

1 **Experimental Amphotericin B-Deoxycholate formulations for pulmonary**  
2 **aspergillosis: efficacy, biodistribution and nephrotoxicity.**

3 **Running Title: New Amphotericin B formula for pulmonary aspergillosis**

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30 **ABSTRACT**

31 An experimental micellar formulation of amphotericin B (AMB) with sodium  
32 deoxycholate (DCH), AMB:DCH 1:1.5, was obtained and characterized to  
33 determine its aggregation state and particle size. Biodistribution, nephrotoxicity and  
34 efficacy against pulmonary aspergillosis in a murine model were studied and  
35 compared to the liposomal commercial amphotericin B after intravenous  
36 administration. The administration of 5 mg/kg AMB:DCH 1:1.5 presented 2.8-fold  
37 higher lung concentrations ( $18.125 \pm 3.985 \mu\text{g/g}$ , after 6 daily doses) and lower  
38 kidney exposure ( $0.391 \pm 0.167 \mu\text{g/g}$ ) compared to liposomal commercial  
39 amphotericin B ( $6.567 \pm 1.536$  and  $5.374 \pm 1.157 \mu\text{g/g}$ , in lungs and kidneys,  
40 respectively). The different biodistribution of AMB:DCH micelle systems compared  
41 to liposomal commercial amphotericin B was attributed to their different  
42 morphology and particle size. The efficacy study has shown that both drugs  
43 administered at 5 mg/kg produced similar survival percentages and reduction of  
44 fungal burden. A slightly lower nephrotoxicity, associated to amphotericin B, was  
45 observed with AMB:DCH 1:1.5 than the one induced by the liposomal commercial  
46 formulation. However, AMB:DCH 1:1.5 reached higher AMB concentrations in  
47 lungs that could represent a therapeutic advantage over liposomal commercial  
48 amphotericin B-based treatment of pulmonary aspergillosis. These results are  
49 encouraging to explore the AMB:DCH 1:1.5 usefulness against this disease.

50

51 **KEYWORDS:** aspergillosis, Amphotericin B deoxycholate, pulmonary  
52 concentrations, efficacy, nephrotoxicity.

53

54 **INTRODUCTION**

55 The increase of immunosuppressive agents and the extensive usage of  
56 corticosteroids in chronic obstructive pulmonary disease have also led to a rising  
57 prevalence of invasive mycoses such as aspergillosis in recent years (1, 2). The  
58 initial site of most fungal infections as aspergillosis in humans is primarily the lungs,  
59 with hematogenous dissemination to the spleen, kidneys, liver, and brain as the  
60 disease progresses. So, high initial pulmonary concentrations of antifungal drugs  
61 are needed to avoid the dissemination of the pathogens that make the infection  
62 even more difficult to treat. Liposomal amphotericin B (LAMB) is the gold standard  
63 in aspergillosis therapy (1, 3). Several studies have demonstrated that large  
64 particle sizes of different AMB formulations are related to high nephrotoxicity (4-7).  
65 Commercial dimeric deoxycholate amphotericin B (D-AMB) shows a high  
66 percentage of small particles ( $56.2 \pm 4.3$  nm), and a small percentage of large  
67 particles (around  $4.0 \mu\text{m}$ ) (6) being the latter related to nephrotoxicity (4-7) LAMB,  
68 the reference commercial formulation, with a poly-aggregated AMB form, shows  
69 low particle size (around 100 nm) that enhances antifungal efficacy and diminishes  
70 drug toxicity (8) while other lipid complexes marketed as Abelcet<sup>®</sup> (ABLC) presents  
71 poly-aggregated AMB with a particle size of 1.6-11  $\mu\text{m}$  (7). This larger particle size  
72 of ABLC formulations favors a greater pulmonary distribution but also increases its  
73 nephrotoxicity compared to LAMB (4).

74 However, the high costs of commercial lipid formulations limit their use. On the  
75 other hand, the less expensive commercial dimeric deoxycholate amphotericin B  
76 (D-AMB) has lower antifungal efficacy and causes serious side effects (9).  
77 Therefore, various new amphotericin B formulations such as emulsions, liposomes

78 and microspheres have been developed to increase efficacy and decrease side  
79 effects (10). In previous works, it has been observed that poly-aggregated systems  
80 of AMB with sodium deoxycholate are a safer and less toxic form than D-AMB, with  
81 the same proportion of DCH, when administered intravenously (6, 11). The AMB  
82 distribution to organs with high presence of macrophages and organs of the  
83 reticulo-endothelial system like liver and spleen is related to formulation  
84 parameters such as the aggregation state and particle size (11, 12). After the  
85 parenteral administration of LAMB, the highest concentrations appeared in organs  
86 of the reticulo-endothelial system, while lower drug concentrations appeared in  
87 other organs such as lungs (13). So, a lung concentration of 1.44  $\mu\text{g/g}$  was  
88 obtained 24 hours after the administration of a 5 mg/kg dose of LAMB (14). These  
89 probably insufficient pulmonary concentrations make necessary to search new  
90 AMB formulations in order to guarantee higher efficacy against dangerous  
91 pulmonary pathogen agents like *Aspergillus* spp.

92 The aim of this project is to develop a lung-specific delivery system of AMB  
93 with a high pulmonary distribution and a low nephrotoxicity. A low renal  
94 dissemination pulmonary aspergillosis model was selected in order to correlate  
95 nephrotoxicity results with the new AMB formulation.

96

## 97 RESULTS

98 **AMB formulations characteristics.** The aggregation state of amphotericin B  
99 in the experimental formulations was evaluated by measuring UV-visible  
100 absorbance. A standard AMB formulation using methanol as solvent (M-AMB) and  
101 a formulation with no surfactant in water for injection (AMB:DCH 1:0) were used as

102 reference formulations and compared with deoxycholate containing formulation  
103 (AMB:DCH 1:1.5). The absorption spectrum of M-AMB showed four high  
104 pronounced peaks at 353, 372, 390 and 414 nm. The absorption spectrum of  
105 AMB:DCH 1:0 showed two faint peaks at 386 y 403 nm.

106 Absorption spectrum of AMB:DCH 1:1.5 formulation in water showed a  
107 shoulder around 330 nm and some faint peaks at higher wavelengths (393, 407  
108 and 424 nm). The absorbance values at different wavelengths of this deoxycholate  
109 containing formulation was clearly different from the previously observed ones in  
110 the spectrum of M-AMB. Furthermore, AMB:DCH 1:1.5 showed 3-fold higher  
111 absorbance values than formulation with no DCH (AMB:DCH 1:0).

112 The particle size of experimental (AMB:DCH 1:1.5) and reference (AMB:DCH  
113 1:0) formulations was performed and expressed as mean particle size (nm)  $\pm$   
114 standard deviation (SD). Both formulations in water presented polydispersity  
115 indexes less than 0.6.

116 The presence of sodium deoxycholate in AMB:DCH 1:1.5 formulation  
117 decreased significantly ( $P < 0.001$ ) the particle size ( $404.9 \pm 1.7$  nm) regarding the  
118 formulation without surfactant AMB:DCH 1:0 ( $514.8 \pm 34.2$  nm).

119 **AMB biodistribution to kidneys and lungs.** The study of AMB biodistribution  
120 consisted of the administration of a single and multiple doses of AMB in uninfected  
121 and immunosuppressed mice in order to evaluate the AMB concentration reached  
122 in lungs and kidneys.

123 AMB concentrations in renal and lung tissues 24 hours after a single dose of  
124 AMB:DCH 1:1.5 or LAMB formulations administered at 5 mg/kg dose are observed  
125 in Fig. 1. LAMB formulation showed AMB concentrations in kidneys 15 times

126 greater than AMB:DCH 1:1.5 ( $P < 0.01$ ). However, AMB concentrations reached in  
127 lung tissues with AMB:DCH 1:1.5 formulation at 24 hours were significantly higher  
128 ( $P < 0.01$ ) than the ones obtained with LAMB treatment ( $9.173 \pm 0.498 \mu\text{g/g}$  versus  
129  $2.527 \pm 0.386 \mu\text{g/g}$ , respectively). After 6 days of treatment, renal concentrations of  
130 AMB showed an important cumulative effect (Fig. 1A). However, low kidney levels  
131 of AMB were reached with AMB:DCH 1:1.5 formulation after 6 days of treatment  
132 ( $0.391 \pm 0.167 \mu\text{g/g}$ ). Thus, AMB renal concentration for LAMB were 15-fold higher  
133 than AMB:DCH 1:1.5 formulation ( $P < 0.01$ ). Also, lung concentrations of AMB  
134 showed a cumulative effect with both AMB:DCH 1:1.5 and LAMB formulations (Fig.  
135 1B). A higher concentration in lung tissue was observed with AMB:DCH 1:1.5  
136 formulation at 5 mg/kg dose ( $18.125 \pm 3.985 \mu\text{g/g}$ ) compared with a dose of 5  
137 mg/kg/day of LAMB formulation ( $6.567 \pm 1.536 \mu\text{g/g}$ ).

138 **Drug nephrotoxicity.** One of the most important problems of amphotericin B is  
139 its nephrotoxicity. Therefore, renal toxicity of all AMB new experimental  
140 formulations should be tested and compared with commercial formulations. In this  
141 first preclinical study of toxicity, immunocompetent mice were used.

142 Serum nephrotoxicity biochemical parameters as creatinine and blood urea  
143 nitrogen (BUN) were tested after six daily doses of each treatment at therapeutic or  
144 elevated AMB doses (5 and 20 mg/kg/day). Table 1 shows the results of creatinine  
145 and BUN serum levels. All creatinine concentrations obtained with experimental  
146 and commercial formulations were slightly higher than the one obtained with  
147 control group. These creatinine values were similar after the administration of  
148 therapeutic or high doses of AMB. Commercial LAMB formulation presented the  
149 highest values of creatinine concentrations.

150 Histopathological studies of renal tissue of all treated mice with 6 daily AMB 5  
151 mg/kg/day doses showed tubular and glomerular morphologic abnormalities  
152 compared to the control group that maintained the normal morphology (Fig. 2).  
153 These changes in renal tissue of mice treated with experimental and commercial  
154 AMB formulations include extensive areas of inflammatory cells (surrounding  
155 tubules and glomeruli), extra-capillary proliferative glomerulonephritis and acute  
156 tubular epithelial damage with the presence of tubular necrosis, cell debris  
157 detachment, swelling and tubular dilation. The renal sample of LAMB formulation  
158 also showed protein casts in renal tubules. The photomicrographs of mice kidney  
159 sections regarding higher AMB doses (20 mg/kg/day) showed a small increase of  
160 renal tissue damages (data not shown) when this dose was administered as  
161 compared with the same formulations given at 5 mg/kg/day.

162 **Drug efficacy.** This study was performed in immunosuppressed and infected  
163 animals. Fig. 3A shows survival rates of untreated animals and of those treated  
164 with 5 mg/kg AMB:DCH 1:1.5 or LAMB. All animals from control group succumbed  
165 within 8 days post-challenge. Both treatments, AMB:DCH 1:1.5 and LAMB,  
166 significantly increased the survival of the animals with survival rates of 42.8% and  
167 50.0% in comparison to the control group ( $P=0.077$ ). However, no significant  
168 differences were found between treated groups ( $P>0.05$ ).

169 Body weights showed a drop in all animals (Fig. 3B). This pattern was followed  
170 by a continuous drop in weight in control animals, in contrast to a progressive rise  
171 in weight of treated groups. Infected mice treated with AMB:DCH 1:1.5 and LAMB  
172 formulations at doses of 5 mg/kg showed similar percentages of weight loss as well  
173 as weight recovery starting on the day 8 and day 6 post infection, respectively.

174 Infection showed viable fungal elements in most of the lungs from control and  
175 treated animals with no significant differences between groups ( $P>0.05$ ). Fungal  
176 burden in the group treated with experimental AMB:DCH 1:1.5 formulation showed  
177 the lowest values ( $\log_{10} 2.58 \pm 2.130$  CFU/g lung tissue), with 40% of mice  
178 displaying non-detectable CFUs in this tissue. But, contrary to our expectations, all  
179 mice in the group receiving LAMB had high CFUs/g values ( $\log_{10} 4.45 \pm 0.40$   
180 CFU/g lung tissue). Infection showed low spread to kidneys in control animals but  
181 high clearance was observed in treated animals with undetectable CFUs in 80% of  
182 animals receiving AMB:DHC 1:1.5 and 100% of those receiving LAMB.

183 No CFUs were recovered from kidneys at the end of the experimental time i.e.,  
184 12 days after infection in treated animals in contrast to the results in lungs, where a  
185 few treated animals with AMB:DHC 1:1.5 and LAMB showed low fungal burden  
186 with no significant differences between them ( $P=0.371$ ) (data not shown). Important  
187 changes on eradication percentages for each treatment group between days +8  
188 and +12 were observed. Thus, the group that received 5 mg/kg of AMB:DCH 1:1.5  
189 formulation presented similar eradication results in lung tissue 12 days after  
190 infection to the obtained on day 8. The group that received 5 mg/kg/day of LAMB  
191 formulation showed an important increase in eradication percentages between day  
192 +8 and day +12 ( $3 \log_{10}$ ).

193

## 194 **DISCUSSION**

195 The aggregation state study of the reference M-AMB formulation showed  
196 peaks corresponding to the monomeric form of AMB (15). However, absorption  
197 spectrum of AMB:DCH 1:1.5 formulation was clearly different from the previously

198 observed spectrum of the monomeric form and did not show the characteristic high  
199 peak of the dimeric form (15). Therefore, this spectrum of experimental AMB:DCH  
200 formulation was indicative of the presence of amphotericin B poly-aggregated form,  
201 which usually presents a lower nephrotoxicity than D-AMB (6, 9). AMB:DCH 1:1.5  
202 showed higher absorbance values than the reference formulation with no  
203 deoxycholate (AMB:DCH 1:0). These higher absorbance values of AMB:DCH 1:1.5  
204 formulation may be related with a poly-aggregated AMB form into a small size  
205 micellar system, induced by the surfactant action of the sodium deoxycholate (16,  
206 17).

207 Particle size measurements were performed in triplicate and represented by  
208 the mean result ( $\pm$  standard deviation). Polydispersity indexes were similar to the  
209 ones presented by other authors in micellar formulations (6). In previous studies,  
210 the poly-aggregated formulation AMB:DCH 1:0 showed a higher particle size (1280  
211  $\pm$  216.0 nm) when using a shorter agitation time (2 minutes) (6). Therefore, stirring  
212 conditions has been proved to be a key issue in order to obtain small poly-  
213 aggregated AMB systems as other authors have also reported (17).

214 AMB:DCH 1:1.5 poly-aggregated formulation presented a small value of  
215 standard deviation when its particle size was studied and this low variability should  
216 be attributed to the stabilizing effect of DCH by means of ionic interactions with  
217 AMB (5). Thus, in this AMB formulation, sodium deoxycholate acts as a stabilizing  
218 agent inhibiting particle growth, so the surfactant effect is critical in AMB  
219 nanoparticle systems (16, 17). The use of surfactants as stabilizing agents has  
220 been previously reported by several authors (6, 18).

221 Finally, it is noteworthy that particle size of AMB in this poly-aggregated  
222 preparation was very different from the one observed with commercial AMB  
223 formulation (LAMB) that has been reported as about 100 nm by other authors and  
224 therefore may be related to different organ distribution (19).

225 AMB concentrations obtained in this work with the studied formulations showed  
226 different AMB distribution to kidneys and lungs as other authors have previously  
227 observed (13). Our results suggest that particle size and surface morphology of  
228 colloidal and liposomal systems may influence the distribution of AMB to different  
229 organs. Formulations with small particle size (LAMB) presented high  
230 concentrations in organs like kidney whilst the new poly-aggregated AMB:DCH  
231 formulation with higher particle size led to a greater lung distribution.

232 At renal level, the new poly-aggregated formulation developed in this work  
233 showed less AMB concentrations in kidneys than the ones observed with LAMB  
234 (20, 21) either after 1 or 6 days of treatment (5 mg/kg/day). The increase in AMB  
235 renal concentrations observed with the DCH formulation may be related to the  
236 influence of the hydrophilic sodium deoxycholate surfactant on micelles distribution.  
237 However, due to the important renal effects of AMB formulations (11), a toxicity  
238 study was performed with the experimental DCH formulation, employing LAMB and  
239 AMB:DCH 1:0 formulations as reference, to observe the possible influence of AMB  
240 and DCH in the nephrotoxicity.

241 The serum nephrotoxicity biochemical parameters study showed that BUN  
242 values for all the formulations were similar to the control group at both doses  
243 studied (5 and 20 mg/kg) and similar to those obtained in different treatments with

244 LAMB (22). Therefore, the high AMB renal concentrations after LAMB treatment  
245 did not translate into pathological BUN values.

246 All AMB formulations induced higher creatinine values than the control group.  
247 Similar increases in creatinine levels were observed with other poly-aggregated  
248 AMB formulations at elevated doses (15 mg/kg) (23). But, an increase in creatinine  
249 values was observed in LAMB group compared to AMB:DCH 1:1.5 at both doses  
250 studied (5 and 20 mg/kg). These high creatinine values were possibly related to  
251 high AMB redistribution into the kidneys observed with LAMB (22, 24). It is  
252 particularly noteworthy that the experimental AMB:DCH 1:1.5 formulation did not  
253 show pathological creatinine values and no significant difference ( $P>0.05$ ) with the  
254 control group was observed. But there were significant differences between  
255 creatinine values after administration of LAMB and AMB:DCH 1:1.5 formulations at  
256 therapeutic and elevated AMB doses (5 and 20 mg/kg/day) ( $P<0.001$  and  $P<0.01$ ,  
257 respectively).

258 Nevertheless, all renal tissue samples of mice treated with all the formulations  
259 after 6 daily doses of 5 or 20 mg/kg/day presented inflammatory cells and tubular  
260 epithelial damage, whilst protein cast were only observed in renal tissue of mice  
261 treated with LAMB. So, all preclinical nephrotoxic studies with experimental AMB  
262 formulations should include histopathological studies, due to the fact that serum  
263 nephrotoxicity biochemical parameters (creatinine and BUN values) would be  
264 insufficient to confirm a prompt renal damage.

265 Furthermore, the renal histopathological study has shown the existence of  
266 renal damage (see Fig. 2) when AMB renal concentrations are equal to or greater  
267 than  $0.372 \mu\text{g/g}$ , since this was the AMB renal concentration of mice treated i.v.

268 with 5 mg/kg/day of AMB:DCH 1:1.5 for six days (see Fig. 1). On the other hand,  
269 when renal damage was compared between DCH formulations (AMB:DCH 1:0 and  
270 AMB:DCH 1:1.5), it was observed that the presence of DCH in AMB formulations  
271 did not increase the nephrotoxicity after 6 daily doses of 5 and 20 mg/kg/day (see  
272 Table 1 and Fig. 2).

273 Nephrotoxicity values described in AMB:DCH 1:1.5 formulation for 6 days at  
274 high doses (20 mg/kg) were more related to the presence of large particles at this  
275 dose than with its high renal AMB concentration. These results are consistent with  
276 the nephrotoxicity values after prolonged treatments observed in AMB formulations  
277 with high particle size (25). However, nephrotoxicity values described with LAMB  
278 formulation are more related to a high AMB renal concentration. However, it must  
279 be emphasized that while LAMB formulation presented 15-fold higher AMB renal  
280 concentrations than DCH formulations, a 15-fold greater nephrotoxicity was not  
281 observed.

282 Lung AMB concentrations reached with experimental AMB:DCH 1:1.5  
283 formulation at 5 mg/kg dose were higher than the ones obtained with LAMB.  
284 Differences in the particle size between AMB:DCH 1:1.5 and LAMB formulations  
285 may explain the differences observed in the distribution of AMB to the lungs  
286 because a higher particle size will allow a faster opsonization by macrophages  
287 (11). This may also explain the high AMB concentrations reached with both  
288 experimental AMB formulations in organs rich in macrophages like the lungs (14).  
289 These lung concentrations would be adequate to treat aspergillosis (MIC 0.5 - 8  
290  $\mu\text{g/ml}$ ) (26). Furthermore, when experimental AMB:DCH 1:1.5 formulation was  
291 administered, the threshold pulmonary concentration ( $>4 \mu\text{g/g}$ ) required for

292 therapeutic efficacy of LAMB treatment in a murine model of pulmonary  
293 aspergillosis (27), was exceeded. Pulmonary AMB concentrations obtained with  
294 LAMB after multiple doses were similar to the ones obtained with different AMB  
295 formulations by other authors (27, 28). AMB:DCH 1:1.5 formulation had the  
296 greatest cumulative effect on lung tissue compared to LAMB formulation (25).

297 Treatments consisting on AMB:DCH 1:1.5 and the gold standard formulation  
298 (LAMB) have shown equivalent efficacy in terms of survival and reduction of tissue  
299 burden despite AMB levels were higher in lungs of animals receiving AMB:DCH  
300 than in those treated with LAMB. This may be due to the immunomodulatory effect  
301 of the drug carrier since it has been demonstrated that empty liposomes show  
302 efficacy against IPA modelled in corticosteroid-immunosuppressed mice. The role  
303 of empty liposomes, as well as DCH, into the progression and outcome of the  
304 infection deserves to be further explored (29). In addition, a comparable decrease  
305 of the percentage of weight loss in the different groups was observed. A similar  
306 relation between survival times and weight losses results has been previously  
307 observed in different AMB aspergillosis treatments (7, 30).

308 Our pulmonary model has demonstrated high pulmonary affection with viable  
309 fungal elements at day 8 post infection with low renal affection suggesting that  
310 the A1160 strain has poorly disseminated to other organs+8 days post-challenge  
311 (31). The absence of nephrotoxicity after treatment allow us to consider that  
312 nephrotoxicity results in uninfected mice could be adequate to compare AMB:DCH  
313 1:1.5 and LAMB at doses of 5 mg/kg. Previous studies showed similar BUN values  
314 between infected and uninfected mice in LAMB and ABLC treatments (22).

315 The high mortality displayed by the infected control group was due to the  
316 fungal burden (32, 33). In addition, tissue burden study performed 8 days post  
317 infection showed great fungal clearance in lungs of those animals receiving 5  
318 mg/kg of AMB:DCH 1:1.5 while all mice receiving with 5 mg/kg of LAMB showed  
319 slightly higher levels of CFUs. These results were consistent with the lung  
320 concentration data obtained in uninfected mice. Probably, the highest particle size  
321 of AMB:DCH 1:1.5 formulation produced a faster biodistribution to lung tissue  
322 ( $9.173 \pm 0.498 \mu\text{g/g}$  at 24 h) and a greater fungal burden clearance (34). The drug  
323 fast clearance of lung tissue for AMB:DCH 1:1.5 formulation might explain the  
324 decrease in survival rate (+8 day) (see Fig 3). Nevertheless, LAMB at doses of 5  
325 mg/kg had low lung concentration values after the initial dose in uninfected mice  
326 ( $2.527 \pm 0.386 \mu\text{g/g}$  at 24 h). This low initial biodistribution could be related to an  
327 increase in fungal burden during the first stage of the infection in this group (27).  
328 Doses of 10 mg/kg of LAMB formulation would be required in order to obtain a  
329 significant reduction in *A. fumigatus* growth, during the first stage of the infection  
330 (27, 35).

331 The fungal burden results obtained on day +12 confirm that this *A. fumigatus*  
332 infection model by pulmonary inhalation poorly disseminated to kidneys (33).  
333 Differences in lung tissue CFUs values for AMB:DCH 1:1.5 and LAMB treatment  
334 may be related to the AMB concentrations due to the different particle size  
335 between both formulations (11, 15). A decrease in CFUs for LAMB formulation at  
336 the end of the study (+12 day) was related to a smaller particle size and  
337 morphological characteristic of liposome form of AMB (30, 36). Thus, small spheres  
338 of commercial AMB formulation (LAMB) remain in the lungs 12-48 hours after

339 administration (7, 28). These results from different formulations suggest that their  
340 morphology and particle size could affect the pharmacokinetic/pharmacodynamic  
341 characteristics of the different AMB formulations.

342 Nevertheless, a limitation of this study, performing the pharmacokinetic  
343 comparisons between uninfected mice and infected mice is the fact that the AMB  
344 concentrations that would be reached in infected mice would be higher than the  
345 ones obtained in this study in uninfected mice (22, 27). So, the similar efficacy  
346 observed with AMB:DCH 1:1.5 and LAMB would be related to concentrations  
347 higher than 4 µg/g in infected animals (AMB concentration associated with a  
348 significant reduction of lung fungal burden) with both formulations and not only the  
349 concentrations observed with uninfected animals (see Fig. 1) in the first 24 hours  
350 (27).

351 In conclusion, micellar poly-aggregated AMB:DCH 1:1.5 formulation showed  
352 2.8-fold higher AMB concentration in lungs than commercial LAMB formulation  
353 after 6 doses of 5 mg/kg and a slightly lower nephrotoxicity due to the formulation  
354 characteristics. The improved pulmonary efficacy/toxicity ratio allows the micellar  
355 AMB:DCH 1:1.5 formulation to be considered a good alternative to LAMB  
356 liposomes for the treatment of pulmonary aspergillosis. Future studies with infected  
357 mice will be performed to evaluate the pharmacokinetic/pharmacodynamic efficacy  
358 of treatments with experimental AMB:DCH 1:1.5 and commercial LAMB  
359 formulations on its own compared to treatments combining different doses of these  
360 formulations.

361

362 **MATERIALS AND METHODS**

363 **Drugs.** Amphotericin B (AMB) (PubChem CID: 5280965) was supplied supply  
364 by Bristol Myers Squibb (Barcelona, Spain) and LAMB (Ambisome<sup>®</sup>) was supplied  
365 by UCB-Pharma (Brussels, Belgium).

366 **Preparation of formulations.** Experimental micellar formulation was prepared  
367 with AMB and DCH at a ratio of 1:1.5 w/w of drug to carrier proportions. The  
368 preparation method was as follows. 18.75 mg of DCH (PubChem CID: 23668196)  
369 purchased from Fluka-Biochemika (Bucks, Switzerland) were dissolved in 50 ml of  
370 water for injection and then 12.5 mg of AMB was added and vortexed during 4  
371 minutes at 2400 rpm. These suspensions were diluted in 5% dextrose solution, as  
372 necessary, and vortexed during 4 minutes at 2400 rpm and stored in darkness at  
373 25°C. For the characterization of the aggregation state of the formulations, an AMB  
374 standard solution (M-AMB) was required. This formulation was prepared as follows.  
375 10.0 mg of AMB were dissolved in 500 ml of methanol and vortexed during 4  
376 minutes at 2400 rpm. M-AMB formulation was diluted in 5% dextrose solution, as  
377 necessary.

378 **AMB formulations characterization.** Different AMB:DCH formulations in  
379 water (AMB:DCH 1:0 and AMB:DCH 1:1.5) and M-AMB formulation in methanol  
380 were spectrophotometrically analyzed by UV absorption to determine the  
381 aggregation state of AMB. A scanning spectrum of each formulation was recorded  
382 by Shimadzu UV-1700 spectrophotometer (Kyoto, Japan) between 300-450 nm.  
383 Each sample was analyzed in triplicate.

384 The particle size of AMB:DCH formulations in water was analyzed by  
385 Microtrac<sup>®</sup> S-3500, (Microtrac Inc, Montgomeryville, USA). Mean size (nm) was

386 determined based on size distribution in number. Each sample was analyzed in  
387 triplicate.

388 **Animals.** Four weeks-old OF-1 male mice weighting between 27-32 g were  
389 used (Criffa S.A., Barcelona, Spain) in all experiments. All animals were housed  
390 under standard conditions with water and food *ad libitum*. All animal care  
391 procedures were supervised and approved by the Complutense University Animal  
392 Welfare and Ethics Committee as well as the Universitat Rovira i Virgili Animal  
393 Welfare and Ethics Committee.

394 **AMB biodistribution to kidneys and lungs.** This study was performed in  
395 immunosuppressed uninfected mice in order to evaluate the AMB concentrations in  
396 kidneys and lungs after single dose or 6 daily doses of AMB (5mg/kg/day).

397 Four groups of 6 animals each, were immunosuppressed by subcutaneous  
398 injection of cortisone acetate (125 mg/kg) every 3 days (37). Five days after  
399 immunosuppression began, animals received a single dose of AMB:DCH 1:1.5 or  
400 LAMB both administered intravenously (i.v.) at doses of 5 mg/kg or multiple doses  
401 administered once daily (QD) at doses of 5 mg/kg for six days.

402 To determine the concentrations of AMB in kidney and lung tissues, mice were  
403 euthanized 24 hours after the last administration. Organs were aseptically removed  
404 and frozen until used. Then, organs were homogenized in 0.5 ml of water for  
405 injection and determination of total AMB concentration in tissue was performed by  
406 the High Performance Liquid Chromatography (HPLC) method using a modification  
407 of previously published assays (38). Briefly, all HPLC assays were performed using  
408 the modular system Jasco® (Tokyo, Japan) that consisted of an automatic sample  
409 injection (A5-2050), a high pressure pump (PU-1580) and an UV detector (1575),

410 operating at 406 nm. Analyses were performed on a Hypersil BDS<sup>®</sup> C18 column (5  
411  $\mu\text{m}$ , 250 mm x 4.6 mm). The column was isocratically eluted at a flow rate of 1  
412 ml/min with 40:4.3:55.7 v/v/v acetonitrile/acetic acid/water mobile phase. The  
413 injection volume was 100  $\mu\text{l}$ . Each sample was analyzed in triplicate.

414 Homogenized tissue samples were spiked with meloxicam (10  $\mu\text{l}$  at 200  $\mu\text{g/ml}$ ).  
415 Two extractions of the homogenate aqueous tissue were carried out with methanol  
416 (400  $\mu\text{l}$  x 2). After every extraction, the mixture was vortexed (2500 rpm, 2 min)  
417 and then centrifuged (4000 rpm, 4 min). The supernatant was filtered using a filter  
418 HVLP of 0.45  $\mu\text{m}$ . Previous to the homogenization and analysis by HPLC, each  
419 organ was weighed weight and the results were expressed as  $\mu\text{g/g}$  of each organ.  
420 Under these conditions, the relative retention times of AMB and the internal  
421 standard were 8.5 and 6.1 min, respectively. Tissue AMB concentrations were  
422 calculated from linear regression calibration curves of the AMB/internal standard  
423 peak height ratio. The linear range in plasma was 0.01 to 10  $\mu\text{g/ml}$  ( $y=0.2191x-$   
424  $0.0026$ ;  $R^2=0.9986$ ).

425 **Drug nephrotoxicity.** This study was performed in immunocompetent  
426 uninfected mice. Thirty five mice were divided in seven groups ( $n = 5$ ) and treated  
427 i.v. with 5 or 20 mg/kg/day of LAMB, AMB:DCH 1:0, AMB:DCH 1:1.5 or placebo  
428 (5% dextrose) for six days. Blood samples were collected by cardiac puncture 24 h  
429 after the sixth treatment. Creatinine and blood urea nitrogen (BUN) were analysed  
430 in serum using a modular AutoAnalyzer Cobas 711 (Roche, Basel, Switzerland).

431 Histopathological evaluations were also performed on the renal tissues in these  
432 seven groups of mice. Kidneys were collected 24 h after the last drug treatment.  
433 Tissues were fixed in 4% paraformaldehyde (24 h) and paraffin-embedded. Cut

434 tissue sections of 5  $\mu\text{m}$  were mounted on glass slides, rehydrated in water, and  
435 stained with haematoxylin and eosin (H&E, Sigma-Aldrich, St. Louis, MO). All  
436 tissues were examined and microphotographs of sections with x20 magnification  
437 were taken from the stained samples using an inverted IX70 microscope (Olympus,  
438 Hamburg, Germany).

439 **Murine model of pulmonary aspergillosis.** *A. fumigatus* strain A1160 was  
440 cultured on PDA and incubated at 37°C for 5 days. A conidial suspension was  
441 obtained by flooding the culture plate with 5 ml of saline with 0.05% Tween 20,  
442 scraping the fungal growth with a culture loop and drawing up the resultant  
443 suspension with a Pasteur pipette. The suspension was then filtered to remove  
444 clumps of hypha or agar and inoculum adjusted by hemocytometer count and serial  
445 dilution to the desired concentration. Animals were immunosuppressed by  
446 subcutaneous injection of cortisone acetate (125 mg/kg) starting 4 days prior  
447 infection and then every 3 days (37). Infection was performed by nasal instillation  
448 of  $5 \times 10^4$  CFUs/mouse in 20  $\mu\text{l}$  prior anesthesia with inhaled sevoflurane.

449 **Efficacy study.** Infection was performed by nasal instillation of  $5 \times 10^4$   
450 CFUs/mouse in 20  $\mu\text{L}$  prior anaesthesia with inhaled sevoflurane.

451 Groups of 16 animals each, received LAMB or AMB:DCH 1:1.5. Both  
452 formulations were administered i.v. at a dose of 5 mg/kg QD for 7 days. Control  
453 group received placebo (5% dextrose). Eight animals per group were used to CFU  
454 determination (+8 days) and the rest 8 animals to survival follow up for 12 days.

455 Twelve days post-infection, surviving animals from CFUs groups were  
456 euthanized by CO<sub>2</sub> inhalation followed by cervical dislocation. Kidneys and lungs

457 were aseptically removed, homogenized in 0.9% saline, 10-fold diluted and placed  
458 on PDA plates for CFU/g determination (+12 days).

459 **Statistical analysis.** Results related to particle size studies were expressed as  
460 mean values  $\pm$  standard deviation of three measures and tested by the  
461 independent-sample *t* test. Results related to AMB concentrations were tested by  
462 Mann-Whitney test. Fungal burden data was analyzed by Mann-Whitney test and  
463 survival curves were compared using the log rank test. Statistical differences were  
464 performed via one-way ANOVA test using Minitab 15 (Minitab Ltd., Coventry, U.K.).  
465 Tukey's test was used for paired-group comparisons. A *P* value of less than 0.05  
466 was considered to indicate statistical significance.

467

#### 468 **ACKNOWLEDGMENTS**

469 This research was jointly funded by a project of the R + D + i research program  
470 (reference SAF2015-66690-R) and a grant from the Complutense University of  
471 Madrid and the Administration of the Community of Madrid for the research group  
472 910939. This work was supported by Spanish grants from the Ministry of Economy  
473 and Competitiveness ISCIII-FIS (PI14/01195, PI17/00276) cofinanced by FEDER  
474 Funds from the European Commission, 'A way of making Europe'), ISCIII-RETIC  
475 REDinREN/RD16/0009/0026 and Comunidad de Madrid B2017/BMD-3686  
476 (CIFRA2-CM).

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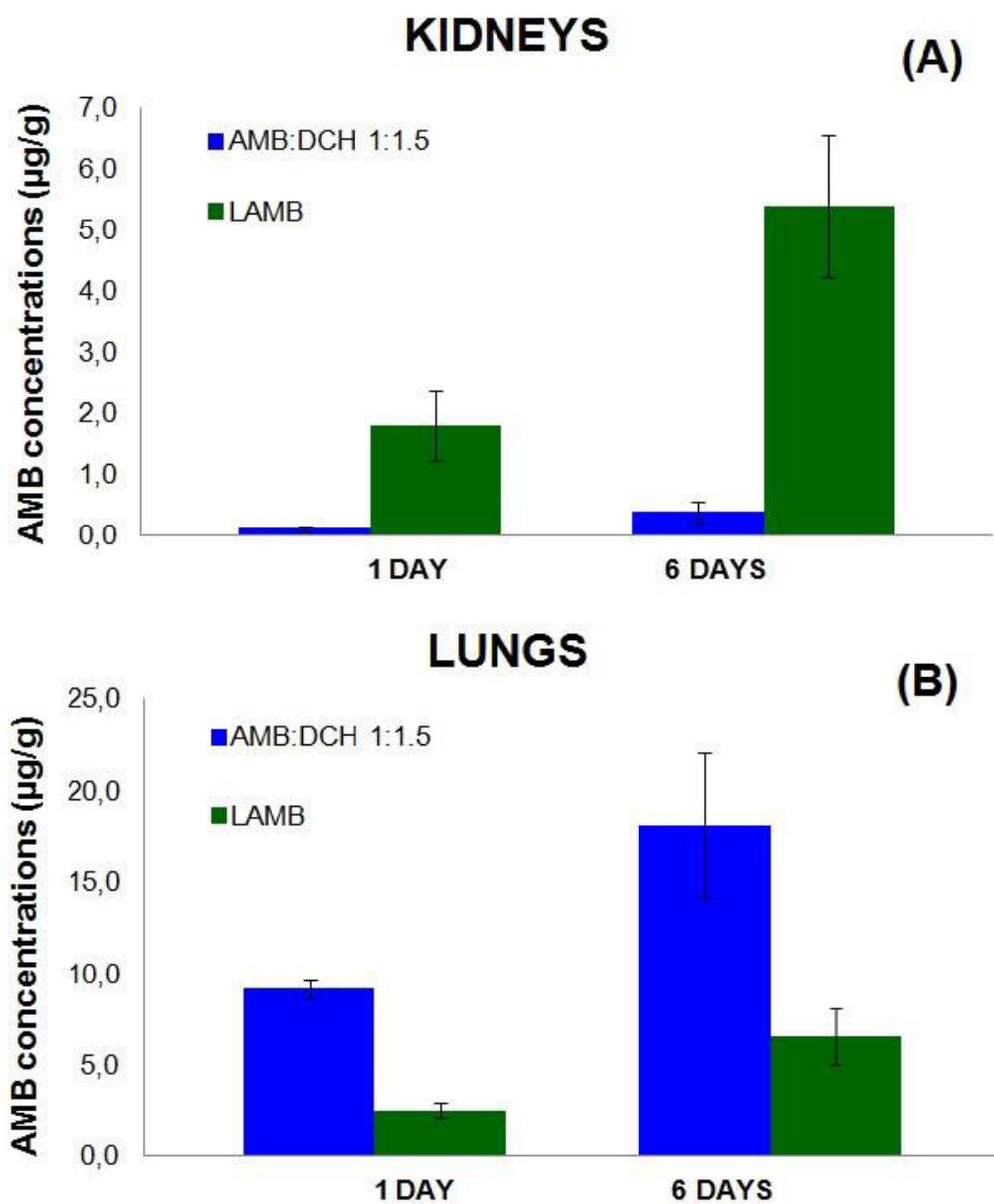
614 **FIGURE LEGENDS**

615 **Figure 1** Mean and standard deviation of AMB concentrations ( $\mu\text{g/g}$ ) in (A) kidneys  
616 and (B) lungs of immunosuppressed mice (n=6 mice/group) intravenously treated  
617 with 1 or 6 daily doses of 5 mg/kg of AMB:DCH 1:1.5 or LAMB. \* LAMB treatments  
618 with 1 or 6 daily doses were significantly different from AMB:DCH 1:1.5 ( $P<0.01$ ).

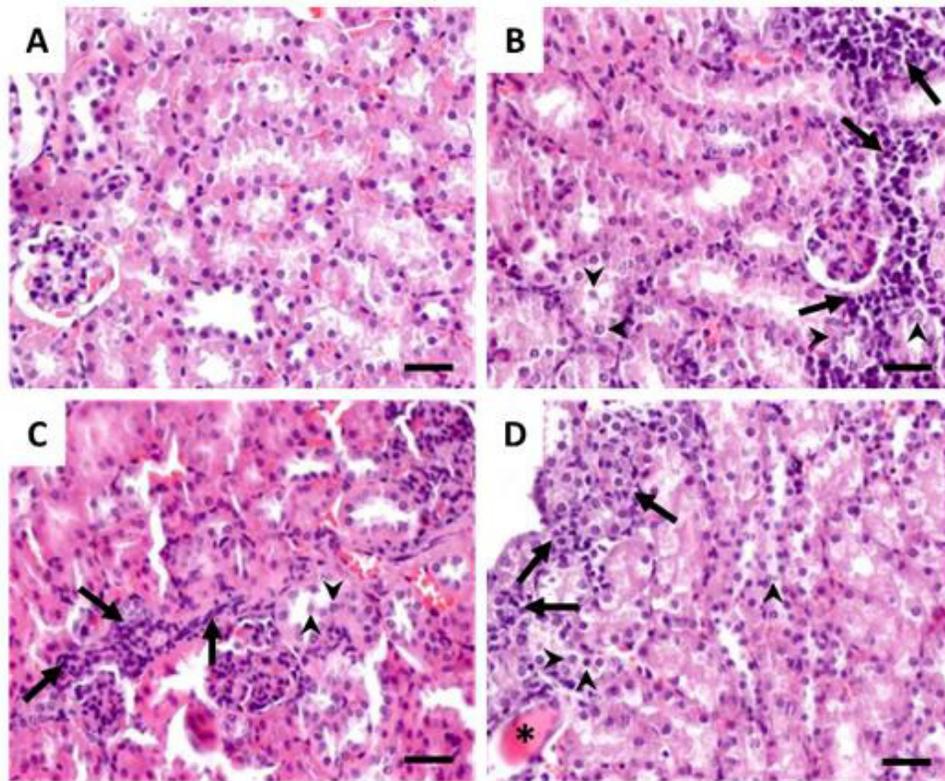
619 **Figure 2** Histopathology of kidneys from no-immunosuppressed, uninfected mice  
620 following intravenous treatment with AMB formulations at a 6 daily therapeutic dose

621 of 5 mg/kg/day. (A) Appearance of normal renal tissue in a kidney from control  
622 group. (B) Tissue from mice treated with AMB:DCH 1:1.5. (C) Tissue from mice  
623 treated with AMB:DCH 1:0. (D) Tissue from mice treated with commercial  
624 formulation (LAMB). Arrow: inflammatory cells. Arrow head: tubular epithelial  
625 damage. Asterisk: intraluminal protein casts. Bar, 100  $\mu$ m.

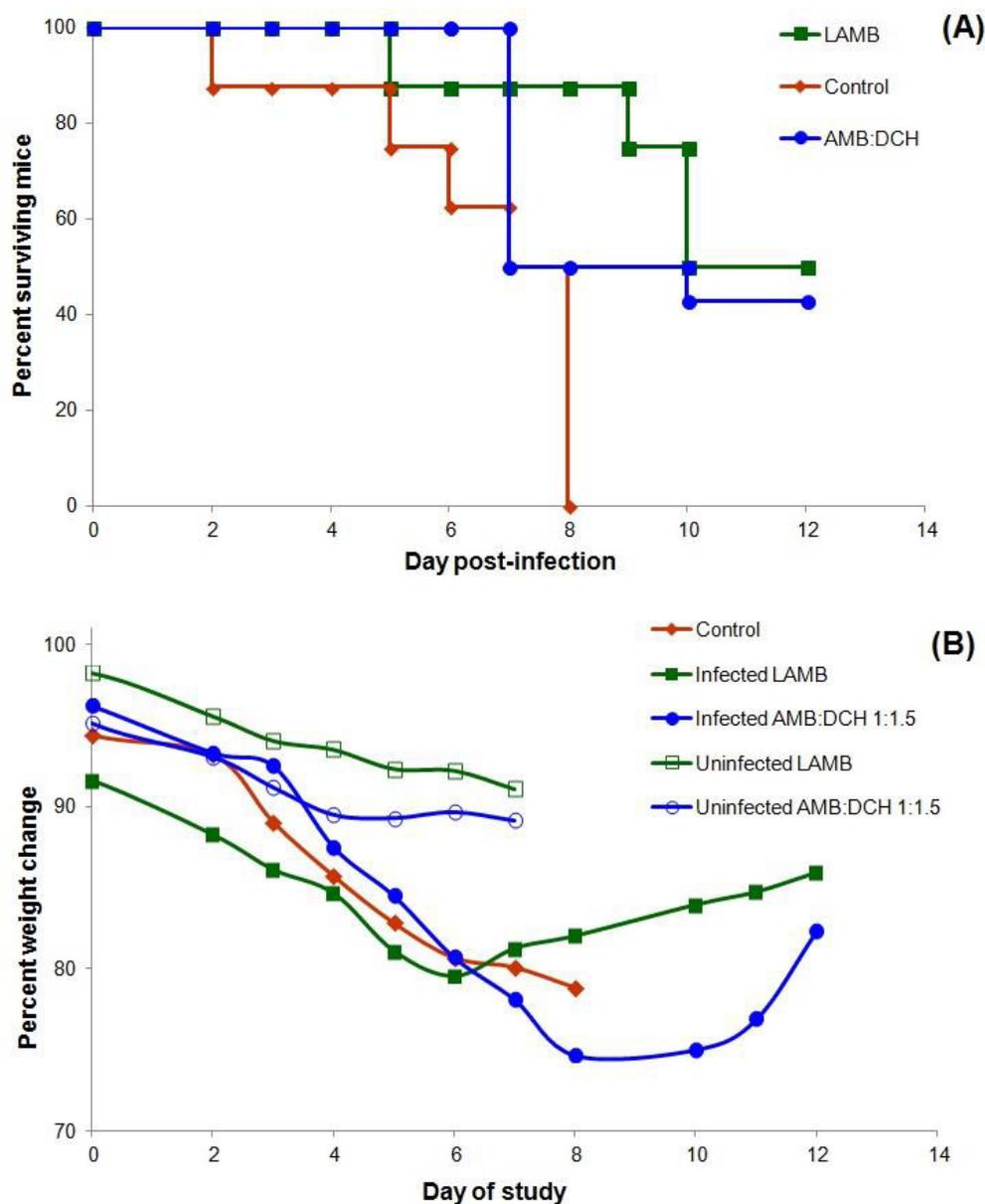
626 **Figure 3** (A) Survival of mice (n= 8 mice/group) challenged intranasally with 20  $\mu$ l  
627 of *A. fumigatus* ( $5 \times 10^4$  conidia/ml) and then intravenously treated with 5 mg/kg for  
628 6 days with placebo (5% dextrose), 5 mg/kg LAMB, and 5 mg/kg AMB:DCH 1:1.5.  
629 (B) Mean percent weight change in uninfected immunosuppressed (n= 8/group): 5  
630 mg/kg LAMB and 5 mg/kg AMB:DCH 1:1.5; and in infected mice (n= 8/group): 5  
631 mg/kg LAMB, and 5 mg/kg AMB:DCH 1:1.5. \* P<0.05 in comparison to control  
632 group.



**Figure 1** Mean and standard deviation of AMB concentrations ( $\mu\text{g/g}$ ) in (A) kidneys and (B) lungs of immunosuppressed mice ( $n=6$  mice/group) intravenously treated with 1 or 6 daily doses of 5 mg/kg of AMB:DCH 1:1.5 or LAMB. \* LAMB treatments with 1 or 6 daily doses were significantly different from AMB:DCH 1:1.5 ( $P<0.01$ ).



**Figure 2** Histopathology of kidneys from no-immunosuppressed, uninfected mice following intravenous treatment with AMB formulations at a 6 daily therapeutic dose of 5 mg/kg/day. (A) Appearance of normal renal tissue in a kidney from control group. (B) Tissue from mice treated with AMB:DCH 1:1.5. (C) Tissue from mice treated with AMB:DCH 1:0. (D) Tissue from mice treated with commercial formulation (LAMB). Arrow: inflammatory cells. Arrow head: tubular epithelial damage. Asterisk: intraluminal protein casts. Bar, 100  $\mu$ m.



**Figure 3** (A) Survival of mice ( $n=8$  mice/group) challenged intranasally with  $20\ \mu\text{l}$  of *A. fumigatus* ( $5 \times 10^4$  conidia/ml) and then intravenously treated with  $5\ \text{mg/kg}$  for 6 days with placebo (5% dextrose),  $5\ \text{mg/kg}$  LAMB, and  $5\ \text{mg/kg}$  AMB:DCH 1:1.5. (B) Mean percent weight change in uninfected immunosuppressed ( $n=8$ /group):  $5\ \text{mg/kg}$  LAMB and  $5\ \text{mg/kg}$  AMB:DCH 1:1.5; and in infected mice ( $n=8$ /group):  $5\ \text{mg/kg}$  LAMB, and  $5\ \text{mg/kg}$  AMB:DCH 1:1.5. \*  $P < 0.05$  in comparison to control group.

**Table 1** Creatinine and BUN concentrations (mg/dl) in uninfected mice 24 h after a 6 daily dose of the indicated amphotericin B formulation group: Control; LAMB (20 mg/kg), LAMB (5 mg/kg), AMB:DCH 1:0 (20 mg/kg), AMB:DCH 1:0 (5 mg/kg), AMB:DCH 1:1.5 (20 mg/kg), AMB:DCH 1:1.5 (5 mg/kg).

| Formulation   | Doses<br>(mg/kg) | Creatinine               | BUN                      |
|---------------|------------------|--------------------------|--------------------------|
|               |                  | $\bar{x} \pm SD$ (mg/dl) | $\bar{x} \pm SD$ (mg/dl) |
| Control       | ---              | 0.18 $\pm$ 0.008         | 18.29 $\pm$ 0.609        |
| LAMB          | 20               | 0.23 $\pm$ 0.034*        | 18.160 $\pm$ 0.963*      |
|               | 5                | 0.24 $\pm$ 0.018*        | 18.29 $\pm$ 0.609*       |
| AMB:DCH 1:0   | 20               | 0.22 $\pm$ 0.028*        | 17.27 $\pm$ 0.875*       |
|               | 5                | 0.23 $\pm$ 0.005*        | 17.73 $\pm$ 1.276*       |
| AMB:DCH 1:1.5 | 20               | 0.20 $\pm$ 0.011*        | 18.20 $\pm$ 0.748*       |
|               | 5                | 0.21 $\pm$ 0.005*        | 19.88 $\pm$ 0.762*       |

\* LAMB treatments at 20 and 5 mg/kg doses were significantly different from control group ( $P < 0.01$  and  $P < 0.001$ , respectively).

AMB:DCH 1:0 treatments at 20 and 5 mg/kg doses were significantly different from control group ( $P = 0.035$  and  $P < 0.01$ , respectively).

AMB:DCH 1:1.5 treatments at 20 and 5 mg/kg doses were not significantly different from control group ( $P = 0.055$  and  $P = 0.057$ , respectively).

LAMB treatments at 20 and 5 mg/kg doses were significantly different from AMB:DCH 1:1.5 ( $P = 0.005$  and  $P = 0.007$ , respectively).

All treatments were not significantly different from control group ( $P > 0.05$ ).