

1 **Dietary procyanidins enhance transcriptional**
2 **activity of bile acid-activated FXR in vitro**
3 **and reduce triglyceridemia in vivo in a FXR-**
4 **dependent manner**

5 Authors:

6 Josep Maria Del Bas (1), Marie-Louise Ricketts (2), Montserrat Vaqué (1), Esther Sala (1), Helena
7 Quesada (1), Anna Ardevol (1), M. Josepa Salvadó (1), Mayte Blay (1), Lluís Arola (1), David D. Moore
8 (2), Gerard Pujadas (1), Juan Fernandez-Larrea (1) and Cinta Bladé (1).

9 (1) Departament de Bioquímica i Biotecnologia, Universitat Rovira i Virgili, Campus Sescelades,
10 Tarragona, Spain.

11 (2) Department of Molecular and Cellular Biology, Baylor College of Medicine, One Baylor Plaza,
12 Houston, TX, USA.

13 Correspondence:

14 Dr. Juan Fernandez-Larrea, Departament de Bioquímica i Biotecnologia, Universitat Rovira i Virgili,
15 Campus Sescelades, 43007 Tarragona, Spain. E-mail: juanbautista.fernandez@urv.cat. Fax: +34-
16 977558232

17 **Abstract**

18 Consumption of dietary flavonoids has been associated with reduced mortality and risk of cardiovascular
19 disease, partially by reducing triglyceridemia. We have previously reported that a grape seed procyanidin
20 extract (GSPE) reduces postprandial triglyceridemia in normolipidemic animals signaling through the

21 orphan nuclear receptor small heterodimer partner (SHP) a target of the bile acid receptor farnesoid X
22 receptor (FXR). Our aim was to elucidate whether FXR mediates the hypotriglyceridemic effect of
23 procyanidins. In FXR-driven luciferase expression assays GSPE dose-dependently enhanced FXR activity
24 in the presence of chenodeoxycholic acid. GSPE gavage reduced triglyceridemia in wild type mice but
25 not in FXR-null mice, revealing FXR as an essential mediator of the hypotriglyceridemic actions of
26 procyanidins in vivo. In the liver, GSPE downregulated, in an FXR-dependent manner, the expression of
27 the transcription factor steroid response element binding protein 1 (SREBP1) and several SREBP1 target
28 genes involved in lipogenesis, and upregulated ApoA5 expression. Altogether, our results indicate that
29 procyanidins lower triglyceridemia following the same pathway as bile acids: activation of FXR, transient
30 upregulation of SHP expression and subsequent downregulation of SREBP1 expression. This study adds
31 dietary procyanidins to the arsenal of FXR ligands with potential therapeutic use to combat
32 hypertriglyceridemia, type 2 diabetes and metabolic syndrome.

33 **Keywords:** Bile acids / FXR / Liver / Procyanidins / SHP

34 **1. Introduction**

35 Procyanidins, which are oligomers and polymers of polyhydroxyflavan-3-ol units, are the most abundant
36 polyphenols in grapes, apples, red grape juice, red wine, and chocolate [1, 2]. These flavonoids have been
37 shown to prevent and ameliorate atherosclerosis and other factors of cardiovascular disease, a fact that
38 was primarily ascribed to their antioxidant activity and the modulation of diverse signaling pathways in
39 vascular system [2, 3]. However, the antiatherogenic properties of procyanidins are also attributable to a
40 reduction of plasma levels of apolipoprotein B (ApoB)-containing triglycerides (TG)-rich proatherogenic
41 lipoproteins, i.e., intestinal chylomicrons and hepatic VLDL and LDL, as well as to an improved serum
42 cholesterol profile. Thus, in hamster models of diet-induced atherosclerosis, chronic administration of
43 procyanidins inhibit aortic fatty streak area and progression of atherosclerosis, and lowers plasma TG,
44 ApoB, and non-HDL-cholesterol [4, 5]. In ovariectomized Guinea pigs and in postmenopausal women,

45 grapeseed procyanidin-rich extracts diminish plasma TG and VLDL-cholesterol, as well as cholesterol
46 accumulation in the aorta [6]. In rats fed hypercholesterolemic diets, chronic consumption of a grape seed
47 procyanidin extract (GSPE) reduced plasma TG, LDL-cholesterol, and VLDL concentrations, while
48 increasing plasma HDL-cholesterol in the fasted state [7, 8]. The hypolipidemic effect of procyanidins
49 may be exerted in part through the inhibition of the absorption of dietary lipids, and diminished
50 chylomicron secretion by enterocytes [9, 10]. But also hepatocytes respond to red wine and red grape
51 juice polyphenols diminishing the secretion of ApoB100 and increasing LDL-receptor activity [11-13].
52 Nevertheless, the molecular mechanisms that underlie the improvement of plasma lipid profile by
53 procyanidins are largely unknown.

54 We have previously shown that an acute dose of GSPE reduces postprandial triglyceridemia and plasma
55 ApoB levels in normolipidemic rats while concomitantly increasing hepatic mRNA levels of small
56 heterodimer partner (NR0B2) (SHP) [14], an orphan nuclear receptor that regulates bile acids (BAs),
57 cholesterol, TG, and glucose homeostasis (recently reviewed in [15]). In HepG2 cells, grape procyanidins
58 require the activity of SHP to reduce secretion of TG whereas, in contrast, they reduce the secretion of
59 ApoB in a SHP-independent way [16]. Also, SHP mediates the hypotriglyceridemic effect of grape
60 procyanidins in wild type (WT) mouse in the postprandial state, which is accompanied by the
61 downregulation of hepatic expression of transcription factor steroid response element binding protein 1
62 (SREBP1) [16], a master mediator for insulin/glucose signaling to lipogenesis (reviewed in [17, 18]).

63 It is well established that BAs are potent hypotriglyceridemic agents. These effects of BA are mediated by
64 their binding to the BA receptor farnesoid X receptor (NR1H4) (FXR), a transcription factor that, like
65 SHP, exerts metabolic control on BAs, lipid and glucose homeostasis (reviewed in [19-22]). FXR
66 activation by BAs lowers plasma TG levels by repressing hepatic lipogenesis and TG secretion, and by
67 increasing the clearance of TG-rich lipoproteins from the blood (reviewed in [22, 23]). In the liver, BA-
68 activated FXR upregulates the expression of SHP, which in turn represses the expression of SREBP1,
69 which is translated into a diminished hepatic fatty acid (FA) synthesis and an increased plasma TG

70 catabolism [24].

71 Besides procyanidins, two other phytochemicals, guggulsterone from the guggul tree [25, 26] and
72 xanthohumol from beer hops [27] have been described to lower triglyceridemia in vivo regulating the
73 hepatic expression of a subset of FXR target genes, including SHP and SREBP1. Both xanthohumol and
74 guggulsterone behave as selective BA receptor modulators (SBARMs) that, like BAs, enhance
75 transcriptional activity of FXR in in vitro assays [25-27].

76 The partial similarity between activators of FXR and GSPE regarding changes elicited in liver gene
77 expression profile and in plasma lipid parameters prompted us to hypothesize that procyanidins might
78 enhance the transcriptional activity of FXR and, consequently, that FXR could mediate the
79 hypotriglyceridemic effects of GSPE. To test these hypotheses we have here evaluated the effect of GSPE
80 on plasma TG levels in WT and FXR^{-/-} mice, and have assessed the effects of GSPE on a cell-based
81 FXR-responsive luciferase expression assay. The results show that procyanidins enhance the activity of
82 chenodeoxycholic acid (CDCA)-activated FXR, and that, like BAs, signal through FXR to lower
83 triglyceridemia, concomitantly inhibiting hepatic expression of SREBP1 and several SREBP1 target genes
84 involved in lipogenesis in an FXR-dependent manner.

85 **2. Materials and methods**

86 **2.1 Chemicals**

87 A GSPE was kindly provided by Les Dérives Résiniques et Terpéniques (Dax, France). This extract
88 contains monomeric catechins (polyhydroxyflavan-3-ol) (16.55%), dimeric (18.77%), trimeric (16%),
89 tetrameric (9.3%), and oligomeric (5-13 units) (35.7%) procyanidins, as well as phenolic acids (4.22%).
90 CDCA was from Sigma and GW4064 was a kind gift from Tim Willson (GlaxoSmithKline).

91 **2.2 Cell transfections and luciferase reporter assays**

92 Human epithelial cells (HeLa) and African Green Monkey Fibroblasts (CV-1) were obtained from the
93 American Type Tissue Culture Collection. HeLa and CV-1 cells were maintained in DMEM
94 supplemented with 10% FBS at 37°C and 5% CO₂. For transfections, cells were plated into 24-well
95 plates (Becton Dickinson) (1.3×10^5 (HeLa) or 1×10^5 (CV-1)) in DMEM plus 10% charcoal stripped
96 serum to 80% confluence, then cotransfected the next day using the calcium phosphate precipitation
97 method. Plasmids for expression of full-length mouse FXR, for expression of Gal4 DBD fused to FXR
98 ligand binding domain (LBD), the FXR-responsive luciferase reporter plasmid ((PLTP)₂ TKluc), the
99 Gal4-driven luciferase reporter plasmid, and the plasmid expressing beta-galactosidase (CMX-B-gal)
100 have been previously described [25, 28]. The CDM-retinoid X receptor (NR2B1) (RXR) α plasmid
101 (expressing full-length human RXR α) has also been described [29]. Cells were plated in 24-well dishes
102 with DMEM supplemented with 10% charcoal-stripped serum. Transfections included 100 ng of the
103 plasmid encoding full-length FXR or the Gal4 DBD-FXR LBD fusion, 200 ng of the luciferase reporter
104 plasmid, 10 ng of CDM-RXR α , 200 ng of CMX-B-gal (used as internal control for transfection
105 efficiency) and 490 ng of PGEM4 (Promega), used as carrier DNA, to make a total of 1 μ g of plasmid
106 DNA per well. The next morning, cells were washed with PBS and FXR ligands (CDCA, GW4064) or
107 GSPE were added as indicated. Ligands were dissolved in DMSO whereas GSPE was dissolved in
108 ethanol. Cells were assayed for luciferase activities (Promega luciferase assay kit) 24 h after addition of
109 vehicle, ligands or GSPE, and reporter expression was normalized to beta-galactosidase activity (B-gal
110 Assay kit, Applied Biosystems), measured with an MLX luminometer (Dynex Technologies). Results
111 were obtained from at least three independent experiments, each performed in triplicate. For luciferase-
112 based studies, t-test analyses were performed using SPSS software.

113 **2.3 In vivo feeding studies**

114 Mice were housed under standard conditions. Experimental procedures were approved by the local
115 Committee for Care and Use of Laboratory Animals at Baylor College of Medicine. The FXR-deficient
116 mice have been previously described [30], and were backcrossed with C57BL6 mice to the tenth

117 generation. The correct genotype was verified using previously reported primers and PCR conditions
118 [30]. Age-matched groups of 8-10 week-old male mice were used in all experiments (n=5 per
119 experimental group). Mice were fed a standard rodent chow and water ad libitum. On experimental day,
120 mice were fed either vehicle (water), or procyanidins (250 mg/kg) via oral gavage. A first dose was
121 administered at 9:00 pm and a second dose 12 h later; food was then retired and 2 h later mice were
122 anesthetized with isoflurane. Blood was collected from the orbital plexus and livers were snap-frozen and
123 stored at -80°C until use. Plasma cholesterol and TG levels were assessed with enzymatic kits as
124 described [14].

125 **2.4 Gene expression analysis**

126 Total RNA was obtained using Trizol reagent (Invitrogen), and further purified using NucleoSpin RNA2
127 kit (Macherey-Naegel). For microarray hybridizations, the five RNA samples from each of the four
128 treatment groups were pooled and its integrity was assessed using the Agilent 2100 Bioanalyzer. Cy3- or
129 Cy5-labeled cRNA was obtained from each RNA pool using the Agilent Low RNA Input Fluorescent
130 Linear Amplification Kit (Agilent manual 5185-5818). Labeled cRNAs were hybridized against Agilent
131 Mouse 60-mer Oligo Microarrays (Part Number G4122A) following Agilent's instruction. Duplicate
132 hybridizations with dye-swap labeling were performed with each pair of RNA samples being compared.
133 Images of hybridized microarrays were acquired with the Agilent G2565BA scanner, and data from the
134 microarray images were obtained and analyzed with the Agilent Feature Extraction software. For
135 validation of microarray data, relative mRNA levels of SREBP1, cholesterol-7 α -hydroxylase (CYP7A1),
136 apolipoprotein A5 (ApoA5), and SHP genes were analyzed by real-time PCR, using GAPDH as the
137 endogenous control. RNA was retrotranscribed using TaqMan Reverse Transcription Reagents kit
138 (Applied Biosystems) and gene expression was evaluated in the ABIPrism 7300 SDS Real Time PCR
139 system (Applied Biosystems) using SYBR Green PCR Master Mix (Applied Biosystems) and gene
140 specific primers (sequences of the primers used in real-time PCR reactions are available upon request).

141 **2.5 Microarray data processing**

142 A whole array of data was constructed matching each gene symbol or Genbank ID with its fold-change
143 value from the microarray analysis. Genes were clustered into different biological processes using Panther
144 software [31]. The gene expression profile deviation of each biological process group from the whole
145 array expression pattern was calculated using the Mann-Whitney U test (Wilcoxon Rank-Sum test) as
146 described [32]. The resulting p-values were considered significant when smaller than 0.05.

147 **3. Results**

148 **3.1 GSPE requires FXR activity to reduce triglyceridemia in mice**

149 In order to assess the relevance of FXR as a mediator of GSPE hypotriglyceridemic actions in an in vivo
150 model, we compared the effects of GSPE administration in FXR^{-/-} versus WT mice. As previously
151 described [30], FXR^{-/-} mice present elevated basal levels of plasma TG and cholesterol when compared
152 to WT mice (Fig. 1). Oral GSPE gavage triggered a 40% reduction in plasma TG levels in WT mice in the
153 postprandial state whereas it did not modify plasma total cholesterol levels. This response to GSPE
154 administration is identical to that previously found in rats [14]. In contrast, GSPE gavage did not cause
155 any statistically significant reduction in plasma TG levels in FXR^{-/-} animals. Therefore, FXR is a key
156 mediator of the hypotriglyceridemic activity of procyanidins in mice.

157 **3.2 GSPE represses the expression of SREBP1 and SREBP1-target genes in** 158 **wild type but not FXR^{-/-} mice**

159 To gain further insight into the FXR-dependent actions of procyanidins, we next analyzed the differential
160 response in gene expression changes induced by GSPE gavage in the livers of WT and FXR^{-/-} mice using
161 oligonucleotide microarray hybridization. The changes induced by GSPE treatment in the expression
162 level of all genes, clustered by biological process, were subjected to unbiased analysis using Panther

163 software [31], evaluating the changes in each cluster of genes by means of the Mann-Whitney U test
164 (Wilcoxon Rank-Sum test) [32]. In WT mice, changes in genes clustered in the biological process "Lipid,
165 FA, and steroid metabolism," including 747 genes, showed a significant overall repression (p-value
166 0.018). In contrast, in FXR^{-/-} mice, GSPE treatment did not significantly affect this gene cluster (p-value
167 0.5). These results could indicate that lipid metabolism is repressed to some extent by GSPE in WT but
168 not in FXR^{-/-} mice, thus placing FXR as a mediator of the repression of lipid related genes by
169 procyanidins in liver.

170 Next, in order to identify FXR-dependent changes in the expression of genes putatively involved in the
171 hypotriglyceridemic effect of GSPE in WT mice, we selected those genes clustered into the "Lipid, FA,
172 and steroid metabolism" by Panther method [31] whose expression was altered by GSPE treatment in WT
173 mice but remained unaltered in FXR^{-/-} mice, setting a fold-change threshold of 1.5 for upregulated and
174 0.7 for downregulated genes (Table 1). In total, 31 lipid-related genes were identified that showed FXR-
175 dependent repression by GSPE, including transcription factor SREBP1, a key regulator of FA and TG
176 synthesis and lipoprotein metabolism [17, 18], acyl-CoA synthetase *Acss2/Acs11* (involved in FA
177 synthesis) [33], the FA desaturases *Scd1* and *Scd2* [34], and several genes encoding cholesterol
178 biosynthetic enzymes. Two other genes involved in lipid and lipoprotein metabolism, although not
179 classified by Panther software in this cluster, also changed in a FXR-dependent manner, and were
180 included in Table 1: *ApoA5* (involved in VLDL catabolism) [35, 36] and the transcription factor *C/EBP-*
181 *B* (a regulator of glucose and lipid homeostasis [37]). Remarkably, several of the genes which showed an
182 FXR-dependent response to GSPE, have been previously described as targets of SREBP1. Also, many of
183 the genes which have been identified in this screening, including SREBP1, have been previously
184 characterized as SHP-dependent GSPE-responsive genes (marked with an asterisk in Table 1) [16].
185 Therefore, SREBP1 and SREBP1 target genes emerge as putative FXR-and SHP-dependent effectors of
186 the hypotriglyceridemic response triggered by procyanidins *in vivo*.

187 **3.3 GSPE enhances the transcriptional activity of CDCA-activated FXR in**

188 **CV-1 and HeLa cells**

189 The lack of hypotriglyceridemic effect of GSPE in FXR-null mice prompted us to test whether
190 procyanidins can modulate the transcriptional activity of the FXR/RXR heterodimer in an in vitro system,
191 cotransfecting CV-1 cells with different constructs expressing full-length RXR and either a Gal4 DBD-
192 FXR LBD chimera or full-length FXR together with an FXR-responsive luciferase reporter plasmid (Fig.
193 2). A vector expressing full-length Gal4 was cotransfected as a control, in order to discard interactions of
194 GSPE with the DNA-binding domain of this protein (data not shown). GSPE displayed no significant
195 effects on RXR activity as assayed using a Gal4 DBD-RXR LBD chimera (Fig. 2B). In order to assess the
196 interactions of GSPE with FXR, a Gal4 DBD-FXR LBD chimera (Fig. 2A) or full length FXR (Fig. 2C)
197 was cotransfected with an RXR expression plasmid. In both cases, GSPE alone did not cause
198 transactivation of FXR/RXR. In contrast, when GSPE was added to transfected cells together with the BA
199 CDCA, a natural FXR ligand, it enhanced the transactivation of FXR in a dose-dependent manner,
200 reaching a two-fold increase when cells were incubated with 100 mg/L of GSPE and 100 μ M CDCA
201 compared with the CDCA treatment alone. On the contrary, GSPE did not increase the transactivation of
202 FXR induced by GW4064, a synthetic nonsteroidal FXR agonist (Fig. 2C). In order to discard cell-
203 specific actions of GSPE on FXR transactivation, the vector expressing full-length FXR was transfected,
204 along with the vector expressing full-length RXR and the FXR-responsive luciferase reporter plasmid
205 (PLTP) TKluc, in HeLa cells, and equivalent transactivation to those found in CV-1 was observed (Fig.
206 2C). These results show that procyanidins enhance the transcriptional activity of CDCA-activated FXR,
207 but not that of GW4064-activated FXR, and thus they behave as activator-dependent FXR co-agonists in
208 a cell-based FXR-driven luciferase expression assay.

209 **4. Discussion**

210 The essential role of FXR in mediating the hypotriglyceridemic actions of procyanidins in vivo has been
211 revealed by administering GSPE to WT and FXR-null mice. In accordance with our previous results in

212 WT rats and mice [14, 16], oral gavage of GSPE elicited a hypotriglyceridemic effect in WT mice in the
213 postprandial state, without affecting total plasma cholesterol levels. In contrast, GSPE was ineffective in
214 reducing plasma TG levels in FXR-null mice, which, as previously reported [30] displayed
215 hypertriglyceridemia and hypercholesterolemia. Therefore, procyanidins need the presence and activity of
216 FXR, i.e., they act through an FXR-dependent pathway, to exert hypotriglyceridemic actions in vivo.
217 Genome-wide analysis of liver gene expression profile has identified a group of genes whose expression
218 is responsive to GSPE treatment in WT mouse but not in the FXR-null genotype, i.e., FXR-dependent
219 GSPE target genes, that provide some clues to understand the FXR-dependent mechanisms used by
220 procyanidins to lower plasma TG levels. Remarkably, most of these FXR-dependent GSPE targets have
221 been already identified as SHP-dependent targets of GSPE [16], including the transcription factor
222 SREBP1 and several genes known to be regulated by it. SREBP1 is a key mediator for insulin/glucose
223 signaling to lipogenesis; overexpression of the mature form of SREBP1a or SREBP1c leads to increased
224 hepatic FA biosynthesis and TG levels and its inhibition has been proposed as a method for lowering
225 triglyceridemia [17, 38, 39]. Known targets of SREBP1 found here to be regulated by GSPE include
226 genes involved in the synthesis of monounsaturated and polyunsaturated FA: acetyl-CoA synthetase
227 *Acss2/Acs11* [33], and two stearoyl-coenzyme A desaturases (SCD), *Scd1* and *Scd2* [34]. Deficiency in
228 SCD activity, the rate-limiting enzyme for the biosynthesis of monounsaturated FA, greatly reduces
229 hepatic TG synthesis and protects mice against hypertriglyceridemia induced by LXR activation [40].
230 Since the liver lipid pool is a limiting factor in the synthesis and secretion of VLDLs by the liver [41], a
231 decrease in hepatic lipogenesis should reduce the number of VLDLs or the TG content of these
232 lipoproteins. Also the genes encoding cholesterol biosynthetic enzymes that are downregulated by GSPE
233 in an FXR-dependent and an SHP-dependent manner are targets of SREBP1a, which regulates their
234 expression in concert with SREBP2 [39, 42]. Downregulation of these genes in WT mice suggests that
235 GSPE could potentially inhibit cholesterol biosynthesis in the liver, although this was not translated into
236 total plasma cholesterol levels in the postprandial phase. In this regard, our previous study in rats [14]
237 showed no effects of GSPE on plasma total cholesterol, although significantly lowered the cholesterol

238 associated with TG-rich lipoproteins. However, chronic ingestion of monomeric catechins or oligomeric
239 procyanidins is effective in lowering not only triglyceridemia, but also cholesterolemia, VLDL-and LDL-
240 cholesterol, while increasing HDL-cholesterol [7] suggesting that, in the long term, downregulation of
241 cholesterol biosynthetic enzymes by GSPE may be translated into diminished plasma cholesterol levels.
242 In addition, GSPE upregulated ApoA5 expression in mouse liver in a FXR- and SHP-dependent manner.
243 It is known that ApoA5 gene expression is downregulated by LXR ligands through upregulation of
244 SREBP1c and that overexpression of ApoA5 reduces plasma TG in hypertriglyceridemic mice; the
245 hypotriglyceridemic action of ApoA5 is attributed to the inhibition of lipitation of ApoB and the
246 activation of lipase-mediated VLDL-TG hydrolysis and consequent acceleration of VLDL catabolism [35,
247 36]. Taken together, this pattern of FXR-dependent changes elicited by GSPE strongly suggest that the
248 hypotriglyceridemic effect elicited by dietary procyanidins is brought about, at least in part, through
249 inhibition of hepatic lipogenesis and acceleration of TG-rich lipoproteins catabolism.

250 It is noteworthy that the changes in liver gene expression observed here in GSPE-treated mice differ from
251 that previously observed in the liver of rats treated with GSPE [14]. Thus, SHP and CYP7A1 were found
252 to be upregulated by GSPE in rats livers, but none of these changes have been observed here in the liver
253 of GSPE-treated mice. However, since microarray analysis was performed at one time point in each case,
254 it is feasible that the observed differences in the expression of known FXR targets just reflect time-
255 dependent variations in gene expression. In support of this view, it is known that BAs and GW4064
256 enhance hepatic expression of SHP only transiently, and that transient inductions of SHP are sufficient to
257 exert an hypotriglyceridemic effect [24, 43]. Upregulation of SHP expression by GSPE is also transient in
258 HepG2 cells, where GSPE inhibits TG secretion [16]. Another possible factor to explain the observed
259 variations between hepatic gene expression induced by GSPE in rats and mice is the fact that the strength
260 and specificity of FXR activity is ligand and promoter-dependent, as has been shown comparing the
261 transcriptional activity of FXR bound to the different BAs (i.e., cholate, lithocholate, deoxycholate,
262 chenodeoxycholate, and ursodeoxycholate) [44] and to synthetic FXR agonists such as GW4064 and

263 fexaramine [45, 46]. The binding of each ligand results in a different FXR conformation, which in turn
264 differentially regulates expression of a subset of FXR targets, and with different potency. This is related
265 to the binding of different transcriptional coactivators, such as TRRAP [47] or PGC-1a [48], to the LBD
266 of FXR, which occurs in a ligand and promoter-specific fashion [45]. According to the results of the
267 FXR-responsive luciferase expression assays, procyanidins from the grape seed extract cannot by
268 themselves enhance the transcriptional activity of the FXR/RXR heterodimer, but instead behave as
269 ligand-dependent co-agonists, enhancing the transcriptional strength of CDCA-activated FXR, but not
270 that of GW4064-activated FXR. Although this result strongly suggest that procyanidin species present in
271 GSPE, or their metabolites, directly bind to CDCA-bound FXR to enhance its transcriptional activity, it
272 does not exclude the possibility that procyanidins might enhance FXR activity by binding through an
273 FXR cofactor which should be commonly present in HeLa and CV-1 cells. In any case, different
274 procyanidin/BA combinations, ultimately due to species specific differences in BAs metabolism and in
275 the absorption and metabolization of procyanidins, should result in activation of different subsets of FXR-
276 target genes, different strengths of activation and/or different temporal patterns of expression. The
277 enhancement of BA-activated FXR activity by procyanidins is expected to occur in vivo, both in hepatic
278 cells and enterocytes, where FXR is highly expressed and hepatic synthesis and enterohepatic circulation
279 guarantee the presence of BAs. In this regard, monomeric catechins and dimeric to trimeric procyanidins
280 have been detected in urine after oral gavage of GSPE to rats [49]. Likewise, catechins and dimeric to
281 pentameric procyanidins have been detected in plasma after administration of apple procyanidin extracts
282 to rats [50].

283 In summary, our results indicate that procyanidins act through an FXR-dependent pathway to exert
284 hypotriglyceridemic actions in vivo. This effect is also dependent on SHP-activity [16] and is
285 concomitant with downregulation of hepatic expression of SREBP1. FXR-responsive luciferase
286 expression assays indicate that procyanidins act as BA dependent coactivators of FXR activity. Taken
287 together, these results suggest that, in vivo, procyanidins exert hypotriglyceridemic effect following a

288 pathway that goes with, and is dependent on, that followed by BAs, i.e., activation of FXR, transient
289 upregulation of SHP expression and subsequent downregulation of SREBP1 expression, which is
290 translated into a diminished hepatic FA synthesis and an increased plasma TG catabolism.

291 FXR activity plays a key role in controlling not only triglyceridemia but also cholesterol, BA and glucose
292 homeostasis, and modulation of FXR has been proposed as a therapeutic target in the treatment of
293 hyperlipidemia, hyperglycemia, and metabolic syndrome [21, 22, 51, 52]. Consequently, dietary
294 procyanidins, acting as activators of FXR, emerge as promising natural agents for the treatment of these
295 metabolic disorders. Further research is required to identify the individual procyanidins that enhance FXR
296 activity and to define the mechanisms and metabolic consequences of this activation.

297 Acknowledgments

298 This study was supported by grant number AGL2005-04889 from the Spanish Comisión Interministerial
299 de Ciencia y Tecnología (CICYT) and by grant number CO3/08 from the Spanish Fondo de
300 Investigaciones Sanitarias (FIS). In the US this work was supported by the USDA (ARS, CRIS 6250-
301 51000-034) (D. D. M.) and the National Institutes of Health (RO1-DK53366) (D. D. M.) J. M. del Bas
302 was the recipient of a fellowship from the Spanish Ministry of Science and Technology. M. Vaqué was
303 the recipient of a fellowship from grant number CO3/08. The authors thank Tim Willson
304 (GlaxoSmithKline) for the gift of GW4064. We gratefully acknowledge the expert technical assistance of
305 the Microarray Core Facility at Centro Nacional de Investigaciones Oncológicas (Madrid, Spain) in
306 performing microarray hybridizations and data acquisition.

307 The authors have declared no conflict of interest.

308 **5. References**

309 [1] Gu, L., Kelm, M. A., Hammerstone, J. F., Beecher, G., et al., Concentrations of proanthocyanidins in
310 common foods and estimations of normal consumption, *J. Nutr.* 2004, 134, 613-617.

- 311 [2] Rasmussen, S. E., Frederiksen, H., Struntze Krogholm, K., Poulsen, L., Dietary proanthocyanidins:
312 Occurrence, dietary intake, bioavailability, and protection against cardiovascular disease, *Mol. Nutr. Food*
313 *Res.* 2005, 49, 159-174.
- 314 [3] Dell'Agli, M., Busciala, A., Bosisio, E., Vascular effects of wine polyphenols, *Cardiovasc. Res.* 2004,
315 63, 593-602.
- 316 [4] Auger, C., Gerain, P., Laurent-Bichon, F., Portet, K., et al., Phenolics from commercialized grape
317 extracts prevent early atherosclerotic lesions in hamsters by mechanisms other than antioxidant effect, *J.*
318 *Agric. Food Chem.* 2004, 52, 5297-5302.
- 319 [5] Decorde, K., Teissedre, P. L., Auger, C., Cristol, J. P., Rouanet, J. M., Phenolics from purple grape,
320 apple, purple grape juice and apple juice prevent early atherosclerosis induced by an atherogenic diet in
321 hamsters, *Mol. Nutr. Food Res.* 2008, 52, 400-407.
- 322 [6] Zern, T. L., Wood, R. J., Greene, C., West, K. L., et al., Grape polyphenols exert a cardioprotective
323 effect in pre- and postmenopausal women by lowering plasma lipids and reducing oxidative stress, *J.*
324 *Nutr.* 2005, 135, 1911-1917.
- 325 [7] Tebib, K., Besancon, P., Rouanet, J. M., Dietary grape seed tannins affect lipoproteins, lipoprotein
326 lipases and tissue lipids in rats fed hypercholesterolemic diets, *J. Nutr.* 1994, 124, 2451-2457.
- 327 [8] Nakamura, Y., Tonogai, Y., Effects of grape seed polyphenols on serum and hepatic lipid contents and
328 fecal steroid excretion in normal and hypercholesterolemic rats, *J. Health Sci.* 2002, 48, 570-578.
- 329 [9] Vidal, R., Hernandez-Vallejo, S., Pauquai, T., Texier, O., et al., Apple procyanidins decrease
330 cholesterol esterification and lipoprotein secretion in Caco-2/TC7 enterocytes. *J. Lipid Res.* 2005, 46,
331 258-268.
- 332 [10] Sugiyama, H., Akazome, Y., Shoji, T., Yamaguchi, A., et al., Oligomeric procyanidins in apple

333 polyphenol are main active components for inhibition of pancreatic lipase and triglyceride absorption, J.
334 Agric. Food Chem. 2007, 55, 4604-4609.

335 [11] Pal, S., Ho, N., Santos, C., Dubois, P., et al., Red wine polyphenolics increase LDL receptor
336 expression and activity and suppress the secretion of ApoB100 from human HepG2 cells, J. Nutr. 2003,
337 133, 700-706.

338 [12] Davalos, A., Fernandez-Hernando, C., Cerrato, F., Martinez-Botas, J. et al., Red grape juice
339 polyphenols alter cholesterol homeostasis and increase LDL-receptor activity in human cells in vitro, J.
340 Nutr. 2006, 136, 1766-1773.

341 [13] Castilla, P., Echarri, R., Davalos, A., Cerrato, F., et al., Concentrated red grape juice exerts
342 antioxidant, hypolipidemic, and antiinflammatory effects in both hemodialysis patients and healthy
343 subjects, Am. J. Clin. Nutr. 2006, 84, 252-262.

344 [14] Del Bas, J. M., Fernandez-Larrea, J., Blay, M., Ardevol, A., et al., Grape seed procyanidins improve
345 atherosclerotic risk index and induce liver CYP7A1 and SHP expression in healthy rats, FASEB J. 2005,
346 19, 479-481.

347 [15] Lee, Y. S., Chanda, D., Sim, J., Park, Y. Y., Choi, H. S., Structure and function of the atypical
348 orphan nuclear receptor small heterodimer partner, Int. Rev. Cytol. 2007, 261, 117-158.

349 [16] Del Bas, J. M., Ricketts, M.-L., Baiges, I., Quesada, H., et al., Dietary procyanidins lower
350 triglyceride levels signaling through the nuclear receptor small heterodimer partner, Mol. Nutr. Food Res.
351 2008, 52, 1172-1181.

352 [17] Eberle, D., Hegarty, B., Bossard, P., Ferre, P., Foufelle, F., SREBP transcription factors: Master
353 regulators of lipid homeostasis, Biochimie 2004, 86, 839-848.

354 [18] Raghov, R., Yellaturu, C., Deng, X., Park, E. A., Elam, M. B., SREBPS: The crossroads of

355 physiological and pathological lipid homeostasis, *Trends Endocrinol. Metab.* 2008, 19, 65-73.

356 [19] Lee, F. Y., Lee, H., Hubbert, M. L., Edwards, P. A., Zhang, Y., FXR, a multipurpose nuclear
357 receptor, *Trends Biochem. Sci.* 2006, 31, 572-580.

358 [20] Houten, S. M., Watanabe, M., Auwerx, J., Endocrine functions of bile acids, *EMBO J.* 2006, 25,
359 1419-1425.

360 [21] Kuipers, F., Stroeve, J. H., Caron, S., Staels, B., Bile acids, farnesoid X receptor, atherosclerosis and
361 metabolic control, *Curr. Opin. Lipidol.* 2007, 18, 289-297.

362 [22] Zhang, Y., Edwards, P. A., FXR signaling in metabolic disease, *FEBS Lett.* 2008, 582, 10-18.

363 [23] Claudel, T., Staels, B., Kuipers, F., The Farnesoid X receptor: A molecular link between bile acid
364 and lipid and glucose metabolism, *Arterioscler., Thromb., Vasc. Biol.* 2005, 25, 2020-2030.

365 [24] Watanabe, M., Houten, S. M., Wang, L., Moschetta, A., et al., Bile acids lower triglyceride levels via
366 a pathway involving FXR, SHP, and SREBP-1c, *J. Clin. Invest.* 2004, 113, 1408-1418.

367 [25] Urizar, N. L., Liverman, A. B., Dodds, D. T., Silva, F. V., et al., A natural product that lowers
368 cholesterol as an antagonist ligand for FXR, *Science* 2002, 296, 1703-1706.

369 [26] Cui, J., Huang, L., Zhao, A., Lew, J. L., et al., Guggulsterone is a farnesoid X receptor antagonist in
370 coactivator association assays but acts to enhance transcription of bile salt export pump, *J. Biol. Chem.*
371 2003, 278, 10214-10220.

372 [27] Nozawa, H., Xanthohumol, the chalcone from beer hops (*Humulus lupulus* L.), is the ligand for
373 farnesoid X receptor and ameliorates lipid and glucose metabolism in KK-A(y) mice, *Biochem. Biophys.*
374 *Res. Commun.* 2005, 336, 754-761.

375 [28] Urizar, N. L., Dowhan, D. H., Moore, D. D., The farnesoid X-activated receptor mediates bile acid

376 activation of phospholipid transfer protein gene expression, *J. Biol. Chem.* 2000, 275, 39313-39317.

377 [29] Zavacki, A. M., Lehmann, J. M., Seol, W., Willson, T. M., et al., Activation of the orphan receptor
378 RIP14 by retinoids, *Proc. Natl. Acad. Sci. U. S. A.* 1997, 94, 7909-7914.

379 [30] Sinal, C. J., Tohkin, M., Miyata, M., Ward, J. M., et al., Targeted disruption of the nuclear receptor
380 FXR/BAR impairs bile acid and lipid homeostasis, *Cell* 2000, 102, 731-744.

381 [31] Thomas, P. D., Campbell, M. J., Kejariwal, A., Mi, H., et al., PANTHER: A library of protein
382 families and subfamilies indexed by function, *Genome Res.* 2003, 13, 2129-2141.

383 [32] Thomas, P. D., Kejariwal, A., Guo, N., Mi, H., et al., Applications for protein sequence-function
384 evolution data: mRNA/protein expression analysis and coding SNP scoring tools, *Nucleic Acids Res.*
385 2006, 34, W645-W650.

386 [33] Sone, H., Shimano, H., Sakakura, Y., Inoue, N., et al., Acetyl-coenzyme A synthetase is a lipogenic
387 enzyme controlled by SREBP-1 and energy status, *Am. J. Physiol. Endocrinol. Metab.* 2002, 282, E222-
388 E230.

389 [34] Tabor, D. E., Kim, J. B., Spiegelman, B. M., Edwards, P. A., Identification of conserved cis-
390 elements and transcription factors required for sterol-regulated transcription of stearoyl-CoA desaturase 1
391 and 2, *J. Biol. Chem.* 1999, 274, 20603-20610.

392 [35] Schaap, F. G., Rensen, P. C., Voshol, P. J., Vrins, C., et al., ApoAV reduces plasma triglycerides by
393 inhibiting very low density lipoprotein-triglyceride (VLDL-TG) production and stimulating lipoprotein
394 lipase-mediated VLDL-TG hydrolysis, *J. Biol. Chem.* 2004, 279, 27941-27947.

395 [36] Jakel, H., Nowak, M., Helleboid-Chapman, A., Fruchart-Najib, J., Fruchart, J. C., Is apolipoprotein
396 A5 a novel regulator of triglyceride-rich lipoproteins, *Ann. Med.* 2006, 38, 2-10.

397 [37] Le Lay, S., Lefrere, I., Trautwein, C., Dugail, I., Krief, S., Insulin and sterol-regulatory element-
398 binding protein-1c (SREBP-1C) regulation of gene expression in 3T3-L1 adipocytes. Identification of
399 CCAAT/enhancer-binding protein beta as an SREBP-1C target, *J. Biol. Chem.* 2002, 277, 35625-35634.

400 [38] Shimano, H., Sterol regulatory element-binding proteins (SREBPs): Transcriptional regulators of
401 lipid synthetic genes, *Prog. Lipid Res.* 2001, 40, 439-452.

402 [39] Horton, J. D., Shah, N. A., Warrington, J. A., Anderson, N. N. et al., Combined analysis of
403 oligonucleotide microarray data from transgenic and knockout mice identifies direct SREBP target genes,
404 *Proc. Natl. Acad. Sci. U. S. A.* 2003, 100, 12027-12032.

405 [40] Chu, K., Miyazaki, M., Man, W. C., Ntambi, J. M., Stearoyl-coenzyme A desaturase 1 deficiency
406 protects against hypertriglyceridemia and increases plasma high-density lipoprotein cholesterol induced
407 by liver X receptor activation, *Mol. Cell. Biol.* 2006, 26, 6786-6798.

408 [41] Olofsson, S. O., Boren, J., Apolipoprotein B: A clinically important apolipoprotein which assembles
409 atherogenic lipoproteins and promotes the development of atherosclerosis, *J Intern. Med.* 2005, 258, 395-
410 410.

411 [42] Sakakura, Y., Shimano, H., Sone, H., Takahashi, A., et al., Sterol regulatory element-binding
412 proteins induce an entire pathway of cholesterol synthesis, *Biochem. Biophys. Res. Commun.* 2001, 286,
413 176-183.

414 [43] Boulias, K., Katrakili, N., Bamberg, K., Underhill, P., et al., Regulation of hepatic metabolic
415 pathways by the orphan nuclear receptor SHP, *EMBO J.* 2005, 24, 2624-2633.

416 [44] Lew, J. L., Zhao, A., Yu, J., Huang, L., et al., The farnesoid X receptor controls gene expression in a
417 ligand- and promoter-selective fashion, *J. Biol. Chem.* 2004, 279, 8856-8861.

418 [45] Nettles, K. W., Greene, G. L., Nuclear receptor ligands and cofactor recruitment: Is there a

419 coactivator "on deck", *Mol. Cell.* 2003, 11, 850-851.

420 [46] Downes, M., Verdecia, M. A., Roecker, A. J., Hughes, R., et al., A chemical, genetic, and structural
421 analysis of the nuclear bile acid receptor FXR, *Mol. Cell.* 2003, 11, 1079-1092.

422 [47] Unno, A., Takada, I., Takezawa, S., Oishi, H., et al., TRRAP as a hepatic coactivator of LXR and
423 FXR function, *Biochem. Biophys. Res. Commun.* 2005, 327, 933-938.

424 [48] Kanaya, E., Shiraki, T., Jingami, H., The nuclear bile acid receptor FXR is activated by PGC-1 α
425 in a ligand-dependent manner, *Biochem. J.* 2004, 382, 913-921.

426 [49] Tsang, C., Auger, C., Mullen, W., Bornet, A., et al., The absorption, metabolism and excretion of
427 flavan-3-ols and procyanidins following the ingestion of a grape seed extract by rats, *Br. J. Nutr.* 2005,
428 94, 170-181.

429 [50] Shoji, T., Masumoto, S., Moriichi, N., Akiyama, H., et al., Apple procyanidin oligomers absorption
430 in rats after oral administration: Analysis of procyanidins in plasma using the porter method and high-
431 performance liquid chromatography/tandem mass spectrometry, *J. Agric. Food Chem.* 2006, 54, 884-892.

432 [51] Cariou, B., Staels, B., FXR: A promising target for the metabolic syndrome, *Trends Pharmacol. Sci.*
433 2007, 28, 236-243.

434 [52] Wang, Y. D., Chen, W. D., Huang, W., FXR, a target for different diseases, *Histol. Histopathol.*
435 2008, 23, 621-627.

436 [53] Amemiya-Kudo, M., Shimano, H., Yoshikawa, T., Yahagi, N. et al., Promoter analysis of the mouse
437 sterol regulatory element-binding protein-1e gene, *J. Biol. Chem.* 2000, 275, 31078-31085.

438 **Figure Legends**

439 **Figure 1. Effect of GSPE gavage on plasma TG and cholesterol levels in WT and FXR-null mice.**
440 WT and FXR^{-/-} mice were fed with vehicle (control) or 250 mg/kg GSPE and plasma TG (A) and total
441 cholesterol (B) were determined as described in Materials and Methods. * Denotes significant differences
442 versus control ($p < 0.05$).

443 **Figure 2. Cell-based assays of GSPE effects on FXR-dependent luciferase expression.** (A) To study
444 the effect of GSPE on transcriptional activity of FXR/RXR, the Gal4 DBD-FXR LBD expression vector
445 and the Gal4 luciferase reporter plasmid were cotransfected in CV-1 cells, and these were treated with
446 vehicle, GSPE and/or 100 μ M CDCA. (B) RXR interactions with GSPE were assayed using the
447 Gal4:DBD-RXR:LBD expression vector, along with the Gal4 luciferase reporter plasmid. Transfected
448 cells were treated with vehicle (-) or 1 mM 9-cis-retinoic acid (+) and GSPE at the indicated
449 concentrations. (C) To assess activation of full-length FXR by GSPE, a full length FXR expression
450 plasmid along with a reporter construct containing a (PLTP)² TKluc were cotransfected in CV-1 cells
451 (upper panel) or in HeLa cells (lower panel). Ligands for FXR were CDCA (100 μ M) or GW4064 (1
452 μ M). GSPE was added in the indicated concentrations. All controls (-) were treated with the respective
453 vehicles in a final concentration lower than 0.1%. All transfections included the expression vector for
454 RXR to allow the formation of FXR/RXR heterodimers, and CMX-B-Gal as internal control. All DNA
455 constructs have been previously described [25, 28, 29]. Values represent the mean fold-change with
456 respect to control values, obtained from three independent experiments. * Denotes significant differences
457 at the $p < 0.05$ level respect the CDCA treatment.

458 **Tables**

459 **Table 1. FXR-dependent changes induced by GSPE in the hepatic expression of lipid and**
460 **lipoprotein related genes**

Genebank ID	Gene name; symbol	Fold change (WT)	Fold change (FXR-/-)	Ref
FA and TG synthesis and metabolism				
NM_011480	Sterol regulatory element binding factor 1; Srebf1 (SREBP1)*	0.7	1.0	[53]
NM_019811	Acyl-CoA synthetase short- chain family member 2; Acss2/Acs11*	0.6	1.0	[33]
NM_146197	Acyl-CoA synthetase medium-chain family member 2; Acsm2*	0.6	0.9	
NM_009127	SCD 1; Scd1	0.6	1.1	[34]
NM_009128	SCD 2; Scd2*	0.7	1.0	[34]

NM_028089	Cytochrome P450, family 2, subfamily c, polypeptide 55; Cyp2c55*	0.6	1.0	
NM_175443	Ethanolamine kinase 2; Etnk2*	0.7	0.9	
NM_008903	Phosphatidic acid phosphatase 2a; Ppap2a*	0.6	0.8	
NM_008846	Phosphatidylinositol-4-phosphate 5-kinase, type 1 a; Pip5k1a	0.7	0.9	
NM_008845	Phosphatidylinositol-4-phosphate 5-kinase, type II, a; Pip5k2a*	0.7	0.9	
NM_207683	Phosphatidylinositol 3-kinase,	0.7	1.0	

	C2 domain containing, gamma polypeptide; Pik3c2g			
NM_013490	Choline kinase alpha; Chka*	0.7	0.8	
NM_019677	Phospholipase C, beta 1; Plcb1	0.7	1.0	
NM_080434	Apolipoprotein A5; ApoA5**	1.4	1.0	[36]
Cholesterol Biosynthesis				
NM_145942	3-hydroxy-3- methylglutaryl- Coenzyme A synthase 1; Hmgcs1*	0.7	0.9	[39, 42]
NM_008255	3-hydroxy-3- methylglutaryl- Coenzyme A reductase;	0.7	0.8	[39, 42]

	Hmgcr*			
NM_023556	Mevalonate kinase; Mvk*	0.7	0.9	[39, 42]
NM_026784	Phosphomevalo nate kinase; Pmvk*	0.7	0.9	[39, 42]
NM_138656	Mevalonate (diphospho) decarboxylase; Mvd	0.7	0.9	[39, 42]
NM_134469	Farnesyl diphosphate synthetase; Fdps*	0.7	0.9	[39, 42]
NM_010941	NAD(P) dependent steroid dehydrogenase- like; Nsdhl*	0.7	0.9	[39, 42]
NM_172769	Sterol-C5- desaturase; Sc5d	0.7	0.9	[39, 42]

NM_007856	7-dehydrocholesterol reductase; Dhcr7*	0.7	0.9	[39, 42]
Other lipid-related				
NM_009883	CCAAT/enhancer binding protein (C/EBP), beta; Cebpb	0.7	0.9	[37]
NM_013634	Peroxisome proliferator activated receptor binding protein; Pparbp*	0.7	1.0	
NM_011374	ST8 alpha-N-acetylneuraminide alpha-2,8-sialyltransferase 1; St8sia1	0.7	0.9	
NM_018784	ST3 beta-	0.7	1.3	

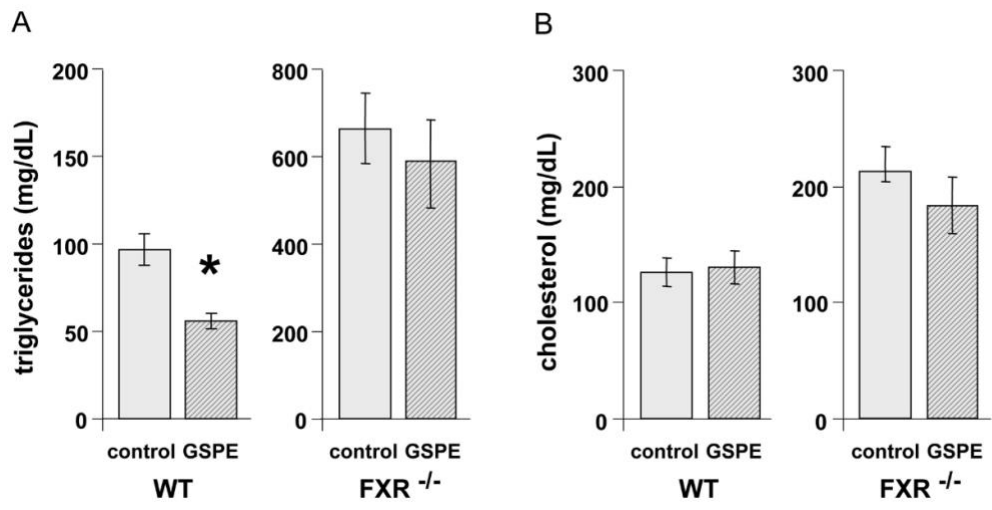
	galactoside alpha-2,3- sialyltransferase 6; St3gal6*			
NM_011372	ST6 (N-acetyl- neuraminyl-2,3- beta-galactosyl- 1,3)-N- acetylgalactosa minide alpha- 2,6- sialyltransferase 3; St6galnac3	0.7	0.9	
NM_018830	N- acylsphingosine amidohydrolase 2; Asah2	0.5	1.2	
NM_153389	ATPase, Class V, type 10D; Atp10d	0.7	1.0	
NM_028057	Cytochrome b5 reductase 1; Cyb5r1*	0.7	1.5	

NM_012054	Acyloxyacyl hydrolase; Aoah	0.6	1.0	
-----------	--------------------------------	-----	-----	--

461 *WT and FXR-/- mice (n = 5 in each treatment group, 8–10 weeks old) were fed two doses of either vehicle*
462 *or GSPE (250 mg/kg) separated by a 12 h time lapse, as described in Section 2. Two hours after the*
463 *second gavage, liver total RNA from the four groups was obtained and pooled. Microarray data were*
464 *obtained by comparing gene expression of WT control versus WT GSPE-treated mice and FXR-/- control*
465 *versus FXR-/- GSPE-treated mice. The whole microarray fold-changes were processed using Panther*
466 *software in order to identify FXR-dependent changes induced by GSPE in genes clustered in the "Lipid,*
467 *FA, and steroid metabolism" metabolic pathway. ApoA5 and CEBP/beta are not included in this cluster*
468 *by Panther software and were added separately. Fold-change thresholds were fixed as 0.7 and 1.5 for*
469 *downregulation and upregulation, respectively. Real time quantitative PCR was performed with selected*
470 *genes to confirm the microarray data (shown in bold characters). Selected references are given for*
471 *known SREBP1 target genes. Asterisks highlight those genes previously found to be responsive to GSPE*
472 *in an SHP-dependent way [16]. WT column: fold-change in expression induced by GSPE in WT mice*
473 *relative to the expression in WT mice treated with vehicle. FXR-/- column: fold-change in expression*
474 *induced by GSPE in FXR-/- mice relative to the expression in FXR-/- mice treated with vehicle only.*

475 **Figures**

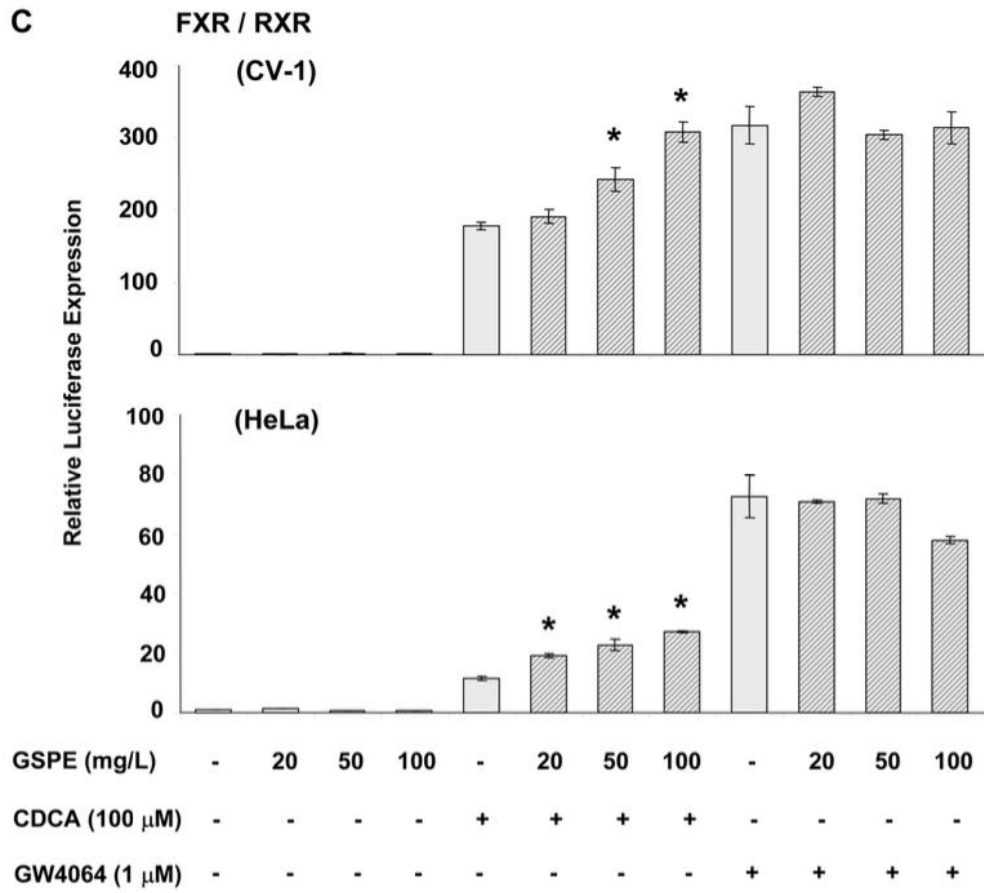
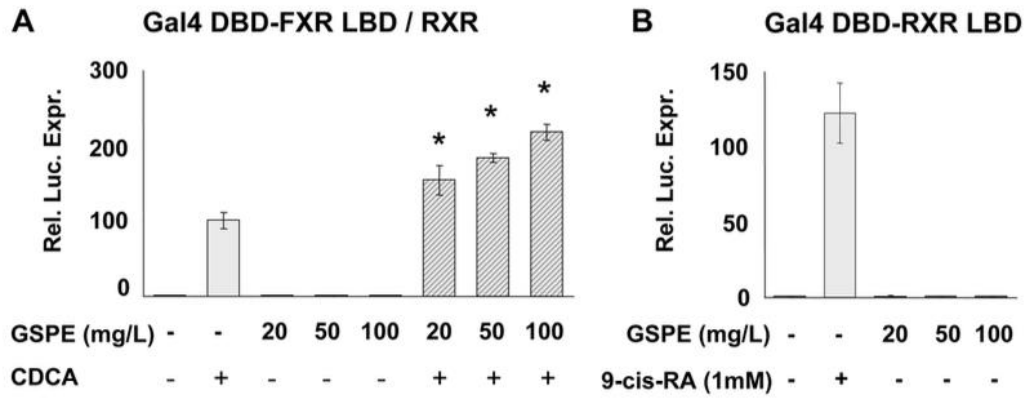
476 **Figure 1**



477

478

479 Figure 2



480

481

482