

1 **Multicenter and international study of MIC/MEC distributions for definition of**  
2 **epidemiological cutoff values (ECVs) for species of *Sporothrix* identified by molecular**  
3 **methods**

4  
5 5/22-7/16  
6

7 A. Espinel-Ingroff<sup>1</sup>, D. P. B. Abreu<sup>2</sup>, R. Almeida-Paes<sup>3</sup>, R.S.N. Brillhante<sup>4</sup>, A. Chakrabarti<sup>5</sup>, A.  
8 Chowdhary<sup>6</sup>, F. Hagen<sup>7</sup>, S. Córdoba<sup>8</sup>; G. M. Gonzalez<sup>9</sup>, N. P. Govender<sup>10</sup>, J. Guarro<sup>11</sup>, E. M.  
9 Johnson<sup>12</sup>, S. E. Kidd<sup>13</sup>, S. A. Pereira<sup>3</sup>, A. M. Rodrigues<sup>14</sup>, S. Rozental<sup>15</sup>, M. W. Szeszs<sup>16</sup>, R.  
10 Ballesté Alaniz<sup>17</sup>, A. Bonifaz<sup>18</sup>, L. X. Bonfietti<sup>16</sup>, L. P. Borba-Santos<sup>15</sup>, J. Capilla<sup>11</sup>, AL Colombo<sup>14</sup>,  
11 M. Dolande<sup>19</sup>, M. G. Isla<sup>8</sup>, M. S. C. Melhem<sup>16</sup>, A. C. Mesa-Arango<sup>20</sup>, M. M. E. Oliveira<sup>3</sup>, M. M.  
12 Panizo<sup>19</sup>, Z. Pires de Camargo<sup>14</sup>, R. M. Zancope-Oliveira<sup>3</sup>, J. F. Meis<sup>7</sup>, J. Turnidge<sup>21</sup>

13  
14 <sup>1</sup>VCU Medical Center, Richmond, VA; <sup>2</sup>Universidade Federal Rural do Rio de Janeiro,  
15 Seropédica, Brasil; <sup>3</sup>Fundação Oswaldo Cruz-Fiocruz, Instituto Nacional de Infectologia  
16 Evandro Chagas, Laboratório de Micologia, Rio de Janeiro, RJ, Brasil; <sup>4</sup>Specialized Medical  
17 Mycology Center, Federal University of Ceará, Fortaleza-CE, Brazil; <sup>5</sup>Department of Medical  
18 Microbiology, Postgraduate Institute of Medical Education & Research, Chandigarh, India;  
19 <sup>6</sup>Department of Medical Mycology, Vallabhbai Patel Chest Institute, University of Delhi, Delhi,  
20 India; <sup>7</sup>Canisius Wilhelmina Hospital, Centre of Expertise in Mycology, Nijmegen, The  
21 Netherlands; <sup>8</sup>Departamento Micología; Instituto Nacional de Enfermedades Infecciosas “Dr. C.  
22 G. Malbrán”, Buenos Aires, Argentina; <sup>9</sup>Universidad Autónoma de Nuevo León, Monterrey,  
23 Nuevo León, México; <sup>10</sup>National Institute for Communicable Diseases and University of the  
24 Witwatersrand, Johannesburg, South Africa; <sup>11</sup>Mycology Unit Medical School, Universitat Rovira  
25 i Virgili, Reus, Spain; <sup>12</sup>Mycology Reference Laboratory, Public Health England, Bristol, UK;  
26 <sup>13</sup>National Mycology Reference Centre, SA Pathology, Adelaide, Australia; <sup>14</sup>Universidade  
27 Federal de São Paulo, São Paulo, Brasil; <sup>15</sup>Instituto de Biofísica, Universidade Federal do Rio  
28 de Janeiro, Brasil; <sup>16</sup>Instituto Adolfo Lutz, São Paulo, Araçatuba, Rio Claro Laboratories, Brasil;  
29 <sup>17</sup>Departamento de Laboratorio Clínico, Hospital de Clínicas Dr. M. Quintela, Facultad de  
30 Medicina, Universidad de la República, Montevideo, Uruguay; <sup>18</sup>Hospital General de Mexico,  
31 Mexico City, Mexico; <sup>19</sup>Instituto Nacional de Higiene Rafael Rangel, Caracas, Venezuela;  
32 <sup>20</sup>Grupo de Investigación Dermatológica, Universidad de Antioquía, Medellín, Colombia; and  
33 University of Adelaide, Adelaide, Australia.

34 \*Corresponding author: 3804 Dover Rd., Richmond, VA 23221

35 Phone: 804-358-5895

36 Email: [victoria.ingroff@vcuhealth.org](mailto:victoria.ingroff@vcuhealth.org)

37

38

39

40

## 41 Abstract

42

43 Clinical and Laboratory Standards Institute (CLSI) conditions for testing the  
44 susceptibilities of pathogenic *Sporothrix* species to antifungal agents are based on a  
45 collaborative study that evaluated five clinically relevant isolates of *Sporothrix schenckii sensu*  
46 *lato* and some antifungal agents. With the advent of molecular identification, there are two basic  
47 needs: to confirm the suitability of these testing conditions for all agents and *Sporothrix* species  
48 and to establish species-specific epidemiologic cutoff values (ECVs) or breakpoints (BPs) for  
49 these species. We collected available CLSI MICs/MECs of amphotericin B, five triazoles,  
50 terbinafine, flucytosine and caspofungin for 301 *Sporothrix schenckii sensu stricto*, 486 *S.*  
51 *brasiliensis*, 75 *S. globosa* and 13 *S. mexicana* molecularly identified isolates. Data were  
52 obtained in 17 independent laboratories (Australia, Europe, India, South Africa, South and North  
53 America) using conidial inoculum suspensions and 48-72 h of incubation at 35°C. Sufficient and  
54 suitable data (modal MICs within 2-fold concentrations) allowed the proposal of the following  
55 ECVs for *S. schenckii* and *S. brasiliensis*, respectively: amphotericin B 4 and 4 µg/ml,  
56 itraconazole 2 and 2 µg/ml; posaconazole 2 and 2 µg/ml; and voriconazole 64 and 32 µg/ml;  
57 ketoconazole and terbinafine ECVs for *S. brasiliensis* were 2 and 0.12 µg/ml, respectively.  
58 Insufficient or unsuitable data precluded the calculation of ketoconazole and terbinafine ECVs  
59 for *S. schenckii* as well as ECVs for *S. globosa* and *S. mexicana* or any other antifungal agent.  
60 These ECVs could aid the clinician in identifying potentially resistant isolates (non-wild type)  
61 less likely to respond to therapy.

62 245

## 63 Introduction

64

65 Sporotrichosis is considered a relatively uncommon granulomatous infection of the  
66 cutaneous and subcutaneous tissue, although dissemination to other deep-seated organs has  
67 been reported (1,2). The first case of sporotrichosis was documented in the United States in the

68 late 1800s by Benjamin Schenck (3,4). This case was followed by worldwide reports as well as  
69 numerous outbreaks (e.g., in the South African mines in the 1920s and 1930s, among children  
70 in relatively remote areas of Peru, the Brazilian case clusters, and in the USA (5-8). In addition,  
71 several feline outbreaks caused by *Sporothrix brasiliensis* with transmissions from cat to human  
72 to cat have been reported in Brazil (7,8). Most other outbreaks or infections have been  
73 associated with traumatic inoculation of vegetative materials and/or soil. Until recently, all cases  
74 were attributed to *S. schenckii*, according to phenotypic identification (macro and microscopic  
75 studies, carbohydrate assimilations, and conversion to the yeast phase). The advent of  
76 molecular methodologies and the use of internal transcribed spacer (ITS), region sequence  
77 analysis of chitin-synthase,  $\beta$ -tubulin and calmodulin (CAL) genes indicated that there were  
78 various cryptic species nested in the medically relevant clade. The taxon was considered as the  
79 *Sporothrix schenckii* species complex (8-12). Therefore, sporotrichosis is caused by different  
80 pathogenic species, including the three clinically relevant species evaluated in the present  
81 study: *S. schenckii* sensu stricto (referred from now only as *S. schenckii*), *S. brasiliensis*, and *S.*  
82 *globosa*. We also evaluated one rare species in the environmental clade, *S. mexicana* (10,11).

83  
84 The recommended therapeutic agents for the treatment of human sporotrichosis are  
85 itraconazole, amphotericin B and its lipid formulations (invasive/disseminated disease),  
86 terbinafine, and fluconazole; the saturated solution of potassium iodide has been an alternative  
87 choice for lymphocutaneous/cutaneous infections (2,13-18). Ketoconazole is not used as much  
88 given its low efficacy and potentially severe side effects (13,16). Among the newer triazoles, *in*  
89 *vivo* and *in vitro* activity has been reported with posaconazole in combination with amphotericin  
90 B, while voriconazole has not been considered a therapeutic choice for these infections due to  
91 its high MICs (19,20).

92  
93 The Clinical and Laboratory Standards Institute (CLSI) has described testing conditions  
94 for the “filamentous phase of the *S. schenckii* species complex”, because the initial CLSI  
95 collaborative evaluation predated molecular studies, which only included five isolates that were  
96 documented as “*S. schenckii*” (21,22). Therefore, the species of *Sporothrix* are not mentioned in  
97 the CLSI M38-A2 document (21). In addition, interpretive MIC/MEC categories, either formal  
98 breakpoints (BPs) or epidemiological cutoff values (ECVs), have not been established for any of  
99 *Sporothrix* species. Method-dependent and species-specific ECVs should identify the non-wild  
100 type (non-WT) isolates with reduced susceptibility to the agent being evaluated due to acquired  
101 mutational or other resistance mechanisms (23,24). Whilst ECVs would not predict the clinical

102 success to therapy, these endpoints could identify those isolates less likely to respond to the  
103 specific agents. We have collected available MICs/MECs of nine antifungal agents from 17  
104 laboratories for molecularly identified isolates of four *Sporothrix* species. These MIC/MEC  
105 values represent the antifungal susceptibility of the two more prevalent species (*S. schenckii*  
106 and *S. brasiliensis*) as well of those of *S. globosa* and *S. mexicana* to the different agents as  
107 determined by the CLSI M38-A2 method (21). Although the *in vitro* data were obtained in 17  
108 laboratories, the isolates originated from different geographical areas (Australia, Europe, India,  
109 South Africa, and both South and North American countries).

110  
111 The purpose of the present study was (i) to pool available MIC/MEC data determined by  
112 the broth microdilution M38-A2 method originating from 17 independent laboratories for *S.*  
113 *schenckii*, *S. brasiliensis*, *S. globosa* and *S. mexicana*; (ii) to define the WT susceptibility  
114 MIC/MEC distributions of amphotericin B, five triazoles, terbinafine, flucytosine, and  
115 caspofungin; (iii) to assess the suitability of these distributions for ECV calculation (including  
116 interlaboratory modal agreement); and (iv) to propose CLSI ECVs for two of those species (*S.*  
117 *schenckii* and *S. brasiliensis*) when the agent/species combination comprised >100 MICs that  
118 originated in 3 to 9 laboratories. MICs of *S. globosa* and *S. mexicana* that originated in 3 to 4  
119 laboratories were also listed when the distribution comprised at least 10 isolates from  $\geq 3$   
120 centers; caspofungin, flucytosine and fluconazole data were summarized in the text.

## 121 122 **Results and Discussion**

123  
124 CLSI BPs, which reliably predict clinical response to therapy, are not available for any  
125 filamentous (mould) species including the *Sporothrix* species. While the establishment of BPs  
126 requires, in addition to other parameters, the clinical correlation of both high and low *in vitro*  
127 results with *in vivo* data, ECVs are based solely on *in vitro* data obtained in multiple laboratories  
128 (24,25). ECVs or BPs are needed in order to identify the potential *in vitro* resistance to the agent  
129 under evaluation. Although the scarcity of clinical data has precluded the establishment of CLSI  
130 BPs for mould testing, several ECVs (e.g., for certain species of *Aspergillus*, *Fusarium* and the  
131 Mucorales) are available (23,24,26,27). ECVs should distinguish the two populations (WT and  
132 non-WT) that are present in the MIC/MEC distribution of a species and agent combination.  
133 ECVs for *S. brasiliensis* and some agents were recently reported using data from a single  
134 laboratory (28). However, the definition of ECVs using data from multiple laboratories allows the  
135 evaluation of modal (more frequent value in each MIC/MEC distribution) compatibility among the

136 individual distributions included in the pool (a CLSI requirement) (24). To our knowledge, ECVs  
137 have not been defined for any other *Sporothrix* species; therefore, we collected available MIC/  
138 MEC data for *S. schenckii*, *S. brasiliensis*, *S. globosa* and *S. mexicana* from 17 laboratories  
139 worldwide in order to propose ECVs for several antifungal agents.

140  
141 Another requirement for the definition of ECVs is that the MIC/MEC data must be  
142 accompanied by results for at least one of the quality control (QC) or reference strains (23,24).  
143 Examination of the results for QC or reference isolates in our study demonstrated that  
144 discrepant MICs for the QC and reference strains (21), although uncommon, were obtained in  
145 some laboratories as follows: (i) lower amphotericin B, itraconazole and posaconazole MICs  
146 than the expected limits for the QC *Candida krusei* ATCC 6258 strain from one laboratory; (ii)  
147 lower amphotericin B and posaconazole MICs for the QC isolate *Paecilomyces variotii* ATCC  
148 MYA-3630 and the reference *Aspergillus flavus* ATCC 204304 strains, respectively, from  
149 another laboratory. As far as we know, MIC limits have not been established for terbinafine and  
150 any fungal strain. However, the laboratories that provided terbinafine MICs used as their internal  
151 controls some of the QC or reference isolates. Terbinafine MICs ranged from 0.25 to 1 µg/ml  
152 and 0.25 to 0.5 µg/ml for both *A. fumigatus* ATCC MYA-3626 and *A. flavus* ATCC 204304,  
153 respectively. Nevertheless, the MIC ranges for the *C. krusei* ATCC 6258 (2 to 64 µg/ml) and to  
154 certain extent for *C. parapsilosis* ATCC 22019 (0.01 to 0.5 µg/ml) were wider than the approved  
155 ranges for QC or reference isolates (21). These results indicated that both *Candida* QC strains  
156 could be unsuitable as either QC or reference isolates for terbinafine, but future collaborative  
157 studies should establish control guidelines for this agent.

158  
159 Although we received MIC/MEC data from 17 laboratories for the four *Sporothrix* species  
160 evaluated in the present study, distributions for each species/agent combination were not  
161 collected from each center. In addition, the following unsuitable distributions were excluded: (i)  
162 aberrant (mode at the lowest or highest concentration tested) or distributions where the mode is  
163 not obvious (e.g., distributions having two or more modes), (ii) when MICs for the QC isolate(s)  
164 were outside the recommended limits, or (iii) the mode of a particular distribution was more than  
165 one concentration/dilution than the global mode (23,24). In addition, we only incorporated data  
166 obtained by the same and unmodified M38-A2 testing parameters as per responses to the  
167 survey sent to each laboratory (described below) as follows: (i) MIC distributions that were  
168 obtained using conidial suspensions as the inoculum; (ii) MICs obtained after 48 to 72 h of  
169 incubation at 35°C; and (iii) by the standard growth inhibition criteria for each agent. Those are

170 essentially the M38- A2 testing guidelines for obtaining in vitro data for a variety of non-  
171 dermatophyte mould species and agents; the exception is terbinafine (only evaluated in  
172 multicenter studies for dermatophytes by the CLSI reference method) (21). However, regarding  
173 the *Sporothrix* species, the testing guidelines were based on the multicenter evaluation that  
174 included five isolates of *S. schenckii sensu lato* and four (amphotericin B, fluconazole,  
175 itraconazole and ketoconazole) of the nine agents evaluated in the present study (21,22). Since  
176 collaborative studies have not been conducted with molecularly identified isolates and QC data  
177 are not available for terbinafine, the present collaborative study provides important corroboration  
178 about the testing conditions that could yield the most comparable values for six of the nine  
179 agents (best interlaboratory modal agreement). These parameters could serve as the basis for  
180 further and related studies for evaluating other agents and species, e.g., *S. globosa* and *S.*  
181 *mexicana*.

182  
183 The MIC distributions of the four *Sporothrix* species and six of the nine agents evaluated  
184 are depicted in Table 1. The modal MICs ranged between 0.5 and 2 µg/ml for most of the  
185 species and agent combinations; the exceptions were the higher voriconazole (8 to 16 µg/ml)  
186 and the lower terbinafine modes for *S. brasiliensis* and *S. globosa* (0.06 µg/ml). Flucytosine,  
187 fluconazole and caspofungin data were also collected for *S. schenckii*, *S. brasiliensis* and *S.*  
188 *globosa* from two to five laboratories. Although most of those distributions were either abnormal  
189 or unsuitable for ECV definition, both fluconazole and flucytosine modes were consistently at  
190 the upper end of the distribution ( $\geq 32$  µg/ml) for *S. brasiliensis* and *S. schenckii*, while  
191 caspofungin modes were  $\sim 1$  µg/ml (data not listed in Table 1). While abundant in vitro data are  
192 found in the literature in addition to those summarized in Table 1, these studies (i) predated the  
193 advent of molecular identification, (ii) reported MIC/MEC data mostly for *S. schenckii* and *S.*  
194 *brasiliensis*, and (iii) MICs were obtained for either the yeast or filamentous phase or by  
195 modified versions of the CLSI reference method (e.g., supplemented RPMI broth [2%], 30°C  
196 incubation, longer incubation times) (29-32). Although some MIC ranges in Table 1 were wider  
197 than those in prior studies, owing perhaps to the larger number of isolates (e.g.,  $\geq 200$  versus  $<$   
198 100) and different testing conditions, the antifungal susceptibility trend of those species to the  
199 various agents is similar. When MICs that were obtained using both the yeast and conidial  
200 phases of *S. schenckii* were compared, the yeast phase yielded lower amphotericin B and  
201 itraconazole MICs, while terbinafine MICs were similar or the same (30). There was a need to  
202 ascertain which testing conditions yield the most reproducible results. Our collaborative study  
203 provides such corroboration at least for the two more prevalent species and clinically relevant

204 therapeutic agents. In addition, our results suggest that the incubation time for *S. globosa* needs  
205 to be longer and that further evaluation is needed for *S. mexicana*, among other species.

206  
207 Table 2 summarizes MIC ranges, modes and more importantly our proposed ECVs for  
208 the species and agents with sufficient data to fulfill the current criteria ( $\geq 100$  MICs of each  
209 agent and species obtained in  $\geq 3$  independent laboratories) for establishing method-and  
210 species-dependent ECVs by the iterative statistical method (23,24). The CLSI has selected the  
211 97.5% over the 95% ECVs, both values were calculated and documented. As expected, the  
212 highest ECVs were for voriconazole versus *S. schenckii* and *S. brasiliensis* (64 and 32  $\mu\text{g/ml}$ ,  
213 respectively) and the lowest value for terbinafine and *S. brasiliensis* (0.12  $\mu\text{g/ml}$ ). Sufficient and  
214 suitable terbinafine MIC data were not available to calculate the terbinafine ECV for *S. schenckii*  
215 according to the current criteria; this species/agent combination needs to be further evaluated.  
216 We are also proposing ECVs of 4  $\mu\text{g/ml}$  for amphotericin B and ECVs of 2  $\mu\text{g/ml}$  for three  
217 triazoles and both *S. schenckii* and *S. brasiliensis*. The high ECVs for these two species (e.g.,  
218 amphotericin B and voriconazole ECVs above expected and achievable serum levels) indicate  
219 their resistant nature, as was the case for certain species among the Mucorales and *Fusarium*  
220 spp. (26,27). Although the ECV is not a predictor of clinical response to therapy, the high values  
221 suggest that isolates of these species could be unresponsive to therapy with these agents. On  
222 the other hand, categorization of an isolate as WT does not necessarily signify that it is  
223 susceptible to or treatable by the agent under evaluation.

224  
225 Unfortunately, among the moulds, genetic information concerning the mechanisms of  
226 resistance is mostly available for *A. fumigatus* and the triazoles. To our knowledge that is not  
227 the case for the clinically relevant *Sporothrix* species. In addition, limited data have been  
228 documented regarding the possible correlation between MICs for the *Sporothrix* infective isolate  
229 and the outcome of therapy with the specific agent, including amphotericin B, itraconazole or  
230 terbinafine (17,33). In one of those two studies, five patients who responded to oral itraconazole  
231 (pulse, 400 mg/day one week with a three week break) for lymphangitic and fixed cutaneous  
232 sporotrichosis, the itraconazole MICs for 4 of the 5 infecting *S. schenckii* isolates were either  
233 0.25 or 0.5  $\mu\text{g/ml}$  (17). Those itraconazole MICs were below our proposed ECV of 2  $\mu\text{g/ml}$  for  
234 this species and those strains could be considered WT strains (Table 2). In the other report,  
235 seven patients with various and persistent *S. brasiliensis* infections (including disseminated  
236 disease) were treated for  $\geq 13$  weeks as follows: itraconazole 100 mg (3 patients), terbinafine  
237 200 mg (3 patients) and amphotericin B, followed by 800 mg of posaconazole (1 HIV-infected

238 patient) (33). MICs for the serial infective isolates and the clinical response to therapy were as  
239 follows: itraconazole 1 or 2 µg/ml (patients cured/infection free); terbinafine between 0.03 and  
240 0.12 µg/ml (1 of 3 patients cured); posaconazole 1 µg/ml and amphotericin B between 2 and 4  
241 µg/ml (patient died). Our proposed ECVs for *S. brasiliensis* and those four agents were: 2, 0.12,  
242 2 and 4 µg/ml, respectively, and thus, those infecting isolates also could be considered WT  
243 (Table 2). However, other factors related to the patient immune response or the use of adjuvant  
244 treatments (cryosurgery/curettage) could interfere with meaningful *in vitro* versus *in vivo*  
245 correlations. On the other hand, the combination of posaconazole and amphotericin B was  
246 effective in murine models of disseminated disease caused by *S. schenckii* or *S. brasiliensis*  
247 (34). The infective isolates for the murine model were WT according to our proposed ECVs.  
248 Furthermore, the role of the ECV is not to predict therapeutic outcome, but to identify the non-  
249 WT strains that could be less likely to respond to therapy.

250  
251 In conclusion, the main role of the ECV is to distinguish between WT and non-WT  
252 isolates and aid the clinician in identifying the non-WT isolates that are potentially refractory to  
253 therapy with the agent evaluated. This is important when BPs are not available for the  
254 species/agent being evaluated, which is the case for the *Sporothrix* species. Based on CLSI  
255 MICs from multiple laboratories, we are proposing the following species-specific CLSI ECVs for  
256 *S. schenckii* and *S. brasiliensis*, respectively: amphotericin B, 4 and 4 µg/ml; itraconazole, 2 and  
257 2 µg/ml; posaconazole, 2 and 2 µg/ml; and voriconazole, 64 and 32 µg/ml. Our proposed  
258 ketoconazole and terbinafine ECVs for *S. brasiliensis* are 2 and 0.12 µg/ml, respectively.  
259 Insufficient data precluded the calculation of ketoconazole and terbinafine ECVs for *S.*  
260 *schenckii*, as well as ECVs for *S. globosa* and *S. mexicana* versus any antifungal agent. More  
261 importantly, we have corroborated that the susceptibility testing conditions described in the CLSI  
262 M38-A2 document could yield the most reliable or reproducible results for the two most  
263 prevalent species, which were based on our examination of modes from multiple laboratories.

## 264 265 **Materials and methods**

266  
267 **Isolates.** The isolates evaluated were recovered from clinical specimens (mostly  
268 lymphocutaneous, cutaneous [including disseminated disease] or subcutaneous lesions [>90%])  
269 and to a lesser extent pulmonary lesions or other disseminated infections. In addition, we  
270 received *S. brasiliensis* isolates (cutaneous lesions) of feline origin from 4 of the 17 laboratories.  
271 MIC/MEC data for each agent were determined in each of the following centers: VCU Medical



272 Center, Richmond VA, USA; Universidade Federal Rural do Rio de Janeiro, Seropédica, Brasil;  
273 Fundação Oswaldo Cruz-Fiocruz, Instituto Nacional de Infectologia Evandro Chagas,  
274 Laboratório de Micologia and Laboratório de Pesquisa Clínica em Dermatozoonoses em  
275 Animais Domésticos, Rio de Janeiro, RJ, Brasil; Specialized Medical Mycology Center, Federal  
276 University of Ceará, Fortaleza-CE, Brazil; Department of Medical Microbiology, Postgraduate  
277 Institute of Medical Education & Research, Chandigarh, India; Department of Medical Mycology,  
278 Vallabhbhai Patel Chest Institute, University of Delhi, Delhi, India; Canisius Wilhelmina Hospital,  
279 Centre of Expertise in Mycology, Nijmegen, The Netherlands; Departamento Micologia, Instituto  
280 Nacional de Enfermedades Infecciosas “Dr. C. G. Malbrán”, Buenos Aires, Argentina;  
281 Universidad Autónoma de Nuevo León, Monterrey, Nuevo León, México; National Institute for  
282 Communicable Diseases and University of the Witwatersrand, Johannesburg, South Africa;  
283 Mycology Unit Medical School, Universitat Rovira i Virgili, Reus, Spain; Mycology Reference  
284 Laboratory, Public Health England, Bristol, UK; National Mycology Reference Centre, SA  
285 Pathology, Adelaide, Australia; Universidade Federal de São Paulo, São Paulo, Brasil; Instituto  
286 de Biofísica, Universidade Federal do Rio de Janeiro, Brasil; and Instituto Adolfo Lutz, São  
287 Paulo, Araçatuba, and Rio Claro Laboratories, Brasil.

288  
289 Although data were received from 17 independent laboratories (coded 1 to 17), some  
290 MIC distributions were excluded from the study for previously discussed reasons. The isolates  
291 were identified using phenotypic and genetic approaches (e.g., temperature and nutritional  
292 tests, yeast conversion, species specific PCR and PCR-RFLP calmodulin and  $\beta$ -tubulin  
293 sequencing) (10-12,35). The MIC data used for ECV definition were as follows: 301 *S. schenckii*  
294 and 486 *S. brasiliensis* isolates. Among the 486 isolates of *S. brasiliensis*, 261 were isolated  
295 from cats. In addition, MIC/MEC data were collected for 75 *S. globosa* and 13 *S. mexicana*,  
296 respectively. At least one of the QC isolates (*C. parapsilosis* ATCC 22019, *C. krusei* ATCC  
297 6258, or *P. variotii* ATCC MYA-3630) was evaluated by the participant laboratories during  
298 testing; some laboratories also evaluated the reference isolates *A. flavus* ATCC 204304 or *A.*  
299 *fumigatus* ATCC MYA-3626. MICs were only pooled or used for the calculation of ECVs when  
300 MICs for the QC or reference isolates were consistently within the established MIC limits as  
301 approved by the CLSI (21).

302  
303 ***In vitro* susceptibility testing.** MIC data for each isolate in the set that was included for  
304 analysis or depicted in Tables 1 and 2 were obtained at each center according to the CLSI M38-  
305 A2 broth microdilution method (21) (standard RPMI 1640 broth [0.2% dextrose], final conidial

306 suspensions that ranged from  $0.4 \times 10^4$  to  $5 \times 10^4$  CFU/ml and an incubation at 35°C between 48  
307 to 72 h (*S. schenckii*, *S. brasiliensis*, and *S. mexicana*) or  $\geq 72$  h for *S. globosa*. MICs were the  
308 lowest drug concentrations that produced either complete growth inhibition (100%: amphotericin  
309 B, itraconazole, posaconazole and voriconazole) or partial growth inhibition as follows:  
310 (terbinafine [80%], fluconazole, ketoconazole and flucytosine [50%]), or morphological changes  
311 (casprofungin MECs).

312  
313 **Data analysis.** Data were analyzed by the iterative statistical analysis as previously  
314 described in various ECV reports (24-27). MIC/MEC distributions of each species received from  
315 each center were listed in electronic spreadsheets. Individual distributions were not included in  
316 the final analysis when (i) the distribution had a modal MIC at the lowest or highest  
317 concentration tested or were bimodal or when (ii) unusual modal variation (modes that were  
318 more than one dilution/concentration from the global mode) (24). Data for each species and  
319 agent were only included for the final calculation of ECVs when the total pooled distribution had  
320  $\geq 100$  isolates and originated from at least three laboratories (Tables 1 and 2).

321  
322 **Surveys.** To ascertain that the collected in vitro susceptibility data in our study were  
323 developed following the same testing conditions as described in the CLSI M38-A2 document  
324 (21), a survey was sent to the 17 participant laboratories requesting the following information: (i)  
325 the source of the agents used; (ii) the formulation of the RPMI medium as described in the CLSI  
326 document; (iii) the cells (conidia versus yeasts) and count used to prepare the inoculum  
327 suspensions; and (iv) the growth inhibition criteria to determine MICs/MECs for each agent  
328 (including incubation temperature and length, and percentage of growth inhibition). The  
329 laboratories were also requested to provide MIC/MEC data for at least one of the QC or  
330 reference isolates (21).

### 331 332 **Acknowledgments**

333  
334 We would like to thank the technical personnel at the National Institute for  
335 Communicable Diseases, Johannesburg, South Africa, at the VCU Medical Center, Richmond,  
336 VA, USA as well as to Ana Caroline de Sá Machado, Jéssica Sepulveda Boechat, Isabella Dib  
337 Ferreira Gremião and Tânia Maria Pacheco Schubach (Instituto Nacional de Infectologia  
338 Evandro Chagas (INI), Fundação Oswaldo Cruz, Fiocruz, Brasil).

339

340  
341  
342  
343  
344  
345  
346  
347  
348  
349  
350  
351  
352  
353  
354  
355  
356  
357  
358  
359  
360  
361  
362  
363  
364  
365  
366  
367  
368  
369  
370  
371  
372  
373  
374  
375

## References

1. **López-Romero E, Reyes-Montes MR, Pérez-Torres A, Ruiz-Baca E, Villagómez-Castro JC, Mora-Montes HM, Flores-Carreón A, Toriello C.** 2011. *Sporothrix schenckii* complex and sporotrichosis, an emerging health problem. *Future Microbiol.* **6**:85-102. doi: 10.2217/fmb.10.157.
2. **Mahajan VK.** 2014. Sporotrichosis: an overview and therapeutic options. *Dermatol. Res. Pract.* 272376. <http://dx.doi.org/10.1155/2014/272376>.
3. **Schenck BR.** 1898. On refractory subcutaneous abscesses caused by a fungus possibly related to the sporotricha. *Johns Hopkins Hosp. Bull.* **9**:286-290.
4. **Espinel-Ingroff A.** 1996. A history of medical mycology in the United States. *Clin. Microbiol. Rev.* **9**:235-272.
5. **Pijper A, Pullinger DB.** 1927. An outbreak of sporotrichosis among South African native miners. *Lancet.* **210**:914–916.
6. **Pappas PG, Tellez I, Deep AE, Nolasco D, Holgado W, Bustamante B.** 2000. Sporotrichosis in Peru: Description of an area of hyperendemicity. *Clin. Infect. Dis.* **30**: 65–70.
7. **Barros MBL, Schubach TMP, Galhardo MCG, Schubach OA, Fialho Monteiro PCF, Santos RS, Oliveira RMZ, Lazéra MS, Maya TC, Blanco TCM, Marzochi KBF, Wanke B, Valle ACF.** 2001. Sporotrichosis an emergent zoonosis in Rio de Janeiro. *Mem. Inst. Oswaldo Cruz.* **96**:777–779.
8. **Rodrigues AM, de Teixeira M, de Hoog GS, Schubach TMP, Pereira SA, Fernandes GF, Lopez-Becerra, LM, Felipe MS, Camargo ZP.** 2013. Phylogenetic analysis reveals a high prevalence of *Sporothrix brasiliensis* in feline sporotrichosis outbreaks. *PLoS Negl. Trop. Dis.* **7**: e2281. doi: 10.1371/journal.pntd.0002281 PMID: 23818999; PubMed Central PMCID: PMC3688539.
9. **de Beer ZW, Harrington TC, Vismer HF, Wingfield BD, Wingfield MJ.** 2003. Phylogeny of the *Ophiostoma stenoceras-Sporothrix schenckii* complex. *Mycologia.* **95**: 434–441.
10. **Marimon R., Gene J, Cano J, Trilles L, Dos Santos Lazera M, Guarro J.** 2006. Molecular phylogeny of *Sporothrix schenckii*. *J. Clin. Microbiol.* **44**:3251–3256.

- 376 **11. Marimon R, Cano J, Gene J, Sutton DA, Kawasaki M, Guarro J.** 2007. *Sporothrix*  
377 *brasiliensis*, *S. globosa*, and *S. mexicana*, three new *Sporothrix* species of clinical  
378 interest. *J. Clin. Microb.* **45**:3198–3206.
- 379 **12. Rodrigues AM, de Hoog S, Camargo ZP.** 2014. Genotyping species of the *Sporothrix*  
380 *schenckii* complex by PCR-RFLP of calmodulin. *Diag. Microb. Infect. Dis.* **78**: 283-287
- 381 **13. Dismukes WE, Stamm AM, Graybill JR, Craven PC, Stevens DA, Stiller RL, Sarosi**  
382 **GA, Medoff G, Gregg CR, Gallis HA, Fields BT jr, Marier RL, Kerkering TA,**  
383 **Kaplowitz LG, Cloud G, Bowles C, Shadomy S.** 1983. Treatment of systemic mycoses  
384 with ketoconazole: emphasis on toxicity and clinical response in 52 patients. National  
385 Institute of Allergy and Infectious Diseases collaborative antifungal study. *Annals Intern.*  
386 *Med.* **98**:13–20.
- 387 **14. Chapman SW, Pappas P, Kauffman C, Smith EB, Dietze R, Tiraboschi-Foss RN,**  
388 **Restrepo A, Bustamante AB, Opper C, Emady-Azar S, Bakshi R.** 2004. Comparative  
389 evaluation of the efficacy and safety of two doses of terbinafine (500 and 1000 mg  
390 day\_1) in the treatment of cutaneous or lymphocutaneous sporotrichosis. *Mycoses.*  
391 **47**:62–68.
- 392 **15. Francesconi G, Francesconi do Valle AC, Passos SL, de Lima Barros MB, de**  
393 **Almeida Paes R, Curi AL, Liporage J, Porto CF, Galhardo MC.** 2011. Comparative  
394 study of 250 mg/day terbinafine and 100 mg/day itraconazole for the treatment of  
395 cutaneous sporotrichosis. *Mycopathologia.* **171**:349–354.
- 396 **16. Kauffman CA, Bustamante B, Chapman SW, Pappas PG, Infectious Diseases**  
397 **Society of America.** 2007. Clinical practice guidelines for the management of  
398 sporotrichosis: 2007 update by the Infectious Diseases Society of America. *Clin. Infect.*  
399 *Dis.* **45**:1255–1265.
- 400 **17. Bonifaz A, Fierro L, Saul A, Ponce RM.** 2008. Cutaneous sporotrichosis. Intermittent  
401 treatment (pulses) with itraconazole. *Eur. J. Dermatol.* **18**:1-4.
- 402 **18. Tirado-Sánchez A, Bonifaz A.** 2016. Sporotrichosis in Children: An update. *Curr*  
403 *Fungal Infect. Rep.* DOI 10.1007/s12281-016-0259-0.
- 404 **19. Bunce PE, Yang L, Chun S, Zhang SX, Trinkaus MA, Matukas LM.** 2012.  
405 Disseminated sporotrichosis in a patient with hairy cell leukemia treated with  
406 amphotericin B and posaconazole. *Med. Mycol.* **50**:197–201.  
407 <http://dx.doi.org/10.3109/13693786.2011.584074>.

- 408 **20. Mario DN, Guarro J, Santurio JM, Alves SH, Capilla J.** 2015. In vitro and in vivo  
409 efficacy of amphotericin B combined with posaconazole against experimental  
410 disseminated sporotrichosis. *Antimicrob. Agents Chemother.* **59**:5018–5021.
- 411 **21. Clinical and Laboratory Standards Institute.** 2008. Reference method for broth  
412 dilution antifungal susceptibility testing of filamentous fungi, 2<sup>nd</sup> ed. Approved standard  
413 M38-A2. Clinical and Laboratory Standards Institute, Wayne, PA.
- 414 **22. Espinel-ingroff A, Dawson K, Pfaller M, Anaissie E, Breslin B, Dixon D, Fothergill**  
415 **A, Paetznick V, Peter J, Rinaldi M, Walsh T.** 1995. Comparative and collaborative  
416 evaluation of standardization of antifungal susceptibility testing for filamentous fungi.  
417 *Antimicrob. Agents Chemother.* **39**:314-319.
- 418 **23. Clinical and Laboratory Standards Institute.** 2016. Epidemiological cutoff values for  
419 antifungal susceptibility testing. CLSI supplement M59 document. Clinical and laboratory  
420 Standards Institute, Wayne, PA.
- 421 **24. Espinel-Ingroff A, Turnidge J.** 2016. The role of epidemiological cutoff values  
422 (ECVs/ECOFFs) in antifungal susceptibility testing and interpretation for uncommon  
423 yeasts and moulds. *Rev. Iberoam. Micol.* **33**:63–75.  
424 <https://doi.org/10.1016/j.riam.2016.04.001>.
- 425 **25. Turnidge J, Kahmeter G, Kronvall G.** 2006. Statistical characterization of  
426 bacterial wild-type MIC value distributions and the determination of epidemiological cut-  
427 off values. *Clin. Microbiol. Infect.* **12**:418–425.  
428 <https://doi.org/10.1111/j.1469-0691.2006.01377.x>.
- 429 **26. Espinel-Ingroff A, Chakrabarti A, Chowdhary A, Cordoba S, Dannaoui E, Dufresne**  
430 **P, Fothergill A, Ghannoum M, Gonzalez GM, Guarro J, Kidd S, Lass-Flörl C, Meis**  
431 **JF, Pelaez T, Tortorano AM, Turnidge J.** 2015. Multicenter evaluation of MIC  
432 distributions for epidemiologic cutoff value definition to detect amphotericin B,  
433 posaconazole, and itraconazole resistance among the most clinically relevant species of  
434 Mucorales. *Antimicrob. Agents Chemother.* **59**:1745–1750.
- 435 **27. Espinel-Ingroff A, Colombo AL, Cordoba S, Dufresne PJ, Fuller JD, Ghannoum M,**  
436 **Gonzalez GM, Guarro J, Kidd SE, Meis JF, Melhem TM, Pelaez T, Pfaller MA,**  
437 **Szeszs MW, Takahaschi JP, Tortorano AM, Wiederhold NP, Turnidge J.** 2016. An  
438 international evaluation of MIC distributions and ECV definition for *Fusarium* species  
439 identified by molecular methods for the CLSI broth microdilution method. *Antimicrob.*  
440 *Agents Chemother.* **60**:1079–1084.

- 441 **28. Almeida-Paes R, Brito-Santos F, Figueiredo-Carvalho MHG, Sá Machado AC,**  
442 **Oliveira MME, Pereira SA, Gutierrez-Galhardo MC, Zancopé-Oliveira RM.** 2017.  
443 Minimal inhibitory concentration distributions and epidemiological cutoff values of five  
444 antifungal agents against *Sporothrix brasiliensis*. Mem. Inst. Oswaldo Cruz, Rio de  
445 Janeiro. **112**:376-381.
- 446 **29. Kohler L-M, Monteiro PCF, Hahn RC, Hamdan JS.** 2004. In vitro susceptibilities of  
447 isolates of *Sporothrix schenckii* to itraconazole and terbinafine. J. Clin. Microbiol. **42**:  
448 4319–4320.
- 449 **30. Trilles L, Fernandez-Torres B, Lazera MS, Wanke B, Schubach AO, Paes RA, Inza I,**  
450 **Guarro J.** 2005. In vitro antifungal susceptibilities of *Sporothrix schenckii* in two growth  
451 phases. Antimicrob. Agents Chemother. **49**: 3952–3954. doi:10.1128/AAC.49.9.3952–  
452 3954.2005.
- 453 **31. Alvarado-Ramirez E, Torres-Rodriguez JM.** 2007. In Vitro susceptibility of *Sporothrix*  
454 *schenckii* to six antifungal agents determined using three different methods. Antimicrob.  
455 Agents Chemother. **51**:2420–2423.
- 456 **32. Galhardo MC, Zancopé-Oliveira RM, Do Valle ACF, Almeida-Paes R, Silvatavares**  
457 **PM, Monzo A, Mellado E, Rodriguez-Tudela JL, Cuenca-Estrella M.** 2008. Molecular  
458 epidemiology and antifungal susceptibility patterns of *Sporothrix schenckii* isolates from  
459 a cat-transmitted epidemic of sporotrichosis in Rio de Janeiro, Brazil. Med Mycol. **46**:  
460 141-151.
- 461 **33. Almeida-Paes R, Oliveira MME, Freitas DFS, do Valle ACF, Gutierrez-Galhardo MC,**  
462 **Zancopé-Oliveira RM.** Refractory sporotrichosis due to *Sporothrix brasiliensis* in  
463 humans appears to be unrelated to *in vivo* resistance. Med. Mycol. 2016 Oct 22. pii:  
464 myw103. [Epub ahead of print]. DOI: 10.1093/mmy/myw103.
- 465 **34. Fernández-Silva F, Capilla J, Mayayo E, Guarro J.** 2012. Efficacy of posaconazole in  
466 murine experimental sporotrichosis. Antimicrob. Agents Chemother **56**:2273–2277.  
467 <http://dx.doi.org/10.1128/AAC.05376-11>.
- 468 **35. Rodrigues AM, de Hoog GS, de Camargo ZP.** 2015. Molecular diagnosis of  
469 pathogenic *Sporothrix* species. PLoS Negl Trop Dis 9:e0004190.
- 470  
471  
472  
473  
474

475  
476  
477

478 **Table 1.** Pooled MIC distributions of four *Sporothrix* species from between 2 and 9 laboratories determined by CLSI M38-A2 broth microdilution method

Agent	Species*	No. labs	No. isolates	No. of isolates with MIC (µg/ml) of <sup>a</sup>										
				≤0.03	0.06	0.12	0.25	0.5	1	2	4	8	16	≥32
Amphotericin B	<i>S. schenckii</i> *	9	263	2		5	9	29	<b>100</b>	78	33	3	1	3
	<i>S. brasiliensis</i>	9	486	6		10	64	112	<b>175</b>	100	15	4		
	<i>S. globosa</i>	4	75			3	5	8	19	<b>29</b>	6	3		2
	<i>S. mexicana</i>	ID												
Itraconazole	<i>S. schenckii</i> *	8	194		4	5	22	<b>71</b>	56	17	9	3	2	5
	<i>S. brasiliensis</i>	8	306	2	2	12	19	60	<b>146</b>	38	6		5	16
	<i>S. globosa</i>	4	53			5	10	<b>17</b>	10	9	1		1	
	<i>S. mexicana</i>	3	13				3	<b>4</b>	2	1				3
Ketoconazole	<i>S. schenckii</i> *	2	92		1	11	12	<b>32</b>	17	16	3			
	<i>S. brasiliensis</i>	5	338	6	13	45	64	<b>126</b>	71	13				
	<i>S. globosa</i>	ID												
	<i>S. mexicana</i>	ID												
Posaconazole	<i>S. schenckii</i> *	8	301		1	10	15	67	<b>114</b>	55	13	14	8	4
	<i>S. brasiliensis</i>	5	200	2	1	6	13	32	<b>128</b>	14	1			3
	<i>S. globosa</i>	3	59				12	<b>25</b>	12	5	1		2	2
	<i>S. mexicana</i>	ID												
Voriconazole	<i>S. schenckii</i> *	6	252					3	1	6	17	42	<b>108</b>	75
	<i>S. brasiliensis</i>	7	200					1	9	17	32	<b>79</b>	56	6
	<i>S. globosa</i>	3	41						2	5	10	<b>14</b>	9	1
	<i>S. mexicana</i>	3	11						2	1	2	4	2	
Terbinafine	<i>S. schenckii</i> *	2	118	2	18	23	26	<b>43</b>	6					
	<i>S. brasiliensis</i>	3	368	131	<b>151</b>	75	7	2	2					
	<i>S. globosa</i>	3	35	5	<b>16</b>	6	3	4	1					
	<i>S. mexicana</i>	ID												

479

480 <sup>a</sup>The highest number in each row (showing the most frequently obtained MIC or the mode) is indicated in boldface.

481

482 \*It refers to *Sporothrix schenckii sensu stricto*. ID: insufficient data with comparable mod

483



484

485

486

487

Table 2. CLSI-ECVs for *S. schenckii sensu stricto* and *S. brasiliensis* based on MICs from between 3 and 9 laboratories by the CLSI broth microdilution method

Species	Antifungal agent	No. of isolates tested	MIC ( $\mu\text{g/ml}$ ) <sup>a</sup>		ECV <sup>b</sup>	
			Range	Mode	$\geq 95\%$	$\geq 97.5\%$
<i>S. schenckii</i>	Amphotericin B	263	0.03-32	1	4	4
	Itraconazole	194	0.06- $\geq 32$	0.5	2	2
	Ketoconazole	ND <sup>c</sup>				
	Posaconazole	301	0.06-16	1	2	4
	Voriconazole	252	0.5->32	16	64	64
	Terbinafine	ND <sup>c</sup>				
<i>S. brasiliensis</i>	Amphotericin B	486	0.03-8	1	4	4
	Itraconazole	306	0.01-32	1	2	2
	Ketoconazole	338	0.01-2	0.5	2	2
	Posaconazole	200	0.01-4	1	2	2
	Voriconazole	200	0.5-32	8	32	32
	Terbinafine	368	$\leq 0.01$ -1	0.06	0.12	0.25

488

<sup>a</sup> Mode, most frequent MIC.

489

<sup>b</sup> Calculated CLSI ECVs comprising  $\geq 95\%$  and  $\geq 97.5\%$  of the statistically modeled population; values based on MICs determined by the CLSI M38-A2 broth dilution method (21).

490

491

<sup>c</sup> ND, Not determined, due to insufficient number of isolates or laboratories for ECV calculation.

492