1	Development of a human physiologically based pharmacokinetic
2	(PBPK) model for phthalate (DEHP) and its metabolites: a bottom up
З	modeling approach
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40 Abstract:

41 DEHP exposure to human comes from different sources such as food, diet, cosmetics, toys, medical products, and food wraps. Recently DEHP was categorized under non-42 persistent endocrine disruptor compounds (EDCs) by the world health organization 43 (WHO). There is enough evidence from the rat experimental studies that phthalate 44 causes hepatic, developmental and reproductive toxicity. In human, DEHP rapidly 45 46 metabolizes into a toxic metabolite MEHP. This MEHP further metabolizes into the different chemical forms of 5OH-MEHP, 5oxo-MEHP, 5cx-MEPP and phthalic acid. A 47 simple pharmacokinetics model has been developed for the DEHP with limited number 48 49 of metabolites. A chemical like DEHP that extensively undergoes metabolism producing many harmful metabolites urges to develop a detail metabolic kinetics. A 50 physiological based pharmacokinetics (PBPK) model of DEHP that considers all the 51 major metabolites in human has not been developed yet. The objective of this study is to 52 develop a detail human PBPK model for the DEHP and its major metabolites by a 53 bottom-up modelling approach integrating in vitro metabolic data. This approach uses 54 an in-vitro to in-vivo extrapolation (IVIVE) method and Quantitative structure activity 55 56 relationship (QSAR) for the parameterization of the model. Monte Carlo simulations were performed to estimate the impact of parametric uncertainty onto model 57 predictions. First the model was calibrated using control human kinetic study that 58 59 represents the time course of the DEHP metabolites in blood and urine. Then, the model was evaluated against the published independent data of different dosing scenarios. The 60 results of model predictions for the DEHP metabolites in blood and urine were well 61 62 within the range of experimentally observed data and it also captured the trend of time course profile similarly to the observed data, showing model good predictability. The 63 current developed PBPK model can be used for the prediction of the time course of 64 65 chemical concentrations not only in the blood and urine but also in the other compartment even for different exposure scenarios. Moreover, this model can also be 66 used to explore different biomonitoring studies for human health risk assessment and 67 68 might be useful for integrative toxicological study in improving exposure-target tissue dose-response relationship. 69

Keywords: DEHP; MEHP; Pharmacokinetics; PBPK; Human health Risk assessment;
 IVIVE; Endocrine disruptors; human biomonitoring

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75 **1. Introduction**

76 Phthalates are ubiquitous environmental contaminants made up of dialkyl esters or alkyl and aryl esters of ortho-phthalic acid (1,2-dicarboxylic acid). Among Phthalates Di-2-77 ethylhexyl phthalate (DEHP) is the most important because of its large and widespread 78 79 uses in industries as a plasticizer. It is found in food, cosmetics, toys, medical products 80 and food packaging, mostly used as a plasticizer. The total dietary intake (TDI) of 50µg/kg BW/day limit has been set by the EFSA and the European chemical agency 81 (ECHA) to assess the risk related to DEHP exposure (EFSA, 2015; ECHA, 2010). 82 Recently reported studies on the total dietary intake mean value of DEHP in different 83

cohort studies for several countries estimated in the range of 0.42 to 11.67 μ g/kg bw/day, which is far below the threshold set by the EFSA and the ECHA (Fromme et al., 2007; Dickson-Spillmann et al., 2009; Sioenet al., 2012; Heinemeyer et al., 2013 ;Martine et al., 2013; Martínez et al., 2017).

DEHP has a short half-life and it does not accumulate inside the body (Krotz et al., 88 2012). DEHP completely metabolizes into a toxic metabolite mono-(2-ethylhexyl) 89 phthalate (MEHP). MEHP further metabolize into different chemical forms like 5-90 91 hydroxy MEHP, 2-ethyl-5-carboxypentyl phthalate (5-Cx MEPP) and phthalic acid. 5oxo MEHP is another metabolite result of the 5-OH MEHP metabolism. Temporal 92 variability in phthalates exposure from the different sources and their ability to generate 93 94 several forms of metabolites can lead to a stable microenvironment exposure of phthalates to internal organs. This could lead to a pseudo-steady state concentration 95 over a long period of exposure (Meeker et al., 2009). 96

97 Currently, DEHP is of concern on its categorization as a non-persistent endocrine disruptor by the World Health Organization (WHO, 2010). Cobellis, (2003) in his 98 epidemiological study, linked to the exposure of DEHP and the prevalence of 99 100 endometriosis in women. Other studies have also shown that environment relevant dose 101 of phthalates alters estrous cycle, impaired oocyte maturation, decrease ovulation (Anas et al., 2003; Krisher, 2013; Hannon et al., 2014). DEHP and its toxic metabolite MEHP 102 103 mainly alter the estrogen productions and its activity in granulosa cell, required for the development and secretion of the follicles, which might lead to infertility due to hypo-104 105 estrogenic, polycystic ovary and anovulatory cycles (Davis et al. 1994; Lovekamp-106 Swan & Davis 2003). Several hypotheses on phthalates effect on male reproductive toxicities were proposed based on animal studies, for more detail please refer to given 107 references (Richburg et al., 1999; Koji et al., 2001; Sharma et al., 2017a). Increased 108 109 DEHP urinary levels are associated with significant declines in the plasma testosterone concentrations were reported in several cohort studies (Duty et al., 2005; Pan et al., 110 111 2006).

112 To better estimate the physiological concentration of DEHP metabolites in the target tissues such as gonads, it is necessary to understand its pharmacokinetics and the factors 113 controlling its distribution and metabolism within the quantitative framework of a 114 physiologically based pharmacokinetic model. Reliable Physiologically based 115 Pharmacokinetic (PBPK) model will be useful for the establishment of proper dosing 116 metrics for the target tissues (Fabrega et al., 2014), and its applicability to setup the 117 exposure-dose-response relationship for the systems toxicology model(Sharma et al., 118 2017b, 2018). Since 1974, several pharmacokinetic analyses on the DEHP and its 119 120 metabolites have been conducted both in-vitro and in-vivo (animal and humans) (Daniel and Bratt, 1974; Peck and Albro, 1982; Albro, 1986; Ito et al., 2005; Wittassek 121 and Angerer, 2008; Choi et al., 2013). Several pharmacokinetic (PK) models have been 122 developed accounting its major metabolites using simple compartmental approach 123 (Koch et al., 2003, 2004, 2005, 2006; Lorber et al., 2010). Koch et al., (2003, 2004, 124 2005) experimentally investigated several secondary metabolites concentration of 125 DEHP both in the blood and urine describing their time course kinetics. A PK model 126 developed by Lorber et al., (2010) has predicted the DEHP metabolites concentration 127 both in the blood and urine which involves empirical fitting of the two key parameter, 128 one is fraction of chemicals available to undergo metabolism, and, other is rate of 129 dissipation of metabolites, against the observed blood and urine concentration data. 130 However, It lacks the mechanistic metabolic kinetics (Michaelis-Menten reaction), 131

132 considered the most important biotransformation process. Keys et al., (1999) and Cahill et al., (2003) developed a PBPK model of DEHP in both the rats and human, however, 133 134 these models have not included all the metabolites and their kinetics, which might be due to insufficient data on the DEHP metabolic kinetics at that time. Recently, Choi et 135 al., (2012) reported the in vitro metabolic kinetics information on DEHP and its 136 137 metabolites both in the rat and human using hepatic cell line. To best of our knowledge, there is no published detailed target tissue dosimetry model (PBPK), which becomes 138 essential for the chemical like DEHP that produces many metabolites (Daniel and Bratt, 139 1974; Ghosh et al., 2010). The purpose of this study is to develop a detailed PBPK 140 model for DEHP and its major metabolites for the adult human and its evaluation 141 against the experimental data. A bottom-up modeling approach was used for the 142 development of the model. It involves the integration of *in vitro* metabolic and *in silico* 143 data that uses IVIVE (in-vitro in-vivo extrapolation) and QSAR (Quantitative structure 144 activity relationship) tools. These tools have led to possibly build a PBPK model with 145 minimal or no animal experiments, supporting the 3Rs strategies of minimizing animal 146 use. An IVIVE tool has successfully been used in connection with a PBPK to derived 147 in-vivo kinetics from *in vitro* studies using biologically appropriate scaling (Yoon et al., 148 2014; Martin et al., 2015). This work is part of two major EU projects, HEALS and 149 150 EuroMix, where different aspects of in silico models and its applications in human biomonitoring are investigated (Martínez et al., 2017, 2018). 151

This article describes a physiologically based pharmacokinetic (PBPK) model 152 predicting the time variant concentrations of DEHP metabolites such as MEHP 5-OH 153 MEHP, 5-cx MEPP, and 5-oxo MEHP in plasma upon oral dosing of DEHP. The model 154 was used to simulate the cumulative amount of the DEHP metabolites in urine. The in 155 vitro human gut and hepatocyte DEHP metabolic kinetics data were scaled and 156 integrated into the model (Choi et al., 2013). The human experimental observed DEHP 157 metabolites concentration data both in the plasma and urine are used to calibrate the 158 PBPK model. The further model evaluation was done against the independent data on 159 DEHP kinetics for different dosing scenarios (Anderson et al., 2011). Prior mean 160 parameter values were obtained from the published literature or derived from the in-161 vitro and in-silico experiments, whilst accounting for uncertainties in the range of ± 1 to 162 ± 1.5 standard deviation. After sensitivity analysis the most uncertain parameter yet 163 influential parameters were distributed statistically for Monte Carlo simulations. 164

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166 **2. Models and Methods**

2.1.Overview of the modeling approach

The model was coded as a set of ordinary differential equations, written in the GNU 168 MCSim modeling language and solved by numerical integration using the R "deSolve" 169 170 package (Bois and Maszle 1997). Model parameters value was derived from in vitro and in-vivo experiments reported in the literature or using the in-silico approach. Sensitivity 171 analysis of model was done using the mean value of the parameters. After sensitivity 172 analysis the most uncertain yet influential parameters were distributed statistically for 173 Monte Carlo simulations to estimate the impact on model predictions of uncertainty in 174 all of the selected parameters (Bois et al., 2010; Fàbrega et al., 2016). Model equations 175 176 are provided in Annex-B.

The exchange of the chemicals between blood and tissue in each organ is described byflow limited processes i.e. we implement a perfusion rate-limited PBPK model (not

179 permeability limited). The model comprises several compartments i.e. gut, liver, blood, 180 fat, gonad and a compartment representing rest of the body (Fig.1). The gonad compartment was included in the model for its later use in DEHP reproductive toxicity 181 assessment. The only metabolite MEHP was distributed to the given compartments, 182 while other metabolites were confined to the blood compartment presuming their 183 volume of distribution is equivalent to the plasma volume. All physiological parameters 184 such as blood flows and tissue volumes used in the model were obtained from the 185 published literatures (Davies and Morris, 1993; Brown et al., 1997; ICRP, 2002) and are 186 provided in Table A.1 of Annex. The partition coefficients and fractional unbound were 187 obtained from the in-silico approach or literature are provided in Table 1. The 188 calibration of the model was carried out against the human pharmacokinetic 189 experimental data on both the plasma and the urine level of DEHP metabolites reported 190 191 in Koch et al., (2004, 2005). This involves the plasma concentration data during the first 8 hours and the cumulative amount of metabolites in urine over 44 hours following an 192 oral dosing of 48.5mg. Further evaluation of the developed PBPK model was done 193 194 against the other independent pharmacokinetics study done by Anderson et al., (2011) for two different dosing scenarios. In this study, all major metabolites are considered 195 namely; MEHP, 5-OH MEHP, 5-CX MEPP, 5-Oxo MEHP and phthalic acid. All the 196 197 metabolic parameters were derived from *in vitro* cell line study are provided in Table 1.

198 **2.2. Pharmacokinetics of DEHP and its Metabolite**

The rate of metabolite formation is assumed to be equal to the rate of parent compound 199 200 metabolism. DEHP metabolic pathway is provided in Fig.2. DEHP metabolizes to 201 MEHP, which metabolizes into different chemical forms i.e. 5-OH MEHP, 5cx-MEPP, and 2cx-MEPP. Among them, 5-OH MEHP further metabolizes into 5-Oxo MEHP. All 202 the metabolites excrete via urine. Absorption of DEHP from the gut to the liver was 203 204 described by partition coefficient. Both DEHP and MEHP distributed to compartments such as liver, fat, plasma and gonads. However, due to insufficient data on the partition 205 coefficients for other metabolites except MEHP, their distribution confined to the 206 plasma compartment. Thus the volume of distribution of metabolites other than MEHP 207 208 has set equal to the plasma volume.

209 Absorption

Koch et al., (2005) in his study reported that DEHP is completely absorbed from the gut and rapidly metabolized into the MEHP in the liver. The distribution of DEHP from the gut to the plasma is described by its partition coefficient between them. The partition coefficient (gut: plasma) was estimated using QSAR approach of Poulin and Krishnan tissue composition method (Poulin and Krishnan, 1996, 1995; Poulin and Theil, 2000).
The MEHP uptake from the gut the liver was described by the first order rate constant (Adachi et al., 2015).

217 **Distribution**

218 Both the DEHP and the MEHP distribution to the several compartments was done using their partition coefficients estimated by in-silico or derived from the published 219 literature and are provided in Table 2. DEHP partition coefficients were estimated using 220 the QSAR approach based on tissue composition method (Poulin and Krishnan, 1996, 221 1995; Poulin and Theil, 2000). A log ko/w of 7.6 was used to estimate the tissue: 222 223 coefficients. MEHP partition coefficient values plasma partition measured 224 experimentally via vial -equilibration method by Keys et al., (2000) was used for tissue distribution. Other metabolites distributions restricted to the blood compartment only,
assuming their volume of distribution equivalent to the plasma volume. The metabolites
formed in the liver transfer to the blood using first order uptake rate constants and these
parameters were calibrated against the Koch et al., (2005) experimental data.



249 Fig. 1. The figure represents a PBPK model for the DEHP and its metabolites. It includes mainly 250 five compartments and clearance of chemical depends on both metabolism (mainly five metabolites) 251 and urinary elimination. Following oral administration of DEHP(P), it readily metabolizes into 252 MEHP (M1) and MEHP further metabolizes into 5-OH MEHP (M2), 5-cx MEPP (M3) and 253 phthalic acid (M5). 5-OH MEHP (M2) is further metabolizing into 5-oxo MEHP (M4), for detail 254 metabolic scheme refers to Fig. 2. The DEHP and MEHP are distributed to the given 255 compartments. However other metabolites produced in guts and liver are transferred to blood compartments assuming their distribution in a single compartment. The metabolite phthalic acid 256 257 (M5) was not utilized in this model for its further distribution to blood or its elimination (except for 258 MEHP clearance, metabolic conversion to M5), as no data are available to calibrate its 259 concentration in urine or blood.

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261 Elimination

Elimination of DEHP and its metabolites in urine was assumed to be directly proportional to its rate of clearance from the plasma. The model presumed that DEHP clearance solely depends on its metabolism into MEHP (Koch et al., 2004, 2005, 2006; Lorber et al., 2010).

The excretion rates for the MEHP and other metabolites were described by first order 266 rate equation. These excretion rates were obtained by using the relationship of 267 elimination rate constant and chemical's plasma half-life i.e. ratio of ln2 (0.693)/t1/2 268 (half-life). The mean half-lives for MEHP, 5-OH MEHP and 5-CX MEPP and 5-oxo 269 270 MEHP was estimated by Lorber et al., (2010) was used for the model parameterization. . These parameters values were used for the model simulation and calibration against 271 the reported time course concentration of chemicals in the plasma and cumulative 272 excretion profile in the urine reported (Koch et al., 2005). The elimination rate constant 273 274 for MEHP was measured using half-life reported by Mittermeier et al., (2016).

275 **2.3.** *In vitro* intestinal and Hepatocyte metabolic studies

Metabolism of the DEHP both in the liver and gut to MEHP, 5-OH MEHP, 50xo-276 MEHP, 5cx MEPP and phthalic acid was described by the Michaelis-Menten equation 277 278 provided in Eq. (2). This equation includes two important parameters namely Vmax (maximum velocity of metabolic reaction) and Km (affinity i.e. concentration at which 279 280 reactions occurs at half maximal rate). The *in vitro* intestinal and hepatic metabolic rates for several DEHP metabolites were reported in Choi et al., (2012)where author has 281 described mainly five metabolites (MEHP, 5-OH MEHP, 5oxo-MEHP, 5cx MEPP and 282 phthalic acid) kinetic both in the microsomal and cytosol fraction of the intestine and 283 284 the liver. High intrinsic clearance rate i.e. ratio between Vmax and Km for the metabolic conversion of DEHP to MEHP in the cytosolic fraction of intestine and liver 285 was observed(Choi et al., 2012). However, intrinsic clearance for other metabolites in 286 cytosolic fraction was reported to be insignificant. The in-vitro in-vivo extrapolation 287 (IVIVE) method, which involves scaling of in vitro Vmax value to in vivo utilizes 288 physiological specific parameters such as tissue specific microsomal protein content or 289 cytosol protein, specific tissue volume and, body weight (Yoon et al., 2014) was used to 290 291 derive the metabolic parameters. The Eq. (1) describes the scaling approach which is 292 used to derive the Vmax value as an input for the PBPK model. The Michaelis constant i.e. Km for the five metabolites in gut and liver were set equal to the reported in-vitro 293 294 cell line study provided in Table 1. The reported Vmaxin-vitro values, maximum rate of 295 reaction, were scaled to the whole body PBPK using Eq. (1). The reported quantity of MSP in the liver (Godin et al., 2006), and the gut is 52.5 mg/g liver and 20.6 mg/g 296 297 intestine respectively (Godin et al., 2006; Cubitt et al., 2011). Mean value of 80.7 mg and 18 mg of cytosolic protein per gram of the liver and the gut respectively are used 298 for the IVIVE approach (Gibbs et al., 1998). In-vivo scaled Vmax values for each 299 metabolite are provided in Table 2. The schema of metabolism is provided in Fig. 2. 300

301 Vmax(intestine/liver) = (Vmax_{invitro intestine/liver} * MPPGG/MPPGL/CytosolPGG/CytosolPGL * Vgut/Vliver)/BW^{.75} Eq. (1)

303 Where,

304 Vmax is the maximum rate reactions value in the unit of $\mu g/hr/kgBW^{.75}$; MPPGG is the 305 microsomal protein per gram of gut; MPPGL is the microsomal protein per gram of

- liver; CytosolPGG is the cytosolic protein per gram of gut; CytosolPGL is the cytosolic
- 307 protein per gram of liver
- 308 Vgut and Vliver is the volume of gut and liver respectively
- 309

310
$$\frac{dA_{mets}}{dt} = \frac{Vmax*C_t*f_u}{km+C_t*f_u}$$
 Eq. (2)

- 311 Where,
- 312 Ct is the corresponding concentration in tissue and fu is the fraction unbound constant.
- 313 Vmax (μ g/hr/whole body weight) is the maximum rate for the corresponding reactions;
- 314 Km is the affinity constant concentration at which half of the Vmax occurs.

315 $\frac{dA_{mets}}{dt}$ is the rate of production of metabolites

316

317 Metabolism pathway



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Fig. 2. Represent the schematic metabolic pathway of DEHP in the human gut and liver. The productions of metabolites follow same structure in PBPK and were described using Michaelis Menten equation. The corresponding re1, re2, re3, re4, and re5 represent the Michaelis-Menten metabolic reaction used in the model represented in the *Eq.* (2).

323 2.4. In vivo Human Pharmacokinetics study

In-vivo pharmacokinetics of DEHP and its metabolites are well characterized in several 324 studies (Koch et al., 2006, 2005, 2004; Anderson et al., 2011; Lorber et al., 2010). Koch 325 326 et al., (2004, 2005) studies involved the self dosing of 48.5 mg of D4-DEHP by volunteer (n = 1). The volunteer aged 61, 175 cm tall and weighing 75 kg. Plasma 327 concentrations for MEHP, 5-OH MEHP, 5-oxo MEHP and 5-Cx MEPP were measured 328 at 2,4, 6 and 8.3 hours upon DEHP self dosing. In the same study, urine samples were 329 collected until 44hr and the cumulative amount of DEHP metabolites were reported. 330 This study was accounted for the model calibration. koch et al., (2005) monitored two 331 332 metabolites namely 5-cx MEPP and 2cx MMHP in both plasma and urine. koch et al., (2005) found 5-OH MEHP and 5-cx MEPP as major metabolites in the urine and 333

observed no dose dependency related to the amount of metabolites. The 5-cx MEPP
metabolite was not included in the current model since there is no data on its metabolic
kinetics (rate of production).

Anderson et al., (2011) analyzed DEHP pharmacokinetics in urine. For this analysis, 337 two scenarios were considered: one at the high dose of 2.8 mg D4-DEHP and second at 338 a low dose of 0.31mg D4-DEHP. This pharmacokinetics study included 20 volunteers 339 (10 males and 10 females) of following characteristics aged greater than 18 years, BMI 340 between 19 and 32kg/m² and body weight greater than 60 kg. The cumulative amount of 341 DEHP metabolites concentration in urine was reported as a percentage of mole dosing. 342 The cumulative DEHP metabolites urine data were used for evaluation of the developed 343 model keeping all the model's parameters same except subject body characteristics such 344 345 as BW and BMI.

346 **2.5. Sensitivity analysis**

A Local sensitivity analysis was carried out for the PBPK model. The R package FME was used, which measures the alteration in model output for the variable of interest by changing each parameter by 1 percentage up and down whilst keeping other ones constant. Detailed information about the functions of FME can be found in Soetaert and Petzoldt, (2010).

352
$$Si, j = \frac{\partial yj}{\partial pi} * \frac{V_{pi}}{V_{yj}}$$

353 Where,

Si, *j* is the sensitivity of parameter *i* for model variable *j* and is normalized and dimensionless. *yj* is a model output variable (DEHP Metabolites time-plasma concentration profile), *pi* is parameters involved in PBPK model, V_{pi} is the scaling of parameters *pi* and V_{vi} is the scaling of variable *yj*.

These sensitivity functions collapsed into a summary of sensitivity values and it includes L1 norm, L2 norm, Mean, Min and Max. The magnitude of the time-averaged sensitivity values was used to rank the parameters.

361 Where
$$L1 = \sum \frac{|Sij|}{n}$$
 and $L2 = \sqrt{\sum \frac{(S_{ij}^2)}{n}}$

362 **2.6. Parameter and its distribution**

Human physiological data, in vitro data and QSAR estimates, were used for the 363 parameterization of the model. Only Pharmacokinetic specific parameters such as 364 365 partition coefficients, metabolisms and elimination rate constant are selected for uncertainty analysis. Prior mean parameter values were obtained from in-silico, in-vitro 366 367 and in-vivo experiments reported in the literature. The model parameters value is provided in Table 1. The model parameters are distributed log normally in the range of 368 ± 1 to ± 1.5 standard deviations accounting uncertainty on model predictions. Monte 369 Carlo simulations were performed to estimate the uncertainty proceeded by sampling 370 one random value (out of its assigned distribution) for each selected parameter. The 371 372 model was then run and its outputs (predictions) recorded. Those two steps were

- iterated 20000 times, and the collected output values formed a random sample, for with
- we computed the mean, the SD, and any percentile of interest.
- 375

Table 1. DEHP parameter values and statistical distributions

Parameters	Symbols	Units	Values or distributions	References
Molecular weight (DEHP)	MW	g/mole	391	-
Molecular weight (D4- MEHP)	MW	g/mole	281	Anderson et al., (2011)
Molecular weight (MEHP-OH)	MW	g/mole	297	Anderson et al., (2011)
Molecular weight (D4-5-oxo MEHP)	MW	g/mole	295	Anderson et al., (2011)
Molecular weight (D4-5-cx MEPP)	MW	g/mole	311	Anderson et al., (2011)
Octanol:water partition coefficient	LogKo:w	-	7.60 ^a	-
Partition coefficients				
Gut/Plasma	k_gut_plasma		<i>LN</i> (12.86, 1.1) b	-
Liver /Plasma	k_liver_plasma	-	<i>LN</i> (10.16, 1.1) b	-
Gonads/Plasma	k_gonads_plasma	-	<i>LN</i> (6.5, 1.1) ^b	-
Fat/Plasma	k_fat_plasma	-	LN (188, 1.1) ^b	-
Rest of the body/Plasma	k_restbody_plasma	-	<i>LN</i> (6.24, 1.1) _{b*}	-
Liver/ Plasma	k_liver_plasmaM1	-	LN (1.7, 1.1)	(Keys et al., 2000)
Gonads/Plasma	k_gonads_plasmaM1	-	LN (0.6, 1.1)	(Keys et al., 2000)
Fat/Plasma	k_fat_plasmaM1	-	<i>LN</i> (0.12, 1.1)	(Keys et al., 2000)
Rest of the body/Plasma	k_restbody_plasmaM1	-	LN (0.38, 1.1)	Set to slow perfused organ (muscle) (Keys et al., 1999)

Uptake rate of 5- OHMEHP to blood	K _{tM2}	1/h	LN (.07, 1.5)	Optimzed against data of koch et al.,(2003, 2005)
Uptake rate of 5-oxo MEHP to the blood	K _{tM4}	1/h	LN (0.08, 1.5)	Optimized against data koch et al.,(2003, 2005)
Absorption and elimination	on parameters			
Unbound fraction in plasma for MEHP	fup	-	0.007	(Adachi et al., 2015)
Oral absorption rate	kgut	1/h	LN (7, 1.5)	(Adachi et al., 2015)
Elimination rate constant (M1)	kurineM1	1/h	<i>LN</i> (0.35, 1.1)	Calculated
Elimination rate constant (M2)	kurineM2	1/h	<i>LN</i> (0.69, 1.1) ^c	Calculated
Elimination rate constant (M3)	kurineM3	1/h	<i>LN</i> (0.69, 1.1) ^c	Calculated
Elimination rate constant (M4)	kurineM4	1/h	<i>LN</i> (3.47, 1.1) ^c	Calculated
Metabolic parameters for	DEHP and its metabolite	s in the gut		
DEHP to MEHP in intestinal MSP maximum reaction value	vmaxgutM1	µg/min/mg MSP	LN (0.11,1.1) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmgutM1	μg/L	6956	(Choi et al., 2013)
DEHP to MEHP in gut cytosol maximum reaction value	vmaxgutM1cyt_invitro	µg/min/mg cytosol	LN (0.312, 1.1) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmgut_cytM1	μg/L	7038	(Choi et al., 2013)
MEHP to 5-OH MEHP maximum reaction value	vmaxgutM2_invitro	µg/min/mg MSP	LN (0.0012, 1.1) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmgutM2	μg/L	22508	(Choi et al., 2013)
MEHP to 5-carboxy MEPP maximum reaction value	vmaxgutM3_invitro	µg/min/mg MSP	0	(Choi et al., 2013)

Conc. at half maximum value	kmgutM3	μg/L	0	(Choi et al., 2013)
MEHP-OH to 5-oxo MEHP maximum reaction value	vmaxgutM4_invitro	µg/min/mg MSP	LN (0.0012, 1.5) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmgutM4	μg/L	219076	(Choi et al., 2013)
MEHP to phthalic acid maximum reaction value	vmaxgutM5_invitro	µg/min/mg MSP	LN (0.285, 1.1) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmgutM5	µg/L	187652	(Choi et al., 2013)
Metabolic parameters for	• DEHP and its metabolite	es in the liver		
DEHP to MEHP in liver MSP maximum reaction value	vmaxlivM1	µg/min/mg MSP	LN (0.112, 1.1) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmlivM1	μg/L	11847.3	(Choi et al., 2013)
DEHP to MEHP in liver cytosol maximum reaction value	vmaxlivM1cyt_invitro	µg/min/mg cytosol	LN (0.036, 1.1) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmliv_cytM1	μg/L	2228.7	(Choi et al., 2013)
MEHP to 5-OH MEHP maximum reaction value	vmaxlivM2_invitro	µg/min/mg MSP	LN (0.172, 1.1) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmlivM2	μg/L	7980.4	(Choi et al., 2013)
MEHP to 5-carboxy MEPP maximum reaction value	vmaxlivM3_invitro	µg/min/mg MSP	LN (0.0023, 1.5) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmlivM3	μg/L	1124	(Choi et al., 2013)
MEHP-OH to 5-oxo MEHP maximum reaction value	vmaxlivM4_invitro	µg/min/mg MSP	LN (0.003, 1.1) ^d	(Choi et al., 2013)
Conc. at half maximum value	kmlivM4	µg/L	23,117.7	(Choi et al., 2013)
MEHP to phthalic acid maximum reaction value	vmaxlivM5_invitro	µg/min/mg MSP	LN (0.088, 1.1) ^d	(Choi et al., 2013)

Conc. at half maximum	kmlivM5	μg/L	141315	(Choi et al., 2013)
value				

a = value taken form PubChem

381

b = partition coefficient calculated based on tissue composition method using (Poulin and Krishnan, 1996, 1995;
 Poulin and Theil, 2000)

379 c = value is first estimated applying following relationship i.e. elimination rate constant = $0.693/t_{1/2}$

380 d = parameters value needs to scale to whole body weight prior to use in model

382 **3. Results and Discussions**

In this study, parameters such as partition coefficient, biochemical (metabolism), absorption, elimination as an input and target variables such as DEHP metabolites concentration as a model output, were considered to conduct sensitivity analysis and uncertainty analysis. The bottom up approach was used for the development of the PBPK model and all parameters were derived from in-silico (QSAR), *in vitro* (metabolism) and published literature. The results are described and discussed in the following subsection

390 **3.1. Sensitivity analysis results**

391 The local sensitivity analysis was carried out for all the kinetic parameters that were 392 used in the development of PBPK model. The human physiological parameters were 393 not included for the Monte Carlo and the sensitivity analysis assuming their inherent variability. The sensitivity coefficient of parameters were estimated using R FME 394 package (Soetaert and Petzoldt, 2010) (described in section 2.5) that uses the initial 395 396 parameter value with allowable relative change in parameters one by one. The results are provided in Table 2. It includes L1 and L2 norm, mean, minimum, maximum, and 397 398 ranking. The table summarizes the statistics of the normalized and dimensionless parameter sensitivity results. The parameters were ranked based on L1 value and a 399 parameter with higher value signifies their higher sensitiveness towards the model 400 401 output. The biochemical parameters such Vmax and Km value have very close 402 sensitivity coefficient. The mean sensitivity coefficient of Vmax shows its negative 403 effect and the Km has positive effect on the model output. , Hence in uncertainty 404 analysis, only Vmax has subjected to statistical distribution not Km as sensitivity results 405 shows that they are highly correlated with each other. The VmaxliverM2 (metabolism 406 of MEHP to MEHP-OH) is the most sensitive parameter (Rank 1) following partition 407 coefficient of liver:plasma (Rank 3). The partition coefficient for the rest of the body and the metabolism of DEHP in the cytosol fraction of both gut and liver are under the 408 409 rank of 10, considering relatively more sensitive than other parameters. The plots for sensitive analysis output i.e. mean sensitivity coefficient are provided in Fig. A.1 410 (Annex-A). The summary statistics tables of parameters' sensitivities for the output of 411 412 DEHP metabolites concentration in plasma is provided in Table. A.9- A.12 (Annex-A).

Table 2. Summary statis	tics of para	meters' se	nsitivities			
Parameters	L1	L2	Mean	Min	Max	Rank
vmaxliverM2	0.61	0.01	-0.45	-3.40	1.00	1
kmliverM2	0.60	0.01	0.44	-1.00	3.39	2

k_liver_plasma	0.57	0.01	-0.57	-2.08	0.00	3
vmaxliverM4	0.43	0.01	-0.36	-3.63	0.99	4
kmliverM4	0.38	0.01	0.32	-0.99	3.39	5
k_restbody_plasma	0.32	0.01	0.27	-0.92	3.85	6
vmaxgut_cytM1	0.30	0.00	-0.29	-8.86	0.54	7
k_liver_plasmaM1	0.29	0.00	-0.14	-1.00	0.40	8
vmaxliver_cytM1	0.21	0.00	-0.21	-3.09	0.12	9
kmliver_cytM1	0.20	0.00	0.20	-0.12	3.04	10
vmaxliverM3	0.19	0.00	0.08	-0.32	1.00	11
kmliverM3	0.18	0.00	-0.07	-1.00	0.32	12
kurineM3	0.17	0.00	-0.15	-2.79	1.00	13
ktM2	0.17	0.00	0.05	-0.67	1.00	14
ktM4	0.15	0.00	0.15	0.00	1.00	15
kmgut_cytM1	0.15	0.00	0.15	-0.30	6.45	16
kurineM2	0.15	0.00	-0.13	-2.20	1.00	17
kurineM1	0.13	0.00	-0.03	-0.47	1.00	18
vmaxgutM1	0.12	0.00	-0.12	-3.57	0.22	19
kurineM4	0.10	0.00	-0.09	-1.13	0.98	20
k_restbody_plasmaM1	0.09	0.00	-0.08	-0.71	0.20	21
vmaxliverM1	0.08	0.00	-0.08	-1.18	0.05	22
kmliverM1	0.08	0.00	0.08	-0.05	1.17	23
kmgutM1	0.06	0.00	0.06	-0.12	2.59	24
k_gut_plasma	0.05	0.00	0.05	0.00	0.37	25
k_gonads_plasma	0.04	0.00	0.04	-0.04	1.59	26
vmaxgutM2	0.03	0.00	0.03	-0.05	1.00	27
kmgutM2	0.03	0.00	-0.03	-1.00	0.00	28
vplasmad	0.03	0.00	-0.03	-1.00	0.00	29
kmliverM5	0.02	0.00	0.02	-0.06	0.10	30
vmaxliverM5	0.02	0.00	-0.02	-0.10	0.03	31
k_fat_plasmaM1	0.02	0.00	0.00	-0.10	0.74	32
k_fat_plasma	0.01	0.00	-0.01	-0.23	0.08	33
k_gonads_plasmaM1	0.01	0.00	0.01	-0.02	0.66	34
vmaxgutM5	0.00	0.00	0.00	-0.03	0.03	35
kmgutM5	0.00	0.00	0.00	-0.01	0.03	36
vmaxgutM4	0.00	0.00	0.00	0.00	0.01	37
kmgutM4	0.00	0.00	0.00	-0.01	0.00	38

413	Table 2: Sensitivity results for both the rat and human PBPK model. It includes L1 and
414	L2 norm, mean, minimum, maximum, and ranking. Ranking of parameter sensitivity
415	coefficient was done based on L1 norm.

3.2. PBPK model calibration results and its evaluation with independent data

The time course of DEHP metabolites concentration in plasma and cumulative amount
in urine were predicted at median, 2.5 and 97.5 percentiles and 20 random predictions.
PBPK model has accounted the parameter statistical distribution followed by sampling

one random value (out of its assigned distribution) and performing Monte Carlo 420 421 simulation reflecting uncertainty in the model. The model does not include any variability factor related to physiological parameters. For the metabolic uncertainties 422 only Vmax values were statistically distributed but not Km considering that they are 423 highly correlated with eac others. Single oral dose of 48.5mg DEHP as an input and the 424 425 observed concentration of metabolites both in the blood and urine as an output were 426 used to calibrate the model. Most of the parameters were derived via either from insilico (estimation of the partition coefficient) (Poulin and Krishnan, 1996, 1995; Poulin 427 428 and Theil, 2000) or from in vitro such as, partition coefficient determined (Keys et al., 2000) and in vitro metabolic data (human hepatocyte and intestinal cell line) (Choi et 429 430 al., 2013). The parameters such as elimination rate constants for the metabolites are 431 derived using mathematical relationship described in models and methods section. The 432 absorption rates of metabolites (mass transfer) from the gut to the liver were set as one (complete mass transfer) except MEHP whose absorption rate constant was derived 433 434 from the literature (Adachi et al., 2015). . The mass transfer rate of metabolites from the liver to the blood was calibrated against the observed data (Koch et al., 2005). The 435 model was developed using the parameters derived from in-silico, in vitro data, and 436 previouslypublished literature, and certain default parameter values, which needed to be 437 438 calibrate. Instead of optimizing all the parameters very specifically to get a point to 439 point prediction against the observed data rather we statistically distributed all the parameters in a range of $1-1.5 \pm SD$ (standard deviation) providing range of predictions. 440 441 Then the model was verified against the blood and urine metabolites concentration data reported by Koch et al., (2005), so that observed data for all metabolites fall within the 442 443 range (2.5th -97.5th) of model predictions. The predictions of the DEHP metabolites 444 concentration in blood and urine included their metabolic kinetics both in the gut and 445 the liver described by Michaelis Menten equation. And the parameters such as Vmax and Km were estimated in vitro by Choi et al., (2013) were scaled to the whole body 446 447 (based on organ weight) and integrated into the model.

448 Fig. 3 (a-d) represents the PBPK model predictions for plasma concentrations of four DEHP metabolites. It can be observed that the model predictions agree quite closely to 449 the observed data. The cumulative excretion of DEHP metabolites is also adequately 450 451 predicted by the model represented in Fig. 4 (a-d) and Table 2. The recently reported in 452 vitro metabolism data shows that the production rate of MEHP from the DEHP is very 453 high (Choi et al., 2013). A similar trend of the kinetic profile was also reported by Koch et al., (2005) where he observed very low or undetectable DEHP blood 454 455 concentration. Given the above facts, the clearance of DEHP is presumed to completely 456 depend on its metabolic conversion to MEHP. The Fig. 3 (a) shows that predicted Cmax 457 (highest chemical plasma concentration) of the MEHP is slightly lower than the 458 observed data even at 97.5 percentile simulation. However, the time course trend of 459 chemical concentrations in plasma is similar to the observed data points. In addition to that, post Cmax, the predictability of the model are in close agreement with the 460 461 observed points. The clearance of MEHP from the body includes both its metabolism and the urinary elimination. 462

Fig. 3 (b) represents the model predictions for MEHP-OH concentrations in blood at 463 2.5, 50 (median) and 97.5th percentiles including 20 random simulations, and the 464 observed data in green dots. The blood Cmax value for 5-OH MEHP is lower than 465 MEHP and 5-Cx MEPP and more than its metabolite 5-oxo MEHP. The observed data 466 points at the terminal elimination are predicted at the lower boundary of the model, 467 where almost all chemicals are eliminated. All the observed blood data points are within 468 the range of the model prediction (2.5, 50 and 97.5th percentiles). The observed 469 production rate of 5-OH MEHP in gut and liver i.e. in vitro metabolism data (Vmax) is 470 higher than the other metabolites (Choi et al., 2013). However, reported blood 471 concentration by Koch et al., (2005) is less than 5-Cx MEPP, another metabolite. The 472 473 reason for its lower blood plasma concentration is might be due to its higher volume of distribution than the other metabolites, the similar observation was noted previously by 474 Lorber et al., (2010) during the calibration of the model. The other reasons might be its 475 higher clearance to the urine and its further metabolism to 5-oxo MEHP. The 476 477 production of 5-OH MEHP depends on the MEHP concentration in both the liver and the gut, and then its distribution to the blood. The transfer of 5-OH MEHP from the 478 479 liver to blood was done using first order rate constant and is calibrated against the 480 observed data. 5-OH MEHP clearance was done based on both its metabolism to the 5-481 oxo MEHP and the urinary elimination. The urinary elimination was described using 482 first order using first order rate constant.

483 Similarly, PBPK model predictions for 5-cx MEPP plasma concentrations as shown in Fig. 3 (c), which is the metabolite of MEHP, appears to be in close agreement with 484 observed data points. The volume of distribution (V_d) was confined to the plasma 485 compartment volume since the distribution of the compound is unknown. The 486 487 production of 5-cx MEPP metabolite from the MEHP in the gut was reported to be null in the *in vitro* experiment (Choi et al., 2013). So, the concentration of 5-oxo MEPP only 488 depends on its production in the liver from the MEHP. Its clearance was described using 489 first order rate constant from the blood to urine. 490

The model predictions for 5-oxo MEHP plasma concentrations as shown in Fig. 3(d), results from metabolism of 5-OH MEHP in both gut and liver, are in close agreements with the observed concentrations. All the observed data points are in compliance with the predicted range of percentile. Its production in gut and liver from the 5-OH MEHP is described using Michaelis Menten reaction. Its volume of distribution is confined to a single compartment of plasma volume. The urinary elimination was described using first order elimination rate from the systemic circulation.



Fig. 3. PBPK model predictions of DEHP metabolites plasma concentration following 48.5 mg oral
dose in human. Red lines: median predictions; blue lines: 2.5 and 97.5 percentiles; gray lines: 20
random simulations. (a) Represents MEHP plasma concentration. (b) Represents 5-hyroxy MEHP
plasma concentration. (c) Represents 5-carboxy MEPP plasma concentration. (d) Represents 5-oxo
MEHP plasma concentration. The green dotes indicate the observed concentrations reported in
(Lorber et al., 2010). Dose unit is converted to microgram prior to use as an input for the model.

505 The four metabolites' blood concentrations are not only in close agreement with 506 observed data points but also captured the time course profile. The Fig. 4 (a-d), 507 presented PBPK prediction of the cumulative amount (µg) urinary excretion of four metabolites for 44hr at median, 2.5 and 97.5 percentiles and for 20 random 508 509 simulations. The simulated urinary amount of DEHP metabolites (cumulative amount) are also in compliance with the experimentally observed cumulative 510 amount (Koch et al., 2005), results are provided in Table 2. It also summarizes the 511 predicted vs observed metabolites elimination as a percent of applied dose in mole 512 513 for three dosing scenarios based on Koch et al., (2005) study. The observed metabolites as a percentage of mole doses are within the range of predictions of 514 the model not only for high dose (use for calibration) but also for other two 515 independent dosing scenarios such as medium (2.15 mg) and low dose (0.35 mg). 516



Fig. 4. PBPK model predictions of DEHP metabolites amount in urine following 48.5 mg oral
dose. Red lines: median predictions; blue lines: 2.5 and 97.5 percentiles; gray lines: 20
random simulations. (a) Represents MEHP cumulative amount (μg) in urine. (b) Represents
5-hyroxy MEHP cumulative amount (μg) in urine. (c) Represents 5-carboxy MEPP
cumulative amount (μg) in urine. (d) Represents 5-oxo MEHP cumulative amount (μg) in
urine. Dose unit is converted to microgram prior to use as an input for the PBPK model.

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	The cumulativ	ve amount of I	Metabolites (µg)	of the D4-DEHI	P in urine	
Study involved	Dose	MEHP	50H-MEHP	5cx-MEPP	5oxo-MEHP	Total dose in µg or percent
Koch et al., (2005) ^a	48,500µg	2500	9000	7500	5000	23500 µg
Present study 2.5 th -97.5 th (median)	48,500µg	1548.2- 3122.7 (2230.5)	3988.6- 10148 (6511)	1585.4- 7086 (3397)	1087- 5497 (2432)	8209.2- 25853.7 (14570.5) μg
	Metaboli	tes of the D4-I	DEHP Dose as a p	percent of applie	ed dose (mol)	
Koch et al., (2005)	48,500µg	7.3	24.1	20.7	14.6	66.7 %
Present study 2.5 th -97.5 th (median)	48,500µg	4.4-8.9 (6.4)	10.8-27.5 (17.6)	4.1-18.3 (8.8)	3.0-15.0 (6.6)	22.3-69.7 (39.44) %
Koch et al., (2005)	2,150 µg	4.3	22.7	19.4	13.0	59.4 %
Present study 2.5 th -97.5 th (median)	2,150 µg	4.3-8.7 (6.2)	8.9-23.3 (14.6)	4.3-19.0 (9.2)	3.02-15.3 (6.7)	20.52-66.3 (36.7) %
Koch et al., (2005)	350 µg	6.2	23.1	15.5	17.3	62.1 %
Present study 2.5 th -97.5 th (median)	350 µg	4.3-8.7 (6.2)	8.8-23.2 (14.5)	4.3-19.0 (9.2)	3.1-15.3 (6.8)	20.5-66.2 (36.7) %

Table 3. Observed and PBPK predicted amount of DEHP (µg) metabolites in urine

52<u>4</u> 525

526

a = values are extracted from the graph presented in manuscript by Koch et al., (2005) Dose unit is converted to microgram prior to use as an input for the PBPK model.

527 Given that the model predictions fit the DEHP metabolites namely MEHP and other metabolites 5-OH MEHP, 5-cx MEPP and 5-oxo MEHP concentration in the blood and 528 529 urine upon 48.5 mg of a single oral dose of DEHP. The structure of the model and the model parameters remained unchanged from their calibrated values, and the predicted 530 531 percentage mole elimination data for four metabolites in urine were compared with the 532 data reported in Anderson et al., (2011) for the evaluation of model credibility. The study included 20 subjects, 10 male, and 10 female, and their overall mean body weight 533 was 74.8 kg. The only additional change in the model is subject body weight. The 534 present model does not include gender variability among 20 subjects, and the mean 535 body weight was taken as an input for model simulation, as current model only 536 537 accounted for the parametric uncertainty, not the variability. Two dosing scenarios namely high dose; a single oral dose of 2.8 mg DEHP and low dose; a single oral dose 538 of 0.31 mg was used for the model simulations. The subject characteristic and dosing 539 540 for respective studies are provided in Table A. (1-3). The predicted urinary data were converted into moles based on their molecular weight in order to standardize the 541 exposure unit data. Then the relation; ((predicted amounts of metabolites in urine 542 543 (moles)/amounts dose (moles)) *100), is used to calculate the percentage molar 544 eliminations on moles basis (Anderson et al., 2011; Koch et al., 2005). The detailed 545 summarized tables are provided in Table A.5 to A.7. The PBPK predicted a range of metabolites elimination as a percentage of doses in mole reflecting the uncertainty in the 546

model. The model output was compared with the observed experimental data. Table 3
summarizes the predicted vs observed percentage amount elimination of metabolites.
The experimentally observed cumulative amount of all metabolites is well within the
range of PBPK simulation.

		Metabolites	of the D4-DE	HP Dose (% mo	ol elimination	l)
Study involved	Dose	MEHP	50H- MEHP	5cx-MEPP	50хо- МЕНР	Total molar elimination (%)
Anderson et al., (2011)	310µg	6.94	16.33	15.90	12.53	51.70
Present study 2.5 th -97.5 th (median)	310µg	4.3-8.7 (6.3)	8.8-22.9 (14.6)	4.3-18.5 (9.2)	3.0-15.2 (6.8)	20.4 -65.2 (36.9)
Anderson et al., (2011)	2800µg	5.67	14.86	11.97	10.00	42.51
Present study 2.5 th -97.5 th (median)	2800µg	4.4-8.7 (6.3)	9.0-23.2 (14.8)	4.3-18.9 (9.2)	3.0-15.3 (6.8)	20.7-66.1 (37.1)

 Table 4. Fraction excretion value (mole percentage) for observed and PBPK predicted of DEHP metabolites

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552 **4. Conclusions and Future work**

553 The results showed that the current developed model can able to predict the plasma and the cumulative urine concentration of the DEHP metabolites for the different exposure 554 scenario. The current model included four metabolites and the generation of metabolites 555 are mechanistically described using integrated physiological parameters and Michaelis-556 557 Menten (M-M) parameters such as Vmax and Km derived from a human 558 hepatic/intestine cell line. The sensitive analysis was done for all the parameters and the 559 metabolic parameters found to be more sensitive than the other parameters. Monte Carlo 560 simulation was used accounting probabilistic information about pharmacokinetics parameters that estimated DEHP metabolites concentration in both the plasma and the 561 562 urine at three percentile considering the uncertainty into the model. Some of the major strength of current predictive model over previously developed models for DEHP are: 563 1) it's a detail PBPK model that integrates the in vitro metabolism data with the 564 565 application of IVIVE to predict metabolites concentrations, instead of calibrating or 566 empirically fitting over observed data, 2) production of metabolites is described using saturation kinetics (M-M equations) retaining its biological plausibility, 3) model can be 567 individualized (personalized) for different populations by understanding 568 the physiological variability, 4) it can be used to predict the target tissue internal 569 570 concentrations for further toxicodynamics study and human health risk assessments. 571 The current developed model did not account for the 2-cx MEPP metabolite due to lack of in vitro metabolic data, considered to be another important metabolite for the 572 573 biomonitoring study. The current PBPK model can be further extended for 2-cx MEPP, once the metabolic data are available. Detailed rat's pharmacokinetic studies that 574 575 include all metabolites could be very useful for further understanding metabolites tissue distribution. The current developed model can be applied in the biomonitoring and
exposome studies for the human health risk assessment (Martínez et al., 2017, 2018).
The developed model can be further extended for the development of an integrated
PBPK/PD systems toxicology model (integrative systems toxicology) to establish the
exposure-internal dose- response relationship (Sharma et al., 2017b).

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