

1 **Non-targeted metabolomic biomarkers and metabotypes of type 2 diabetes: A**
2 **cross-sectional study of PREDIMED trial participants**

3 M. Urpi-Sarda ^{a,b,1,*}, E. Almanza-Aguilera ^{a,b,1}, R. Llorach ^{a,b}, R. Vazquez-Fresno ^{a,c}, R. Estruch ^{d,e},
4 D. Corella ^{e,f}, J.V. Sorli ^{e,f}, F. Carmona ^g, A. Sanchez-Pla ^g, J. Salas-Salvado ^{e,h}, C. Andres-Lacueva
5 ^{a,b,*}

6
7 ^a *Biomarkers and Nutrimetabolomic Laboratory, Department of Nutrition, Food Sciences and*
8 *Gastronomy, XaRTA, INSA-UB, Faculty of Pharmacy and Food Sciences, University of Barcelona,*
9 *Barcelona, Spain*

10 ^b *CIBER de Fragilidad y Envejecimiento Saludable (CIBERFES), Instituto de Salud Carlos III,*
11 *Barcelona, Spain*

12 ^c *Department of Computing Science and Biological Sciences, University of Alberta, Edmonton,*
13 *Canada*

14 ^d *Department of Internal Medicine, Hospital Clinic, Institut d'Investigacions Biome`diques August*
15 *Pi Sunyer (IDIBAPS), Barcelona, Spain*

16 ^e *CIBER Pathophysiology of Obesity and Nutrition (CIBERObn), Instituto de Salud Carlos III,*
17 *Madrid, Spain*

18 ^f *Department of Preventive Medicine and Public Health, University of Valencia, Valencia, Spain*

19 ^g *Statistics Department, Biology Faculty, University of Barcelona, Barcelona, Spain*

20 ^h *Human Nutrition Unit, Biochemistry and Biotechnology Department. Hospital Universitari de Sant*
21 *Joan de Reus, Institut d'Investigacio Sanitaria Pere Virgili, Universitat Rovira i Virgili, Reus, Spain*

22

23 **Keywords** Metabolomics NMR Metabotypes Multi-metabolite signature PREDIMED Type 2
24 diabetes

25 **Abbreviations:** T2D, type 2 diabetes; CVD, cardiovascular disease; PREDIMED, Prevention with
26 Mediterranean Diet; FID, free induction decay; OSC, orthogonal signal correction; PLS-DA, partial
27 least squares discriminant analysis; VIP, variable importance in projection; AA, acetoacetate; ROC,
28 receiver operating characteristic; AUROC, area under the ROC curve; PAG, phenylacetylglutamine.

29

30 * Corresponding authors at: Av. Joan XXIII 27-31, 08028 Barcelona, Spain. E-mail addresses:
31 murpi@ub.edu (M. Urpi-Sarda), candres@ub.edu (C. Andres-Lacueva).

32 1 These authors contributed equally to this work.

33 **ABSTRACT**

34 *Aim.* – To characterize the urinary metabolomic fingerprint and multi-metabolite signature associated
35 with type 2 diabetes (T2D), and to classify the population into metabotypes related to T2D. *Methods.*
36 – A metabolomics analysis using the ¹H-NMR-based, non-targeted metabolomic approach was
37 conducted to determine the urinary metabolomic fingerprint of T2D compared with non-T2D
38 participants in the PREDIMED trial. The discriminant metabolite fingerprint was subjected to logistic
39 regression analysis and ROC analyses to establish and to assess the multi-metabolite signature of
40 T2D prevalence, respectively. Metabotypes associated with T2D were identified using the k-means
41 algorithm.

42 *Results.* – A total of 33 metabolites were significantly different ($P < 0.05$) between T2D and non-
43 T2D participants. The multi-metabolite signature of T2D comprised high levels of methylsuccinate,
44 alanine, dimethylglycine and guanidoacetate, and reduced levels of glutamine, methylguanidine, 3-
45 hydro- xymandelate and hippurate, and had a 96.4% AUC, which was higher than the metabolites on
46 their own and glucose. Amino-acid and carbohydrate metabolism were the main metabolic alterations
47 in T2D, and various metabotypes were identified in the studied population. Among T2D participants,
48 those with a metabotype of higher levels of phenylalanine, phenylacetylglutamine, p-cresol and
49 acetoacetate had significantly higher levels of plasma glucose.

50 *Conclusion.* – The multi-metabolite signature of T2D highlights the altered metabolic fingerprint
51 associated mainly with amino-acid, carbohydrate and microbiota metabolism. Metabotypes identified
52 in this patient population could be related to higher risk of long-term cardiovascular events and
53 therefore require further studies. Metabolomics is a useful tool for elucidating the metabolic
54 complexity and interindividual variation in T2D towards the development of stratified precision
55 nutrition and medicine. Trial registration at www.controlled-trials.com: ISRCTN35739639.

56

57 **Introduction**

58 Type 2 diabetes (T2D) encompasses individuals who have hyperglycaemia resulting from defects in
59 insulin secretion, insulin action or both [1]. Moreover, hyperglycaemia and insulin resistance are risk
60 factors for cardiovascular disease (CVD) [2]. Besides an understanding of the pathophysiology of
61 T2D, the identification of individuals at high risk, as well as knowledge of the metabolic alterations
62 produced in patients with T2D, are crucial for preventative and disease management strategies. In
63 recent years, progress in the development of biomarkers for T2D has been achieved due to advances
64 in the emerging ‘-omics’ technologies, including metabolomics [3]. Successful applications of
65 metabolomics in T2D research include the discovery of biomarkers for diagnoses and prognoses,
66 altered metabolic pathways and drug mechanisms of action [4]. Currently, of the high-throughput
67 analytical techniques, high-performance liquid chromatography–mass spectrometry (HPLC–MS) and
68 proton nuclear magnetic resonance (¹H-NMR) spectroscopy are those most widely employed in
69 metabolomics for the study of diabetes, mostly due to their advantages in the analysis and
70 identification of a broad range of metabolites in biofluids [3,4]. Furthermore, ¹H-NMR is frequently
71 used in non-targeted metabolomic approaches to profile metabolites in studies comparing T2D and
72 non-T2D populations, as well as for elucidation and confirmation of the metabolic pathways altered
73 as a consequence of T2D [3,5,6]. In recent years, several accurate prediction models have been
74 constructed including variables such as age, gender and lifestyle factors [7], and some recent-omics
75 technologies have the potential to serve as accurate analytical techniques for discovering novel
76 biomarkers that could be involved in predictive models of T2D [4]. However, it should be pointed
77 out that these models have mainly been tested with plasma samples, although a few have used urine
78 samples and have also compared healthy vs T2D subjects. Thus, the use of these models in
79 metabolomics is essential for identifying molecular signatures and phenotypic variations to improve
80 prediction of disease risk and to better manage patients’ care and outcomes [8]. Recently, the term
81 ‘stratified medicine’ has emerged, based on the concept that some groups of individuals should be
82 treated differently from others due to intervariability [8]. This inter-variability can be characterized
83 by metabolomics through the study of metabolic phenotypes, or metabotypes, as the starting point for
84 future stratified medicine programmes and lifestyle interventions [9]. In fact, patterns of variation or
85 metabotypes have already been previously used in diabetes datasets to separate controls from patients

86 [10] or healthy from diseased groups [11], as well as for studying metabolomic differences among
87 clinical phenotypes [12] and developing strategies for delivery of dietary advice [11,13]. In the
88 present study, the aim was to characterize the urinary metabolomic fingerprint and multi-metabolite
89 signature associated with T2D prevalence in the Prevention with Mediterranean Diet (PREDIMED)
90 study population, using a ¹H-NMR-based, non-targeted metabolomic approach and classifying the
91 population into metabolic phenotypes (metabotypes) in relation to T2D.

92 **Methods**

93 *Study population*

94 The PREDIMED was a parallel-group, single-blind, multicentre, randomized controlled 5-year
95 clinical trial aimed at assessing the effects of the Mediterranean diet on CVD primary prevention
96 [14]. Full details of the study design and protocol have been published elsewhere (www.predimed.es)
97 [15]. Briefly, the participants were men (55–80 years of age) and women (60–80 years of age) with
98 T2D and/or at least three of the following cardiovascular risk factors: hypertension; overweight [body
99 mass index (BMI) > 25 kg/m²]; current smoker; low-density lipoprotein (LDL) cholesterol >4.14
100 mmol/L; high-density lipoprotein (HDL) cholesterol <1.03 mmol/L; and a family history of
101 premature CVD. The trial was registered at www.controlled-trials.com as ISRCTN35739639. The
102 present PREDIMED substudy used data collected from 154 consecutive participants from two centres
103 (Hospital Clinic of Barcelona and University of Valencia) where urinary metabolome was determined
104 using the ¹H-NMR approach at baseline. Of these 154 participants, 85 were T2D patients and 69
105 were non-T2D subjects. The former were diagnosed as previously reported [14,16], and all
106 participants were free of diabetic nephropathy. The institutional review boards of the two centres
107 approved the study protocols, and written informed consent was given by all participants.

108 *Urine collection and measurements*

109 Spot urine samples were collected at baseline and immediately stored at -80°C until analysis. Trained
110 personnel performed the anthropometric and blood-pressure measurements. Validated questionnaires
111 were employed to record physical activity, lifestyle, disease history and medication use [15].

112 *Metabolomic analysis: 1H-NMR sample preparation, data acquisition and processing*

113 Urine samples were thawed at 4° C and gently vortexed before metabolomic analysis, using a
114 procedure based on a previously published methodology [17]. Briefly, 300 mL of urine were diluted
115 in 200 mL of H₂O/D₂O (8:2 ratio) and mixed with an internal standard solution [0.1% chemical-shift
116 reference 3-(trimethylsilyl)propionic-2,2,3,3-d₄ acid sodium salt (TSP), 2 mM of sodium azide
117 (NaN₃) and 1.5 M of KH₂PO₄ in 99% deuterated water (D₂O)]; the pH was set at 7.0 with a KOD
118 solution. The 1H-NMR experiments were conducted using a 500-MHz spectrometer (Varian INOVA;
119 Varian Medical Systems, Palo Alto, CA, USA), with presaturation of water resonance using a nuclear
120 Overhauser enhancement (NOESY)-presat pulse sequence. Internal temperature was kept constant
121 at 298 K during acquisition. Spectra were acquired by collecting 128 scans at 32-K datapoints with a
122 spectral width of 14 ppm, acquisition time of 2 s, relaxation delay of 5 s and mixing time of 100 ms.
123 For spectral processing, the free induction decay (FID) was multiplied by an exponential function
124 corresponding to a 0.3-Hz line broadening before Fourier transformation. All spectra were phased,
125 baseline-corrected and referenced to TSP (d 0.0) using TopSpin version 3.2 software (Bruker BioSpin
126 GmbH, Rheinstetten, Germany). The spectral data were processed, using an intelligent bucketing
127 algorithm, in domains of 0.005 ppm [17] and integrated using ACD/NMR Processor 12.0 software
128 (Advanced Chemistry Development, Inc., Toronto, ON, Canada). The spectral region 4.75–5.00 ppm
129 was excluded from the dataset to avoid spectral interference from residual water.

130 *Statistical analysis*

131 A dataset containing integrals of NMR spectra was imported into MetaboAnalyst 3.0, a web-based
132 platform for extensive analysis of metabolomic data [18], filtered using interquartile range (IQR) and
133 row-wise-normalized by the sum of the spectral intensities. The normalized dataset was then imported
134 into SIMCA-P+ 13.0 (Umetrics, Umea , Sweden) before being log-transformed and range-scaled
135 prior to performing a principal component analysis to explore data distribution [17]. To reduce
136 variability not associated with T2D classification, orthogonal signal correction (OSC) was applied to
137 the dataset followed by partial least squares discriminant analysis (PLS-DA) to determine differences
138 in metabolite profiling between the T2D and non-T2D groups. The predictive ability of the OSC–

139 PLS-DA models was then evaluated: one-third of the samples (validation set) were randomly
140 removed from the whole dataset (training set), and the OSC-PLS-DA models calculated. This
141 procedure was repeated five times, and was used to evaluate the ability of the models to classify
142 prediction sets, and to calculate quality parameters of the method and the misclassification table.
143 Quality and validation of the resultant OSC-PLS-DA models were assessed through R²_{Y(cum)} and
144 Q²(cum) parameters (calculated by seven-round internal data cross-validation using the default
145 algorithm provided by the SIMCA-P+ 13.0 software), as well as by a permutation test (n = 200).
146 Discriminant features between T2D patients and non- T2D subjects were identified from their
147 variable importance in projection (VIP) values >1.0, a generally accepted threshold in metabolomic
148 studies [17], with the VIP-sd(VIP) parameter also included as an additional quality parameter of the
149 method. To eliminate the confusing effect of waist circumference, a general-ized linear model was
150 applied, using the metabolic signature as an independent variable and T2D as a dependent variable,
151 and adjusted by waist circumference. Characteristics between participants were compared by apply-
152 ing Student's t test and the chi-squared test for continuous and qualitative variables, respectively.
153 Differences in metabolites between the T2D and non-T2D groups were tested using Student's t test
154 with a Benjamini-Hochberg procedure for adjusting P values. The significance level was set at P <
155 0.05. Univariate analyses and generalized linear models were performed using IBM SPSS version 21
156 software (IBM Corp., Armonk, NY, USA).

157 *Metabolite identification*

158 Identification of metabolites was achieved using the Chenomx NMR Suite 7.6 Profiler (Chenomx
159 Inc, Edmonton, AB, Canada). In addition, NMR spectral libraries were consulted in databases such
160 as the Human Metabolome Database [19] and Biological Magnetic Resonance Data Bank [20],
161 together with the currently available literature on NMR-based metabolomics [4,17].

162 *Metabolic pathway analysis*

163 Identified metabolites were submitted to the Pathway Analysis and Network Analysis modules in
164 MetaboAnalyst 3.0 [18] and MetaCore™ (GeneGo, Inc., St. Joseph, MI, USA), respectively, to
165 undergo analyses of metabolic pathways and biological interpretations of metabolites related to T2D.

166 *Multi-metabolite signature model for T2D prevalence*

167 The results obtained by OSC–PLS-DA analysis were subjected to forward conditional stepwise
168 logistic regression analysis to design a multi-metabolite signature model of T2D prevalence. The
169 prediction model was applied to a training set (two-thirds of participants) and subsequently validated
170 against a validation set (one-third of participants). Quality of the models was evaluated by calculating
171 the sensitivity, specificity and area under the receiver operating characteristic curves (AUROCs).
172 Urinary glucose was not included in this analysis due to the high AUROCs. The optimal cut-off for
173 calculating sensitivity and specificity was determined as the minimum distance to the top left-hand
174 corner [21]. Significance was set at $P < 0.05$. IBM SPSS version 21 statistical software (IBM Corp)
175 was used to perform the logistic regression and ROC analyses.

176 *Metabolic phenotypes by k-means algorithm*

177 Cluster analysis to identify metabolic phenotypes, or metabo-types, was performed using the k-means
178 cluster algorithm in MetaboAnalyst 3.0 [12,22]. This generated two clusters in the diabetes patients
179 and two clusters in the non-diabetic participants by taking as inputs the identified metabolites from
180 the OSC–PLS-DA analysis and applying the k-means clustering algorithm [12]. After k-means
181 analysis, the results for the four clusters were visualized using hierarchical clustering analysis.

182 **Results**

183 *Subjects' characteristics*

184 Our participants were 67 ± 6 years old and nearly one-third were male (Table 1). Also, 55% of
185 participants had T2D and 47% were obese. They were divided according to T2D diagnosis, as
186 previously reported [14,16]. Both groups (T2D and non-T2D) were well balanced in terms of
187 demographic characteristics and other cardiovascular risk factors, such as blood pressure, plasma

188 lipids, and antihypertensive and hypolipidaemic medications ($P > 0.05$). Otherwise, measures of waist
189 circumference, plasma glucose and use of antidiabetic agents were significantly higher in the T2D
190 patients, as expected.

191 *Profiles of discriminant metabolites of T2D biomarkers by 1H-NMR metabolomics*

192 OSC-PLS-DA models were applied to determine the profile of discriminant metabolites in T2D vs
193 non-T2D subjects. These models resulted in one latent component with R2Y(cum) and Q2Y(cum)
194 mean values of 0.829 and 0.679, respectively, indicating a good ability to classify individuals
195 according to their T2D status. A permutation test ($n = 200$), with intercept R2Y and Q2Y mean values
196 of 0.306 and -0.149, respectively, confirmed the validity of the model (Fig. S1; see supplementary
197 materials associated with this article online). In addition, sensitivity, specificity and accuracy values
198 were calculated from the OSC-PLS-DA models when samples were predicted ($n = 5$); these values
199 were then included in a misclassification table (Table S1; see supplementary materials associated
200 with this article online). Thus, t-test analyses among $VIP > 1.0$ identified 33 metabolites that were
201 significantly different between the T2D and non-T2D participants (Table 2). Of these metabolites, 17
202 were significantly increased in T2D patients compared with non-T2D subjects, while the remaining
203 16 metabolites were decreased in T2D patients. In addition, the metabolic fingerprint associated with
204 T2D was found to be significantly independent of waist circumference except for 4-deoxythreonic
205 acid and citrate ($P = 0.11$), and 3- hydroxybutyrate (3HB) ($P = 0.062$; Table 2). Furthermore, no
206 statistical differences were observed in levels of metabolites among T2D patients whether taking drug
207 treatment or not (data not shown). A comprehensive analyses of the metabolic pathways (P and
208 impact values) revealed that the carbohydrate and amino-acid pathways were the most altered among
209 T2D patients (Fig. S2, Table S2; see supplementary materials associated with this article online). The
210 metabolites involved in these pathways can be up- and downregulated (Fig. S3; see supplementary
211 materials associated with this article online), and each metabolite is related to its own pathway (Table
212 S3; see supplementary materials associated with this article online).

213 *Multi-metabolite signature of T2D prevalence*

214 The multi-metabolite signature for better discrimination of T2D prevalence included higher levels of
215 methylsuccinate, alanine, dimethylglycine and guanidinoacetate, as well as lower levels of glutamine,
216 methylguanidine, 3-hydroxymandelate and hippurate (Table S4; see supplementary materials
217 associated with this article online). In the validation set, the specificity and sensitivity of the multi-
218 metabolite signature were 87.0% and 96.4%, respectively, while the AUROC was 96.4% (95% CI:
219 92.0–100%; $P < 0.001$). However, the specificity, sensitivity and AUROC values of each individual
220 metabolite as well as urinary glucose were lower than those of the multi-metabolite signature (Fig. 1,
221 Table 3).

222 *Characterization of metabotypes*

223 Unsupervised analysis of k-means gave two metabotypes of diabetes participants and two
224 metabotypes of non-diabetes participants (Table S5; see supplementary materials associated with this
225 article online) from data for the 33 identified metabolites. After determining those four metabotypes,
226 the results were visualized using hierarchical clustering (heatmap) analysis (Fig. 2), where
227 samples/individuals are shown on the x-axis and metabolites are displayed on the y axis. Most of the
228 up-and downregulated metabolites observed in the clusters were similar to those reported in Table 2
229 for T2D and non-T2D participants except for four metabolites: acetoacetate (AA); p-cresol;
230 phenylalanine; and phenylacetylglutamine (PAG). Levels of these four metabolites were significantly
231 higher in clusters 2 and 3 than in clusters 1 and 4 (Fig. 2; $P < 0.05$) on stratifying the entire cohort,
232 and were orthogonal for T2D. Thus, the two metabotypes of T2D (clusters 1 and 2) and two
233 metabotypes of non-T2D (clusters 3 and 4) differed in these four metabolites. Cluster differences for
234 subjects' characteristics, concentrations of biochemical parameters and use of medication are
235 presented in Table S5 (see supplementary materials associated with this article online). The main
236 difference was that cluster 2, followed by cluster 1, had the highest plasma glucose levels, and both
237 were significantly different from clusters 3 and 4 ($P < 0.001$). As expected, the use of insulin and oral
238 antidiabetic agents was significantly different between T2D and non-T2D participants ($P < 0.001$),
239 but did not differ between T2D metabotypes ($P = 0.20$).

240 **Discussion**

241 The present study found significant differences in the profile of 33 urinary metabolites between T2D
242 and non-T2D participants, using a ¹H-NMR-based, non-targeted metabolomic approach.
243 Specifically, a model of eight metabolites was the multi-metabolite signature that discriminated
244 between T2D and non-T2D after stepwise logistic regression analysis and AUROC evaluation. To
245 the best of our knowledge, this was the first-ever study to use spot urine to determine the pathways
246 altered in T2D in a free-living population, along with identifying a multi-metabolite signature of T2D
247 prevalence while highlighting the key implied metabolites. This metabolomic clinical study also
248 confirms the associated perturbations of amino-acid metabolism, with some amino acids being used
249 as substrates for gluconeogenesis. In addition, the increased excretion of amino acids could indicate
250 an increase in protein degradation [4]. This was observed in our present study, and corroborates other
251 metabolomic studies showing enhanced excretion of the glucogenic amino-acids alanine [5,6] and
252 phenylalanine [6] and derived metabolites such as guanidinoacetate, and the decreased excretion of
253 glutamine [6] and histidine [5]. Previously, it was found that levels of phenylalanine and glutamine
254 were positively and inversely, respectively, associated with the risk of prediabetes and T2D [7,23].
255 In addition, deregulation of branched-chain amino-acid metabolites (valine, leucine, isoleucine) has
256 also been associated with risk of diabetes and insulin resistance [7,23]. Indeed, such changes have
257 been observed in urine through the increased excretion of metabolites such as 3-hydroxyisovalerate
258 [24] and methylsuccinate from their degradation pathways, which may reflect greater isoleucine
259 catabolism [25]. The present metabolomic clinical study has shown an increase in the glycolysis and
260 gluconeogenesis pathways in the liver associated with increased excretion of metabolites, including
261 lactate, glucose and pyruvate, as also observed in previous studies [4]. Increased amounts of some
262 carboxylic acids, such as cis-aconitate, an intermediary in the tricarboxylic acid cycle, and
263 dicarboxylic suberic acid, were observed in the urine of T2D patients. In fact, increased excretion of
264 cis-aconitate reflects systemic stress caused by hyperglycaemia or local effects on tubular transport
265 in the kidneys [5]. Metabolites related to methylamine metabolism, such as dimethylglycine and
266 trimethylamine N-oxide, are systemic breakdown products of choline [5] that, due to their
267 osmoregulatory properties, may be linked to a hyperosmotic effect of glucose or indicate renal
268 papillary dysfunction when found in high concentrations [26]. In our study, decreased urinary levels

269 of creatinine and its metabolite methylguanidine [27] were also observed, which could be related to
270 alterations of glomerular filtration rate (GFR) in T2D with a possible decrease of muscle mass [28],
271 although our participants were free of nephropathy. However, in a recent report, lower creatinine
272 excretion rates were associated with all-cause mortality in diabetes patients and in nephropathy [28].
273 Diabetes and obesity are lifestyle-related disorders that could cause an increased incidence of gut
274 dysbiosis [29], which is directly related to alterations in gut microbial-related metabolites [30].
275 Indeed, the results of our study have shown a reduction in the excretion of well-known microbial
276 metabolites, such as hippurate, PAG and p-cresol [29], as well as trigonelline and 3-
277 hydroxymandelate. While PAG and p-cresol are related to protein putrefaction, hippurate is a
278 breakdown product of polyphenol and fibre metabolism [9], and 3-hydroxymandelate is a metabolite
279 of tyrosine [19]. Previous studies had observed that individuals with impaired glucose tolerance and
280 patients with T2D have lower levels of hippurate and PAG [5]. Therefore, our study supports previous
281 findings that a microbiota imbalance could be key in the pathogenesis of a diabetic state and that
282 healthy diets and/or lifestyle patterns directed towards improving microbiota quality are essential for
283 preventing advanced pathological states [31]. The present study identified two distinct metabotypes
284 in T2D patients (clusters 1 and 2) and two in the non-T2D participants (clusters 3 and 4) using k-
285 means cluster analysis based on their identified metabolic profiles. It should be noted that the
286 metabotype comprising higher levels of four metabolites (phenylalanine, PAG, p-cresol and AA) was
287 found in the entire study population and was orthogonal for T2D. In particular, differences were
288 observed in some parameters between clusters 1 and 2 (T2D patients) whereas no differences were
289 noted between clusters 3 and 4 (non-T2D subjects). Although the increase in these metabolites were
290 orthogonal for T2D, when the focus was on diabetes patients, those with higher levels of those four
291 metabolites also had higher levels of plasma glucose, but with no differences in use of antidiabetic
292 medications or in other characteristics. Thus, our hypothesis is that the T2D patients in cluster 2 could
293 have had a greater lack of control over their disease which, in the long term, could have led to a
294 greater number of complications such as myocardial infarction, stroke, heart failure and kidney
295 disease [32]. Certainly, phenylalanine has been described as a marker of higher diabetes risk [7,23]
296 and, furthermore, has also been used together with tyrosine and isoleucine to predict long-term future

297 cardiovascular events, an increased disposition towards atheroscle-
298 rosis and perhaps even inducible
299 myocardial ischaemia [33]. In addition, phenylalanine has been identified as a biomarker associated
300 with future cardiovascular events in meta-analyses [34]. PAG and p-cresol are metabolites of
301 microbial origin [35]. PAG comes from the conversion of phenylalanine to phenylacetate by
302 microbiota and its subsequent conjugation with glutamine [36]; and p-cresol, the most widely studied
303 uraemic retention solute, is formed by microbial metabolism of tyrosine [36]. PAG has been
304 described as a strong independent risk factor for mortality and CVD in patients with chronic kidney
305 disease [35], while p-cresol has been described as a predictor of cardiovascular events independent
306 of GFR in patients with mild-to-moderate kidney disease [37]. The fourth metabolite that differed
307 between T2D clusters was the ketone body AA. This is generated from the ketogenic amino-acid
308 lysine and may also be derived from β -oxidation of fatty acids. AA and 3HB are at a ratio of 1:1 in a
309 physiological state, although 3HB increases its excretion in ketoacidosis [38]. Recent evidence has
310 highlighted the association between elevated levels of ketone bodies and hyperglycaemia and T2D
311 [39]. It is also worth noting that the T2D patients in clusters 1 and 2 had similar mean ratios of
312 AA:3HB (1:2), whereas clusters 3 and 4 (non-T2D) had mean ratios of 1:1 (albeit not statistically
313 significant). However, there were statistically significant differences ($P = 0.007$) between ratios in
314 T2D (1:2) vs non- T2D (1:1) participants. Both hyperketonaemia and ketosis have been related to
315 liver, brain and microvasculature complications, which can increase the risk of morbidity and
316 mortality [40]. Therefore, the subjects in clusters 2 and 3 with increased levels of these four
317 metabolites could have higher risks of CVD and other such events in future. Thus, further studies
318 should now evaluate these metabolites in such populations in long-term studies. One limitation of our
319 present study is that the panel of metabolites and the model used for the multi-metabolite signature
320 imprinting of T2D were obtained from a high-cardiovascular-risk population, and so needs to be
321 validated and replicated in other populations. In addition, the metabolite panel should also be tested
322 in patients with different grades of T2D, including prediabetes states, to determine its limit values for
323 prediction. Moreover, it would be of interest to evaluate whether our metabotypes are modified in
324 states such as prediabetes. Another limitation of our study is that the microbial composition in these
participants was unknown, thereby preventing any correlations with the identified metabolites. On

325 the other hand, one strength of our study is that it reproduced of real-life conditions of the participants.
326 In conclusion, the results of our cross-sectional study using a non-targeted ¹H-NMR metabolomics
327 approach reveal a multi-metabolite signature of T2D prevalence comprising eight metab-olites
328 belonging to pathways related mainly to glucogenic and ketogenic amino acids, glycolysis and
329 gluconeogenesis, carboxylic acid metabolism and changes in gut microbiota metabolism. This is also
330 the first study to identify metabotypes in T2D, revealing that such patients have higher levels of
331 phenylalanine, PAG, p-cresol and AA—metabolites related to higher risks of long-term cardio-
332 vascular events—and also higher levels of plasma glucose. Nevertheless, as they were orthogonal for
333 T2D, further studies now need to evaluate their long-term effects. In addition, this study reinforces
334 the use of metabolomics to discover and to evaluate the main metabolic pathways altered in T2D and
335 the metabotypes of individuals. Thus, it would be highly useful to investigate T2D diagnosis and
336 treatment to further support the development of stratified and precision medicine.

337 **Authors' contributions**

338 M.U.-S., R.L., R.E., D.C., J.V.S., J.S.-S and C.A.-L. conceived and designed the study; M.U.-S.,
339 E.A.-A., R.L. and R.V.-F. performed the analyses; M.U.-S., E.A., R.L., R.V.-F., F.C., C.A.-L. and
340 A.S.-P. analyzed the results; M.U.-S. and E.A. drafted the article. All of the authors critically revised
341 the manuscript for important intellectual content. M.U.-S. and C.A.-L. are the guarantors of this work
342 and, as such, had full access to all of the study data and take responsibility for the integrity of the data
343 and accuracy of the data analysis.

344 **Disclosure of interest**

345 The authors declare that they have no competing interest.

346 **Acknowledgments**

347 This research was supported by Spanish national grants from the Ministry of Economy and
348 Competitiveness (MINECO), and co-funded by FEDER (Fondo Europeo de Desarrollo Regional):
349 AGL2009-13906-C02-01, as well as RYC-2011-09677, Associacio' Catalana de Diabetis
350 (ACD2015), CIBERFES, CIBER 06/03, CNIC-06, PI13/01172 Project (Plan Nacional de I+D+i

351 2013–2016), PI11/ 02505 by ISCII-Subdirección General de Evaluación y Fomento de la
352 Investigación, Fundació la Marató TV3 (ref: 201608.10), and PROMETEO017/2017 (Generalitat
353 Valenciana). We also thank the EU Joint Programming Initiative ‘A Healthy Diet for a Healthy Life’
354 on Biomarkers BioNHFOODBALL (PCIN-2014-133-MINECO-Spain) and the 2014SGR1566
355 award from the Generalitat de Catalunya’s Agency AGAUR. M.U.-S. would like to thank the Ramón
356 y Cajal programme from MINECO and Fondo Social Europeo. E.A.-A. would like to thank
357 CONACYT (Mexico) for the PhD fellowship.

358 **Appendix A. Supplementary data**

359 Supplementary data associated with this article can be found, in the online version, at
360 <http://dx.doi.org/10.1016/j.diabet.2018.02.006>.

361 **References**

- 362 [1] American Diabetes Association. Classification and diagnosis of diabetes. *Diabetes Care*
363 2017;40:S11–24.
- 364 [2] Paneni F, Beckman JA, Creager MA, Cosentino F. Diabetes and vascular disease:
365 pathophysiology, clinical consequences, and medical therapy: part I. *Eur Heart J* 2013;34:2436–43.
- 366 [3] Adamski J. Key elements of metabolomics in the study of biomarkers of diabetes. *Diabetologia*
367 2016;59:2497–502.
- 368 [4] Urpi-Sarda M, Almanza-Aguilera E, Tulipani S, Tinahones F, Salas-Salvado J, Andres-Lacueva
369 C. Metabolomics for biomarkers of Type 2 Diabetes Mellitus: advances and nutritional intervention
370 trends. *Curr Cardiovasc Risk Rep* 2015;9:12.
- 371 [5] Salek RM, Maguire ML, Bentley E, Rubtsov DV, Hough T, Cheeseman M, et al. A metabolomic
372 comparison of urinary changes in type 2 diabetes in mouse, rat, and human. *Physiol Genom*
373 2007;29:99–108.

- 374 [6] van Doorn M, Vogels J, Tas A, van Hoogdalem EJ, Burggraaf J, Cohen A, et al. Evaluation of
375 metabolite profiles as biomarkers for the pharmacological effects of thiazolidinediones in Type 2
376 diabetes mellitus patients and healthy volunteers. *Br J Clin Pharm* 2007;63:562–74.
- 377 [7] Herder C, Kowall B, Tabak AG, Rathmann W. The potential of novel biomarkers to improve risk
378 prediction of type 2 diabetes. *Diabetologia* 2014;57:16–29.
- 379 [8] Pearson ER. Personalized medicine in diabetes: the role of ‘omics’ and bio-markers. *Diabet Med*
380 2016;33:712–7.
- 381 [9] Heinzmann SS, Merrifield CA, Rezzi S, Kochhar S, Lindon JC, Holmes E, et al. Stability and
382 robustness of human metabolic phenotypes in response to sequential food challenges. *J Proteome Res*
383 2012;11(2):643–55.
- 384 [10] Cuperlovic-Culf M, Belacel N, Culf A, Chute I, Ouellette R, Burton I, et al. NMR metabolic
385 analysis of samples using fuzzy k-means clustering. *Magn Reson Chem* 2009;47(Suppl 1):S96–104.
- 386 [11] Nicholson JK, Holmes E, Kinross JM, Darzi AW, Takats Z, Lindon JC. Metabolic phenotyping
387 in clinical and surgical environments. *Nature* 2012; 491:384–92.
- 388 [12] Vazquez-Fresno R, Llorach R, Perera A, Mandal R, Feliz M, Tinahones FJ, et al. Clinical
389 phenotype clustering in cardiovascular risk patients for the identification of responsive metabotypes
390 after red wine polyphenol intake. *J Nutr Biochem* 2016;28:114–20.
- 391 [13] O’Donovan C, Walsh M, Nugent A, McNulty B, Walton J, Flynn A, et al. Use of metabotyping
392 for the delivery of personalised nutrition. *Mol Nutr Food Res* 2015;59:377–85.
- 393 [14] Estruch R, Ros E, Salas-Salvado J, Covas MI, Corella D, Aros F, et al. Primary prevention of
394 cardiovascular disease with a Mediterranean diet. *N Eng J Med* 2013;368:1279–90.
- 395 [15] Estruch R, Martinez-Gonzalez MA, Corella D, Salas-Salvado J, Ruiz-Gutierrez V, Covas MI, et
396 al. Effects of a Mediterranean-style diet on cardiovascular risk factors: a randomized trial. *Ann Intern*
397 *Med* 2006;145:1–11.

- 398 [16] Salas-Salvado J, Bullo M, Estruch R, Ros E, Covas MI, Ibarrola-Jurado N, et al. Prevention of
399 diabetes with Mediterranean diets: a subgroup analysis of a randomized trial. *Ann Intern Med*
400 2014;160:1–10.
- 401 [17] Vazquez-Fresno R, Llorach R, Urpi-Sarda M, Lupianez-Barbero A, Estruch R, Corella D, et al.
402 Metabolomic pattern analysis after Mediterranean Diet Intervention in a Nondiabetic Population: a
403 1- and 3-year follow-up in the PREDIMED study. *J Proteome Res* 2014;14:531–40.
- 404 [18] Xia J, Mandal R, Sinelnikov IV, Broadhurst D, Wishart DS. MetaboAnalyst 2.0 – a
405 comprehensive server for metabolomic data analysis. *Nucleic Acids Res* 2012;40(Web Server
406 issue):W127–33.
- 407 [19] Wishart DS, Jewison T, Guo AC, Wilson M, Knox C, Liu Y, et al. HMDB 3.0 – the human
408 metabolome database in 2013. *Nucleic Acids Res* 2013;41(Database issue):D801–7.
- 409 [20] Ulrich EL, Akutsu H, Doreleijers JF, Harano Y, Ioannidis YE, Lin J, et al. BioMagResBank.
410 *Nucleic Acids Res* 2008;36(Database issue):D402–8.
- 411 [21] Garcia-Aloy M, Llorach R, Urpi-Sarda M, Tulipani S, Estruch R, Martinez- Gonzalez MA, et
412 al. Novel multimetabolite prediction of walnut consumption by a urinary biomarker model in a free-
413 living population: the PREDIMED study. *J Proteome Res* 2014;13:3476–83.
- 414 [22] Gawlik A, Shmoish M, Hartmann MF, Malecka-Tendera E, Wudy SA, Hochberg Z. Steroid
415 metabolomic disease signature of nonsyndromic childhood obesity. *J Clin Endocrinol Metab*
416 2016;101:4329–37.
- 417 [23] Guasch-Ferre M, Hruby A, Toledo E, Clish CB, Martinez-Gonzalez MA, Salas- Salvado J, et al.
418 Metabolomics in prediabetes and diabetes: a systematic review and meta-analysis. *Diabetes Care*
419 2016;39:833–46.
- 420 [24] Kanehisa M, Goto S, Sato Y, Kawashima M, Furumichi M, Tanabe M. Data, information,
421 knowledge and principle: back to metabolism in KEGG. *Nucleic Acids Res* 2014;42(Database
422 issue):D199–205.

- 423 [25] Nowaczyk MJ, Lehotay DC, Platt BA, Fisher L, Tan R, Phillips H, et al. Ethylmalonic and
424 methylsuccinic aciduria in ethylmalonic encephalopathy arise from abnormal isoleucine metabolism.
425 *Metabolism* 1998;47:836–9.
- 426 [26] Guan M, Xie L, Diao C, Wang N, Hu W, Zheng Y, et al. Systemic perturbations of key
427 metabolites in diabetic rats during the evolution of diabetes studied by urine metabonomics. *PLoS*
428 *ONE* 2013;8(4):e60409.
- 429 [27] Ienaga K, Hum Park C, Yokozawa T. Daily hydroxyl radical scavenging capacity of mammals.
430 *Drug Discov Ther* 2014;8(2):71–5.
- 431 [28] Sinkeler SJ, Kwakernaak AJ, Bakker SJ, Shahinfar S, Esmatjes E, de Zeeuw D, et al. Creatinine
432 excretion rate and mortality in type 2 diabetes and nephropathy. *Diabetes care* 2013;36(6):1489–94.
- 433 [29] Nicholson JK, Holmes E, Kinross J, Burcelin R, Gibson G, Jia W, et al. Host-gut microbiota
434 metabolic interactions. *Science* 2012;336(6086):1262–7.
- 435 [30] Herrema HRGIJ, Nieuwdorp M. Emerging role of intestinal microbiota and microbial
436 metabolites in metabolic control. *Diabetologia* 2017;60:613–7.
- 437 [31] Blandino G, Inturri R, Lazzara F, Di Rosa M, Malaguarnera L. Impact of gut microbiota on
438 diabetes mellitus. *Diabetes Metab* 2016;42:303–15.
- 439 [32] Monnier L, Colette C. Postprandial and basal hyperglycaemia in type 2 diabetes: contributions
440 to overall glucose exposure and diabetic complications. *Diabetes Metab* 2015;41(6 Suppl 1). 6S9–
441 6S15.
- 442 [33] Magnusson M, Lewis GD, Ericson U, Orho-Melander M, Hedblad B, Engstrom G, et al. A
443 diabetes-predictive amino acid score and future cardiovascular disease. *Eur Heart J* 2013;34:1982–9.
- 444 [34] Wurtz P, Havulinna AS, Soininen P, Tynkkynen T, Prieto-Merino D, Tillin T, et al. Metabolite
445 profiling and cardiovascular event risk: a prospective study of 3 population-based cohorts. *Circulation*
446 2015;131:774–85.

447 [35] Poesen R, Claes K, Evenepoel P, de Loor H, Augustijns P, Kuypers D, et al. Microbiota-derived
448 phenylacetylglutamine associates with overall mortality and cardiovascular disease in patients with
449 CKD. *J Am Soc Nephrol* 2016; 27:3479–87.

450 [36] Swann JR, Spagou K, Lewis M, Nicholson JK, Glei DA, Seeman TE, et al. Microbial-
451 mammalian cometabolites dominate the age-associated urinary metabolic phenotype in Taiwanese
452 and American populations. *J Proteome Res* 2013;12:3166–80.

453 [37] Meijers BK, Claes K, Bammens B, de Loor H, Viaene L, Verbeke K, et al. p-Cresol and
454 cardiovascular risk in mild-to-moderate kidney disease. *Clin J Am Soc Nephrol* 2010;5:1182–9.

455 [38] Sheikh-Ali M, Karon BS, Basu A, Kudva YC, Muller LA, Xu J, et al. Can serum beta-
456 hydroxybutyrate be used to diagnose diabetic ketoacidosis? *Diabetes care* 2008;31:643–7.

457 [39] Mahendran Y, Vangipurapu J, Cederberg H, Stancakova A, Pihlajamaki J, Soininen P, et al.
458 Association of ketone body levels with hyperglycemia and type 2 diabetes in 9,398 Finnish men.
459 *Diabetes* 2013;62:3618–26.

460 [40] Kanikarla-Marie P, Jain SK. Hyperketonemia and ketosis increase the risk of complications in
461 type 1 diabetes. *Free Radic Biol Med* 2016;95:268–77.

462

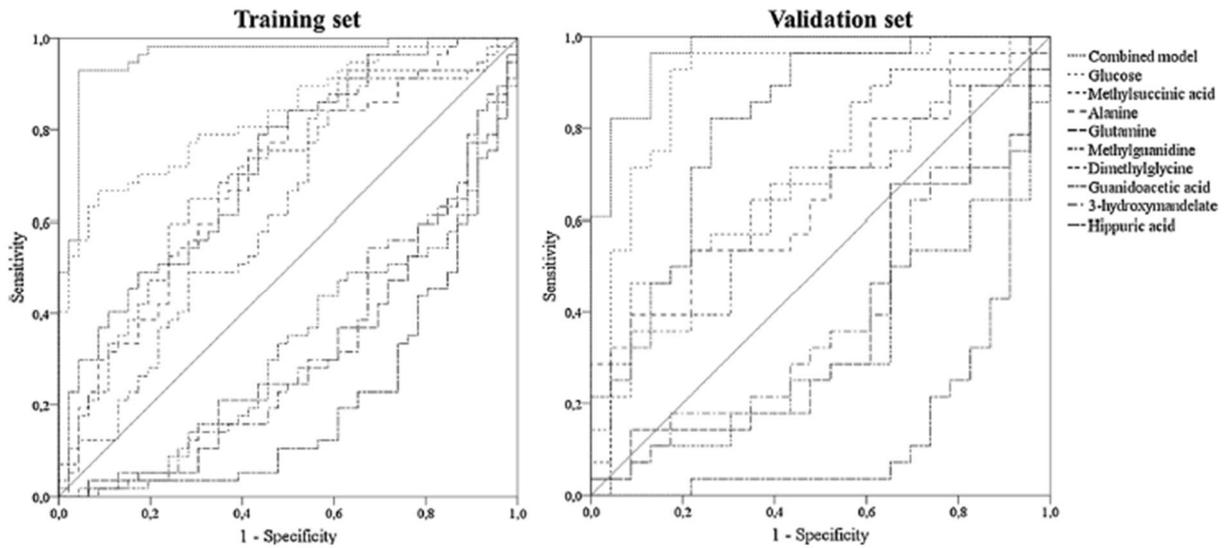
463

464

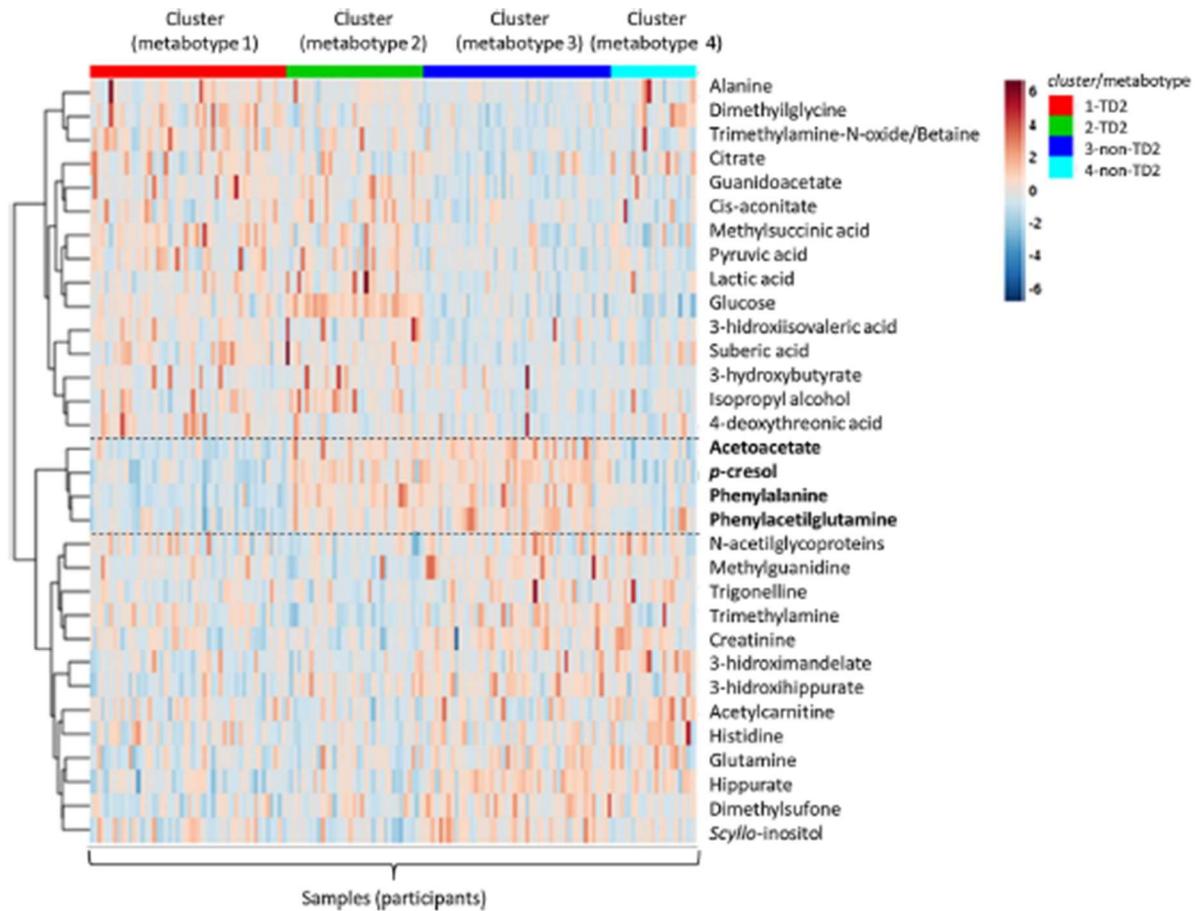
465

466

467



469 Fig. 1. Area under the receiver operating characteristic curves (AUROCs) comparing the multi-metabolite signature and individual metabolites of T2D prevalence.



470 Fig. 2. Heatmap of metabolotypes of participants in the Prevention with Mediterranean Diet (PREDIMED) study after k-means (cluster) analysis. Abbreviations: T2D, diabetic participant; non-T2D, non-diabetic participant.

TABLES

Table 1
Characteristics of the 154 participants according to type 2 diabetes (T2D) prevalence status.

	Total participants (n=154)	T2D (n=85)	Non-T2D (n=69)	P
Age (years)	67±5.7	67±6.0	67±5.5	0.67
Men, n (%)	48 (31)	30 (35)	18 (22)	0.15
Current smokers, n (%)	20 (13)	11 (13)	9 (13)	0.58
Body mass index (BMI), kg/m ²	30.18±4.29	30.40±4.60	30.0±3.92	0.50
Obesity (BMI≥25 kg/m ²), n (%)	71 (47)	37 (44)	34 (49)	0.29
Weight, kg	76.0±12.67	77.71±13.27	73.90±11.65	0.063
Waist circumference, cm	101.31±11.38	103.71±11.34	98.35±10.81	0.003
Physical activity, MET min/day	261.27±268.32	241.22±285.51	285.96±245.31	0.30
Systolic blood pressure, mmHg	144.01±19.72	140.70±17.97	148.0±21.20	0.08
Diastolic blood pressure, mmHg	81.94±10.22	81.06±10.46	83.0±9.95	0.38
Mediterranean diet score	8.5±1.8	8.383±1.8	8.7±1.8	0.19
<i>Plasma biomarkers, mg/dL</i>				
Glucose	128.2±48.5	159.3±45.3	89.9±7.2	<0.001
HDL cholesterol	55.1±14.4	51.5±14.4	58.4±13.9	0.09
LDL cholesterol	131.7±28.8	123.3±29.7	139.0±26.5	0.06
Total cholesterol	213.6±14.4	206.9±35.0	218.8±32.9	0.21
Triglycerides	150.0±113.6	176.6±153.5	125.8±49.3	0.13
<i>Medications, n (%)</i>				
Antihypertensive agents	65 (42)	32 (38)	33 (48)	0.13
Hypolipidaemic agents	85 (55)	42 (49)	43 (62)	0.07
Oral antidiabetic agents	65 (42)	65 (76)	0	<0.01
Insulin	18 (12)	18 (21)	0	<0.01

Data are means ± SD for continuous variables and n (%) for categorical variables.
MET: metabolic equivalent; HDL/LDL: high-density/low-density lipoprotein.

Table 2Urinary metabolites identified by ¹H-NMR in type 2 diabetes (T2D) and non-T2D participants in the PREDIMED study.

Metabolite ^a	Multiplicity (ppm) and J coupling (Hz) ^d	Excretion: T2D vs non-T2D	P ^b	P ^c	VIP	VIP-sd(VIP) ^e
<i>Higher in T2D participants</i>						
3-Hydroxyhippuric acid	7.12 (d, J=8.92), 7.29 (m), 7.37 (m), 7.41 (m)	↑	0.011	0.017	1.1	0.9
3-Hydroxybutyrate	1.19 (d, J=6.24)	↑	0.047	0.062	0.9	1.2
3-Hydroxyisovalerate	1.27 (s)	↑	0.004	0.011	1.3	1.0
4-Deoxythreonic acid	1.22 (d, J=6.47)	↑	0.039	0.11	1.0	1.0
Alanine	1.48 (d, J=7.27)	↑	0.013	0.026	1.5	0.9
cis-Aconitate	3.13 (s), 5.75 (s)	↑	0.004	0.010	1.4	1.4
Citrate	2.54 (d, J=15.16), 2.68 (d, J=14.67)	↑	0.047	0.11	1.3	1.8
Dimethylglycine	2.93 (s)	↑	0.014	0.040	1.5	1.2
Glucose	3.25 (m), 3.45 (m), 3.50 (m), 3.72 (m), 4.64 (d, J=7.93), 5.25 (d, J=3.71)	↑	<0.001	<0.001	3.6	3.5
Guanidinoacetate	3.79 (s)	↑	<0.001	<0.001	2.8	2.8
Isopropyl alcohol	1.16 (d, J=6.24)	↑	0.002	0.007	1.3	1.2
Lactate	1.33 (d, J=6.90), 4.11 (q)	↑	<0.001	0.001	2.0	1.6
Methylsuccinate	1.07 (d, J=7.01)	↑	<0.001	<0.001	1.8	1.8
Phenylalanine	7.32 (d, J=7.15), 7.36 (m), 7.43 (t)	↑	0.009	0.032	1.2	1.2
Pyruvate	2.38 (s)	↑	<0.001	<0.001	1.6	1.7
Suberic acid	1.29 (m), 1.59 (t)	↑	<0.001	<0.001	1.8	1.5
TMAO/betaine ^g	3.27 (s), 3.90 (s)	↑	<0.001	<0.001	2.7	1.8
<i>Lower in T2D participants</i>						
3-Hydroxymandelate	6.87 (d, J=8.27), 6.93 (t), 6.99 (d, J=7.64)	↓	0.003	0.004	1.7	1.1
Acetoacetate	2.28 (s)	↓	0.007	0.007	1.1	1.1
Acetylcarnitine	2.15 (s), 2.19 (s)	↓	0.015	0.010	1.3	1.1
Creatinine	4.06 (s)	↓	<0.001	<0.001	2.4	2.1
Dimethylsulphone	3.16 (s)	↓	<0.001	<0.001	1.9	1.9
Glutamine	2.14 (m), 2.47 (m)	↓	0.001	0.002	1.3	1.2
Hippurate	3.98 (d, J=5.82), 7.55 (t), 7.63 (tt), 7.83 (dd), 8.54 (bb)	↓	<0.001	<0.001	2.8	2.4
Histidine	7.08 (s)	↓	0.011	0.009	1.4	0.8
Methylguanidine	2.82 (s)	↓	0.001	<0.001	1.7	1.6
N-acetylglutamine	2.09 (m), 4.16 (m), 2.25 (t), 7.36 (m), 7.98 (m)	↓	0.004	0.008	1.1	0.9
N-acetylglycoproteins	2.04 (s)	↓	0.017	0.043	1.1	1.0
p-Cresol	2.34 (s), 7.22 (d, J=8.37), 7.27 (d, J=8.90)	↓	0.009	0.004	1.7	1.2
Phenylacetylglutamine	2.27 (t), 4.17 (m), 7.42 (m), 7.26 (m), 7.98 (bb)	↓	0.026	0.025	1.1	1.2
Scyllo-inositol	3.36 (s)	↓	<0.001	0.001	2.1	1.9
Trigonelline	4.43 (s), 8.08 (m), 8.84 (m), 9.12 (s)	↓	0.002	0.004	1.4	1.3
TMA	2.86 (s)	↓	0.005	0.005	1.3	0.5

^a Identified by variable importance in projection (VIP) values ≥ 1.0 on orthogonal signal correction with partial least squares discriminant analysis (OSC-PLS-DA) model.^b VIP minus standard deviation of VIP (used as additional quality parameter)^c Adjusted by Benjamini-Hochberg procedure for multiple comparisons.^d Adjusted by waist circumference.^e s: singlet, d: doublet, t: triplet, q: quadruplet, m: multiplet, bb: broad band.^f Overlapping peaks.

PREDIMED: Prevention with Mediterranean Diet; TMAO: Trimethylamine N-oxide; TMA: Trimethylamine.

Table 3

Receiver operating characteristic (ROC) curve parameters for the prediction model and individual metabolites.

	AUC (95% CI)	<i>P</i>	Specificity (%)	Sensitivity (%)
<i>Training set</i>				
Combined model	96.1 (92.3–100)	1.11×10^{-15}	96.0	93.0
Glucose	83.5 (76.0–91.1)	5.50×10^{-9}	91.3	66.7
<i>Validation set</i>				
Combined model	96.4 (92.0–100)	1.11×10^{-8}	87.0	96.4
Methylsuccinate	69.4 (54.8–84.0)	0.018	74.0	57.1
Alanine	66.3 (51.5–81.1)	0.047	78.3	53.6
Glutamine	37.6 (21.8–53.4)	0.130	34.8	67.8
Methylguanidine	31.8 (17.1–46.5)	0.027	34.8	50.0
Dimethylglycine	64.0 (48.7–79.3)	0.088	65.2	64.3
Guanidinoacetate	81.0 (68.8–93.4)	<0.001	74.0	82.1
3-Hydroxymandelate	40.2 (24.3–56.2)	0.233	30.0	64.3
Hippurate	15.0 (3.4–36.4)	<0.001	8.69	75.0
Glucose	89.8 (80.2–99.3)	1.26×10^{-6}	82.6	93.0

AUC: area under the curve.

