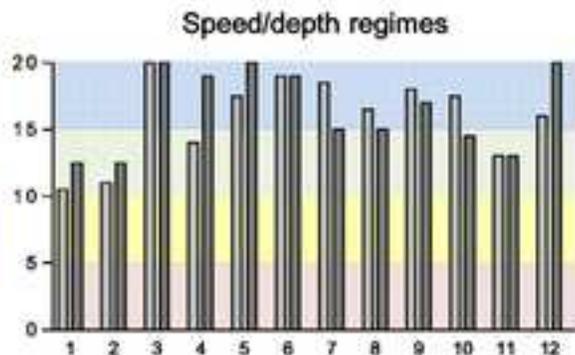
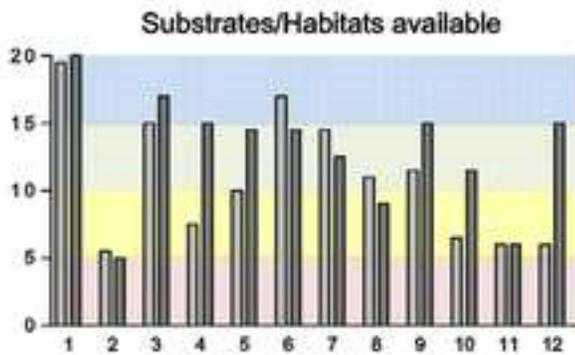
595 Fig. 2 Satellite images showing the 12 sampling stretches and their margins on the Pardo River, Brazil.
596 According to river width, the images were approximated in the software, following the adjustments: 100 m for

597 images 1 to 7; 150 m for image 8; 250 m for images 9 to 11 and 1,000 m for image 12. The arrows indicate the
598 sampling stretch.

599

600 Fig. 3 Hydromorphological integrity of Pardo River using the RAP at the 12 stretches in the dry and rainy
601 seasons, Brazil, 2011-2012. Score: 0 to 5 – Poor, 6 to 10 – Fair, 11 to 15 – Good, 16 to 20 – Excellent.





Seasons

■ Rainy

■ Dry

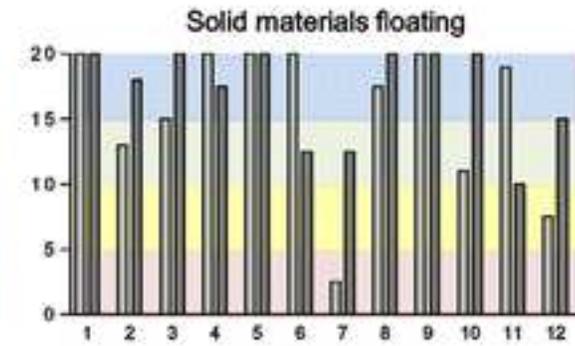
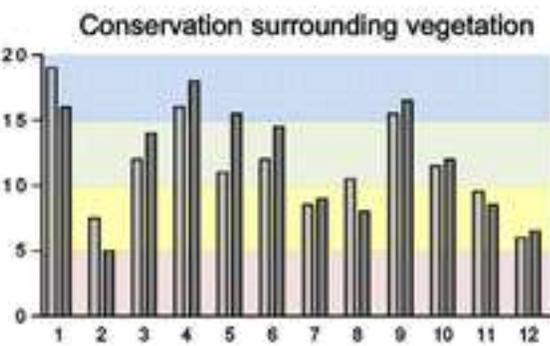
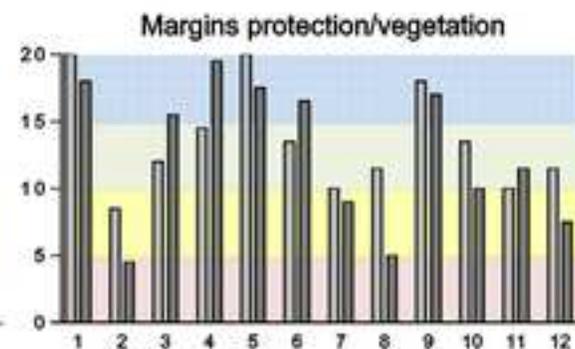
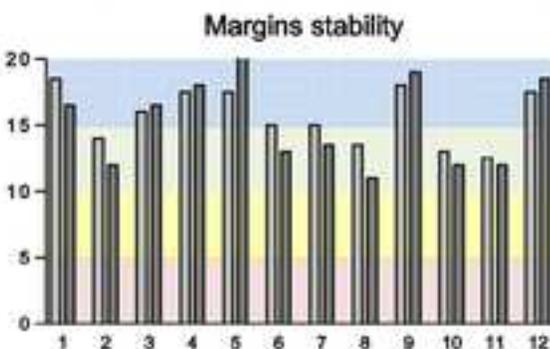
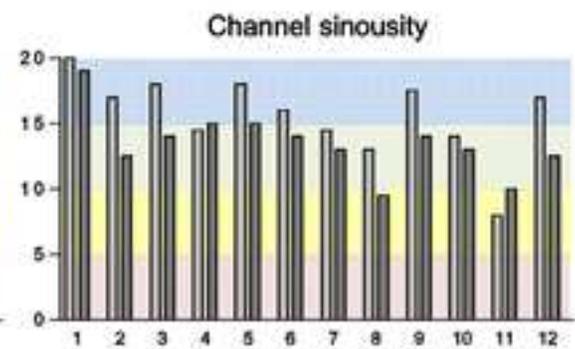
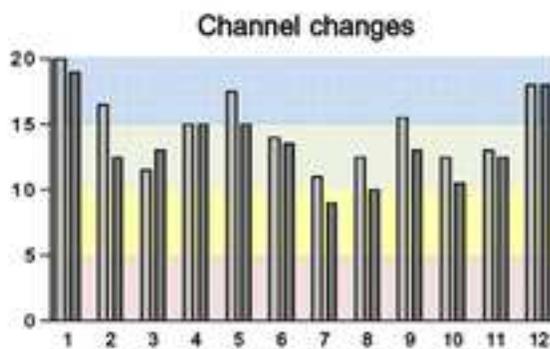
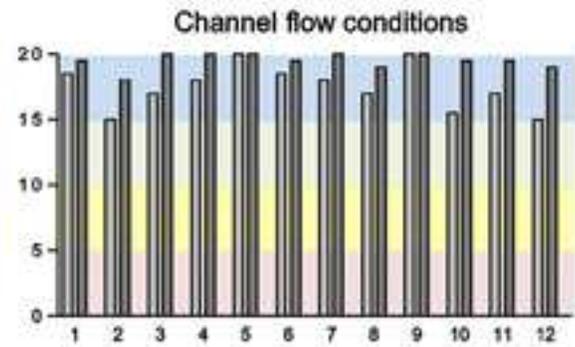
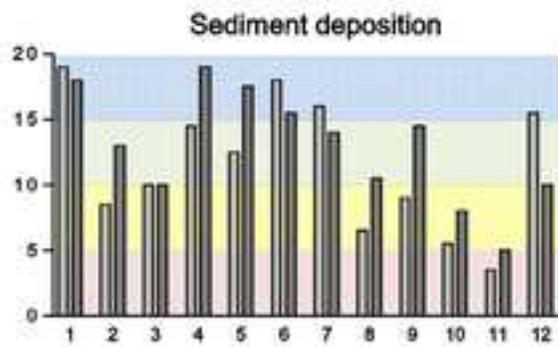
Scores

■ Excellent

■ Good

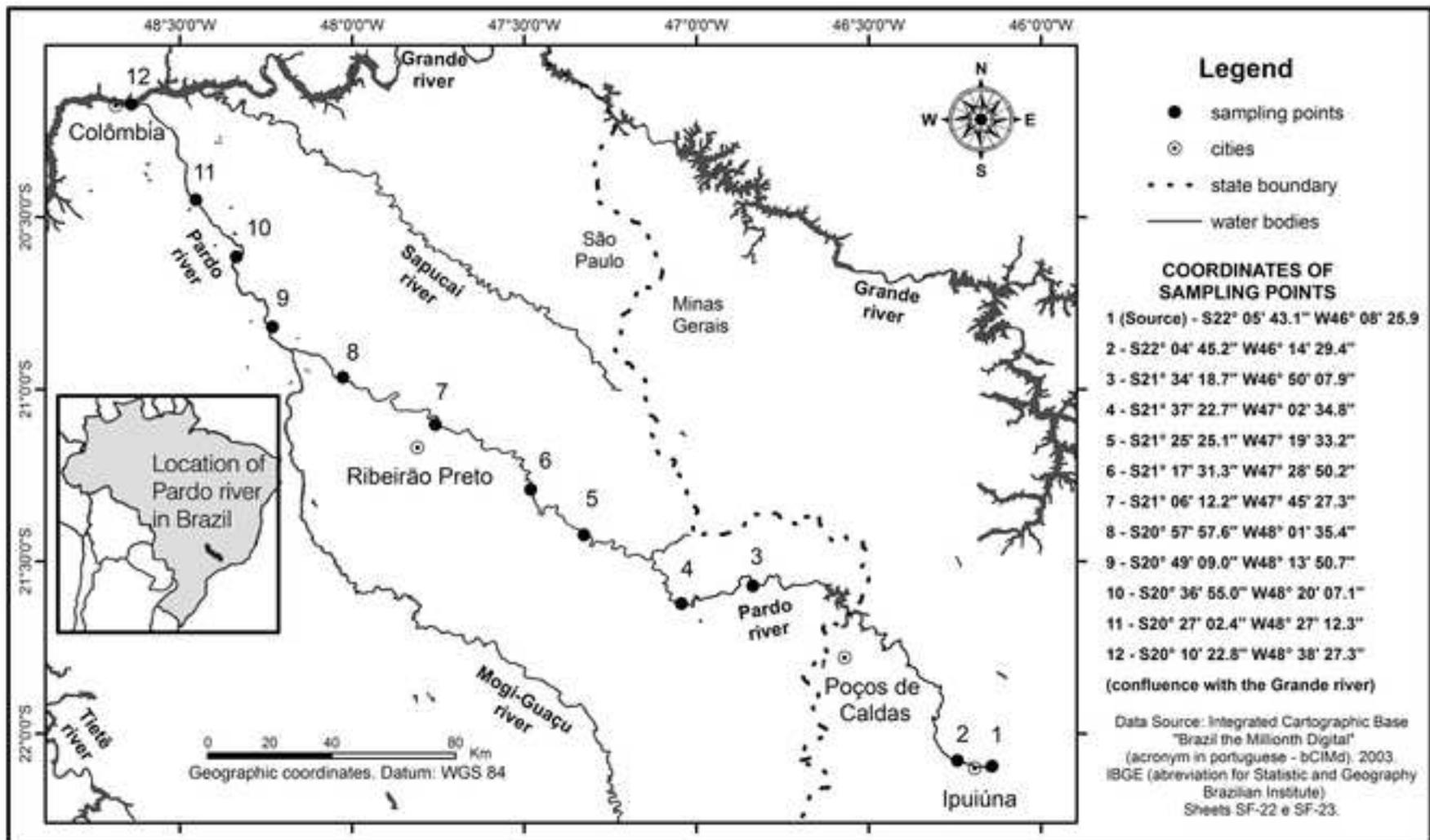
■ Fair

■ Poor



Stretch

Stretch



1 **Table 1** Rapid Assessment Protocol parameters and descriptions of hydromorphological
 2 characteristics depending on the integrity category

Parameters	Excellent					Good					Fair					Poor					
	20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
<i>Substrates and/or habitats available</i>	Multiple types and sizes of substrates favorable to benthic fauna colonization and shelter for aquatic organisms.					More than 50% of the rated stretch presents suitable substrates for colonization, such as submerged branches or rocks.					Between 21 and 30% the merged stretch has stable habitats suitable for colonization.					Over 80% of the stretch was rated with monotonous habitats or with little diversification.					
<i>Speed/depth regimes</i>	Presence of fast/shallow, fast/deep, slow/shallow, slow/deep regimes.					Presence of three schemes, with mandatory presence of the fast/shallow regime.					Presence of two types of regimes.					Prevalence of only one type of regime, usually slow/deep.					
<i>Sediment deposition</i>	Small sediment banks are visible, but not enough to affect the normal course of the river.					Presence of gravel, sand or fine sediment in the newly formed islands. Wells in the deposition of sediment are small.					Moderate deposition of gravel, sand or fine sediment islands in formation. Water fills between 25 and 75% of the channel and most of the substrates are exposed.					The natural river course is actually affected by the sediment deposition.					
<i>Channel flow status</i>	Water reaches the lower base on both sides and there is a minimal amount of exposed substrates.					Water fills more than 75% of the channel and less than 25% of substrates are exposed.					The stretch presents a few curves and monotonous habitats, with few available sites for biota.					Very little water in the channel, most of standing water in wells.					
<i>Channel alterations</i>	Absence or minimal presence of small pipes and dredging. The stream follows natural pattern.					Presence of a pipe, usually in the area to support bridges, but with no recent plumbing.					Presence of landfills and dams on both sides.					Margins coated with cement and the stream is channeled.					
<i>Channel sinuosity</i>	Curves are evident in the stretch evaluated, providing an increase in diversity of habitats.					The sinuosity of the channel is not very evident, curves can be seen far and diversification of habitats for the local biota.					Between 30 to 60% of the length of the margins is eroded and has potential for erosion during floods.					The stretch is straight, and if was made from a human action to assign a lower score.					
<i>Margins stability</i>	Stable margins, no or minimal evidence of erosion or failed banks; little potential for future problems.					Margins moderately stable, with the presence of areas of erosion of the banks themselves have eroded.					Mixture of places where the soil is covered and where there is no presence of vegetation.					Unstable banks and many eroded areas. Erosion is common along the straight section and curved.					
<i>Protection of the margins by vegetation</i>	Over 90% of the surface and immediate margins riparian zone is preserved, i.e., no built-up areas, cultivation areas or pasture.					70 to 90% of the marginal surface is preserved, and there were no great discontinuities. Minimal evidence of crop fields or pastures.					Vegetation consists of exotic species and there is little vegetation preserved. There is human impact.					There is an obvious discontinuity of the surrounding vegetation which is practically nonexistent.					
<i>Nearby vegetation status of protection</i>	Surrounding vegetation isn't composed of agriculture, exotic species. No signs of degradation caused by human activities.					Vegetation was apparently little altered by human action, and is well preserved. Minimal evidence of negative impact on conservation.					Mixture of waste naturally degraded and a small quantity of plastic, metal or glass.					There is no vegetation and soil is exposed to natural weathering. Deforestation is evident.					
<i>Floating solid materials</i>	No observed recyclable solid waste in any place in the stretch analyzed.					Minimum amount of recyclable waste consisting of paper, wood or derivatives, which are naturally degraded.										Materials such as plastic, metal, glass and styrofoam on the banks or in the river water.					

3 *Adapted from Rodrigues & Castro (2008).

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9 **Table 2** Permanent Protection Area characteristics along the Pardo River, Brazil, 2011-2012.

Sampling Stretch	Nearby cities	Width (m)	PPA characteristics (m) (upstream/downstream)		BFC standards (m)*
			Left	Right	
1 (source)	Ipuiúna, MG	1.5	PPA < 50	PPA < 50	50
2	Ipuiúna, MG/Santa Rita de Caldas, MG	5	PPA < 30	PPA < 30	30
3	São José do Rio Pardo, SP/Caconde, SP	70	PPA < 100	PPA < 100	100
4	Mococa, SP/Casa Branca, SP	50	PPA < 100	PPA > 100	100
5	Cajuru, SP/Santa Rosa de Viterbo, SP	100	PPA < 100	PPA > 100	100
6	Serrana, SP/Santa Cruz da Esperança, SP	110	PPA < 100	PPA < 100	100
7	Ribeirão Preto, SP	150	PPA < 100	PPA < 100	100
8	Pontal, SP/Cândia, SP	250	PPA < 200	PPA < 200	200
9	Viradouro, SP/ Morro Agudo, SP	300	PPA < 200	PPA < 200	200
10	Jaborandi, SP/Colina, SP	200	PPA < 200	PPA < 200	200
11	Barretos, SP/Guaíra, SP	300	PPA < 200	PPA < 200	200
12 (mouth)	Colômbia, SP	400	PPA < 200	PPA < 200	200

*Brazilian Forest Code standards for source, the PPA should have minimum radius of 50 m around it. For watercourses 50-200 m wide, the minimum PPA is 100 m. For watercourses 200-600 m wide, the minimum PPA is 200 m.

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36 **Table 3** Median values of physicochemical parameters according to the season in the Pardo
 37 River, Brazil, 2011-2012.

	Stretch	pH	Temperature (°C)	Dissolved oxygen (mg.L ⁻¹)	Electrical conductivity (µS)	Turbidity (NTU)
Rainy season	1	5.0	19.0	7.4	14.3	0.3
	2	5.3	20.0	7.0	32.2	40.6
	3	5.6	23.2	6.9	47.8	43.0
	4	6.0	24.3	7.1	55.4	29.9
	5	6.3	25.0	7.4	60.3	40.9
	6	5.9	23.9	5.9	55.5	63.0
	7	6.2	24.7	5.6	53.8	52.7
	8	6.5	25.8	6.5	60.0	33.8
	9	6.6	26.2	6.7	61.2	39.8
	10	6.8	26.0	6.5	60.1	37.8
	11	6.5	26.0	7.2	60.3	41.3
	12	6.5	26.4	3.9	59.4	32.2
	Stretch	pH	Temperature (°C)	Dissolved oxygen (mg.L ⁻¹)	Electrical conductivity (µS)	Turbidity (NTU)
Dry season	1	5.6	18.6	7.5	12.6	0.1
	2	6.2	16.9	7.6	30.7	19.3
	3	6.3	18.8	8.2	44.7	2.7
	4	6.1	20.0	8.1	49.2	2.5
	5	6.4	19.7	7.2	55.7	5.6
	6	6.3	20.1	8.2	56.1	5.7
	7	6.4	20.1	7.1	57.7	6.0
	8	6.3	20.3	7.2	58.3	8.0
	9	6.1	20.3	7.7	61.6	8.3
	10	6.3	20.1	8.2	64.5	9.2
	11	6.5	19.8	8.5	62.3	9.6
	12	6.0	20.2	5.0	59.0	8.7
CONAMA (2005)		6.0-9.0	-	5.0	-	100

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