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Detecting familial hypercholesterolemia earlier in life by actively searching for affected children: The DECOPIN project

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	ACCEPTED MANUSCRIPT
1	Detecting familial hypercholesterolemia earlier in life by actively searching for
2	affected children:
3	The DECOPIN Project
4	
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### 1 Abstract

*Background and aims:* Familial hypercholesterolemia (FH) is underdiagnosed in
children. We assessed a combination of two screening methods. The first method was to
detect hypercholesteraemic children and then study the parents (Ch-P pathway), and the
second one was to study the offspring of FH-affected parents (P-Ch pathway).

Methods: In the Ch-P path, primary care paediatricians were asked to include lipid 6 7 profiling or, at least, total cholesterol (TC) and then lipid profiling if TC was higher 8 than 5.2 mmol/L in any clinically indicated blood test. Children with LDL-C  $\geq$  3.5 9 mmol/L, plus either a family history of early cardiovascular disease or one parent with severe hypercholesterolemia, were referred to the lipid unit where the parents, rather 10 11 than their children, were studied. In parents with definite, clinical FH, a genetic study 12 was performed. Focused genetic testing was performed on all offspring of genetically 13 positive parents. The P-Ch path consisted of the active study of children from definite 14 FH adults.

15 *Results:* Fifty-nine paediatricians covering a total population of 63,616 children agreed

16 to participate in the project. Of the 216 children (122 Ch-P and 94 P-Ch) who were

17 ultimately referred to the lipid unit, 87 children with FH (84% genetically positive)

18 were identified. Additionally, 41 parents (from 40 families) were newly diagnosed with

19 FH (63% genetically positive). Forty-nine different mutations were detected: 46 in the

20 LDLR, 2 in the PCSK9 and 1 in APOB gene.

*Conclusions:* The implementation of active strategies to detect FH in children, in close
 collaboration with primary care paediatricians, provides a high-performance method for
 early FH detection.

24

25 Key words: Familial hypercholesterolemia, Children FH, Opportunistic screening,

26 Reverse cascade screening, Direct cascade screening.

### 1 2

3

### INTRODUCTION

4 In Europe, it is estimated that approximately 4.5 million individuals are affected 5 by familial hypercholesterolemia (FH), of whom 20-25% are children and adolescents 6 [1]. Of those, less than 10% are diagnosed. FH is underdiagnosed, and moreover, it is 7 generally detected late in adults [2], precluding any early management of lifestyle 8 education during childhood or early pharmacological therapy if necessary [1]. 9 According to the Safeheart cohort data, only 68.2% of FH patients younger than 18 y/o 10 are on statins, and only 41.5% of patients had LDL-C < 3.4 mmol/L [3]. 11 Unlike adults, the Dutch Lipid Clinic Network (DLCN) [4] and other clinical

criteria for diagnosis cannot be applied in people younger than 18 years. Diagnosis in children should preferably be established by the detection of the FH causative mutation, which is considered the gold standard [5]. However, genetic testing is expensive and not always available. In that case, FH can be diagnosed according to phenotype criteria,

such as the presence of very high LDL-C levels in a child from an FH family.

17 Because heterozygous FH (HeFH) is clinically silent during childhood and 18 beyond, it is necessary to design and implement different strategies for detection [6,7]. 19 Among these, the universal screening [8] of cholesterol concentrations or genetic 20 mutations has been proposed [9]. On the other hand, several varieties of selective 21 screening focusing on children from FH families have been recommended, considering 22 they are more balanced cost-benefit methods [5,10,11]. In other words, there is no 23 global consensus on FH detection strategies either in adults or children. Different 24 countries apply screening methods based on opinions of local expert groups or scientific 25 societies. For example, in the United States, selective screening is recommended 26 beginning at the age of 2 years, and universal screening at 9-11 years [12,13], whereas 27 in most European countries, selective cascade screening based on genetic testing is

recommended [14-16]. Therefore, the detection of FH in children remains a major
 challenge [17].

The objective of the present study is to evaluate an active search strategy in identifying FH children based on two parallel strategies. One implies the collaboration between specialized lipid units and primary care paediatricians. When one suspected case is detected, a child-to-parent study pathway is activated. The second pathway is based on the activation of a direct cascade screening from definite FH-parents.

#### 8

#### 9 MATERIALS AND METHODS

#### 10 Study design

11 During the period from July 2015 to December 2017, we applied a combination 12 of 2 different screening methods in children: the first one was a combination of 13 opportunistic screening and reverse cascade, and the second one was a direct cascade 14 screening. In the first one, after detecting suspicious children, we studied the parents 15 first (Children-to-parent pathway- Ch-P), while in the second, we studied the children 16 from already diagnosed parents (parent-to-child pathway, P-Ch). To implement the Ch-17 P pathway, primary care paediatricians were asked to include, in any blood test 18 indicated for children for clinical reasons, lipid profiling to determine LDL-C, or at 19 least, a total cholesterol (TC) measurement, and if TC was higher than 5.2 mmol/L (for conversion to mg/dL multiply by 38.665), lipid profiling was performed. Children with 20 LDL-C  $\geq$  4.9 mmol/L or  $\geq$  3.5 mmol/L plus one of the following conditions: early 21 22 cardiovascular disease in a first- or second-degree relative; a parent with TC > 7.823 mmol/L (and/or on lipid lowering therapy) or one parent unknown, were sent to the 24 Lipid Unit, or previously, to the hospital paediatric department if secondary dyslipidaemia was suspected. In the Ch-P protocol, we studied the families and parents, 25

1 instead of the index child, in order to identify the mandatory vertical transmission. 2 When one of the parents had a DLCN > 8, a clinical FH diagnosis was established, and 3 genetic testing was performed. In the case of a positive genetic diagnosis, genetic 4 testing for the known mutation was carried-out on both the index child and all offspring 5 (Figure 1). The P-Ch consisted of performing a directed genetic study of all offspring of 6 genetically confirmed index cases or a clinical study of children from genetically 7 negative definite FH parents (Figure 2). The Hospital Ethics Committee approved the 8 study, and all parents provided their written consent to participate in the study. The 9 study complied with the Declaration of Helsinki. 10

## 11 Clinical evaluation and diagnosis in parents and children

In the Ch-P pathway, parents underwent personal and family anamnesis and
directed physical examination (searching for corneal arcus and tendinous xanthomas).
Lipid profiling was performed if it was not available in the last two years, and the
DLCN index was calculated.
In children, anamnesis and complete physical examination, including

17 anthropometry data and a new lipid profile, were performed.

The FH diagnosis was established both in children and adults by a positive
genetic test. Alternatively, the FH clinical diagnosis was established in adults with a
DLCN > 8 (definite diagnosis). In children, the FH clinical diagnosis was established if
they had an LDL-C > 3.9 mmol/L, and one of the parents had definite FH.

22

### 23 Genetic testing

In parents with definite FH, the presence of FH-associated mutations was
studied by next-generation sequencing (NGS) (Liponext, SEQPRO LIPO RS, Roche

1	Diagnostics). The Liponext detects mutations in LDLR, APOB, PCSK9, APOE, STAP1
2	(ADH) and LDLRAP1 (ARH) genes, and copy-number variation in LDLR. A genetic
3	study, focused on the known mutation, was performed for all offspring of positive cases
4	detected from the Ch-P path or already-known FH patients detected in the P-Ch path.
5	
6	Statistical analyses
7	The descriptive results are expressed as the mean $\pm$ SD for normally distributed
8	data, the median (interquartile range, IQR) for data that were not normally distributed
9	and the frequencies for categorical data. Because only descriptive results are shown, no
10	additional statistical analyses were performed.
11	
12	RESULTS
13	Child-to-parent pathway
14	A total of 59 primary care paediatricians from the hospital reference zone
15	agreed to collaborate. These paediatricians treat a total population of 63,616 children.
16	Approximately 13,000 TC tests and 3.540 complete lipid profiles were performed. In
17	total, 127 children (3.6% of those with full lipid profile performed) fulfilled the hospital
18	derivation criteria. Seventeen were excluded from the protocol due to several reasons
19	(Fig. 1); thus, 110 children were considered index cases. Forty-one parents, from 40
20	different families, out of 220 had a DLCN > 8. The genetic study was carried out in
21	these 41 parents, with a positive result in 26 (63%), two from the same family. A
22	directed genetic study was carried out in "all" offspring of genetically positive parents.
23	In total, 32 offspring from these 25 genetically positive FH families plus 8 children
24	from 8 families with at least one unavailable parent (4 dead and 5 unknown, and two
25	from the same family) were studied. Twenty-nine children were genetically positive for

1	FH (28 from positive FH families (87.5%) and 1 from families with some unknown			
2	parent), and among them were 2 homozygous individuals from the same family.			
3	Additionally, 9 children from genetically negative, but clinically definite FH parents			
4	were considered FH because of clinical criteria (definite FH parent + LDL-C >3.9			
5	mmol/L). Therefore, by the Ch-P pathway, from 110 index children studied in the lipid			
6	clinic, a total of 79 new FH cases (38 children, 76% genetically positive, and 41 adults,			
7	63% genetically positive) were detected (Fig. 1).			
8	A girl affected with acid lysosomal lipase deficiency was diagnosed among the non-FH			
9	children.			
10	Parent-to-child pathway			
11	In the context of this project, 94 offspring from 61 FH patients (65% genetically			
12	positive), treated and controlled in our unit, were studied. From the 65 offspring of			
13	genetically positive families, 44 had a detected mutation (67.7%). Five out of 29			
14	children from genetically negative FH families were considered to have clinical FH.			
15	Overall, out of 216 children studied from Ch-P and P-Ch pathways, 87 children			
16	with FH (84% genetically positive) were detected. Additionally, 41 parents (40 families			
17	because in one family both parents were affected) were newly diagnosed with FH (63%			
18	genetically positive) (Fig. 2).			
19	The clinical and biochemical characteristics of FH and non-FH children, sorted			
20	according the diagnostic pathway (P-Ch or Ch-P), are shown in Table 1.			
21	We identified 49 different mutations: 46 in the LDLR, 2 in the PCSK9 and 1 in APOB			
22	gene (Table 2).			
23	Seven out of 73 FH children with a positive mutation had an LDL-C $< 3.5$			
24	mmol/L; in other words, approximately 10% of genetically defined FH did not have the			
25	expected phenotype.			

# 

# **DISCUSSION**

3	We report the impact of implementing an active FH search in children by					
4	combining two different screening strategies, Ch-P and P-Ch pathways.					
5	While in the P-Ch pathway we studied offspring from definitive FH adults, in the Ch-P					
6	pathway, the first step was the opportunistic lipid measurement by paediatricians. We					
7	cannot exclude that the clinical indication for blood sampling would influence lipid					
8	levels in plasma. However, in general, blood testing was indicated as part of a global					
9	health screening or for minor health problems and cholesterol concentrations are quite					
10	constant in these circumstances. On the other hand, those children investigated in our					
11	unit had at least a new lipid profile measured in basal conditions.					
12	Ultimately, 216 children were studied in our unit, and 87 of them had FH (84%					
13	genetically positive). Moreover, through the Ch-P pathway, 41 parents from 40 different					
14	families were newly diagnosed, for a total of 128 newly detected FH cases.					
15	This screening resulted in being highly efficient in detecting genetically positive FH					
16	children. From 105 genetic tests performed in children, 73 were positive (70%). This					
17	percentage is well above that obtained from other strategies. The universal genetic					
18	screening, studied by others, will detect approximately 4 positive cases and 996					
19	negative results per 1000 studies, according to the accepted prevalence of 1/250 [9,18].					
20	Therefore, methods designed to perform genetic tests in selected children seem to be					
21	more cost-effective [5,10]. Among them, the Slovenian experience [8], based on the					
22	universal screening of cholesterol levels at the age of 5, is highly illustrative. By					
23	performing genetic testing on children with very high TC and/or a familial history of					
24	cardiovascular disease, they obtain a positivity rate of 57%. Even among clinically					
25	definite FH [19], the proportion of positive genetic tests is below 70%; therefore, ours					

1	could be considered a high-yield strategy. An interesting aspect of our Ch-P pathway is
2	that it is not exclusively based on LDL-C levels in children but also takes into account
3	vertical transmission [20]. We performed 41 genetic tests on parents and obtained 26
4	positive results (63%) and then performed 32 genetic studies on children (all offspring)
5	and obtained 28 (87.5%) positive results, two of them HoFH. Another important aspect
6	is that in both Ch-P and P-Ch pathways, the genetic study in children can be focused on
7	the parents' mutation saving time and money. This consideration is of particular
8	importance in countries such as ours, where there is not a majority mutation responsible
9	for the disease. We have detected 49 different mutations in 73 genetically positive FH
10	children. None of them was responsible for more than 5 FH cases.
11	Our Ch-P strategy was implemented in collaboration with paediatricians, which was
12	welcomed because it could be integrated into paediatricians' daily activities rather than
13	added as an extra task for physicians to complete.
14	On the other hand, through the P-Ch pathway, we activated the direct cascade
15	screening. Surprisingly, the number of relatives, both adults and children, of definitive
16	FH patients assessed for FH is unacceptably low. There are several reasons, including
17	organization mismatches due to the dependence of relatives on different health areas
18	and physicians, and immigrant population, hindering the study of family members. Our
19	data clearly show that a centralized management of family studies provides high
20	performance. From our P-Ch pathway, we detected FH mutations in forty-four out of 65
21	(68%) offspring of genetically positive FH patients, again using a mutation-directed
22	search strategy.
23	Although our study is mainly based on genetic diagnosis, we also included

Although our study is mainly based on genetic diagnosis, we also included
patients with clinical FH diagnosis. Although these patients are currently considered to
have a serious form of polygenic hypercholesterolemia, they deserve the same clinical

1 management of patients with a monogenic form [21]. Current guidelines recommend 2 that family studies of genetically negative FH patients not be performed [2]; however, 3 the clinical evaluation of 49 offspring from clinical FH parents identified 14 additional 4 clinical FH children (a parent with definite FH plus LDL-c > 3.9 mmol/L) suitable for 5 lipid-lowering recommendations. Interestingly, clinical FH diagnosis in children was established in only 28.5% of the studied offspring, emphasizing that a second lipid 6 7 profile after at least three months of diet is always needed in a child to distinguish 8 highly probable from indeterminate FH.

9 Moreover, 7 positive FH children had normal LDL-C (10%). Wald et al. 10 observed that 33% of genetically detected FH children had LDL-C < 95<sup>th</sup> percentile 11 [18], reinforcing the utility of proactive protocols to detect affected offspring among 12 members of FH families beyond TC values.

13 Despite the success of our Ch-P strategy, we detected only approximately onethird of the predicted 254 FH cases in 63,616 children. One of the reasons is that only 14 15 one-third of children underwent a TC and/or a lipid profile measurement during this 16 period of time. Actively maintaining this protocol will increase the detection rate; 17 however, we agree that our data underline the need for additional protocols. Universal cholesterol screening during childhood associated with our Ch-P pathway could 18 19 improve the currently unacceptable FH detection rate, and despite some doubts about 20 the long-term clinical impact [22] of these early detection protocols, they will help to 21 improve the lifelong prognosis of this disease.

The study has several limitations. The total number of children included is relatively low, although this number also represents the suspected children from a population of 63,616 individuals. We have also included patients with only the clinical criteria of FH, even when the genetic study was negative, which could be considered

1	inappropriate. However, in clinical practice, these patients are considered to have FH,
2	and they have a genetic cause of hypercholesterolemia, probably polygenic, and should
3	be managed as FH according to current guidelines [21].
4	In conclusion, we recommend that in addition to an active search of affected
5	children from FH families, implementing a Ch-P strategy preceded by an opportunistic
6	detection or universal screening of hypercholesterolemia in childhood, in collaboration
7	with paediatricians, will provide a highly efficient strategy for the early detection of FH.
8	
9 10	Conflict of interest
11	D.I. has received lecture fees from Sanofi, MSD and Rubio. C.RB. has received lecture
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16	
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24		

## FIGURE LEGENDS

Figure 1. Child-to-parent pathway.

<sup>a</sup> We studied 220 parents from 110 index children. We sorted the families according to the presence of at least 1 parent with DLCN > 8 and families with at least 1 non-available parent. Two parents from the same family had DLCN > 8 and two parents from 1 family were not available.

Figure 2. Parent-to-child pathway.

 ACCEPTED MANUSCRIPT

 Table 1. Characteristics of the studied children sorted by screening pathway and diagnosis.

	Parent to Child pathway (N=94)		Child to Parent pathway (N=119) <sup>a</sup>	
	FH (N=49)	Non- FH (N=45)	FH (N=36)	Non- FH (N=83)
Age	10±4	11±3	9±3	10±3
Gender (female, %)	40.8	44.4	54.1	46.6
Diagnosis age	9±4	11±3	8±3	10±3
Weight (kg)	40.6±18.5	45.3±16.5	36.3±16.4	38.6±16.0
Height (cm)	139.5±30.1	150.1±17.9	135.9±18.6	140.5±17.4
BMI score	0.16±0.90	0.03±0.94	0.28±0.99	0.06±1.06
Waist circumference (cm)	63.0±11.0	66.0±10.0	63.0±14.0	63.0±14.0
SBP (mmHg)	110±13	113±12	106±12	110±11
DBP (mmHg)	65±9	63±8	63±11	64±
TC (mmol/L)	7.1±1.4	4.6±0.7	6.7±1.2	5.2±0.8

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LDL-C (mmol/L)	5.0±1.3	2.6±0.6	4.8±1.2	3.1±0.7		
HDL-C (mmol/L)	1.7±0.4	1.7±0.4	1.5±0.3	1.8±0.5		
TG (mmol/L)	0.6 (0.5-0.9)	0.6 (0.4-0.8)	0.9 (0.6-1.1)	0.7 (0.6-0.9)		
Apo A1 (mg/dL)	147±26	156±23	146±25	159±30		
Apo B100 (mg/dL)	136±29	79±16	137±31	97±18		
Lp (a) (nmol/L)	36.0 (15.0-158.4)	20.0 (7.0-48.0)	47.0 (16.0-139.2)	36.0 (14.0-165.0)		

<sup>a</sup>Two homozygous FH not included in the FH group. One girl with lysosomal acid lipase deficiency not included in the non-FH group. FH, familial hypercholesterolemia. BMI score, body mass index score; SBP, systolic blood pressure; DBP, diastolic blood pressure; TC, total cholesterol; LDL-C, low density lipoprotein cholesterol; HDL-C, high density lipoprotein cholesterol; TG, triglycerides; Apo A1, apolipoprotein A1; Apo B100, apolipoprotein B100; Lp (a), lipoprotein (a).

Data are expressed as mean ±SD for normally distributed data, median (IQR) for not normally distributed data or percentages for categorical data.

 Table 2. Detected mutations in children.

Gene change	Location	Ν	Protein change	Pathogenicity
		LDLR	Å	
Regulatory region				
c135C>G	Promoter	1	S	Yes
Missense/in frame				
c.1A>G	exon 1	1	p.Met1Val	Yes
c.241C>T	exon 3		p.Arg81Cys	Yes
c.274C>G <sup>a</sup>	exon 3		p.Gln92Glu	No
c.283T>G	exon 3	1	p.Cys95Gly	Yes
c.327C>G	exon 4	1	p.Cys109Trp	Yes
c.347G>A	exon 4	1	p.Cys116Tyr	Yes
c.518G>A	exon 4	1	p.Cys173Tyr	Yes

		ACCEPTED MANUS	CRIPT	
c.533A>G	exon 4	2	p.Asp178Gly	Yes
c.796G>A	exon 5	1	p.Asp266Asn	Yes
c.829G>A <sup>a</sup>	exon 6	2	p.Glu277Lys	No
c.1136G>A	exon 8	1	p.Cys379Tyr	Yes
c.1156G>T	exon 8	1	p.Asp386Tyr	Yes
c.1361C>A	exon 10	2	p.Thr454Asn	Yes
c.1414G>T	exon 10	2	p.Asp472Tyr	Yes
c.1567G>A	exon 10	1	p.Val523Met	Yes
c.1618G>A	exon 11	3	p.Ala540Thr	Yes
[c.1690A>C; c.2393_2401del] <sup>b</sup>	[exon 11;17]	1	[p.Asn564His;p.Lys799_Phe801del]	Yes
c.1775G>A	exon 12	1	p.Gly592Glu	Yes
c.1816G>A	exon 12	1	p.Ala606Thr	possibly

		ACCEPTED MA	ANUSCRIPT	
c.1951G>A	exon 13	1	p.Asp651Asn	Yes
c.1952A>T	exon 13	1	p.Asp651Val	Yes
c.2051C>A	exon 14	2	p.Ala684Asp	Yes
c.2099A>G	exon14	1	p.Asp700Gly	Yes
c.2389G>A	exon 16	1	p.Val797Met	Yes
c.2475C>A	exon 17	4	p.Asn825Lys	Yes
Nonsense/Frameshift				
c.12G>A <sup>a</sup>	exon 1	4	p.Trp4*	Yes
c.97C>T	exon 2	1	p.Gln33*	Yes
c.460C>T	exon 4	1	p.Gln154*	Yes
c.518del	exon 4	1	p.Cys173Serfs*33	Yes
c.593C>A	exon 4	3	p.Ser198*	Yes
c.682G>T <sup>c</sup>	exon 4	5	p.Glu228*	Yes

	ACCEPTED MANUSCRIPT				
c.925_931del	exon 6		2	p.Pro309LysfX59	Yes
c.1048C>T	exon 7		1	p.Arg350*	Yes
c.1448_1451dup	exon 10		1	p.Ile484Metfs*53	Yes
c.2416dup	exon 17		1	p.Val806Glyfs11	Yes
Intronic/Splicing				S	
c.313+1G>C <sup>a</sup>	intron 3		1	splicing	Yes
c.313+2T>C	intron 3		1	splicing	Yes
c.1187-10G>A	exon 9	L.	1	splicing	Yes
c.1358+1G>A	exon 9	R	2	splicing	Yes
c.1845+1G>C	exon 12	C C C C	1	splicing	Yes
c.2389+4A>G	intron 16	R C	1	intronic	Yes
c.2390-1G>C	exon 17		5	splicing	Yes

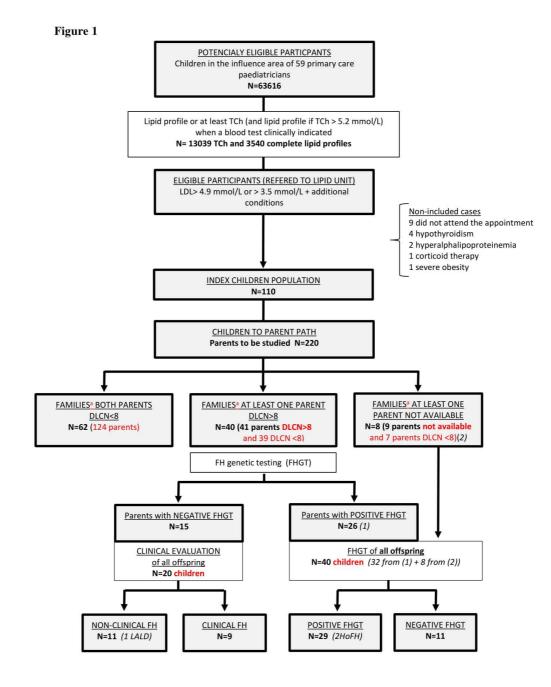
Rearrangements

ACCEPTED MANUSCRIPT					
dup exons 4-5		1		Yes	
dup exons 4-15		2		Yes	
del exon 12		2	R	yes	
		APOB	8		
c.10580G>A	exon 26	2	p.Arg3527Gln	yes	
PCSK9					
c.60_65dup	exon 1	2	p.Leu22_Leu23dup	yes	
c.1486C>T	exon 9	1	p.Arg496Trp	yes	

<sup>a</sup>Three patients carry two mutations in the same allele of the *LDLR* gene. One child: c.274C>G + c.313+1G>C and two children: c.829G>A + c.12G>A.

<sup>b</sup> These variants usually segregate together (counted as one change).

<sup>c</sup> Two homozygous children.



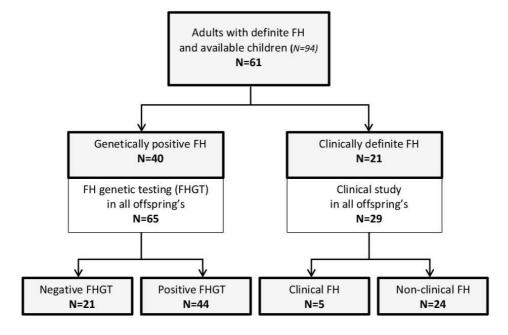


Figure 2

### <u>HIGHLIGHTS</u>

- Detection of Familial Hypercholesterolemia in children remains a major challenge.
- To implement strategies for FH detection in children is necessary.
- Opportunistic Active children to parent and parent to children FH screening pathways reverse and direct cascade screening increase early FH detection.
- Close collaboration with paediatricians provides high-performance detection method.