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Characterization of ¹H-NMR Plasma Glycoproteins as a new strategy to identify inflammatory patterns in Rheumatoid Arthritis

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1	Characterization of ¹ H-NMR Plasma Glycoproteins
2	as a new strategy to identify inflammatory patterns
3	in Rheumatoid Arthritis
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ABSTRACT

Rheumatoid Arthritis (RA) is a chronic autoimmune inflammatory disease associated with a high index of morbidity and mortality from cardiovascular diseases (CVDs). In this study we used ¹H-NMR to characterize the plasma glycoprotein and lipoprotein profiles of a cohort of patients with RA (n=210) versus healthy individuals (n=203) to associate them with the RA disease and its severity. Using ¹H-NMR, we developed a lineshape method to characterize the two peaks associated with glycoproteins (GlycA and GlycB) and its derived variables: areas of GlycB (Area GlycB) and GlycA (Area GlycA), shape factors of these two peaks (H/W=Height/Width) and the distance between them (Distance GlycB-GlycA). It was also used the advanced lipoprotein test Liposcale® (CE) to characterize the lipoprotein subclasses. The standard lipid panel and traditional inflammatory markers such as C-reactive protein (CRP), the erythrocyte sedimentation rate (ESR), fibrinogen, the rheumatoid factor (RF), anti-citrullinated peptide antibodies (ACPA) and the DAS28 index has been also determined. RA patients presented a significant 10.65 % increase in the GlycA associated area compared to the control group ($p=2.21 \times 10^{-10}$). They also presented significantly higher H/W GlycA and GlycB ratios than the control population (H/W GlycB $p=7.88 \times 10^{-08}$; H/W GlycA $p=5.61 \times 10^{-08}$). The prediction model that uses the traditional inflammatory variables and the ¹H-NMR-derived parameters presented an AUC that was almost 10% higher than the model that only uses the traditional inflammatory variables (from 0.7 to 0.79 AUC). In this study, we have demonstrated that GlycA and GlycB variables derived from ¹H-NMR, along with classic inflammatory parameters helps to improve the classification of individuals with high RA disease activity.

 KEYWORDS: Glycoprotein, inflammation, Rheumatoid arthritis (RA), Proton Nuclear
 Magnetic Resonance (¹H-NMR).

4 INTRODUCTION

Rheumatoid arthritis (RA) is a systemic disease characterized by chronic inflammatory involvement of the synovial membrane of the joints and autoantibody production (rheumatoid factor [RF] and anti-citrullinated protein antibody [ACPA]).^{1,2} This autoimmune process destroys cartilage and joint bones as well as ligaments and tendons. The exact cause of RA is not known but it is considered a multifactorial disease, resulting from the interaction of risk factors such as genetic susceptibility, sex, age, smoking, and infectious, hormonal, dietary, socioeconomic and ethnic agents. Patients with RA may have any of the traditional risk factors for cardiovascular disease (elevated levels of low-density lipoprotein [LDL] cholesterol or high-density lipoprotein [HDL] dysregulations). However, evidence suggests that the prevalence of these risk factors is no higher than in the general population.³ Studies on the incidence and prevalence of RA in recent decades estimate a prevalence between 0.5 and 1% depending on the population studied, with an annual incidence of 0.02-0.05%.⁴ Given that the course of the disease is chronic and progressive in most RA patients, there is a high socioeconomic cost as well as a significant impact on the physical function, productivity, quality and life expectancy of the patients.

19 Comorbidities may precede or accompany RA and there is evidence to suggest that the systemic 20 inflammation and immune dysfunction that characterize RA play a major role in its development 21 and acceleration.⁵ Of these comorbidities, CVD is the leading cause of death in patients with RA. 22 It is well established in the literature that the rate of CVD is higher in RA patients than in the

general population.^{6,7} The chronic inflammatory state of RA contributes to the onset and development of accelerated atherosclerosis, since the inflammatory process in synovial and atherosclerotic plaques is very similar.⁸ In addition, there is an increase in the synthesis of proinflammatory cytokines such as TNF-alpha and IL-6, which facilitates endothelial dysfunction as an initial step in atherosclerosis, leading to the formation and rupture of atherosclerotic plaques.⁹

The classical way of measuring the severity of inflammation through acute-phase reactants or serum or plasma proteins, mainly glycoproteins, was first described many years ago.¹⁰ Serial measurements of the erythrocyte sedimentation rate (ESR), which is largely a measure of fibrinogen, have been used to monitor the progress of inflammatory disorders. Moreover, C reactive protein (CRP) reflects the clinical course of the disease over periods up to three years and is objective, simple and easy to record. However, the acute-phase protein response is not specific to rheumatoid arthritis. To measure the disease activity of patients with RA the DAS28 index is used. It is a composite score of four measures: the number of tender joints (out of 28), the number of swollen joints (out of 28), ESR, and the general health of the patients.¹¹

Several studies have shown that glycoproteins play a key role in inflammatory and pathological processes.^{12–15} Protein glycosylation is a post-translational process responsible for the attachment of glycan chains to the nitrogen of an asparagine residue (N-linkage) or to the oxygen of a serine or threonine residue (O-linkage) by a covalent bond.^{16,17} During inflammation, there are changes in the number of antennary branches, increased sialylation and fucosylation, and decreased galactosylation.¹⁸ The branches are rich in N-acetylglucosamine (GlcNAc), Nacetylgalactosamine (GalNAc), N-acetylneuraminic acid (or sialic acid) and fucose residues.^{16,18–} ²⁰ The plasma levels of circulating glycoproteins rise (positive acute phase proteins) or fall (negative acute phase proteins) during the acute phase response to the presence of some

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environmental stimuli in the organism.²¹ The role of glycosylation in the structural changes of
 proteins means that they can be used as potential early biomarkers of disease.

Currently, the serum concentrations of glycoproteins such as antibodies, antiproteases, and binding or transport proteins are determined using a variety of methods: for example, enzyme-linked immunosorbent assays (ELISAs), electrochemiluminescence immunoassay (ECLIA), luminex-based assays, radioimmunoassays (RIA) and nephelometric assays. These methods quantify the amount of protein in biological samples.²² Despite this, to date there is no high-performance, fast, or sufficiently sensitive technology that can measure the glycan portion of inflammatory proteins and quantify a measure of general glycosylation that can be useful for diagnosis, and the assessment of disease severity and treatment efficacy.

Given the imperfections of conventional biomarkers for the diagnosis, prognosis, risk prediction and disease prevention at the individual patient level, there is an ongoing effort using novel highprecision laboratory techniques to discover new biomarkers that could increase the sensitivity and specificity of the current clinical results. The increasing role of proton nuclear magnetic resonance (¹H-NMR) spectroscopy in recent years has enabled compounds such as metabolites, lipoproteins and glycoproteins to be detected.²³ As many of the ¹H-NMR peaks are overlapped, the total-line-shape (CTLS) fitting strategy has been used to quantify the individual signals by modelling theoretical functions.²⁴

19 It has been reported elsewhere that ¹H-NMR spectroscopy can quickly and accurately detect 20 circulating levels of glycoproteins.^{21,25} Thanks to the initial work by Bell et al.,²⁶ the quantification 21 of glycosylated proteins is now technologically viable using the signals associated with the sidechain protons of the N-acetyl-carbohydrate groups, which are covalently bound to plasma
 glycoproteins.²⁵

Recently, a pro-inflammatory glycoprotein biomarker termed GlycA has been reported in the literature as a marker of inflammation that can be measured by proton nuclear magnetic resonance (¹H-NMR) spectroscopy and is associated with cardiometabolic disease and mortality and other inflammatory diseases.^{21,25,27–29} It can also be used to quantify plasmatic glycosylated proteins.^{30,31} In particular, this signal is associated with the concentration of specific residues of N-acetylglucosamine and N-acetylgalactosamine in the branched side chains of glycosylated plasma proteins (mainly α 1-antitrypsin, α 1-antichymotrypsin, haptoglobin and transferrin). It has been shown that the quantification of these signals is a good indicator of the detection, prognosis and therapeutic monitoring of tissue injury marked by systemic inflammatory processes, as well as cardiovascular risk and type 2 diabetes.^{25,32} Additionally, it is demonstrated that higher serum levels N-acetyl signal of glycoproteins has been revealed also as a biomarker of metastatic colorectal cancer.³³ Some associations with classical inflammation markers have been described previously as is the relationship of GlycA and CRP.²⁵

16 Considering that there is a need for more reliable inflammatory risk assessment tools, in this study 17 we aim first to use ¹H-NMR to characterize the plasma glycoprotein profile of a cohort of patients 18 with RA versus healthy individuals. Our second aim is to model the activity of RA to identify 19 patterns indicating the severity of the disease.

21 EXPERIMENTAL SECTION

Patients

2	The study population included 210 individuals (n=76 men and n=134 women) with a mean age of
3	58.00 (\pm 12) and a body mass index of 27.79 (\pm 6) who had been diagnosed with RA and were
4	attending the rheumatology unit of the HUSJ (Hospital Universitari Sant Joan de Reus, Spain). We
5	excluded patients older than 80 and younger than 20, and patients with acute intercurrent diseases.
6	Another population of 203 apparently healthy individuals (CT) without AR and CVDs matched
7	by sex, age, and body mass index were used as the control group. The study was approved by the
8	ethical clinical research committee of the HUSJ and informed consent was obtained from each
9	patient. Patients were visited between September 2011 and November 2014 and on the same day
10	of the medical visit, blood was collected.
11	
12	Plasma sample handling and analytical methods
13	Blood samples were withdrawn from the antecubital vein of each participant at the time of
14	recruitment after a 12-hour overnight fast. EDTA plasma was prepared from venous blood
15	collected into sterile, evacuated tubes (BD, Vacutainer). Plasma was immediately separated by
16	low-speed centrifugation at 4 $^{\circ}$ C and frozen at -80 $^{\circ}$ C until biochemical and NMR analysis
17	
18	Biochemical analysis
19	For the RA patients, we used traditional biochemical methods to determine the classic
20	inflammatory markers: CRP, ESR, fibrinogen, rheumatoid factor (RF) and anti-citrullinated

peptide antibodies (ACPA). The index of clinical activity was assessed by the DAS28 index. Apolipoprotein A1 and B:100 concentrations and the standard lipid profile including total cholesterol, HDL cholesterol and triglycerides were also determined. LDL cholesterol was calculated using the Fridewald formula.³⁴

6 Glycoprotein profiling

Plasma samples (250 µL) were previously diluted with 31 µl deuterated water and 244 µl of 50
mM phosphate buffer solution (PBS) at pH 7.4 consisting of 30.70 Na₂HPO₄ mM and 19.30
NaH₂PO₄ mM before NMR analysis.

¹H-NMR spectra were recorded at 310 K on a Bruker Avance III 600 spectrometer operating at a proton frequency of 600.20 MHz (14.1 T). One-dimensional ¹H-NMR pulse experiments were carried out using the nuclear Overhauser effect spectroscopy (NOESY)-presaturation sequence (RD-90° $-s_1-90°$ $-s_m-90°$ ACO) to suppress the residual water peak. The time s_1 was set to 4 µs, and the time s_m (mixing time) was 100 ms. The 90° pulse length was calibrated for each sample³⁵ and varied from 12.3 to 20.2 μ s. The spectral width was 30 ppm (18,000 Hz) and a total of 64,000 data points and four scans (NS) and a receiver gain value of 14.2 were acquired for each sample in NOESY pulse. The gradient pulse strength was 95% of the maximum strength of 53 G cm⁻¹ (0.535 T m⁻¹). The glycoprotein profiling method was performed in the region between 2.15 and 1.90 ppm of chemical shift.³⁶

21 Lipoprotein characterization

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The diluted plasma samples used for the glycoprotein profiling were analyzed by using the NMR based Liposcale[®] test (CE) previously reported.³⁷ This protocol evaluates lipid concentrations (i.e., triglycerides and cholesterol), size and particle number of three different classes of lipoproteins (VLDL, LDL and HDL), as well as the particle number of nine subclasses (large, medium and small VLDL, LDL and HDL). Briefly, particle concentration and diffusion coefficients were obtained from the measured amplitudes and the attenuation of their spectroscopically distinct lipid methyl group NMR signals. To determine the lipoprotein size, the methyl signal was surface fitted using a previously reported procedure ³⁷ with the numbers of functions so the nine lipoprotein subclasses could be determined. The NMR functions were associated with a given lipoprotein subclass (large, medium and small VLDL, LDL or HDL) according to their associated NMR size. The mean particle diameter for the subclasses (and the estimated ranges) were as follows: large VLDL particles (VLDL-P), 75.2 nm (>60 nm); medium VLDL-P, 52.1 nm (45-60 nm); small VLDL-P, 37.1 nm (35-45 nm); large LDL particles (LDL-P), 22.8 nm (22.5-27 nm); medium LDL-P, 20.5 nm (20-22.5 nm); small LDL-P 18.9 nm (18-20 nm); large HDL particles (HDL-P), 10.1 nm (9-13 nm); medium HDL-P, 8.7 nm (8.2-9 nm); small HDL-P, 7.8 nm (<8.2 nm) in agreement with previous literature.^{38–40}

The particle numbers of each lipoprotein subclass were calculated by dividing the lipid volume by the particle volume of a given class. The lipid volumes were determined using common conversion factors to convert the concentration units of the esterified cholesterol (CE) and triglycerides (TG) contained in the lipoprotein core into volume units by using the averaged molecular volumes 1.058 g/ml and 1.021 g/ml for CE and TG and molar mass respectively.⁴¹ Finally, weighted average VLDL, LDL and HDL particle sizes (in nm diameter units) can be calculated from the various subclass concentrations by summing the known diameter of each subclass multiplied by its relative percentage of subclass particle number as estimated from the intensity of its methyl NMR signal.

 4 Statistical analysis

All statistical analyses were computed in MATLAB, Ver. 7.10.0 using PLS-Toolbox, Ver. 5.2.2 (Eigenvector Research). Firstly, univariate statistical analysis of the glycoprotein variables was conducted to identify differences between the RA patients and the healthy individuals. The Lilliefors test was used to study the normality of each variable. Not all the variables followed a normal distribution, therefore in this study the non-parametric Wilcoxon-Mann-Whitney test was applied. The false discovery rate (FDR) adjustment was applied in all the tests of the present study.⁴³ A Partial Least Squares-Discriminant Analysis (PLS-DA) with the glycoprotein variables measured by ¹H-NMR was conducted to identify the characteristic glycoprotein profiles associated with the AR disease and the healthy group. Additionally, to study the relationship between glycoprotein variables and lipids in the RA population we focused on the associations between the glycoprotein and lipoprotein variables determined by biochemical methods and the Liposcale® test. The associations between the glycoprotein variables and the inflammatory markers CRP, ESR, RF, ACPA and DAS28 were also studied. Spearman correlation coefficients were calculated for variable distributions.

Finally, various auto-scaled and cross-validated PLS-DA models by Venetian blinds cross validation were evaluated to build the best predictor model and identify individuals in the highest 20th percentile of disease activity according to the DAS28 index.⁴⁴ The input variables used were the traditional inflammatory markers and ¹H-NMR parameters (glycoprotein and lipoprotein). Page 11 of 40

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Because of the high number of variables, the variable selection method Genetic Algorithms (GA)⁴⁵
 was used to construct more reliable models. Receiver Operating Characteristic (ROC) curves were
 evaluated to assess the diagnostic ability of these models. Permutation test was applied on all PLS DA models.

6 RESULTS

5

The RA group consisted of 210 individuals (n=76 men and n=134 women) with a mean age of 58
(±12.2) and a body mass index of 27.79 (±6) distributed in 4 groups according to their DAS28
index. The demographic, clinical and biochemical characteristics of patients are shown in table 1.

10 We deconvoluted mathematically the glycoprotein region as follows: the raw spectra was fitted 11 with five analytical Voigt functions corresponding to the five signals: low molecular weight (LMW), GlycB, GlycA, G-lipid, and baseline based on their chemical shift. For each of these 12 13 functions the total area (proportional to the concentration), the height, the position (characteristic 14 of the magnetic environment) and the width (related to the flexibility and the aggregation state of 15 the molecules generating the signal) were determined. The baseline function was used to fit the 16 background within the region 1.80 - 1.92 ppm. The function LMW was used to fit the low molecular weight metabolites that resonate in the region 2.12 - 2.14 ppm. The functions GlycB 17 18 and GlycA were used to fit the signal produced by the -COCH₃ acetyl groups of N-19 acetylglucosamine and N-acetylgalactosamine (GlycA) and N-acetylneuraminic acid (GlycB), which resonates in the region $2.02 - 2.07^{-36}$; and the G-lipid function was used to fit the signal 20 21 produced by the CH₂=C protons of lipids in the sample, which resonates in the region 1.95 - 2.0122 ppm. Figure 1 focuses on the glycoprotein profiling region of the spectrum.

			RA gro	up		Control group			
		Number	%	Mean	SD	Number	%	Mean	
Candan	Women	134	63.8%			131	64.5%		
Gender	Men	76	36.2%			72	35.5%		
Age (years)				58	12			45	
BMI (Ka/m2)				27 79	5 89			25 30	
Waist circumfe	rence (cm)			92	15			20.00	
Systelic blood u				137	21				
Disatalia blasd				04	40				
Diastolic blood				01	12				
HDL cholester				65	19				
LDL cholestero	il (mg/dL)			118	31				
Triglycerides (r	ng/dL)			105	56	_			
Glucose (mg/d	L)			95	23				
Current	No	154	73.3%						
smoker (n, %)	Yes	56	26.7%						
Diabetes	No	185	88.1%						
mellitus (n, %)	Yes	25	11.9%						
Hypertension	No	85	40.5%						
(n, %)	Yes	125	59.5%						
Dyslipidemia	No	124	59.0%						
<u>(II, 70)</u> Disease duratio		00	41.0%	03	0.2	-			
Disease duration (years)				0.45	0.2				
DAS28				3.45	1.27				
	Disease remission (<2.6)	57	27.1%						
	Low disease activity (2.6-3.2)	39	18.6%						
	Moderate disease activity (3.2-	95	45.2%						
	High disease activity (>5.1)	19	9.0%						
HAQ (0-2.5)				0.44	0.52				
Rheumatoid	Negative	58	27.6%						
factor + (n, %)	Positive	152	72.4%						
ACPA+	Negative	40	19.0%						
	Positive	170	81.0%						
ESR (mm/h)				37	26				
CPR (mg/dL)				0.72	0.84				
Fibrinogen (mg	ı/dL)			442	98				
DMARs	No	51	24.3%						
0107/11/3	Yes	159	75.7%						
Biological	No	169	80.5%						
agent	Yes	41	19.5%						
Corticoids	NO	105	50.0%						
	res	105	50.0%						
NSAIDs	NOS	09 121	42.4%						
	yca	141	57.070						

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steroidal antiinflammatory drugs.



Figure 1. Mathematically treated proton nuclear magnetic resonance spectrum (phase corrected, referenced and scaled) in which the region where the signal produced by glycosilated proteins is indicated. The raw spectra were fitted with five analytic Voigt functions corresponding to five signals LMW (blue), GlycB (green), GlycA (red), Glipid (cyan), and baseline (purple).

8 Additionally, for GlycB and GlycA functions we calculated the derived parameters
9 H/W=Height/Width to capture the shape of the peaks and the parameter distance GlycB-GlycA to
10 measure the distance between these two signals.

In this study, due to the high degree of correlation between the variables obtained by the peak
deconvolution, we shall only consider the following five variables: areas of GlycB (Area GlycB)

and GlycA (Area GlycA), shape factors of these two peaks (H/W=Height/Width) and the distance between them (Distance GlycB-GlycA). The area of these functions gives us information about the concentration of acetyl groups of N-acetylglucosamine and N-acetylgalactosamine (GlycA) and N-acetylneuraminic acid (GlycB) in plasma. The shape factor ratio H/W provides information on what the function is like in each case depending on its height, which is related to the concentration, and its width, which is related to the flexibility and the aggregation of the molecules generating the signal. The variable Distance GlycB-GlycA indicates the relative distance between the chemical shift of both peaks.

9 The parameters mentioned above were extracted by a lineshape fitting process from the NOESY
10 spectra using the normalized root mean squared error (NRMSE) in the interval 1.90 – 2.15 ppm.
11 The error in all samples was smaller than 2.93 %.

The results of the univariate analysis showed that RA patients presented a significant 10.65 % increase in the GlycA associated area compared to the CT group ($p=2.21 \times 10^{-10}$). They also presented significantly increase in the H/W GlycA ratio (12.70%) and GlycB ratio (16.76%) than the control population (H/W GlycB p=7.88x10⁻⁰⁸; H/W GlycA p=5.61x10⁻⁰⁸). The distance between the two functions in the RA group was significantly greater than in the control group (see Table 2). Area GlycB, Area GlycA and the distance parameters did not follow a normal distribution and, as has been explained in statistical analysis section, the non-parametric Wilcoxon-Mann-Whitney test was used to calculate the significance for all parameters.

21 Table 2. Results of univariate analysis between RA and healthy individuals (CT)

	AR		СТ		
Variable	Median	lqr	Median	lqr	<i>p</i> -value
Area GlycB (a.u.)	2.14x10 ⁻⁰¹	8.56x10 ⁻⁰²	2.22x1 ⁰⁻⁰¹	7.39x10 ⁻⁰²	1.89x10 ⁻⁰¹
Area GlycA (a.u.)	5.26x10 ⁻⁰¹	1.12x10 ⁻⁰¹	4.70x10 ⁻⁰¹	1.09x10 ⁻⁰¹	2.21x10 ^{-10*}
H/W GlycB	3.94x10 ⁻⁰³	1.81x10 ⁻⁰³	3.27x10 ⁻⁰³	1.03x10 ⁻⁰³	7.88x10 ^{-08*}
H/W GlycA	1.83x10 ⁻⁰²	4.27x10 ⁻⁰³	1.65x10 ⁻⁰²	5.46x10 ⁻⁰³	5.61x10 ^{-08*}
Distance GlycB-GlycA (ppm)	3.30x10 ⁻⁰²	9.16x10 ⁻⁰⁴	3.21x10 ⁻⁰²	9.17x10 ⁻⁰⁴	3.68x10 ^{-22*}

Significant values (p<0.05) are marked (*).Median and interquartile range (Iqr) are reported. The Wilcoxon-Mann-Whitney test

2 has been used to calculate significance. *P*-values adjusted by FDR.

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Figure 2a shows the score plot of a PLS-DA model (*p*=5.18x10⁻¹⁵, Q2=0.128) of three latent
variables (LV) built to discriminate RA patients from CT individuals by using the 5 ¹H-NMRderived glycoprotein parameters as the input dataset. Figure 2b shows the loading plot of the same
PLS-DA model to illustrate the contribution of each variable to each LV.



Figure 2a. 3D Score plot of the PLS-DA model (3 latent variables) for CT individuals and RA patients. RA patients
are shown in red and control patients in green.



Figure 2b. PLS-DA loading plot showing the NMR glycoprotein-derived parameters contributing to the separation of the PLS-DA scores in RA patients and CT individuals.

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5 The relationship between glycoprotein variables and clinical inflammatory markers was also 6 studied. Table 3 shows the Spearman correlation coefficients for Fibrinogen, DAS28, ESR, CRP, 7 RF, ACPA and Albumin. There was a significant, positive but modest correlation between the five 8 glycoprotein variables and Fibrinogen, ESR, CRP and DAS28. There was also a significant and 9 positive correlation between H/W GlycB and RF and ACPA. Correlations with Albumin are

negative but significant. Figure S-2 of the Supporting Information shows some dispersion plots of

2 these results.

Table 3. Associations between ¹H-NMR glycoproteins variables and clinical inflammatory

4 markers.

		Area GlycB	Area GlycA	H/W GlycB	H/W GlycA	Distance GlycB-GlycA
Fibrinogen (mg/dl)	r	0.247*	0.452*	0.477*	0.414*	0.397*
	р	1.08x10 ⁻⁰³	1.69x10 ⁻¹⁰	2.14x10 ⁻¹¹	4.65x10 ⁻⁰⁹	2.29x10 ⁻⁰⁸
ESR (mm/h)	r	0.341*	0.424*	0.436*	0.381*	0.302*
	р	2.49x10 ⁻⁰⁶	1.89x10 ⁻⁰⁹	5.61x10 ⁻¹⁰	9.07x10 ⁻⁰⁸	4.33x10 ⁻⁰⁵
CRP (mg/dl)	r	0.278*	0.456*	0.468*	0.457*	0.356*
	р	1.87x10 ⁻⁰⁴	1.34x10 ⁻¹⁰	4.49x10 ⁻¹¹	1.34x10 ⁻¹⁰	7.70x10 ⁻⁰⁷
RF	r	0.127	0.109	0.196*	0.068	0.047
	р	1.03x10 ⁻⁰¹	1.65x10 ⁻⁰¹	1.19x10 ⁻⁰²	4.02x10 ⁻⁰¹	5.74x10 ⁻⁰¹
ACPA	r	-0.019	0.090	0.143	0.042	0.019
	р	8.20x10 ⁻⁰¹	2.56x10 ⁻⁰¹	6.84x10 ⁻⁰²	6.09x10 ⁻⁰¹	8.20x10 ⁻⁰¹
DAS28	r	0.214*	0.285*	0.259*	0.229*	0.127
	р	5.35x10 ⁻⁰³	1.25x10 ⁻⁰⁴	5.59x10 ⁻⁰⁴	2.83x10 ⁻⁰³	1.01x10 ⁻⁰¹
Albumin (g/dl)	r	-0.144	-0.168*	-0.132	-0.183*	-0.257*
	р	6.79x10 ⁻⁰²	3.18x10 ⁻⁰²	8.87x10 ⁻⁰²	1.86x10 ⁻⁰²	6.33x10 ⁻⁰⁴

Spearman correlation coefficients (r) and p-value (*p*) for each glycoprotein variable and the inflammatory markers obtained by traditional biochemistry. *P*-values adjusted by FDR. Significant values (p<0.05) are marked (*). ESR: Erythrocyte sedimentation rate; CRP: C-reactive protein; RF: Rheumatoid Factor; ACPA: anti-citrullinated peptide antibodies; DAS28: Disease Activity Score of 28 joints.

We also studied the relationship between the glycoprotein variables obtained by ¹H-NMR and the lipids obtained by traditional biochemistry. Table S-1 of the Supporting Information shows the Spearman correlation coefficients and *p*-values for total cholesterol, low-density lipoprotein

cholesterol (LDLc), high-density lipoprotein cholesterol (HDLc), very low-density lipoprotein
cholesterol (VLDLc) and total triglycerides (TG). There was a significant but modest correlation
between almost all glycoprotein variables and VLDLc, HDLc and TG. In addition, the
glycoprotein variables were also compared with the variables of the Liposcale® test (see Table S2). VLDLc was still the variable most positively and significantly associated with Area GlycA
(0.36) and negatively associated with area GlycB (-0.43). Figure S-1 of the Supporting Information
shows some scatter plots of the mentioned correlations.

To evaluate the ability of the models based on ¹H-NMR glycoprotein and lipoprotein and traditional inflammatory variables to predict the severity of the disease, two PLS-DA models were constructed. The objective of the two models was to evaluate whether the variables of the two models were able to separate individuals in the AR population with a high disease activity based on the DAS28 index. The two models had high RA activity patients (DAS28>80th percentile =4.53) as the y-block input binary variable (prediction block). The first PLS-DA model (Model A) had values of Fibrinogen, CRP, RF and ACPA as the x-block input variables. The second PLS-DA model (Model B) used a GA variable selection method to select the most important of the 5 ¹H-NMR-derived glycoprotein variables, 23 variables resulting from the ¹H-NMR lipoprotein profile by the Liposcale[®] test and the 4 variables in Model A as the x-block input variables. The variables selected by the GA were: Area GlycB, CRP, Fibrinogen, HDL-TG, VLDL-P, small VLDL-P, small HDL-P and LDL diameter (LDL-Z). Both models were auto-scaled and cross-validated using Venetian Blinds. The significance level of Model A was $p=0.55 \times 10^{-0.2}$ (Q2=0.089), while model B was $p = 1.1369 \ge 10^{-08}$ (Q2= 0.189). The discriminant ability of the models was evaluated using the Area Under the Curve (AUC) of the Receiving Operating Characteristic (ROC) analysis which considered high RA activity patients (above 4.53 on the DAS28 index) as positive cases,

and low-moderate RA activity patients (below 4.53) as negative cases. Figure S-3 in the
Supporting Information shows the population distribution of DAS28 and a brief description of the
population for the two AR groups. The AUC of Model B, which included the traditional variables
(Model A) and ¹H-NMR-derived parameters, was almost 10% higher than that of Model A (from
0.7 to 0.79 AUC) indicating that Model B was much better at predicting the severity of the disease
(see Figure 3).



Figure 3. ROC curve of cross-validated Model A (blue) and Model B (red) for high/low AR activity. AUC Model A-value= 0.7017; AUC Model B-value= 0.7939.

11 DISCUSSION

High-throughput technologies such as ¹H-NMR enable circulating plasma or serum glycoproteins to be measured using the specific residues of N-acetylglucosamine, N-acetylgalactosamine and Nacetylneuraminic acid in the branched side chains of glycosylated plasma proteins. In this study we used ¹H-NMR to characterize the plasma glycoprotein profile in RA. The results of the

univariate study between RA and a control population confirmed expectations that RA patients have a significantly higher values of ¹H-NMR glycoprotein than the control group. These results are in line with the studies by J. Ormseth et al. and B. Barlett et al. in which GlycA concentrations were higher in patients with RA than in controls.^{27,46} This may be because RA patients have a permanent inflammatory condition that increases the levels of glycoproteins. In this study, we found that the area of GlycA was significantly higher in RA patients than in controls, which suggests that there was a higher concentration of glycosylated proteins presenting a higher signal associated with acetyl N-acetylgalactosamine and N-acetylglucosamine methyl groups. In addition, the shape factor of GlycA and GlycB indicated that the ratio between the height and width (H/W) of the deconvoluted peaks was significantly higher in patients with RA, which could be explained by the lower glycoprotein aggregation in RA patients' plasma.

In this study we used ¹H-NMR to characterize the glycoprotein profile as well as the advanced lipoprotein profile (Liposcale®). This has shown whether the information obtained by ¹H-NMR can help from a clinical point of view to evaluate patients with inflammatory processes. Comparable findings were described in the metabolic phenotyping study of Lauridsen et al. ⁴⁷ where a cohort of patients with RA where measured by ¹H-NMR. They report that ¹H-NMR plasma metabolic profiles of RA patients (including cholesterol, lactate, acetylated glycoprotein, and lipid signatures) were significantly different from healthy subjects, indicating that the state of inflammation in RA patients is reflected in the ¹H-NMR spectra.

Table 3 shows that there were significant positive associations between Fibrinogen, ESR and CRP and the 5 ¹H-NMR glycoprotein variables. This is in line with other studies carried out in this field. In 2015, Otvos et al. showed that the concentration of GlycA correlated with high-sensitivity CRP and fibrinogen.^{25,30} In this context, the question arises as to whether these glycoproteins are found Page 21 of 40

in high concentrations when there is an acute inflammatory process, such as rheumatoid arthritis, just as CRP, ESR and Fibrinogen are.^{48,49} It should be noted that the H/W GlycA and H/W GlycB ratios were undoubtedly key variables throughout this study. They were a solid indicator of the shape of the glycoprotein peak in inflamed patients, capable of distinguishing RA from healthy individuals and also significantly correlated with lipid and inflammatory variables. Correlations were also found with the DAS28, which were very similar to the correlations with the inflammatory variables mentioned above since the DAS28 includes ESR measurements in its formula. Additionally, the glycoprotein variables are significantly associated in a negative way with albumin. This may be explained by the fact that hypoalbuminemia is characteristic of inflammatory states.¹⁵ Furthermore, hypoalbuminemia in also related with juxta-articular erosions or with the incidence of peptic ulcer in RA.⁵⁰

The representation of the ROC curve comparing the two PLS-DA models (Model A and Model B) indicated that by adding the glycoprotein and lipoprotein ¹H-NMR variables to classical inflammation parameters such as Fibrinogen, CRP, RF and ACPA we were able to make a better classification model (Model B) of the severity of the disease according to the 80th percentile of the DAS28 from the RA population.

The results of the correlations between glycoproteins and lipid variables in Table S-1 of the Supporting Information show that GlycA and the H/W ratio of GlycA and GlycB parameters correlated negatively with HDL-cholesterol, which makes sense since HDL-C is related to a cardio-protective function during inflammatory processes that occurs in cardiovascular events.^{51–} S³ Furthermore, with the exception of Area GlycB, all the ¹H-NMR glycoprotein variables were significantly and positively correlated with VLDL-cholesterol, which, along with LDL-c, is associated with cardiovascular events.⁵⁴ These significant correlations were also noted in table S- 2 when we studied the correlations with the variables of the Liposcale® test. In addition, some studies highlight the importance of considering strategies to reduce VLDL-cholesterol as a therapeutic intervention to reduce the residual risk of atherosclerotic cardiovascular disease,^{55,56} beyond the well stablished strategy of reducing LDL-c.

In recent years, the advances in knowledge at genetic level (through the study of epigenetic modifications) and at proteomic level (at the level of the study of post-translational modifications) are contributing to a better characterization of the functional diversity of genes and proteins in the pathological states.⁵⁷ As we mentioned earlier in this study, glycosylation represents one of the most important and complex of these post-translational modifications. Studying the glycosylation of proteins could allow a more precise knowledge of the mechanisms of the disease and how is the implication of the proteins in the pathogenesis. The study of glycans has been called *glycomics* and it has received increasing interest as a novel tool for identifying markers and potential mediators of disease pathogenesis.³¹ As we mentioned earlier, several authors have demonstrated that a serum glycan signal is associated with type 2 diabetes,⁵⁸ atherosclerotic CVD,^{25,27,28,59} as well as longitudinal CVD and cancer mortality risk^{33,60} highlighting the importance of inflammation as a shared risk pathway. It should be noted that some authors have focused their study in a specific glycoprotein; for example, Menni et al.⁶¹ studied the glycomic profiling of IgG to identify CVD risk; and Väänänen et al.⁶² studied YKL-40, a chitinase-like glycoprotein associated with inflammation and tissue remodeling as a potential biomarker of disease activity in patients with early RA. The cited study by Lauridsen et al.⁴⁷ highlights that the metabolic profile may provide additional information to the clinical evaluation. In the present study we have evaluated the information provided by glycoprotein variables analyzed by ¹H-NMR as non-

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specific markers of inflammation as part of the current trend to seek new inflammatory markers that improve the limitations of classical inflammation biomarkers.^{63–65}

This study confirms that the composite ¹H-NMR signal is associated with some clinical parameters of inflammation and the results reported are in line with the studies mentioned above in which the GlycA NMR-base assay has been used. Nevertheless, various points need to be borne in mind about this technique. On the one hand, ¹H-NMR is not a selective technique and does not enable individual proteins to be identified and quantified. The areas of GlycB and GlycA that we have obtained correspond to the overall concentration of glycosylated proteins in the plasma. On the other hand, one of its important advantages is that the ¹H-NMR spectrum enables a lipoprotein profile (Liposcale®) and a glycoprotein profile to be identified in a single experiment. This is a great advantage because the information is obtained with minimal manipulation of the samples and involves a considerable saving in experimental time. Finally, although AR patients are being treated, this does not prevent the glycoprotein signals detected by ¹H-NMR being sensitive to the level of inflammation, as we have seen in the results. Bearing this in mind, in the future this first exploratory study should carefully study if medication can modify this ¹H-NMR spectrum region.

17 CONCLUSIONS

In this study, we have demonstrated that new markers of inflammation can be characterized using the ¹H-NMR signal of glycosylated proteins. In addition, the glycoprotein and lipoprotein ¹H-NMR variables, along with classic inflammatory parameters, provide information about the high activity of the disease that is more accurate than if we only consider the classic inflammatory parameters, as is conventional in clinical practice.

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9	2	Commenting Information (CD)
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13	4	The following supporting information is available free of charge at ACS Publications website
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15	5	http://pubs.acs.org.
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18	6	Table S. 1. Spearman and Pearson correlation coefficients for each glycoprotein variable detected
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21	7	by ¹ H-NMR according to its relation to the lipids obtained by traditional biochemistry (total
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23 24	8	cholesterol, high density lipoprotein cholesterol, low density lipoprotein cholesterol, very low -
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26	9	density lipoprotein and total triglycerides).
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29	10	Figure S-1. Scatter plots of Lipids variables obtained by traditional biochemistry and glycoprotein
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31 32	11	variables.
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34	12	Table S-2. Spearman correlation coefficients for each glycoprotein variable detected by ¹ H-NMR
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39	14	Figure S-2 Scatter plots of 1H-NMR glycoproteins variables and clinical inflammatory markers
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43	15	Figure S-3. Population distribution of DAS28 and a brief description of the population for the AR
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6 7	2	Conflict of interest disclosure: X. Correig, L. Masana and N. Amigó are stockowners of Biosfer
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9 10	3	Teslab, and have the patent for the Liposcale [®] NMR method for Lipoprotein
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29 20	10	project
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33 34	11	
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36	12	ABBREVIATIONS
37 38	12	
39	13	
40	14	¹ H-NMR, Proton Nuclear Magnetic Resonance: RA, Rheumatoid Arthritis: CVDs, Cardiovascular
41 42		,,, _,
43	15	Diseases; CRP, C-Reactive Protein; ESR, Erythrocyte sedimentation rate; RF, Rheumatoid Factor;
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45 46	16	ACPA, Anti-Citrullinated Peptide Antibodies; PLS-DA, Partial Least Squares-Discriminant
47	17	Analysis: AUC Area under the curve: GlcNAc N-acetylalucosamine: GalNAc N-
48	1 /	Analysis, AOC, Area under the curve, Glerake, N-acctylgideosannine, Ganake, N-
49 50	18	acetylgalactosamine; ELISAs, enzyme-linked immunosorbent assays; ECLIA,
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52	19	electrochemiluminescence immunoassay; RIA, radioimmunoassays; CTLS, Total-line shape
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55	20	itting; VLDL, Very Low Density Lipoprotein; LDL, Low Density Lipoprotein; HDL, High
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5 6	2	Operating Characteristic-curve; SD, Standard Deviation; Iqr, Interquartile range; LV, Latent									
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1 Table 1. Demographic, clinical and biochemical characteristics of patients and controls.

			RA gro	A group			Control group		
		Number	%	Mean	SD	Number	%	Mean	
	Women	134	63.8%			131	64.5%		
Gender	Men	76	36.2%			72	35 5%		
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				07.70	T 00			45	`
BIVII (Kg/m2)				27.79	5.89			25.30	2
Waist circumfer	ence (cm)			92	15				
Systolic blood p	pressure			137	21				
Diastolic blood	pressure			81	12				
HDL cholestero	l (mg/dL)			65	19				
LDL cholestero	l (mg/dL)			118	31				
Triglycerides (m	ng/dL)			105	56				
Glucose (mg/dL	_)			95	23				
Current	No	154	73.3%						
smoker (n. %)	Yes	56	26.7%						
Diabetes	No	185	88.1%						
mellitus (n, %)	Yes	25	11.9%						
Hypertension	No	85	40.5%						
(n, %)	Yes	125	59.5%						
Dyslipidemia	No	124	59.0%						
(n, %)	Yes	86	41.0%						
Disease duratio	on (years)			9.3	9.2				
DAS28				3.45	1.27				
	Disease remission (<2.6)	57	27.1%			•			
	Low disease activity (2.6-3.2)	39	18.6%						
	Moderate disease activity (3.2- 5.6)	95	45.2%						
	High disease activity (>5.1)	19	9.0%						
HAQ (0-2.5)				0.44	0.52				
Rheumatoid	Negative	58	27.6%						
factor + (n, %)	Positive	152	72.4%						
ACPA+	Negative	40	19.0%						
	Positive	170	81.0%						
ESR (mm/h)				37	26				
CPR (mg/dL)				0.72	0.84				
Fibrinogen (mg	/dL)			442	98	-			
DMARs	No	51	24.3%						
	Yes	159	75.7%						
Biological agent	No	169	80.5%						
	Yes	41	19.5%						
Corticoids	No	105	50.0%						
	Yes	105	50.0%						
NSAIDs	NO	89	42.4%						
NSAIDS	Ves	121	57.6%	_					

Table 2. Results of univariate analysis between RA and healthy individuals (CT)

	AR		СТ		
Variable	Median	lqr	Median	lqr	<i>p</i> -value
Area GlycB (a.u.)	2.14x10 ⁻⁰¹	8.56x10 ⁻⁰²	2.22x1 ⁰⁻⁰¹	7.39x10 ⁻⁰²	1.89x10 ⁻⁰¹
Area GlycA (a.u.)	5.26x10 ⁻⁰¹	1.12x10 ⁻⁰¹	4.70x10 ⁻⁰¹	1.09x10 ⁻⁰¹	2.21x10 ^{-10*}
H/W GlycB	3.94x10 ⁻⁰³	1.81x10 ⁻⁰³	3.27x10 ⁻⁰³	1.03x10 ⁻⁰³	7.88x10 ^{-08*}
H/W GlycA	1.83x10 ⁻⁰²	4.27x10 ⁻⁰³	1.65x10 ⁻⁰²	5.46x10 ⁻⁰³	5.61x10 ^{-08*}
Distance GlycB-GlycA (ppm)	3.30x10 ⁻⁰²	9.16x10 ⁻⁰⁴	3.21x10 ⁻⁰²	9.17x10 ⁻⁰⁴	3.68x10 ^{-22*}

Significant values (p<0.05) are marked (*). Median and interquartile range (Iqr) are reported. The Wilcoxon-Mann-Whitney test

3 has been used to calculate significance. *P*-values adjusted by FDR.

Table 3. Associations between ¹H-NMR glycoproteins variables and clinical inflammatory

5 markers.

		Area GlycB	Area GlycA	H/W GlycB	H/W GlycA	Distance GlycB-GlycA
Fibrinogen (mg/dl)	r	0.247*	0.452*	0.477*	0.414*	0.397*
	р	1.08x10 ⁻⁰³	1.69x10 ⁻¹⁰	2.14x10 ⁻¹¹	4.65x10 ⁻⁰⁹	2.29x10 ⁻⁰⁸
ESR (mm/h)	r	0.341*	0.424*	0.436*	0.381*	0.302*
	р	2.49x10 ⁻⁰⁶	1.89x10 ⁻⁰⁹	5.61x10 ⁻¹⁰	9.07x10 ⁻⁰⁸	4.33x10 ⁻⁰⁵
CRP (mg/dl)	r	0.278*	0.456*	0.468*	0.457*	0.356*
	p	1.87x10 ⁻⁰⁴	1.34x10 ⁻¹⁰	4.49x10 ⁻¹¹	1.34x10 ⁻¹⁰	7.70x10 ⁻⁰⁷
RF	r	0.127	0.109	0.196*	0.068	0.047
	р	1.03x10 ⁻⁰¹	1.65x10 ⁻⁰¹	1.19x10 ⁻⁰²	4.02x10 ⁻⁰¹	5.74x10 ⁻⁰¹
ACPA	r	-0.019	0.090	0.143	0.042	0.019
	p	8.20x10 ⁻⁰¹	2.56x10 ⁻⁰¹	6.84x10 ⁻⁰²	6.09x10 ⁻⁰¹	8.20x10 ⁻⁰¹
DAS28	r	0.214*	0.285*	0.259*	0.229*	0.127
	р	5.35x10 ⁻⁰³	1.25x10 ⁻⁰⁴	5.59x10 ⁻⁰⁴	2.83x10 ⁻⁰³	1.01x10 ⁻⁰¹
Albumin (g/dl)	r	-0.144	-0.168*	-0.132	-0.183*	-0.257*
	р	6.79x10 ⁻⁰²	3.18x10 ⁻⁰²	8.87x10 ⁻⁰²	1.86x10 ⁻⁰²	6.33x10 ⁻⁰⁴

Spearman correlation coefficients (r) and p-value (*p*) for each glycoprotein variable and the inflammatory markers obtained by traditional biochemistry. *P*-values adjusted by FDR. Significant values (p<0.05) are marked (*). ESR: Erythrocyte sedimentation rate; CRP: C-reactive protein; RF: Rheumatoid Factor; ACPA: anti-citrullinated peptide antibodies; DAS28: Disease Activity Score of 28 joints.

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