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1 **Association between coffee consumption and total dietary caffeine intake with cognitive**
2 **functioning. Cross-sectional assessment in an elderly Mediterranean population.**

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86 **Abstract**

87 **Purpose**

88 Coffee is rich in compounds such as polyphenols, caffeine, diterpenes, melanoidins and
89 trigonelline, which can stimulate brain activity. Therefore, the possible association of coffee
90 consumption with cognition is of considerable research interest. In this paper we assess the
91 association of coffee consumption and total dietary caffeine intake with the risk of poor cognitive
92 functioning in a population of elderly overweight/obese adults with metabolic syndrome (MetS).

93 **Methods**

94 PREDIMED-plus study participants who completed the Mini-Mental State Examination test
95 (MMSE) (n=6,427; mean age = 65±5 years) or a battery of neuropsychological tests were included
96 in this cross-sectional analysis. Coffee consumption and total dietary caffeine intake were
97 assessed at baseline using a food frequency questionnaire. Logistic regression models were fitted
98 to evaluate the association between total, caffeinated and decaffeinated coffee consumption or
99 total dietary caffeine intake and cognitive impairment.

100 **Results**

101 Total coffee consumers and caffeinated coffee consumers had better cognitive functioning than
102 non-consumers when measured by the MMSE and after adjusting for potential confounders (OR:
103 0.63; 95%CI: 0.44-0.90 and OR: 0.56; 95%CI: 0.38-0.83, respectively). Results were similar when
104 cognitive performance was measured using the Clock Drawing Test (CDT) and Trail Making Test
105 B (TMT-B). These associations were not observed for decaffeinated coffee consumption.
106 Participants in the highest tertile of total dietary caffeine intake had lower odds of poor cognitive
107 functioning than those in the reference tertile when screened by the MMSE (OR: 0.64; 95%CI:
108 0.47-0.87) or other neurophysiological tests evaluating a variety of cognitive domains (i.e. CDT
109 and TMT-A).

110 **Conclusions**

111 Coffee consumption and total dietary caffeine intake were associated with better cognitive
112 functioning as measured by various neuropsychological tests in a Mediterranean cohort of elderly
113 individuals with MetS.

114 Trial registration ISRCTN89898870. Registration date: 24 July 2014.

115 **Key words**

116 Cognitive impairment, Mini-Mental State Examination, Coffee, Caffeine, PREDIMED-Plus

117 **Introduction**

118 The Metabolic Syndrome (MetS) is a recognized risk factor in the development of non-
119 communicable chronic diseases such as diabetes and cardiovascular disease (CVD). In recent
120 years, it has been suggested that individuals with MetS are also at high risk of developing
121 neurological alterations characterized by cognitive decline, which may progress to Alzheimer's
122 disease (AD) or other types of dementia [1–3]. According to the latest World Health Organization
123 guidelines for reducing the risk of cognitive decline and dementia [4], the net number of individuals
124 with dementia is increasing exponentially in parallel with population ageing. This important public
125 health concern is expected to have a considerable negative effect on society and the economy.

126 Lifestyle changes such as modifications in diet, physical activity, social enrichments and cognitive
127 training may preserve and enhance cognitive performance in older adults [4]. In terms of diet,
128 numerous studies have indicated that adherence to healthy dietary patterns is associated with
129 better cognitive performance throughout the adult lifespan [5, 6], and therefore might play an
130 important role in preventing cognitive decline and dementia. The association between cognitive
131 performance and certain food groups, nutrients and/or bioactive compounds such as coffee
132 consumption and caffeine intake has also been of research interest [7–9].

133 It has been reported that coffee and caffeine may act as psychoactive stimulants that improve
134 cognitive performance in the short term. Studies on animals have demonstrated that caffeine [10,
135 11] and other bioactive components of coffee [12] have a protective effect on cognition. The few
136 randomized clinical trials that have studied the potential effect of coffee or caffeine consumption
137 on cognitive performance have focused on short-term effects and none of them has analyzed the
138 effect on cognitive decline or the risk of dementia [8]. Studies evaluating decaffeinated coffee
139 consumption are even more scarce and have focused on the acute effects on cognitive
140 performance.

141 Epidemiological studies that have analyzed potential associations between coffee and caffeine
142 consumption and cognitive function or the risk of dementia in humans have provided inconsistent
143 results [13–15]. This is partly due to the differences in the populations studied, the study design,
144 the exposure variables and the method for assessing them (studies have focused on total coffee
145 consumption but excluded the type of coffee consumed (caffeinated/ decaffeinated) from their

146 analyses, the reported outcome (AD, dementia, cognitive impairment, cognitive decline) and the
147 criteria or tools used to define the outcome [14].

148 Moreover, most epidemiological studies have been conducted on healthy or non-Mediterranean
149 populations and their results cannot be extrapolated to elderly populations at high risk of
150 developing neurological disorders. Since there is evidence to suggest that MetS may increase
151 the incidence of vascular dementia and the risk of progression from cognition impairment to
152 dementia in aged individuals, studying the possible associations between coffee/caffeine
153 consumption and cognition is of great value.

154 In this paper we aimed to assess the association of coffee consumption and caffeine intake with
155 the odds of poor cognitive functioning in a population of overweight/obese elderly adults with
156 MetS. We hypothesize that individuals who consume higher amounts of coffee or caffeine have
157 better cognitive functioning.

158 **Methods**

159 **Study design and participants**

160 A cross-sectional analysis using baseline data from the PREDIMED-Plus study was conducted.
161 Briefly, the PREDIMED-Plus is an ongoing parallel-group, randomized and controlled clinical trial
162 conducted in 23 Spanish centers, which aims to evaluate the effect of an intensive weight loss
163 intervention (based on an energy-restricted Mediterranean diet, physical activity promotion and
164 behavioral support) on CVD events compared to a control group that is given usual care advice.
165 A detail description of the PREDIMED-Plus study is also available at
166 <https://www.predimedplus.com>. This study was registered at the International Standard
167 Randomized Controlled Trials (ISRCTN; <http://www.isrctn.com/ISRCTN89898870>) on 24 July
168 2014.

169 Between October 2013 and December 2016, 6,874 participants were recruited at 23 centers from
170 various universities, hospitals and research institutes in Spain, and randomly allocated in a 1:1
171 ratio to an intensive lifestyle intervention or to usual medical care. Eligible participants were
172 overweight or obese (BMI 27 to 40 kg/m²) men and women (aged 55–75 years) who satisfied at
173 least three criteria for the MetS (waist circumference >102 cm in men and >88 cm in women;
174 serum triglyceride ≥150 mg/dL or drug treatment for elevated triglycerides; HDL-c <40 mg/dL in
175 men and <50 mg/dL in women or drug use for low HDL-c; blood pressure ≥130/85 mmHg or
176 antihypertensive drug treatment; and fasting plasma glucose level ≥100 mg/dL or hypoglycemic
177 treatment) [16], and were free of CVD. Detailed inclusion and exclusion criteria have been
178 extensively described elsewhere [17].

179 All participants provided written informed consent and the institutional review boards of each
180 participating center approved the final protocol and procedures.

181 For the present study, PREDIMED-Plus participants who had baseline information missing from
182 the food frequency questionnaire (FFQ) or whose total energy intake was extreme (women <500
183 and >3500 kcal/day, and men <800 and >4000 kcal/day) were excluded (n = 241). Participants with
184 missing data on covariates (education level, hypertension, hypercholesterolemia) or who had
185 been diagnosed with dementia were excluded from our analyses (n = 19). Associations were
186 tested for those participants who had completed the various cognitive tests. As not all participants

187 completed every cognitive test, there were slightly different samples for the Mini-Mental State
188 Examination test (n=6,427), the semantic and phonemic Verbal Fluency Test (n=6,563), the Clock
189 Drawing Test (n=6,400), Trail Making Test A (n=6,533) and B (n=6,457), and the Digit Span Test
190 forward score (n=5,128).

191 **Assessment of coffee consumption and caffeine intake**

192 At baseline, a trained dietitian administered a 143-item FFQ during a face to-face visit.
193 Participants were asked about their frequency of consumption of each item in the preceding year.
194 The nine possible answers ranged from never to more than 6 times per day, which were
195 transformed into grams or milliliters per day using the standard portion size of each item. Two
196 items on the FFQ were specifically related to coffee consumption (one for caffeinated coffee and
197 one for decaffeinated coffee). Total coffee consumption was considered to be the sum of
198 caffeinated and decaffeinated coffee consumption. Two Spanish food composition tables were
199 used to calculate total energy and nutrient intake [18, 19]. Total dietary caffeine consumption was
200 computed from the FFQ using the caffeine contained in caffeinated coffee (400mg/L),
201 decaffeinated coffee (10.7mg/L), tea (100mg/L), regular sodas (79.2mg/L), artificially sweetened
202 soda (128mg/L), and chocolate (180mg/Kg). Reference values from the European Food Safety
203 Authority [20] were used to calculate caffeine intake.

204 **Neuropsychological assessment**

205 The MMSE questionnaire validated for the Spanish population [21] was administered by trained
206 PREDIMED-Plus staff. MMSE is the most commonly used brief cognitive screening test. This 30-
207 point questionnaire examines cognitive functions including orientation, registration, concentration,
208 memory, language and copying a figure. It is divided into two sections, the first of which requires
209 vocal responses only (maximum score of 21). The second section tests the respondent's ability to
210 name, follow verbal and written commands, write a sentence spontaneously, and copy a complex
211 polygon similar to a Bender-Gestalt figure (maximum score of 9). The MMSE, therefore, has a
212 maximum total score of 30, and higher scores indicate the absence of cognitive decline [22].

213 We also evaluated other cognitive domains using several neuropsychological tests such as the
214 Verbal Fluency Test (VFT), the Digit Span Test (DST) of the Wechsler Adult Intelligence Scale-III
215 (WAIS-III), the Trail Making Test (TMT) and the Clock Drawing Test (CDT).

216 The VFT assesses verbal ability and executive control and consists of two parts: 1) the
217 phonemic fluency task, in which participants are asked to recite, in 60 seconds, as many words
218 as possible that start with the letter P (not including the names of people or places or repetitions
219 of the same word with different suffixes); and 2) the semantic fluency task, in which the
220 participants name as many animals as they can without repetition in 60 seconds. The total raw
221 score for each task is the number of words the participant produces [23].

222 The DST of the WAIS-III Spanish version [24] is made up of two different subtests: DST forward
223 recall and DST backward recall. DST forward recall requires participants to orally repeat a series
224 of three to nine random single digits in the same order they hear them. On the other hand DS
225 backward recall, requires participants to repeat a series of two to eight random single digits in
226 reverse order. In this study, the performance on the DST was reported via a direct score of 1 to
227 16 for the forward performance and a direct score of 1 to 14 for backward performance.

228 The TMT is a tool that assesses executive function, and tests processing speed, sequence
229 alternation, cognitive flexibility, visual search, motor performance, and executive functioning [25].
230 It is considered sensitive enough to detect cognitive impairment associated with dementia (i.e.
231 AD). The TMT consists of 25 circles spread over two sheets of paper (parts A and B). In part A
232 (TMT-A), participants are asked to connect consecutive numbers (1–2–3–4-...) in the correct
233 order by drawing a line. In part B (TMT-B), they are asked to connect consecutive numbers and
234 letters in an alternating numeric and alphabetic sequence (1-A, 2-B, 3-C-...). Each part is scored
235 according to the time taken to complete the task (lower scores imply better performance).

236 The CDT [26] is used as a neuropsychological screening tool to detect cognitive impairment and
237 dementia [27]. It evaluates visuoconstructive and visuospatial skills, symbolic and conceptual
238 representation, hemiattention, semantic memory and executive function (including organization,
239 planning, and parallel processing). For this study we used a validated Spanish version ranging
240 from 0 to 7 [28].

241 **Assessment of covariates**

242 Covariates were evaluated by trained staff in a face-to-face interview using self-reported general
243 questionnaires on socio-demographics (sex, age, level of education, and employment status),
244 and lifestyle (smoking habits, physical activity), history of illness, and medication use. Trained

245 PREDIMED-Plus staff followed the study protocol to measure anthropometric variables and blood
246 pressure. Blood samples were collected in fasting conditions and biochemical analyses were
247 performed on fasting plasma glucose, triglycerides, cholesterol and other biochemical parameters
248 by routine laboratory methods. Leisure time physical activity was estimated using a validated
249 short version of the Minnesota Leisure Time Physical Activity Questionnaire [29, 30]. Adherence
250 to an energy-reduced MedDiet was assessed using a 17-item questionnaire [31] adapted from a
251 previously validated one [32]. The score obtained from the questionnaire ranged from 0 to 17.
252 Finally, depressive symptoms were evaluated using the Beck Depression Inventory II (BDI-II).
253 Cut-off points for depressive status risk were established as scores ≤ 19 for mild depression and
254 scores >19 for moderate-to-severe depression [33].

255 **Statistical analysis**

256 For our analyses we used the PREDIMED-Plus database updated to March 2019. Participants
257 were categorized as non-coffee consumers and coffee consumers. Coffee consumers were
258 further differentiated according to the type of coffee they consumed (caffeinated coffee
259 consumers and decaffeinated coffee consumers). The χ^2 test and t-test were used to compare the
260 baseline characteristics between non-consumers and coffee consumers, or non-consumers and
261 caffeinated coffee consumers or decaffeinated coffee consumers, respectively.

262 The MMSE was used for our main analyses to evaluate the odds of poor cognitive functioning
263 (established as MMSE score ≤ 24 points). Several logistic regression models were fitted to assess
264 the association (odds ratio (OR); 95% confidence interval (CI)) between coffee consumption and
265 the odds of poor cognitive functioning. Model 1 was adjusted for age (years), sex, body mass
266 index (kg/m^2), educational level (primary or lower, secondary or academic or graduate), smoking
267 habit (never, former or current), total energy consumption (kcal/day), physical activity (METs
268 min/week), alcohol consumption (g/day , and adding the quadratic term), prevalence of diabetes
269 (yes/no), hypertension (yes/no), hypercholesterolemia (yes/no) and participating center (in
270 quartiles by number of participants). Model 2 was further adjusted for food groups (consumption
271 of vegetables, fruits, nuts and dried fruits, biscuits, fish, dairy products, meat and poultry, legumes,
272 olive oil and cereals (g/d)). Finally, model 3 was further adjusted for depression status
273 (mild/moderate-to-severe). Models 2 and 3 for caffeinated coffee consumers and decaffeinated

274 coffee consumers were further adjusted for decaffeinated coffee consumption (ml/day) or
275 caffeinated coffee consumption (ml/day), respectively.

276 We also evaluated the associations between coffee consumption and the odds of poor cognitive
277 functioning using other neuropsychological tests. The cut-off points for the VFTs and DSTs were
278 established as \leq than the mean -1.5SD. The TMT's cut-off points were established as \geq the mean
279 +1.5SD. The Clock Test cut-off point was established as \leq 4 points. The same covariates as
280 above were used to fit the fully-adjusted models. The models for caffeinated coffee consumers
281 and decaffeinated coffee consumers were further adjusted for decaffeinated or caffeinated coffee
282 consumption (ml/day), respectively. It was not possible to run logistic regression models for the
283 DST backward test because of the low number of impairment cases. We also explored the
284 associations (OR, 95%CI) between servings of caffeinated coffee, decaffeinated coffee, and total
285 coffee consumed and the odds of poor cognitive functioning as assessed by the MMSE test. The
286 same adjustments were used to analyse these models.

287 We also evaluated the association (OR, 95%CI) between total dietary caffeine intake and the
288 odds of poor cognitive functioning as assessed by the aforementioned neuropsychological tests.
289 For each test, tertiles of caffeine intake were calculated and the lowest tertile was used as the
290 reference category. The fully-adjusted model was used.

291 To assess the linear trend in the logistic regression models the median value of each serving
292 category of total, caffeinated and decaffeinated coffee consumption and the median value of each
293 tertile of total caffeine intake were assigned to each participant, and this new variable was
294 modeled as continuous.

295 We conducted statistical analyses to evaluate whether the associations observed could be
296 modified by age (years) and sex (men/women). Interaction was tested with likelihood ratio tests,
297 which involved comparing models with and without cross-product terms.

298 All analyses were conducted with robust estimates of the variance to correct for intra-cluster
299 correlation. The data were analyzed using the Stata 14 software program (StataCorp) and
300 statistical significance was set at a two-tailed p value < 0.05 .

301 **Results**

302 **Table 1** shows the general characteristics of the population under study according to coffee
303 consumption. Among coffee consumers, mean coffee consumption was 85 ± 52 ml/day, of which
304 45 ± 55 ml/day and 39 ± 49 ml/day were consumed in the form of caffeinated coffee and
305 decaffeinated coffee, respectively. Coffee consumers were younger, more likely to smoke, and
306 more likely to present T2DM or hypercholesterolemia than non-coffee consumers. Coffee
307 consumers also had higher energy intake, consumed higher amounts of red meat/poultry, dairy
308 products and alcohol (irrespective of the type of coffee consumed) and had a lower consumption
309 of vegetables, nuts and legumes. In addition, their MMSE scores were higher and their adherence
310 to the MedDiet was lower than that of non-coffee consumers. No other significant associations
311 were observed. The general characteristics of the study population in terms of MMSE
312 performance are shown in **Supplementary Table 1**.

313 The association (OR, 95%CI) between coffee consumption and the odds of poor cognitive
314 functioning (MMSE test) is shown in **Table 2**. Compared to non-coffee consumers, coffee
315 consumers and caffeinated coffee consumers proved to have better cognitive functioning (0.59,
316 0.42 – 0.82) and (0.47, 0.33 – 0.67), respectively, even after adjusting for potential confounders
317 ((0.63, 0.44 - 0.90) and (0.56, 0.38 - 0.83), respectively). No significant associations were found
318 between decaffeinated coffee consumers and the odds of poor cognitive functioning by the MMSE
319 test.

320 **Table 3** shows the association (OR, 95%CI) between the number of servings (50ml) of total
321 coffee, caffeinated coffee and decaffeinated coffee and the odds of poor cognitive functioning
322 using the MMSE test. Compared to those participants with < 1 serving/day of total coffee intake,
323 participants who consumed > 2 servings/day of total coffee were more likely to have better
324 cognitive performance in the test even after adjusting for potential confounders. For caffeinated
325 coffee, participants who consumed 1 - <2 servings/day and > 2 servings/day had significantly
326 lower odds of cognitive impairment (37% and 46%, respectively) than those who consumed < 1
327 serving per day. There were no significant associations between the consumption of servings of
328 decaffeinated coffee and the odds of cognitive impairment. **Supplementary Table 2 shows the**
329 **association (OR, 95%CI) between the number of servings (50ml) of total coffee and its subtypes**

330 and the odds of poor cognitive functioning when non-consumers (0 servings/day) category is
331 considered as the referent group, and results remain in the same direction.

332 **Table 4** shows the association (OR, 95%CI) between cognitive status and coffee consumption
333 measured using various neuropsychological tests. Regardless of the type of coffee consumed,
334 coffee consumers were more likely to have better cognitive functioning, when cognitive status
335 was evaluated by TMT-B. No other significant associations were observed with any other
336 neuropsychological test.

337 **Figure 1** and **Supplementary Table 3** show the association (OR, 95%CI) between tertiles of total
338 dietary caffeine intake and various neuropsychological tests. Coffee consumption contributed to
339 68.6% of total dietary caffeine intake in our population (data unshown). Participants in the highest
340 tertile of caffeine intake performed better in the cognition domains than those in the lowest tertile
341 (reference category) when evaluated by MMSE, CDT and TMT-A.

342 When the heart rate and systolic blood pressure were added to our models as covariates, the
343 results were in the same direction and remain significant (data not shown). Interactions between
344 sex ($p = 0.07$) and age ($p = 0.27$) with coffee consumption were not significant.

345 **Discussion**

346 To the best of our knowledge, this is the first study to evaluate the association between coffee
347 consumption and cognition in an elderly population at high cardiovascular risk using a cross-
348 sectional design. We observed that total coffee consumers and caffeinated coffee consumers
349 have lower odds of poor cognitive functioning than non-coffee consumers measured by the
350 MMSE, CDT and TMT-B tests. In addition, participants in the highest tertile of total dietary caffeine
351 intake had lower odds of poor cognitive functioning than those in the reference tertile when
352 screened by the MMSE and other neuropsychological tests that evaluate different cognitive
353 domains (i.e. CDT and TMT-B).

354 Coffee is one the most widely consumed beverages around the world and the level of
355 consumption by the Spanish population is no exception [34–36]. Coffee is a seed, made of
356 complex matrices rich in vitamins, minerals, and bioactive phytochemicals that protect the plant's
357 DNA from oxidative stress, thus facilitating the perpetuation of the species [37]. As such, coffee
358 is rich in polyphenols (with antioxidant properties), caffeine, diterpenes, melanoidins and
359 trigonelline [38]. For these reasons, the effect of coffee consumption on several health outcomes
360 has been the object of research interest, especially in relation to cardio-metabolic health, cancer
361 incidence and mortality [38–40]. However, coffee composition can depend on the type of coffee
362 bean and the brewing process, which may influence the biological effects it has on the human
363 body [39].

364 Previous studies have explored the association coffee and caffeine intake has with cognitive
365 performance. In a cross-sectional study conducted on a representative British population, it was
366 observed that total coffee consumption, and especially caffeine intake, had a dose-response
367 relationship with improving several domains of cognitive performance [7]. The same study also
368 reported that older participants had a greater scope than younger participants for increasing their
369 level of cognitive functioning in relation to caffeine intake [7]. This might suggest that individuals
370 at risk of cognitive impairment (i.e. older age) are more prone to the benefits of coffee
371 consumption and its components. However, we cannot discard reverse causation. In the ELSA-
372 Brasil cohort, a battery of neuropsychological tests (including semantic and phonemic VFTs and
373 TMT-B) was used to cross-sectionally assess the association between coffee consumption and

374 cognitive function [41]. The above study reported that elderly individuals who consumed ≥ 3
375 cups/day of total coffee performed better on the semantic verbal fluency test than those who rarely
376 consumed coffee or did not consume it at all. However, these associations were not observed
377 among elderly participants in the phonemic verbal fluency test or the TMT-B. Although, in our
378 study conducted in a senior population, this association was observed in the trail making test B.
379 Neither were any associations reported between coffee consumption and cognitive performance
380 in younger adults in the ELSA-Brasil cohort.

381 A systematic review and meta-analysis of nine prospective studies [13] reported that individuals
382 who consumed between 1 and 2 cups/day had a lower risk of incidence of cognitive disorders
383 such as Alzheimer's disease, dementia, cognitive decline and cognitive impairment than low
384 coffee consumers (<1 cup/day). The review also reported a J-shaped association between total
385 coffee consumption and incident cognitive disorders, with the lowest risk observed at a
386 consumption level of 1-2 cups of coffee per day. This association was not observed in our study,
387 where no difference was observed between participants who consumed between 1-2
388 servings/day and those who consumed more than 2 servings/day. However, this may be due to
389 the different tests used by each study.

390 Our results on total dietary caffeine intake are in line with those of previous studies that have
391 reported that caffeine can act as a psychoactive stimulant, improving cognitive performance in
392 the short term and decreasing the risk of cognitive impairment, dementia and AD in the long term
393 [7–9, 14]. The mechanisms underlying the association between caffeine intake and cognitive
394 ability or dementia are not completely understood. Some animal studies have demonstrated that
395 caffeine intake has a beneficial effect on cognitive performance in the short term. Moreover, some
396 in vitro and pre-clinical animal models suggest that some of the bioactive components of coffee
397 have neuroprotective mechanisms of action that attenuate β -amyloid peptide ($A\beta$) production and
398 prevent neuronal damage, synaptotoxicity and cognitive deficit in rats induced by $A\beta$ in the long
399 term [42]. Unfortunately, to the best of our knowledge there was no evidence of this in humans.

400 In a double-blind placebo-controlled trial conducted in 2018 [8], healthy Japanese adults
401 completed a battery of four tests that measured performance in several cognitive domains,
402 including reaction time, cognitive flexibility, processing speed, executive function, working

403 memory, and sustained attention. The authors found that participants who were acutely given
404 200 mg/day of caffeine performed better on the shifting attention test but not in other cognitive
405 domains.

406 Caffeine is structurally similar to adenosine, an endogenous neurotransmitter with mostly
407 inhibitory effects on the central nervous system, when acting through A1 receptors. In general,
408 adenosine inhibits adenylyl cyclase via A1 receptors and stimulates adenylyl cyclase via A2 receptors
409 [43]. The effects of caffeine on the brain are mediated through the blockade of adenosine A1 and
410 A2A receptors, which disable the capacity of adenosine to bind the receptors. The ability of
411 caffeine to interact with neurotransmission in different regions of the brain may promote
412 behavioral functions, such as vigilance, attention, mood and arousal [12].

413 The association between long-term caffeine consumption in humans and cognition or cognitive
414 disorders has been explored using cross-sectional and prospective study designs. A cross-
415 sectional analysis conducted in more than 9,000 British adults [6] showed that caffeine intake had
416 a dose-response relationship with better cognitive performance when measured by several tests
417 and after adjusting for potential confounders. A systemic review and meta-analysis published in
418 2010 [14] that included nine prospective cohort studies and two case-control studies reported a
419 trend towards a protective relationship of caffeine intake on various measures of cognitive
420 impairment/decline, although considerable methodological heterogeneity between studies made
421 it difficult to interpret the results. After this meta-analysis, a new prospective study conducted in
422 the context of the Women's Health Initiative Memory Study [9] also showed an inverse association
423 between total caffeine intake and the risk of age-related cognitive impairments in women aged
424 ≥ 65 years.

425 In our analysis a protective trend against poor cognitive performance was observed for
426 decaffeinated coffee consumption, although it was not statistically significant. Few studies have
427 analysed the potential effect of decaffeinated coffee on cognition although the results are
428 inconsistent [15, 44–46]. It has been suggested that coffee compounds other than caffeine, which
429 are also found in decaffeinated coffee, may also have a protective effect on cognition [47][48].
430 These include chlorogenic acids (polyphenols with antioxidant properties), which may help to
431 reduce oxidative stress and neuroinflammation [49]. It has been suggested that the antioxidant

432 capacity of coffee depends on its ability to increase the concentration of glutathione in plasma
433 [38], while levels of glutathione in the brain tend to decrease with aging, Parkinson's disease and
434 Alzheimer's disease [50]. A prospective study conducted with healthy Afro-American adults
435 reported an association between increased levels of oxidative stress, as reflected by low or
436 progressively decreasing glutathione levels, and a decline in executive function with aging [51].
437 Furthermore, coffee components such as quinic acid, caffeic acid, quercetin, and phenylindane
438 have been associated with anti-inflammatory properties, protection against amyloid toxicity, tau
439 aggregation, and A β inhibition [48][47]. However, the results from a recently published study
440 conducted in older American adults reported no significant association between decaffeinated
441 coffee and different dimensions of cognitive performance [15], which is in line with our
442 observations and the results reported by Johnson-Kozlow, M. et al [44].

443 The results for total and decaffeinated coffee reinforce the hypothesis that it is the synergic effect
444 of polyphenols, caffeine and other coffee compounds, not only caffeine, that gives coffee
445 consumption its protective effect against cognitive impairment. It should be noticed that the
446 positive associations between total coffee and caffeinated coffee consumption and cognitive
447 performance observed in our study and others [9, 44] have been reported using various
448 neuropsychological screening tests. The different results provided by the different tests may be
449 the consequence of each test measuring different cognitive domains that are more prone to
450 influence by coffee consumption and its components in different forms. For example, it is accepted
451 that caffeine can increase alertness, improve sustained attention and working memory, and
452 reduce reaction time and fatigue [52][43]. This may explain the associations observed for the
453 MMSE, CDT and TMT tests which examine cognitive functions such as memory, orientation,
454 registration, concentration, processing speed, visual search and hemiattention, which are prone
455 to be affected by coffee consumption.

456 Our study has certain limitations that must be considered. Firstly, as MMSE and the battery of
457 neuropsychological tests used in this study are screening tools that cannot substitute a complete
458 diagnostic workup, the results must be taken with caution. However, using several
459 neuropsychological tests to evaluate cognitive status gives our findings greater value. Secondly,
460 given the cross-sectional design, it is not possible to determine causality between coffee
461 consumption and caffeine intake, and cognitive function. Thirdly, the caffeine content in coffee,

462 other beverages (e.g. tea and soft drinks) and food varies greatly, which may lead to under- or
463 over-estimation. However, we should point out that we have explored the association between
464 cognitive performance and decaffeinated coffee, which gives greater insight into the potential
465 effect of coffee consumption as a whole and not just caffeine on cognition. Finally, our study has
466 been conducted in aged individuals with overweight/obesity and metabolic syndrome, therefore
467 our findings cannot be extrapolated to other population groups.

468 **Conclusion**

469 In this cross-sectional study, total and caffeinated coffee consumption and total caffeine intake
470 were associated with lower odds of poor cognitive functioning measured by a battery of
471 neurophysiological tests in a Mediterranean cohort of elderly individuals with MetS. Long-term
472 and interventional studies are needed to clarify these associations and if they are confirmed,
473 dietary recommendations on coffee consumption and caffeine intake could be part of strategies
474 for preventing cognitive decline.

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482 **Declarations**

483 **Author contributions**

484 Study concept and design: N.B and J.S-S. Statistical analyses: I.P-G, N.B and J.S-S. Drafting
485 the manuscript: I.P-G, N.B, N.B-T, L.C-B and J.S-S. All authors reviewed the manuscript for
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516 **Conflict of interest**

517 JS-S serves on the board of (and receives grant support through his institution from) the
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526 **Consent for publication**

527 Not applicable.

528 **Availability of data and materials**

529 The datasets used and/or analysed during the current study are available from the corresponding
530 author on reasonable request.

531 **Ethical standards**

532 All participants provided their written informed consent. The study protocol and procedures were
533 approved in accordance with the ethical standards of the Declaration of Helsinki.

534 **Figure legends**

535 **Figure 1. Odds Ratio (95% CIs) of various neurophysiological tests according to tertiles of**
536 **caffeine intake.**

537 MMSE, Mini-mental State Examination; PVFP, Phonological verbal fluency; SVFA, Semantic
538 verbal fluency; ClockT, Clock Test; TMTa, Trail Making Tests A; TMTb, Trail Making Tests B and
539 DSD, Digit forward score. Multivariable logistic regression model. Adjusted for age (years), sex,
540 body mass index (kg/m²), educational level (primary, secondary or university/graduate), smoking
541 habit (never, former or current), total energy consumption (kcal/day), physical activity
542 (METs.min/week), alcohol consumption (g/day, and adding the quadratic term), diabetes
543 prevalence (yes/no), hypertension (yes/no), hypercholesterolemia (yes/no), consumption of

544 vegetables (g/d), fruits (g/d), dried fruits (g/d), biscuits (g/d), fish (g/d), dairy products (g/d), meat
545 (g/d), legumes (g/d), olive oil (g/d), cereals (g/d), depression status (mild/moderate-to-severe
546 depression) and participating center (in quartiles by number of participants). All analyses were
547 conducted with robust estimates of the variance to correct for intra-cluster correlation.

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Odd Ratio (95% CIs) of various neurophysiological tests according to tertiles of caffeine intake

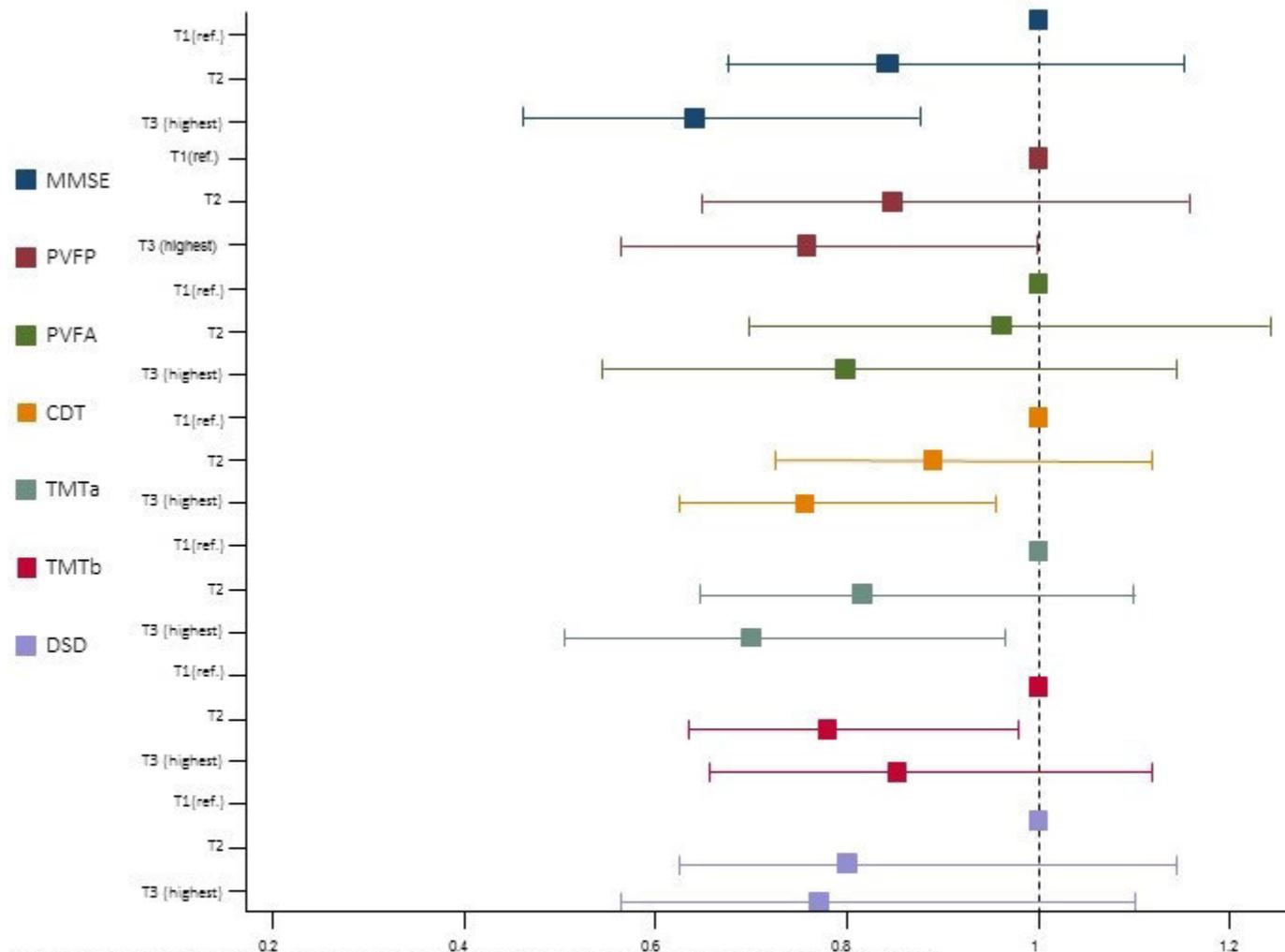


Figure 1 Odd Ratio (95% CIs) of various neurophysiological tests according to tertiles of caffeine intake.

MMSE, Minimal State Examination; PVFP, Phonological verbal fluency; SVFA, Semantic verbal fluency; ClockT, Clock Test; TMTa, Trail Making Tests A; TMTb, Trail Making Tests B and DSD, Digit forward score.

Multivariable logistic regression model. Adjusted for age (years), sex, body mass index (kg/m²), educational level (primary, secondary or university/graduate), smoking habit (never, former or current), total energy consumption (kcal/day), physical activity (METs.min/week), alcohol consumption (g/day, and adding the quadratic term), diabetes prevalence (yes/no), hypertension (yes/no), hypercholesterolemia (yes/no), consumption of vegetables (g/d), fruits (g/d), dried fruits (g/d), biscuits (g/d), fish (g/d), dairy products (g/d), meat (g/d), legumes (g/d), olive oil (g/d), cereals (g/d), depression status (mild/moderate-to-severe depression) and participating center (in quartiles by number of participants). All analyses were conducted with robust estimates of the variance to correct for intra-cluster correlation.

Table 1. General characteristics of the studied population according to coffee consumption and subtype

	Non-coffee consumers (n = 537)	Coffee consumers (n = 5,890)	<i>P</i> <i>value</i> ^a	Caffeinated coffee consumers (n = 3,419)	<i>P</i> <i>value</i> ^b	Decaffeinated coffee consumers (n = 3,365)	<i>P</i> <i>value</i> ^c
Coffee consumption, ml/day	0	85 ± 52	< 0.01	91 ± 54	< 0.01	83 ± 51	< 0.01
Caffeinated coffee consumption, ml/day	0	45 ± 55	< 0.01	78 ± 52	< 0.01	15 ± 33	< 0.01
Decaffeinated coffee consumption, ml/day	0	39 ± 49	< 0.01	14 ± 30	< 0.01	69 ± 46	< 0.01
Age, years	66 ± 5	65 ± 5	< 0.01	64 ± 5	< 0.01	65 ± 5	0.04
Women, % (n)	58 (311)	47 (2,794)	< 0.01	43 (1,474)	< 0.01	51 (1,719)	< 0.01
BMI, kg/m²	32 ± 4	33 ± 3	0.37	32 ± 3	0.80	33 ± 3	0.15
Central obesity, % (n)	92 (495)	93 (5,483)	0.43	93 (3,171)	0.64	93 (3,141)	0.32
Type 2 diabetes, % (n)	23 (125)	32 (1,856)	< 0.01	31 (1,049)	< 0.01	33 (1,102)	< 0.01
Hypertension % (n)	94 (505)	94 (5,522)	0.79	93 (3,171)	0.28	95 (3,199)	0.31
Hypercholesterolemia, % (n)	56 (303)	61 (3,590)	0.04	61 (2,088)	0.04	61 (2,059)	0.04
MMSE > 24, % (n)	92 (494)	95 (5,604)	< 0.01	96 (3,285)	< 0.01	94 (3,173)	0.04
MMSE ≤ 24, % (n)	8 (43)	5 (286)	< 0.01	4 (134)	< 0.01	6 (192)	0.04
BDI-II score	9 ± 8	8 ± 7	0.16	8 ± 7	0.06	9 ± 7	0.33
Education level, % (n)							
Up to primary education	52 (282)	49 (2,902)		44 (1,519)		53 (1,771)	
Secondary education	28 (148)	29 (1,700)	0.33	30 (1,028)	< 0.01	28 (949)	0.90
Academic or graduate	20 (107)	22 (1,288)		26 (872)		19 (645)	
Smoking habit, % (n)							
Never a smoker	55 (297)	43 (2,549)		39 (1,336)		46 (1,564)	
Former smoker	37 (196)	44 (2,589)	< 0.01	47 (1,595)	< 0.01	42 (1,415)	< 0.01
Current smoker	8 (44)	13 (752)		14 (488)		12 (386)	
Leisure time physical activity, METs. min./week.	1,986 [895-3,469]	1,867 [848-3,382]	0.36	1,846 [848-3,390]	0.46	1,888 [863-3,357]	0.21
Total energy intake (Kcal/day)	2,284 ± 570	2,372 ± 549	< 0.01	2,405 ± 553	< 0.01	2,351 ± 539	< 0.01
Food group consumption, g/day							
Fruits	371 ± 227	358 ± 203	0.17	349 ± 198	0.02	365 ± 208	0.54
Vegetables	339 ± 142	327 ± 139	0.04	326 ± 139	0.03	326 ± 139	0.03
Nuts	16 ± 18	15 ± 17	0.03	15 ± 17	0.02	15 ± 17	0.04
Olive oil	41 ± 18	40 ± 17	0.20	39 ± 17	0.07	40 ± 17	0.29
Cereals	149 ± 78	151 ± 78	0.61	152 ± 78	0.38	149 ± 78	0.88

Results

Coffee and cognition

Red meat and poultry	138 ± 56	149 ± 58	< 0.01	152 ± 61	< 0.01	147 ± 56	< 0.01
Fish and seafood	98 ± 46	102 ± 48	0.05	103 ± 48	0.03	102 ± 47	0.09
Dairy products	301 ± 210	349 ± 199	< 0.01	342 ± 197	< 0.01	365 ± 201	< 0.01
Biscuits	26 ± 30	27 ± 30	0.46	27 ± 31	0.40	28 ± 30	0.20
Legumes	22 ± 13	21 ± 11	0.03	21 ± 11	0.04	20 ± 11	0.01
Alcohol	2 [0-10]	5 [0.7-14.8]	< 0.01	6 [1.5-17]	< 0.01	4 [0.7-13]	< 0.01
MedDiet score (17-points)	9 ± 3	8 ± 3	< 0.01	8 ± 3	< 0.01	9 ± 3	< 0.01

Data expressed as means ± SD or median [P25–P75] and percentages (number) for continuous and categorical variables, respectively. *P values* for comparisons between non-coffee consumers and coffee consumers^a, non-coffee consumers and caffeinated coffee consumers^b, and non-coffee consumers and decaffeinated coffee consumers^c were tested by t-test or χ^2 , as appropriate.

Abbreviations: BDI-II, Beck Depression Inventory; BMI, body mass index; MedDiet, Mediterranean Diet; MMSE, Mini-Mental State Examination.

Table 2. Association (odds ratio, 95%CI) between type of coffee consumption and odds of poor cognitive functioning (MMSE)

	Non-coffee consumers (n = 537)	Coffee consumers (n = 5,890)	<i>P</i> <i>value</i> ^a	Caffeinated coffee consumers (n = 3,419)	<i>P</i> <i>value</i> ^b	Decaffeinated coffee consumers (n = 3,365)	<i>P</i> <i>value</i> ^c
MMSE ≤ 24, % (n)	8 (43)	5 (286)		4 (134)		6 (192)	
Crude model	1 (ref.)	0.59 (0.42 - 0.82)	< 0.01	0.47 (0.33 - 0.67)	< 0.01	0.70 (0.49 - 0.98)	0.04
Model 1	1 (ref.)	0.66 (0.46 - 0.93)	0.02	0.58 (0.40 - 0.84)	< 0.01	0.73 (0.51 - 1.05)	0.09
Model 2	1 (ref.)	0.63 (0.45 - 0.90)	0.01	0.57 (0.39 - 0.84)	< 0.01	0.70 (0.48 - 1.03)	0.07
Fully adjusted	1 (ref.)	0.63 (0.44 - 0.90)	0.01	0.56 (0.38 - 0.83)	< 0.01	0.70 (0.48 - 1.02)	0.06

Abbreviations: MMSE, Mini-Mental State Examination; CI, confidence interval; OR, Odds Ratio.

Risk of cognitive impairment was defined as a MMSE score ≤ 24 points. Multivariable logistic regression models were fitted: Outcome: MMSE score >24 (0) vs. MMSE score ≤ 24 points (1).

Model 1: adjusted for age (years), sex, body mass index (kg/m²), educational level (up to primary, secondary or university/graduate), smoking habit (never, former or current), total energy consumption (kcal/day), physical activity (METs.min/week), alcohol consumption (g/day, and adding the quadratic term), diabetes Prevalence risk (yes/no), hypertension (yes/no), hypercholesterolemia (yes/no) and participating center (in quartiles by number of participants).

Model 2: additionally, adjusted for food groups (consumption of vegetables, fruits, dried fruits, biscuits, fish, dairy products, meat and poultry, legumes, olive oil and cereals (g/d)).

Fully adjusted: Model 2 additionally adjusted for depression status (mild/moderate-to-severe depression).

Models 2 and fully adjusted for caffeinated coffee consumers and decaffeinated coffee consumers were additionally adjusted by decaffeinated coffee consumption (ml/day) or caffeinated coffee consumption (ml/day), respectively. All analyses were conducted with robust estimates of the variance to correct for intra-cluster correlation.

P values between non-consumers and coffee consumers^a, between non-consumers and caffeinated coffee consumers^b, and between non-consumers and decaffeinated coffee consumers^c.

Table 3. Association (odds ratio, 95%CI) between servings of total coffee, caffeinated coffee and decaffeinated coffee consumption and the odds of cognitive impairment (MMSE test).

Servings of total coffee consumption (50ml)	<1/day n = 1,201	1-2/day n = 2,891	>2/day n = 2,335	P - trend
Odds of poor cognitive functioning, % (n)	6.2 (75)	5.4 (156)	4.2 (98)	
Crude model	1 (ref.)	0.86 (0.65 - 1.14)	0.66 (0.48 - 0.90)	< 0.01
Model 1	1 (ref.)	0.79 (0.59 - 1.06)	0.74 (0.54 - 1.01)	0.11
Model 2	1 (ref.)	0.77 (0.57 - 1.03)	0.70 (0.50 - 0.97)	0.06
Fully adjusted	1 (ref.)	0.77 (0.57 - 1.03)	0.70 (0.50 - 0.97)	0.06
Servings of caffeinated coffee (50ml)	<1/day n = 3,492	1-2/day n = 1,629	>2/day n = 1,306	
Odds of poor cognitive functioning, % (n)	6.2 (218)	4.0 (66)	3.5 (45)	
Crude model	1 (ref.)	0.63 (0.48 - 0.84)	0.54 (0.39 - 0.74)	< 0.01
Model 1	1 (ref.)	0.76 (0.57 - 1.01)	0.80 (0.57 - 1.12)	0.10
Model 2	1 (ref.)	0.65 (0.47 - 0.89)	0.66 (0.46 - 0.97)	0.02
Fully adjusted	1 (ref.)	0.65 (0.47 - 0.90)	0.66 (0.45 - 0.96)	0.02
Servings of decaffeinated coffee (50ml)	<1/day n = 3,694	1-2/day n = 1,678	>2/day n = 1,055	
Odds poor cognitive functioning, % (n)	4.8 (176)	5.9 (99)	5.1 (54)	
Crude model	1 (ref.)	1.25 (0.97 - 1.62)	1.08 (0.79 - 1.47)	0.40
Model 1	1 (ref.)	1.00 (0.77 - 1.30)	0.93 (0.67 - 1.28)	0.68
Model 2	1 (ref.)	0.91 (0.68 - 1.22)	0.79 (0.54 - 1.15)	0.21
Fully adjusted	1 (ref.)	0.92 (0.69 - 1.22)	0.79 (0.54 - 1.15)	0.69

Abbreviations; CI, confidence interval; OR, Odds Ratio.

Risk of cognitive impairment was defined as a MMSE score \leq 24 points. Multivariable logistic regression models and median regression models were fitted: Outcome: MMSE score $>$ 24 points (0) vs. MMSE score \leq 24 points (1). Model 1: adjusted for age (years), sex, body mass index (kg/m²), educational level (primary, secondary or university/graduate), smoking habit (never, former or current), total energy consumption (kcal/day), physical activity (METs.min/week), alcohol consumption (g/day, and adding the quadratic term), diabetes prevalence (yes/no), hypertension (yes/no), hypercholesterolemia (yes/no) and participating center (in quartiles by number of participants)

Model 2: additionally adjusted for food groups (consumption of vegetables, fruits, dried fruits, biscuits, fish, dairy products, meat, legumes, olive oil and cereals (g/d)). Fully adjusted: Model 2 additionally adjusted for depression status (mild/moderate-to-severe depression).

Models for caffeinated coffee consumers and decaffeinated coffee consumers were additionally adjusted by decaffeinated coffee consumption (ml/day) or caffeinated coffee consumption (ml/day), respectively. All analyses were conducted with robust estimates of the variance to correct for intra-cluster correlation.

Table 4. Association (odd ratio, 95% CI) between type of coffee consumed and cognitive status measured by various neuropsychological tests

Neuropsychological tests	Non coffee consumers	Coffee consumers	Caffeinated coffee consumers	Decaffeinated coffee consumers
Phonological verbal fluency of letter P (n = 6,563)	(n = 553)	(n = 6,010)	(n = 3,500)	(n = 3,435)
Odds of poor cognitive functioning, % (n)	6.7 (37)	5.1 (308)	4.1 (143)	5.7 (196)
Crude model	1 (ref.)	0.75 (0.53 - 1.07)	0.59 (0.41 - 0.86)	0.84 (0.59 - 1.21)
Fully adjusted model	1 (ref.)	0.83 (0.57 - 1.20)	0.71 (0.47 - 1.06)	0.95 (0.65 - 1.40)
Semantic verbal fluency of animals (n = 6,563)	(n = 553)	(n = 6,010)	(n = 3,500)	(n = 3,435)
Odds of poor cognitive functioning, % (n)	5.4 (30)	4.5 (269)	3.6 (125)	5.0 (173)
Crude model	1 (ref.)	0.82 (0.55 - 1.22)	0.65 (0.42 - 0.98)	0.92 (0.61 - 1.39)
Fully adjusted model	1 (ref.)	0.93 (0.62 - 1.41)	0.84 (0.54 - 1.30)	0.98 (0.64 - 1.52)
Clock Test, (n = 6,400)	(n = 534)	(n = 5,866)	(n = 3,403)	(n = 3,353)
Odds of poor cognitive functioning, % (n)	13.9 (74)	10.9 (640)	9.3 (318)	12.0 (402)
Crude model	1 (ref.)	0.76 (0.59 - 0.99)	0.64 (0.49 - 0.84)	0.85 (0.65 - 1.11)
Fully adjusted model	1 (ref.)	0.80 (0.61 - 1.05)	0.72 (0.54 - 0.96)	0.89 (0.67 - 1.18)
Trail Making Test: A, total time (seconds), (n = 6,533)	(n = 547)	(n = 5,986)	(n = 3,489)	(n = 3,418)
Odds of poor cognitive functioning, % (n)	7.5 (41)	5.9 (351)	5.1 (177)	6.7 (228)
Crude model	1 (ref.)	0.77 (0.55 - 1.08)	0.66 (0.46 - 0.94)	0.88 (0.62 - 1.25)
Fully adjusted model	1 (ref.)	0.88 (0.61 - 1.25)	0.83 (0.56 - 1.21)	0.95 (0.66 - 1.37)
Trail Making Test: B, total time (seconds), (n = 6,457)	(n = 542)	(n = 5,915)	(n = 3,452)	(n = 3,375)
Odds of poor cognitive functioning, % (n)	14.2 (77)	9.4 (556)	8.7 (300)	9.6 (323)
Crude model	1 (ref.)	0.63 (0.48 - 0.81)	0.57 (0.44 - 0.75)	0.64 (0.49 - 0.84)
Fully adjusted model	1 (ref.)	0.63 (0.48 - 0.84)	0.67 (0.49 - 0.90)	0.63 (0.47 - 0.86)
Digit: forward score, (n = 5,128)	(n = 423)	(n = 4,705)	(n = 2,707)	(n = 2,715)
Odds of poor cognitive functioning, % (n)	5.9 (25)	5.9 (277)	4.5 (123)	6.6 (178)
Crude model	1 (ref.)	1.00 (0.65 - 1.52)	0.76 (0.49 - 1.18)	1.12 (0.73 - 1.72)
Fully adjusted model	1 (ref.)	1.19 (0.77 - 1.82)	1.03 (0.64 - 1.65)	1.33 (0.84 - 2.09)

Abbreviations; CI, confidence interval; OR, Odds Ratio.

Cut-off points for the Phonological verbal fluency, the Semantic verbal fluency, and the Digit forward score were established as \leq the mean - 1.5SD. For Trail Making Tests A and B cut off points were established as \geq of the mean + 1.5SD. For the Clock Test, the cut-off point was established as \leq 4 points.

Multivariable logistic regression models were fitted. Outcome (several neuropsychological tests).

Fully-adjusted model: adjusted for age (years), sex, body mass index (kg/m²), educational level (primary, secondary or university/graduate), smoking habit (never, former or current), total energy consumption (kcal/day), physical activity (METs.min/week), alcohol consumption in g/day (and adding the quadratic term), diabetes Prevalence risk (yes/no), hypertension (yes/no), hypercholesterolemia (yes/no), consumption of vegetables (g/d), fruits (g/d), dried fruits

(g/d), biscuits (g/d), fish (g/d), dairy products (g/d), meat (g/d), legumes (g/d), olive oil (g/d), cereals (g/d), depression status (mild/moderate-to-severe depression) and participating center (in quartiles by number of participants)

The models for caffeinated coffee consumers and decaffeinated coffee consumers were additionally adjusted by decaffeinated coffee consumption (ml/day) or caffeinated coffee consumption (ml/day), respectively. All analyses were conducted with robust estimates of the variance to correct for intra-cluster correlation.

Data are expressed as ORs (95% CI).