

# Fluorite-type $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$ : A promising crystal for watt-level lasers at $\sim 1.9 \mu\text{m}$

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**ABSTRACT:**  $\text{Tm}^{3+}$ -doped cubic potassium yttrium fluoride,  $\text{KY}_3\text{F}_{10}$ , is a promising laser crystal for efficient watt-level lasers at  $\sim 1.9 \mu\text{m}$  because of the relatively easy crystal growth by the Czochralski method, advantageous thermo-optical properties, high available  $\text{Tm}^{3+}$  doping levels and very efficient cross-relaxation mechanism. A compact diode-pumped 8 at.%  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  laser generated 1.85 W at 1891 nm with a slope efficiency of 65.2% and a laser threshold of 450 mW. A negative thermal lens was detected in this crystal owing to the negative  $dn/dT$  coefficient ( $-8.9 \times 10^{-6} \text{ K}^{-1}$ ). Passive Q-switching of the  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  laser by single-walled carbon nanotube saturable absorber was demonstrated yielding 13.2  $\mu\text{J}$  / 490 ns pulses at a repetition rate of 58 kHz.

**Keywords:** Fluoride crystals; Thulium ions; Crystal growth; Optical spectroscopy; Thermo-optical properties; Laser operation.

## 1. Introduction

Fluoride crystals represent an important class of laser host materials for doping with rare-earth ions ( $\text{RE}^{3+}$ ) [1]. As host matrices, they exhibit several advantageous properties as compared to their oxide counterparts, i.e., broad transparency range and typically large bandgap, relatively low refractive index, low phonon energies and attractive thermo-mechanical behavior, in particular, high thermal conductivity. The  $\text{RE}^{3+}$  ions in fluorides exhibit long lifetimes of the excited states [2]. Moreover, there exist a variety of fluoride crystals with different structures such as cubic ( $\text{CaF}_2$  [3,4],  $\text{SrF}_2$  [5] or their solid solutions [6]) trigonal ( $\text{KYF}_4$  [7]), tetragonal ( $\text{LiYF}_4$ ,  $\text{LiGdF}_4$ ,  $\text{LiLuF}_4$  [8]) or even monoclinic ( $\text{BaY}_2\text{F}_8$  [9, 10]) which may accommodate  $\text{RE}^{3+}$  ions with high concentrations.

Among the cubic (sp. gr.  $Fm\bar{3}m$ ) fluoride crystals, potassium yttrium fluoride ( $\text{KY}_3\text{F}_{10}$ ) has been relatively poorly studied regarding the laser applications [11-13] compared to more widespread calcium fluoride ( $\text{CaF}_2$ ) and its isomorphs. Note that  $\text{KY}_3\text{F}_{10}$  is abbreviated as KYF while it has to be distinguished from other crystals appearing in the  $\text{KF}-\text{YF}_3$  binary system, such as the trigonal  $\text{KYF}_4$  [7] or the orthorhombic  $\text{K}_2\text{YF}_5$  [14].  $\text{KY}_3\text{F}_{10}$  melts congruently at the temperature of 1020 °C (lower than that for  $\text{CaF}_2$ ), so that it can be grown by the conventional Czochralski (Cz) method [15]. Contrary to  $\text{CaF}_2$ , this crystal exhibits a single crystallographic site ( $\text{Y}^{3+}$  one) for  $\text{RE}^{3+}$  dopants [16] which may be occupied in high concentrations preserving good optical quality of the crystal.

In recent years, there has been an interest for developing novel solid-state gain materials for laser emission in the eye-safe spectral range at  $\sim 2 \mu\text{m}$  [17,18]. Such lasers are of practical importance for medicine, bio- and environmental sensing, range-finding, spectroscopy and further spectral conversion to the mid-IR [19]. Typically, the laser emission at  $\sim 2 \mu\text{m}$  is achieved using thulium ( $\text{Tm}^{3+}$ ) or holmium ( $\text{Ho}^{3+}$ ) ions. For the former case, it relies on the  ${}^3\text{F}_4 \rightarrow {}^3\text{H}_6$  electronic transition.  $\text{Tm}^{3+}$  ions can be efficiently pumped by commercial AlGaAs laser diodes emitting at  $\sim 0.8 \mu\text{m}$  (to the  ${}^3\text{H}_4$  state) and the corresponding pump quantum efficiency may reach 2 due to the very efficient cross-relaxation (CR) process,  ${}^3\text{H}_4 + {}^3\text{H}_6 \rightarrow {}^3\text{F}_4 + {}^3\text{F}_4$  [20]. The Stark splitting of the  $\text{Tm}^{3+}$  ground-state ( ${}^3\text{H}_6$ ) is typically large leading to a broadband emission while a proper selection of the host material may shift the spectral range of  $\text{Tm}^{3+}$  laser emission due to the varied crystal-field.

From these considerations, we decided to reconsider the cubic  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  crystal regarding its ability to generate laser emission at  $\sim 1.9 \mu\text{m}$ . We also focused on its feature to tolerate high  $\text{Tm}^{3+}$  doping levels. Recently, fluoride crystals featuring high  $\text{Tm}^{3+}$  doping (up to 18 at.%) were studied leading to several interesting results [8,9]. Loiko *et al.* achieved efficient laser operation of a diode-pumped microchip-type 8 at.%  $\text{Tm}^{3+}:\text{LiYF}_4$  laser delivering 3.10 W at 1904 nm with a slope efficiency of 72% [8]. Cornacchia *et al.* demonstrated vibronic laser operation of a 18 at.%  $\text{Tm}^{3+}:\text{BaY}_2\text{F}_8$  laser operating at a wavelength as long as 2030 nm [9]. For  $\text{Tm}^{3+}$ -doped laser crystals, an increase of the doping concentration is in general desirable because of (i) better pump absorption efficiency, (ii) strong CR for adjacent  $\text{Tm}^{3+}$  ions leading to the laser slope efficiency well exceeding the Stokes limit, and (iii) typically longer emission wavelengths because of increased reabsorption.

Regarding  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$ , room- and low-temperature spectroscopy [11,15], energy transfer in the  $\text{Yb}^{3+}-\text{Tm}^{3+}$  system [21] and diode-pumped laser performance [11] have been reported. Braud *et al.* demonstrated a 5 at.%  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  laser generating 0.27 W at 1846 nm with a slope efficiency of 42.5% [11].  $\sim 2.3$   $\mu\text{m}$  laser operation (at the  ${}^3\text{H}_4 \rightarrow {}^3\text{H}_5$  transition) from a highly-doped 8 at.%  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  crystal was reported [22]. Regarding recent studies of  $\text{KY}_3\text{F}_{10}$ -based materials, the emission properties of  $\text{RE}^{3+}$ -doped  $\text{KY}_3\text{F}_{10}$  nanocrystals [23-25] and thin-films [26] were studied. Crystallization of  $\text{RE}^{3+}$ -doped glass-ceramics based on the isostructural  $\text{KGd}_3\text{F}_{10}$  compound was reported [27].

In the present work, we describe the crystal growth, spectroscopic and thermo-optic properties and efficient  $\sim 1.9$   $\mu\text{m}$  laser operation (at the  ${}^3\text{F}_4 \rightarrow {}^3\text{H}_6$  transition) of highly-doped 8 at.%  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  crystals, for the first time, to the best of our knowledge. We show that  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  is suitable for watt-level continuous-wave lasers at  $\sim 1.9$   $\mu\text{m}$  with characteristics being competitive to the well-known cubic  $\text{Tm}^{3+}:\text{CaF}_2$  crystals.

## 2. Crystal growth

$\text{KY}_3\text{F}_{10}$  has appealing characteristics, such as congruent melting, optical isotropy, good thermo-mechanical properties [16] and it can be grown relatively easy. Nevertheless, to obtain excellent optical quality within the entire crystal boule is challenging. To ensure excellent quality in large boule size, the crystal growth was carried out following the description previously patented in [28]. The  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  single crystal was grown in the Physics Department of the National Enterprise for nano-Science and nano-Technology Laboratories, Pisa, Italy. The growth was performed in a home-made Czochralski furnace from an oriented seed using high purity (5N) raw powders. The pulling rate was 1 mm/h and the rotation speed was 5 rpm. The crystal had a  $\text{Tm}^{3+}$  doping concentration of 8.0 at.% (stoichiometric formula:  $\text{K}(\text{Y}_{0.920}\text{Tm}_{0.080})_3\text{F}_{10}$ ), corresponding to an ion density of  $12.5 \times 10^{20}$  at/cm<sup>3</sup>. The photograph of the as-grown crystal boule is shown in Fig. 1.

$\text{KY}_3\text{F}_{10}$  belongs to the cubic crystal class (sp. gr.  $\text{O}_h^5 - \text{Fm}\bar{3}m$ , No. 225). Its lattice constant amounts to  $a = 11.554$  Å and the number of formula units in the unit-cell is  $Z = 8$  (density:  $\rho = 4.22$  g/cm<sup>3</sup>) [16]. The structure of  $\text{KY}_3\text{F}_{10}$  represents a  $2 \times 2 \times 2$  superstructure of fluorite. The trivalent cations ( $\text{Y}^{3+}$ ) are incorporated into distorted square antiprisms (VIII-fold coordination). The  $\text{K}^+$  cations are coordinated tetrahedrally by four inner fluorine atoms; 12 anions are positioned at longer distances. The crystal symmetry makes  $\text{KY}_3\text{F}_{10}$  an attractive material to develop cheap devices in ceramic form.

## 3. Material characterization

### 3.1 Spectroscopic properties

Cubic  $\text{KY}_3\text{F}_{10}$  crystals are optically isotropic.  $\text{Tm}^{3+}$  doping ions in  $\text{KY}_3\text{F}_{10}$  replace the  $\text{Y}^{3+}$  ones in a single type of sites with a  $\text{C}_{4v}$  symmetry (24e Wyckoff position) [15]. This process is promoted by the closeness of the ionic radii of  $\text{Tm}^{3+}$  (1.13 Å) and  $\text{Y}^{3+}$  (1.16 Å) for VIII-fold  $\text{F}^-$  coordination. The refractive index of  $\text{KY}_3\text{F}_{10}$  is  $n \approx 1.49$  [29].

A simplified scheme of energy levels of  $\text{Tm}^{3+}$  in  $\text{KY}_3\text{F}_{10}$  is shown in Fig. 2(a); the Stark splitting is according to [15]. The total splitting of the ground-state  $\Delta E({}^3\text{H}_6)$  is 484 cm<sup>-1</sup> and

the zero-phonon-line (ZPL) energy for the  ${}^3F_4 \rightarrow {}^3H_6$  transition is  $5777 \text{ cm}^{-1}$ . In Fig. 2(b), we show the absorption cross-section,  $\sigma_{\text{abs}}$ , for the  ${}^3H_6 \rightarrow {}^3H_4$  transition suitable for diode-pumping. The maximum  $\sigma_{\text{abs}} = 0.79 \times 10^{-20} \text{ cm}^2$  is at  $778.6 \text{ nm}$  ( $\Gamma_{3,4} \rightarrow \Gamma_5$ ) and the corresponding full width at half maximum (FWHM) is  $2.9 \text{ nm}$ . At longer wavelengths, a weaker and broader absorption peak is observed at  $802.2 \text{ nm}$  ( $\sigma_{\text{abs}} = 0.22 \times 10^{-20} \text{ cm}^2$  and FWHM =  $13.9 \text{ nm}$ ).

For the  ${}^3H_6 \leftrightarrow {}^3F_4$  transition, Fig. 1(c), the maximum  $\sigma_{\text{abs}} = 0.82 \times 10^{-20} \text{ cm}^2$  is at  $1646.2 \text{ nm}$  ( $\Gamma_{3,4} \rightarrow \Gamma_5$ ). The stimulated-emission (SE) cross-section,  $\sigma_{\text{SE}}$ , was calculated by a combination of the reciprocity method (RM) [30] using the Stark splitting and the Füchtbauer–Ladenburg (F-L) formula [31] using the radiative lifetime of the emitting state,  $\tau_{\text{rad}}({}^3F_4) = 9.41 \text{ ms}$  [15]. The maximum  $\sigma_{\text{SE}} = 0.65 \times 10^{-20} \text{ cm}^2$  is at  $1846.0 \text{ nm}$  ( $\Gamma_1 \rightarrow \Gamma_1$ ). The measured free-of-reabsorption lifetime of the  ${}^3F_4$  state is about  $16 \text{ ms}$ .

The primitive cell of  $\text{KY}_3\text{F}_{10}$  contains two formula units resulting in  $84$  degrees of freedom. At the center of the Brillouin zone  $\Gamma$  ( $\mathbf{k} = 0$ ), the irreducible representation can be written as follows:  $\Gamma = 3A_{1g} + A_{2g} + 2A_{2u} + 4E_g + 2E_u + 4F_{1g} + 8F_{1u} + 6F_{2g} + 4F_{2u}$  of which  $A_{1g}$ ,  $E_g$  and  $F_{2g}$  are Raman-active. The unpolarized Raman spectrum of  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  is shown in Fig. 3. The most intense line is at  $378 \text{ cm}^{-1}$  ( $E_g$ ) and the maximum phonon energy is  $495 \text{ cm}^{-1}$  ( $E_g$ ). For the  $E_g$  modes, most of the Y and F atoms move in opposite directions leading to deformative vibrations of the fluorine polyhedra.

### 3.2 Thermo-optical properties

For the studies of the thermo-optical properties, we used a rectangular sample cut from an undoped  $\text{KY}_3\text{F}_{10}$  boule with a thickness of  $7.89 \text{ mm}$  and aperture of  $3.85 \times 3.47 \text{ mm}^2$ . The thermal expansion coefficient of  $\text{KY}_3\text{F}_{10}$   $\alpha = 11.3 \pm 0.2 \times 10^{-6} \text{ K}^{-1}$  was measured using a horizontal dilatometer (Netzsch 402 PC) in the range of temperatures of  $20\text{--}200 \text{ }^\circ\text{C}$ . The volumetric thermal expansion is then  $\alpha_{\text{vol}} = 3\alpha = 33.9 \times 10^{-6} \text{ K}^{-1}$ .

The thermo-optic coefficients (TOCs),  $dn/dT$ , and thermal coefficients of the optical path (TCOPs),  $W = dn/dT + (n - 1)\alpha$ , were measured using the laser beam deviation method for a medium with a linear thermal gradient [32]. The thermal gradient in the sample was  $\sim 10 \text{ }^\circ\text{C/mm}$ . The measurements were performed around room temperature ( $25 \text{ }^\circ\text{C}$ ) for several wavelengths in the  $0.40\text{--}1.06 \text{ }\mu\text{m}$  spectral range, see Fig. 4. At  $633 \text{ nm}$ ,  $dn/dT = -9.2 \pm 0.5 \times 10^{-6} \text{ K}^{-1}$  and  $W = -3.7 \pm 0.5 \times 10^{-6} \text{ K}^{-1}$ . The negative TCOPs for  $\text{KY}_3\text{F}_{10}$  suggest a negative thermal lens in crystals doped with  $\text{RE}^{3+}$  ions. The dispersion of both thermo-optic constants is weak.

The wavelength-dependence of the  $dn/dT$  coefficients of dielectric crystals can be accounted for by the following model [33]:

$$dn/dT = -\alpha_{\text{vol}} \frac{(n_\infty^2 - 1)}{2n(\lambda)} \frac{\lambda^2}{\lambda^2 - \lambda_g^2} - \frac{1}{E_g} \frac{dE_g}{dT} \frac{(n_\infty^2 - 1)}{2n(\lambda)} \left( \frac{\lambda^2}{\lambda^2 - \lambda_g^2} \right)^2. \quad (1)$$

Here,  $\lambda$  is the light wavelength,  $n_\infty$  is the refractive index in the long-wavelength limit,  $E_g$  is the electronic bandgap,  $\lambda_g$  is the corresponding wavelength,  $\lambda_g(\mu\text{m}) = 1.2398/E_g(\text{eV})$ , and  $dE_g/dT$  is the temperature coefficient of the bandgap. This model explains the temperature dependence of the refractive index by a counteraction of the volumetric thermal expansion (leading to a decreased crystal density and thus, a decreased refractive index  $n$  via the Clausius-Mossotti

relation) and a temperature dependence of the bandgap (typically leading to an increase of the refractive index because  $dE_g/dT < 0$  for most of the dielectric crystals).

For  $\text{KY}_3\text{F}_{10}$ , only the  $E_g$  value of  $\sim 10$  eV [34] was reported. Thus, we fitted the experimental data of the dispersion of the  $dn/dT$  coefficients, Fig. 4, using Eq. (1) with  $dE_g/dT$  as a free parameter. The best fit  $dE_g/dT$  value is  $-0.7 \pm 0.1 \times 10^{-4}$  eV/K. The calculated thermo-optic dispersion curve  $dn/dT(\lambda)$ , is nearly constant in the visible and near-IR. This gives an estimation of  $dn/dT$  ( $\sim 1.9 \mu\text{m}$ ) =  $-8.9 \times 10^{-6} \text{ K}^{-1}$ . Physically, negative thermo-optic coefficients in  $\text{KY}_3\text{F}_{10}$  are related to high volumetric thermal expansion for this wide bandgap material.

## 4. Laser performance

### 4.1 Laser set-up

The scheme of the compact diode-pumped  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  laser is shown in Fig. 5(a). A rectangular laser crystal sample was prepared with a thickness  $t$  of 3.2 mm and aperture of  $3.2 \times 5.3 \text{ mm}^2$ . Its input and output surfaces were polished to laser quality and remained uncoated. The crystal was mounted in a Cu-holder using In foil for better thermal contact from the 4 lateral sides. The holder was actively cooled by circulating water (temperature:  $12 \text{ }^\circ\text{C}$ ). The simple linear laser cavity consisted of a flat pump mirror (PM) coated for high transmission (HT,  $T > 99.75\%$ ) at  $0.7\text{--}1 \mu\text{m}$  and high-reflection (HR) at  $1.8\text{--}2.1 \mu\text{m}$ , and a set of concave output couplers (OCs) with radius of curvature (RoC) of 50 mm and transmission at the laser wavelength  $T_{\text{OC}} = 1.5\%\text{--}15\%$ . The crystal was placed at 1 mm separation from the PM. The geometrical cavity length was 49 mm. The crystal was pumped by a fiber-coupled AlGaAs laser diode (LuOcean P-series Lu0808C, fiber core diameter:  $200 \mu\text{m}$ , N.A.: 0.22) delivering up to 13 W of unpolarized output ( $M^2 \approx 80$ ) at 802 nm (emission bandwidth:  $\sim 6 \text{ nm}$ ). The pump was collimated and focused into the crystal through the PM by a lens assembly (1:1 reimaging ratio, focal length  $f = 30 \text{ mm}$ ). The pump spot radius at the focus  $w_P$  was  $100 \mu\text{m}$  and the confocal parameter  $2z_R$  was 1.4 mm. The measured pump absorption was 40%. The crystal was pumped in a single-pass. The calculated radius of the laser mode in the crystal  $w_L$  was  $70\text{--}80 \mu\text{m}$ , depending on the pump power.

For the thermal lens measurements, the radius of the  $\text{TEM}_{00}$  laser mode was measured at 15 cm from the OC using the optical knife method. The optical (refractive) power of the thermal lens  $D$  (inverse of its focal length) was calculated using the ray transfer matrix formalism.

For passive Q-switching, we used a saturable absorber (SA) based on single-walled carbon nanotubes (SWCNTs) [35]. The SA consisted of a PMMA film (thickness: 300 nm) containing randomly-oriented (spaghetti-like) arc-discharged SWCNTs deposited on a 1.05-mm-thick uncoated quartz substrate [36]. The mean diameter of the SWCNTs was in the 1.5-2.2 nm range, leading to a broad absorption band spanning from 1.6 to  $2.2 \mu\text{m}$  related to the  $E_{11}$  fundamental transition of semiconducting nanotubes, Fig. 5(b). The transmission-type SA was inserted into the cavity near the laser crystal and was placed at normal incidence. The calculated radius of the laser mode  $w_L$  at the SA was  $110 \pm 5 \mu\text{m}$ . The characteristics of the SWCNT-SA were as follows: small-signal transmission  $T_{\text{SA}} = 97.4\%$ , fraction of the saturable losses  $\alpha'_s/\alpha'_{\text{SA}} = 0.21$  ( $\alpha'_{\text{SA}} = 1 - T_{\text{SA}}$ ), saturation intensity  $I_{\text{sat}} = 7 \text{ MW/cm}^2$  and recovery time  $\tau_{\text{rec}} = 0.25$  and 1.16 ps (“fast” and “slow” components, respectively).

A fast (rise time: <100 ps) Ge PIN photodiode (UPD-100-IR1-P, Alphas) and a 2 GHz Tektronix DPO5204B digital oscilloscope were used to detect and characterize the Q-switched pulses. The laser emission spectra were measured with a compact spectrometer (WaveScan, sensitivity: 1.0-2.6  $\mu\text{m}$ , APE GmbH). The 2D profile of the laser beam was measured with a FIND-R-SCOPE near-IR camera (model 85726).

#### 4.2 Continuous-wave laser

Without the SA in the cavity, the  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  laser operated in the continuous-wave (CW) mode. The laser output was unpolarized. The best laser performance was observed for  $T_{\text{OC}} = 9\%$  with which the laser generated a maximum output power of 1.85 W at  $\sim 1891$  nm with a maximum slope efficiency  $\eta$  of 65.2% (versus the absorbed pump power  $P_{\text{abs}}$ ), Fig. 6(a). The laser threshold was at  $P_{\text{abs}} = 0.45$  W and the optical-to-optical efficiency with respect to the incident pump power  $\eta_{\text{opt}}$  was 17.8%. For smaller and higher output coupling, the laser performance deteriorated. For  $T_{\text{OC}} = 15\%$ , the worse laser output is ascribed to upconversion losses related to high inversion. The round-trip passive losses  $L$  were estimated from the Caird analysis [37] as 0.5% (passive loss in the crystal:  $\delta_{\text{loss}} \approx 0.007$   $\text{cm}^{-1}$ ). No thermal roll-over nor crystal damage were observed up to at least  $P_{\text{abs}} = 3.5$  W. The power scaling was limited by the available pump power.

Typical laser emission spectra are shown in Fig. 6(b). The spectra contained multiple peaks due to the broad gain profile of  $\text{Tm}^{3+}$  in  $\text{KY}_3\text{F}_{10}$  and possible etalon effects at the PM / crystal interface. With the increase of the output coupling, the laser wavelength experienced a blue-shift from 1931-1956 nm (for the smallest  $T_{\text{OC}} = 1.5\%$ ) to  $\sim 1887$  nm (for  $T_{\text{OC}} = 15\%$ ). This is due to the quasi-three-level nature of the  $\text{Tm}^{3+}$  laser scheme exhibiting reabsorption.

In a previous work, a diode-pumped  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  laser generated 0.27 W at 1846 nm with lower  $\eta$  of 42.5% [11]. The observed improvement of the laser performance in the present work is partially due to the higher  $\text{Tm}^{3+}$  doping level used, promoting the efficiency of the CR mechanism. The CR mechanism is one of the most important factors affecting the slope efficiency of Tm lasers via the pump quantum efficiency  $\eta_{\text{q}}$  [38]:

$$\eta_{\text{q}} = \frac{1/\tau_{30} + 2W_{\text{CR}} - (1/\tau_{3\text{rad}})(1 - \beta_{32})}{1/\tau_{30} + W_{\text{CR}}}. \quad (2)$$

Here,  $\tau_{30}$  and  $\tau_{3\text{rad}}$  are the intrinsic (unquenched, at the limit of low  $\text{Tm}^{3+}$  concentration) and radiative lifetimes of the pump state ( $^3\text{H}_4$ ), respectively,  $\beta_{32} = 13.1\%$  is the luminescence branching ratio for the  $^3\text{H}_4 \rightarrow ^3\text{F}_4 + ^3\text{H}_5$  transitions and  $W_{\text{CR}}$  is the CR rate (in  $\text{s}^{-1}$ ). For fluoride crystals, and, in particular, for  $\text{KY}_3\text{F}_{10}$ , due to the low energy of the most intense Raman peak  $h\nu_{\text{ph}} = 378$   $\text{cm}^{-1}$  [39],  $\tau_{30} \approx \tau_{3\text{rad}} = 1.9$  ms (otherwise, it may be shortened due to the NR relaxation).

The CR rate for 8 at.%  $\text{Tm}^{3+}$  doping in  $\text{KY}_3\text{F}_{10}$  is  $3.72 \times 10^4$   $\text{s}^{-1}$ , as estimated from the luminescence lifetimes of the  $^3\text{H}_4$  state [11]. The corresponding concentration-independent CR parameter  $C_{\text{CR}} = 0.28 \times 10^{-37}$   $\text{cm}^6\text{s}^{-1}$  (where  $W_{\text{CR}} = C_{\text{CR}} \cdot N_{\text{Tm}}^2$  [40]). Note that the CR is stronger in  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  as compared to  $\text{Tm}^{3+}:\text{LiYF}_4$  (for the same  $\text{Tm}^{3+}$  ion density) because of the larger overlap between the emission  $^3\text{H}_4 \rightarrow ^3\text{F}_4$  and absorption  $^3\text{H}_6 \rightarrow ^3\text{F}_4$  spectra leading to stronger multipolar interactions between the neighboring  $\text{Tm}^{3+}$  ions [15]. By using Eq. (2), we

calculated  $\eta_q = 1.97$ . Consequently, the CR in the studied crystal is very efficient explaining the observed high laser efficiency well exceeding the Stokes limit,  $\eta_{St} = \lambda_P/\lambda_L = 42.4\%$ .

In Table 1, we compare the output characteristics of diode-pumped Tm lasers based on fluoride crystals reported recently. Tm<sup>3+</sup>-doped fluoride crystals belonging to different classes were studied, namely, monoclinic (BaLn<sub>2</sub>F<sub>8</sub> for Ln = Y and Y/Lu) [9,41], tetragonal (LiLnF<sub>4</sub> for Ln = Y, Gd, Lu) [8,42], trigonal (KYF<sub>4</sub>) [43] and cubic (CaF<sub>2</sub>, SrF<sub>2</sub>) [3,5,44,45]. Note that here, we focus particularly on results achieved using the diode-end-pumping geometry. High-power Tm:LiYF<sub>4</sub> lasers delivering tens of watts and based on slab or rod geometries are known [46]. From Table 1, it is concluded that Tm<sup>3+</sup>:KY<sub>3</sub>F<sub>10</sub> offers good power scaling capabilities and high laser slope efficiency as compared to the most used fluoride crystals (Tm<sup>3+</sup>:CaF<sub>2</sub>, Tm<sup>3+</sup>:SrF<sub>2</sub>). In part, this is related to a higher available Tm<sup>3+</sup> doping concentration leading to a very efficient CR mechanism.

### 4.3 Thermal lensing

We have considered the thermal lens as an ideal thin spherical lens located in the center of the Tm<sup>3+</sup>:KY<sub>3</sub>F<sub>10</sub> crystal. For the studied hemispherical cavity, a positive (focusing,  $D > 0$ ) thermal lens would result in a confinement of the output laser beam, and a negative (defocusing,  $D < 0$ ) one would provide the opposite effect of beam expansion. The latter was observed in our experiment, Fig. 7(a). Thus, a negative thermal lens occurs in Tm<sup>3+</sup>:KY<sub>3</sub>F<sub>10</sub>. This agrees well with the negative sign of the TCOP coefficient for this crystal, Fig. 4.

Following the ray transfer matrix formalism, we have calculated the optical power of the thermal lens  $D$ , Fig. 7(b). The optical power shows almost linear dependence on the absorbed pump power as expressed by the so-called sensitivity factor,  $M = dD/dP_{abs} = -10.5 \pm 0.2 \text{ m}^{-1}/\text{W}$ . This parameter shows the variation of the optical power due to 1 W variation of  $P_{abs}$ .

For longitudinally diode-pumped crystals (with a ‘‘top-hat’’ pump beam), the sensitivity factor of the thermal lens can be calculated according to [47]:

$$M = \frac{\eta_h}{2\pi w_p^2 \kappa} \chi, \quad \chi = dn/dT + P_{PE} + (1+\nu)(n-1)\alpha. \quad (3)$$

Here,  $\kappa \approx 2.5 \text{ W}/(\text{m}\cdot\text{K})$  [48] is the thermal conductivity of doped KY<sub>3</sub>F<sub>10</sub>,  $\eta_h = P_{heat}/P_{abs} \approx 0.4$  [46] is the fractional heat loading and  $\chi$  is the ‘‘generalized’’ thermo-optic coefficient (TOC) representing three contributions to the thermal lensing in diode-pumped crystals, namely temperature dependence of the refractive index (expressed by  $dn/dT$ ), the thermo-optic effect (expressed by the  $P_{PE}$  term) and the end-face bulging due to non-uniform thermal expansion (expressed by the  $(1 + \nu)(n - 1)\alpha$  term, where  $\nu$  is the Poisson ratio). Using Eq. (3), we have estimated the ‘‘generalized’’ TOC for Tm<sup>3+</sup>:KY<sub>3</sub>F<sub>10</sub> crystal as  $-4.1 \times 10^{-6} \text{ K}^{-1}$  at  $\sim 1.9 \mu\text{m}$  which agrees with the experimental TCOP value, Fig. 4.

### 4.4 Passively Q-switched laser

For passive Q-switching, we studied different OCs. The one with  $T_{OC} = 9\%$  provided better output power and stability of the PQS operation. The input-output dependence of the PQS laser is shown in Fig. 6(a). The PQS Tm<sup>3+</sup>:KY<sub>3</sub>F<sub>10</sub> laser generated a maximum average output power of 0.77 W at 1884 nm with  $\eta = 34.1\%$ . The laser threshold was at  $P_{abs} = 0.75 \text{ W}$

and the Q-switching conversion efficiency with respect to the CW laser regime  $\eta_{\text{conv}}$  was 41.6% indicating a moderate insertion loss of the SWCNT-SA. The range of pump powers for stable Q-switching had a lower limit due to laser instabilities near the threshold and an upper limit related to excessive heating of the SA by the residual (non-absorbed) pump [49]. The emission wavelength for the PQS laser was slightly shorter compared to CW operation (for the same OC) due to an additional loss introduced by the SA and thus, higher inversion level for the  $\text{Tm}^{3+}$  ions. During the PQS laser operation, no damage of the crystal or the SA was observed.

The pulse characteristics of the PQS laser are shown in Fig. 8. The pulse duration (FWHM)  $\Delta\tau$  and the pulse repetition frequency (PRF) were determined directly, and the pulse energy and peak power were calculated as  $E_{\text{out}} = P_{\text{out}}/\text{PRF}$  and  $P_{\text{peak}} = E_{\text{out}}/\Delta\tau$ . For the SWCNT-SA, the recovery time of its initial absorption  $\tau_{\text{rec}}$  is much shorter than the characteristic time of formation of the Q-switched pulses (hundreds of ns), so that it can be classified as a “fast” SA. For lasers PQS by “fast” SAs, the pulse characteristics are dependent on the pump power due to the dynamic bleaching of the SA (increase of the intracavity laser intensity) [50]. This is also observed in Fig. 8. The pulse duration decreased from 625 to 490 ns while the PRF increased almost linearly from 17 to 58 kHz and  $E_{\text{out}}$  increased from 5.9 to 13.2  $\mu\text{J}$ . The peak power reached 26.9 W. The calculated spatially averaged intracavity laser intensity on the SA  $I_{\text{in}}$  was  $\sim 2 \text{ MW/cm}^2$ . Thus, the SA was partially saturated.

A typical oscilloscope trace of the single Q-switched pulse and the corresponding pulse train are shown in Fig. 9 (measured at the pump power equal to the upper limit of the Q-switching stability). The intensity instabilities in the pulse train are relatively high (20%) due to the effect of the residual pump heating the SA.

In Table 2, we compared the pulse characteristics of bulk  $\sim 2 \mu\text{m}$  lasers PQS by SWCNTs reported so far [36,51-56]. In previous studies, only oxide crystals and ceramics doped with  $\text{Tm}^{3+}$  and  $\text{Ho}^{3+}$  ions were implemented. The energy storage capabilities of these materials in PQS lasers are limited due to the shorter lifetimes of their upper laser levels as compared to fluorides. In [36], a  $\text{Tm}^{3+}:\text{KLu}(\text{WO}_4)_2$  laser PQS by a similar SWCNT-SA as in the present work, provided a pulse energy of only 4.0  $\mu\text{J}$  (compare to 13.2  $\mu\text{J}$  for  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$ ). In [51,54], similar pulse energies were achieved by employing SWCNT-SAs with much higher modulation depths ( $\alpha'_{\text{SA}} \approx 14\%$ ). We conclude, that  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  is very promising for PQS laser applications.

## 5. Conclusions

To conclude, we have revisited the spectroscopic, thermo-optical and laser properties of the cubic  $\text{KY}_3\text{F}_{10}$  fluoride crystal doped with  $\text{Tm}^{3+}$  ions. As compared to the widespread cubic fluorite-type crystals ( $\text{CaF}_2$ ,  $\text{SrF}_2$ ),  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  shows a lower growth temperature and higher available  $\text{Tm}^{3+}$  doping levels (8 at.%, as studied in the present work) while preserving good optical quality. Despite the moderate thermal conductivity of  $\text{KY}_3\text{F}_{10}$ , it possesses a negative thermo-optic coefficient,  $dn/dT$ , leading to partial “athermal” compensation of different effects contributing to the formation of the thermal lens. Moreover,  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  is characterized by an efficient cross-relaxation mechanism for the adjacent  $\text{Tm}^{3+}$  ions leading to a high laser slope efficiency (up to 65%, as achieved in the present work) under pumping with AlGaAs laser

diodes. Finally, the long upper laser level lifetime of  $\text{Tm}^{3+}$  in  $\text{KY}_3\text{F}_{10}$  leads to good energy storage capabilities in PQS lasers as demonstrated in the present work with a SWCNT-based “fast” SA.

Further work on  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  should focus on the growth of crystals with even higher doping levels (up to 18 at.%  $\text{Tm}^{3+}$ , as attempted in our preliminary experiments) resulting in both high pump absorption efficiency and strong CR leading to efficient laser operation. Under such conditions, the so-called “vibronic” laser operation potentially leading to laser emission at  $>2 \mu\text{m}$  is expected. PQS  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  lasers by “slow” SAs, such as  $\text{Cr}^{2+}:\text{ZnS}$  or  $\text{Cr}^{2+}:\text{ZnSe}$ , may produce mJ-energy level pulses at low repetition rates (few kHz).

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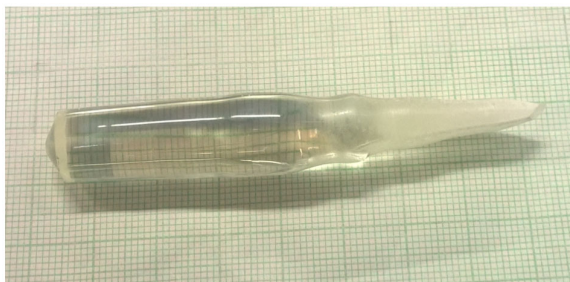
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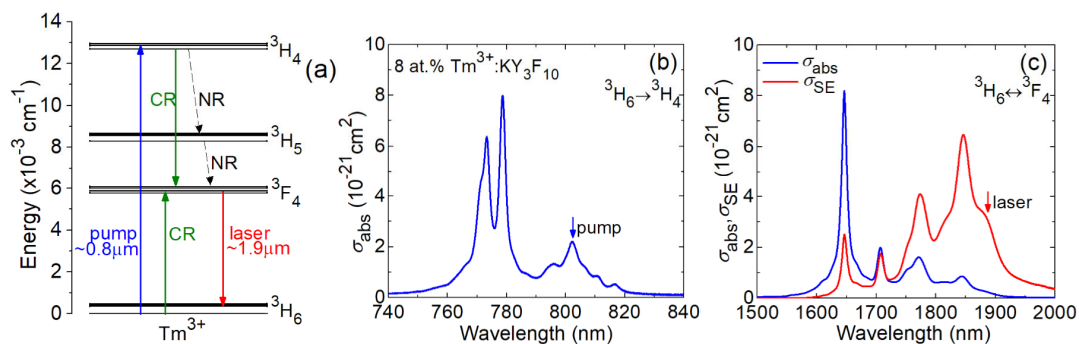
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## Figure captions

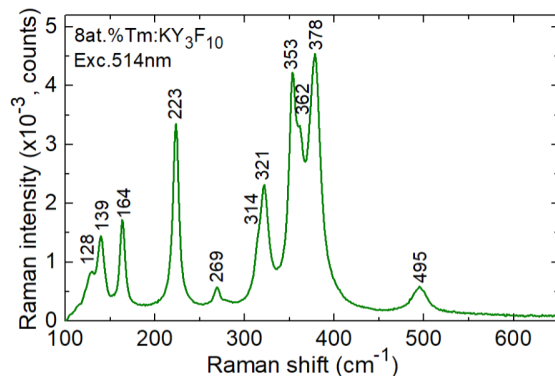
**Fig. 1.** Photograph of the as-grown high-quality 8 at.%  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  crystal boule.



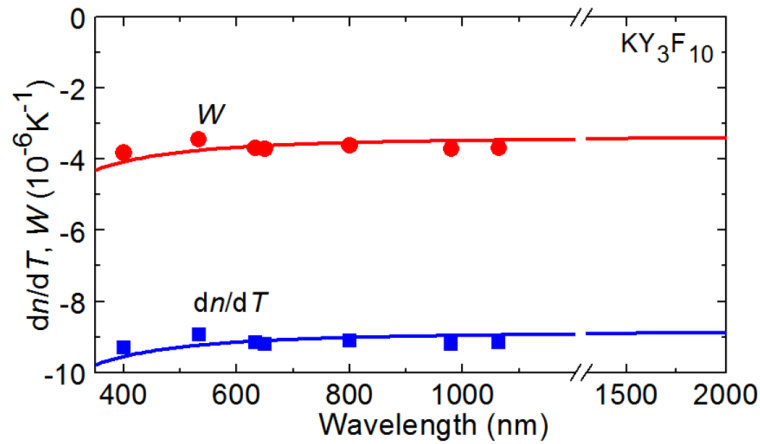
**Fig. 2.** Spectroscopy of  $\text{Tm}^{3+}$  ions in cubic  $\text{KY}_3\text{F}_{10}$  crystal: (a) simplified scheme of energy levels: *blue* and *red* arrows - pump and laser transitions, respectively, CR – cross-relaxation, NR – non-radiative decay, the Stark splitting is according to [15]; (b) absorption cross-section,  $\sigma_{\text{abs}}$ , for the  ${}^3\text{H}_6 \rightarrow {}^3\text{H}_4$  transition, *blue* arrow – pump wavelength; (c) absorption,  $\sigma_{\text{abs}}$ , and stimulated-emission (SE),  $\sigma_{\text{SE}}$ , cross-sections for the  ${}^3\text{H}_6 \leftrightarrow {}^3\text{F}_4$  transition, *red* arrow – laser wavelength.



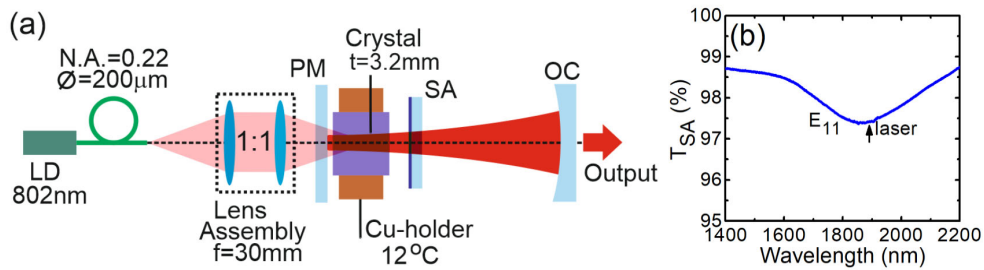
**Fig. 3.** Unpolarized Raman spectrum of the 8 at.%  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  crystal. *Numbers* denote the energies of the Raman peaks in  $\text{cm}^{-1}$ . The excitation wavelength is 514 nm.



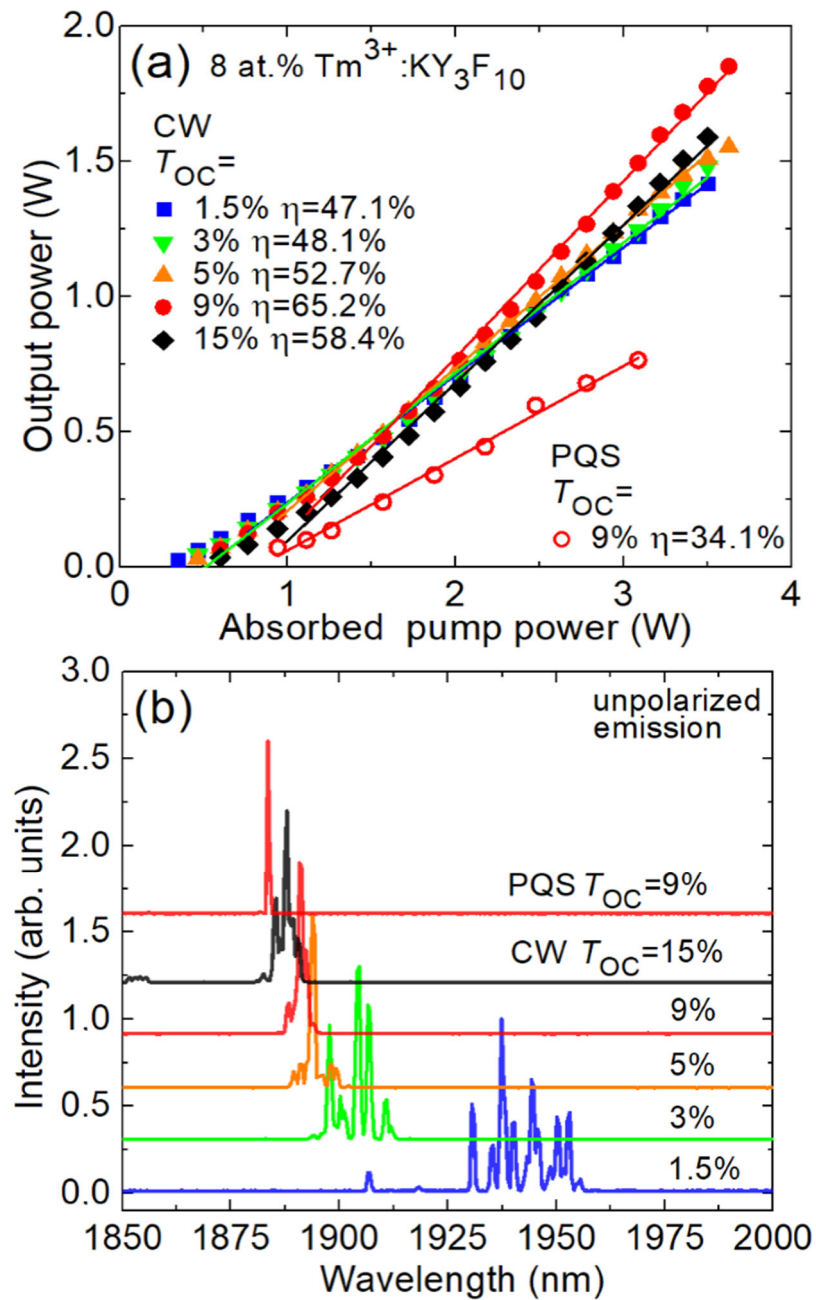
**Fig. 4.** Dispersion of the thermo-optic coefficient (TOCs),  $dn/dT$ , and thermal coefficient of the optical path (TCOP),  $W = dn/dT + (n - 1)\alpha$ , for  $KY_3F_{10}$ : *symbols* – experimental data, *curves* – modeling with Eq. (1).



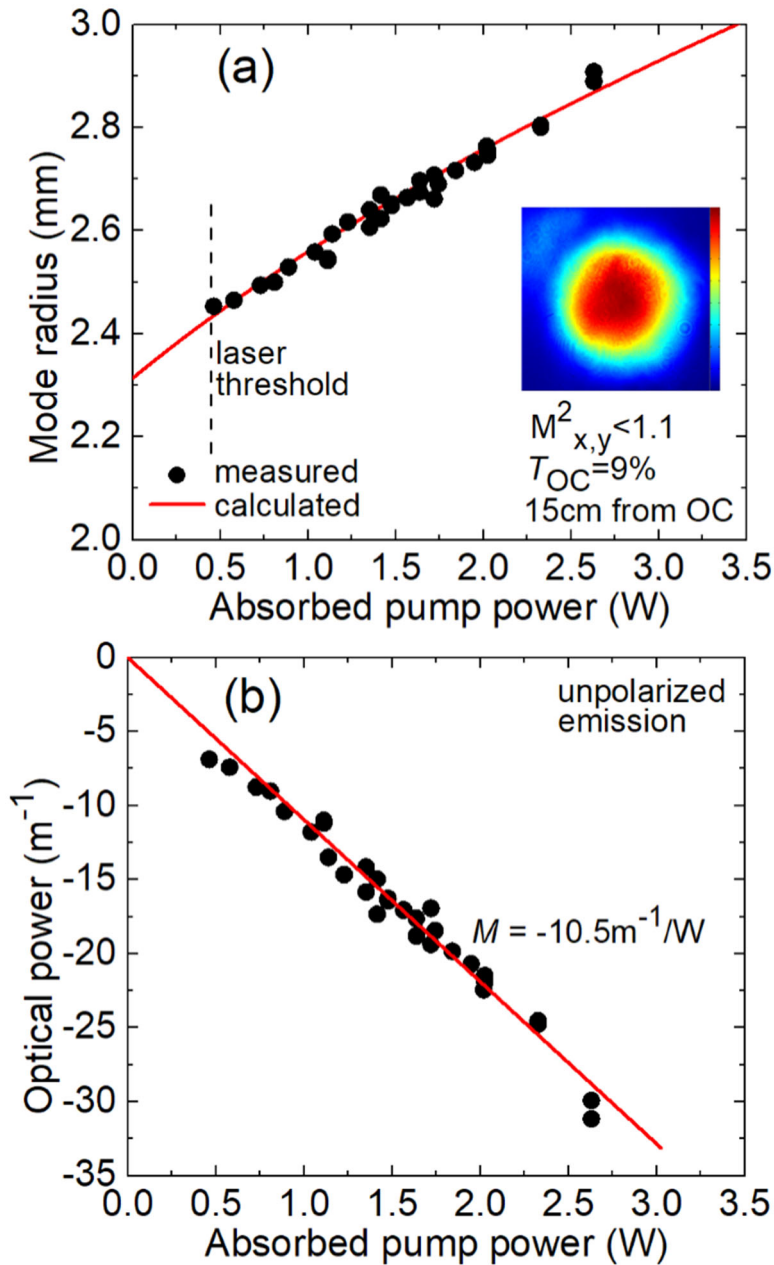
**Fig. 5.** (a) Scheme of the laser set-up: LD – laser diode, PM – pump mirror, OC – output coupler, SA – saturable absorber; (b) Small-signal transmission spectrum of the SWCNT-SA (Fresnel losses from the quartz substrate are subtracted).



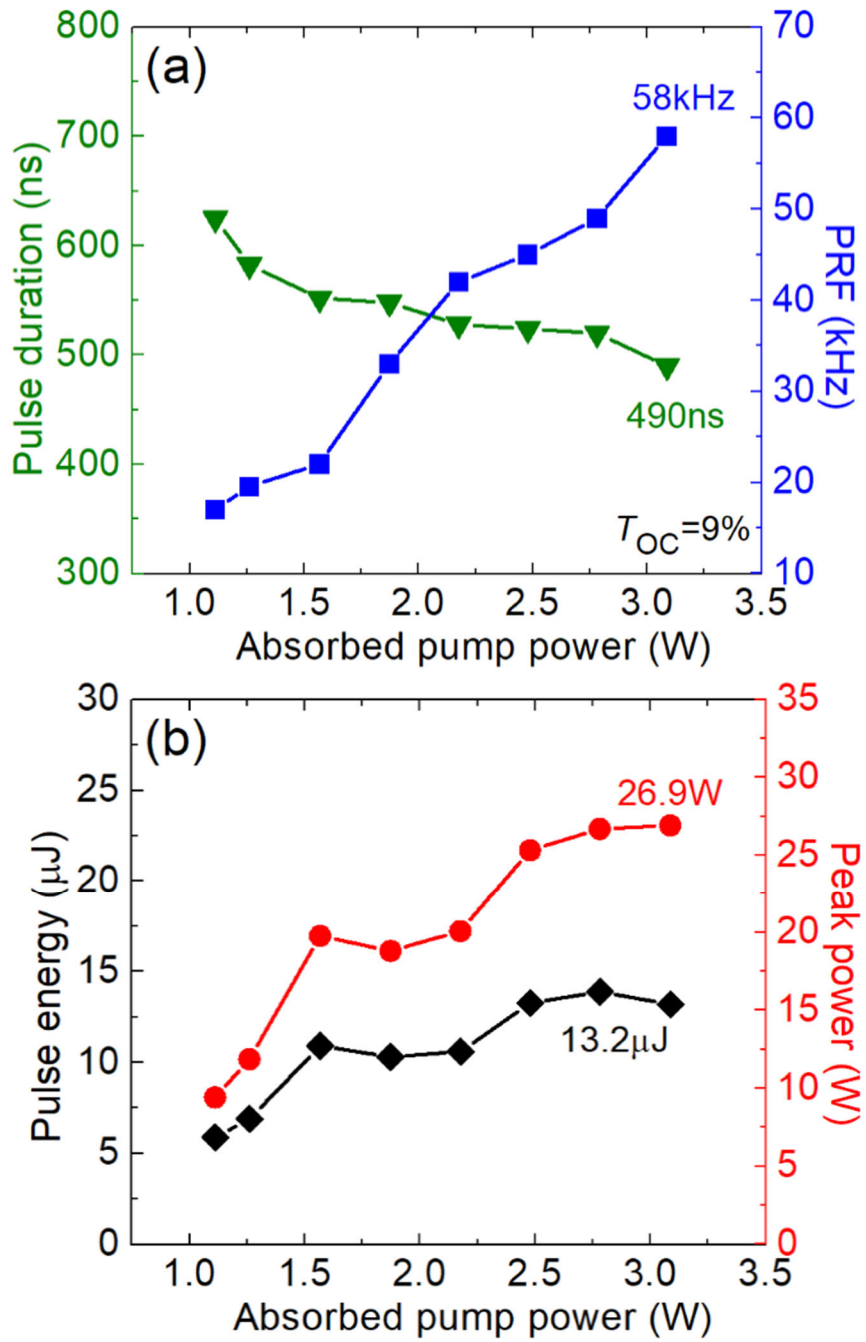
**Fig. 6.** Diode-pumped continuous-wave and SWCNT passively Q-switched Tm:KY<sub>3</sub>F<sub>10</sub> lasers: (a) input-output dependences,  $\eta$  – slope efficiency; (b) typical laser emission spectra, measured at  $P_{\text{abs}} = 3.1$  W.



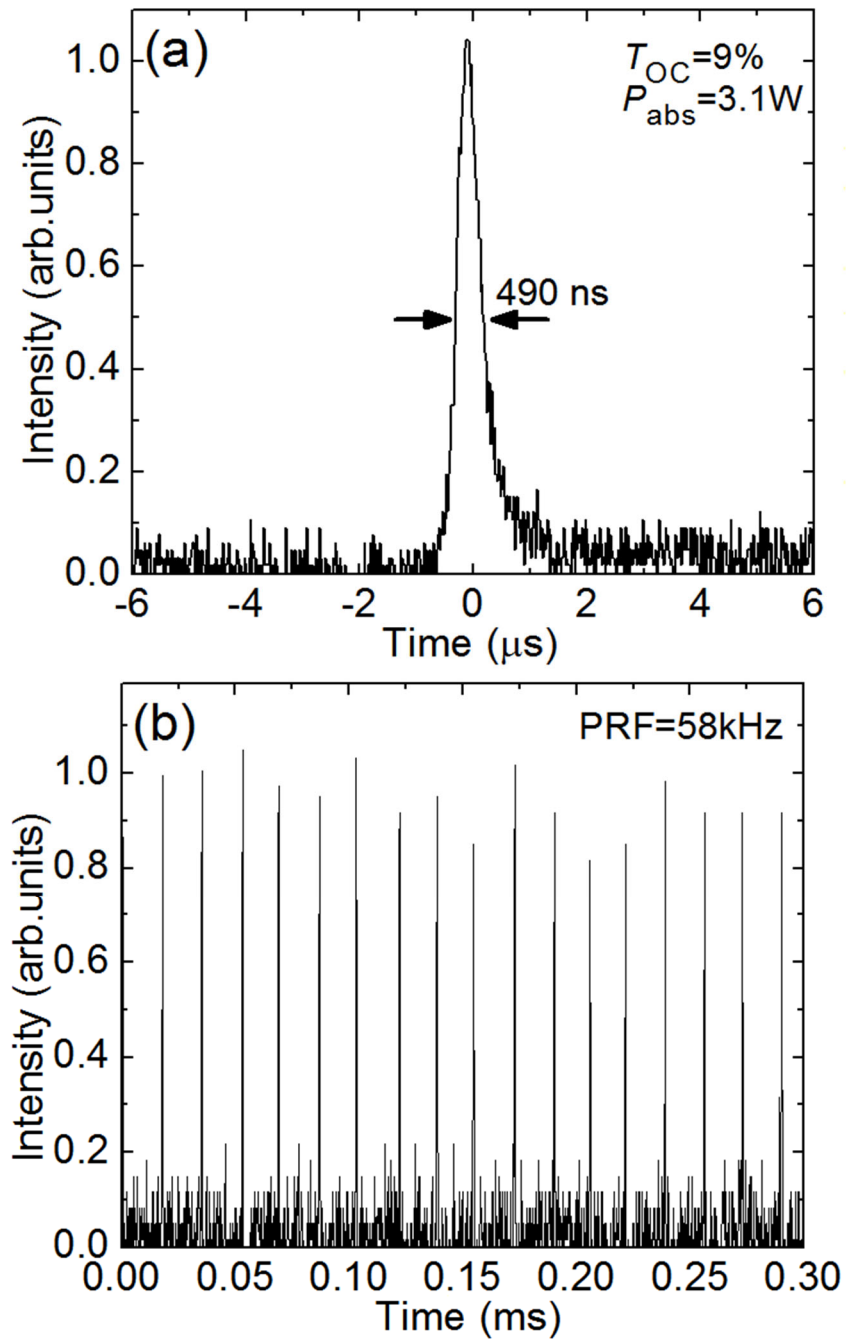
**Fig. 7.** Evaluation of the thermal lensing in diode-pumped  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$ : (a) radius of the laser mode (*circles* – experimental data, *red curve* – calculation based on ray transfer matrix formalism, *inset* – typical 2D profile of the laser mode), measured at 15 cm from the OC,  $T_{\text{OC}} = 9\%$ ; (b) optical power of the thermal lens  $D$ , *red line* – linear fit for the calculation of the thermal lens sensitivity factor ( $M$ ).



**Fig. 8.** SWCNT-SA passively Q-switched  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  laser: (a) pulse duration (FWHM) and pulse repetition frequency (PRF), (b) pulse energy and peak power.  $T_{\text{OC}} = 9\%$ .



**Fig. 9.** Oscilloscope traces of the single Q-switched pulse (a) and the pulse train (b) from the SWCNT-SA passively Q-switched  $\text{Tm}^{3+}:\text{KY}_3\text{F}_{10}$  laser,  $T_{\text{OC}} = 9\%$ ,  $P_{\text{abs}} = 3.1 \text{ W}$ .



**Table 1.** Output characteristics\* of diode-pumped thulium fluoride crystalline lasers reported recently.

Crystal	Doping	$P_{out}$ , W	$P_{th}$ , W	$\eta$ , %	$\lambda_L$ , nm	Polariz.	Ref.
Tm:BaY <sub>2</sub> F <sub>8</sub>	12 at.%	0.29	0.03	61	1978-2030	unpolar.	[9]
Tm:BaYLuF <sub>8</sub>	12 at.%	0.11	0.09	27.7	~1920	linear	[41]
Tm:LiYF <sub>4</sub>	8 at.%	3.10	0.24	72	1904	linear	[8]
Tm:LiLuF <sub>4</sub>	12 at.%	2.65	0.46	52	1916	linear	[8]
Tm:LiGdF <sub>4</sub>	12 at.%	0.21	0.04	53	1990-2018	linear	[42]
Tm:KYF <sub>4</sub>	10 at.%	0.17	0.05	34	2010-2022	linear	[43]
Tm:CaF <sub>2</sub>	1.3 at.%	0.14	0.07	41	1887	unpolar.	[3]
Tm,Y:CaF <sub>2</sub>	4 at.%	1.01	0.25	51.5	1972	unpolar.	[44]
Tm,La:CaF <sub>2</sub>	3 at.%	4.27	0.37	67.8	1921	unpolar.	[45]
Tm:SrF <sub>2</sub>	5.2 at.%	0.53	0.33	43	2025	unpolar.	[5]
Tm:KY <sub>3</sub> F <sub>10</sub>	8 at.%	1.85	0.45	65.2	1891	unpolar.	**

\* $P_{out}$  – output power,  $P_{th}$  – laser threshold,  $\eta$  – slope efficiency,  $\lambda_L$  – laser wavelength.

\*\*This work.

**Table 2.** Output characteristics\* of ~2  $\mu\text{m}$  lasers passively Q-switched by SWCNTs reported so far.

Material	$P_{out}$ , W	$\eta$ , %	$\lambda_L$ , nm	$E_{out}$ , $\mu\text{J}$	$\Delta\tau$ , ns	PRF, kHz	Ref.
Tm:KLu(WO <sub>4</sub> ) <sub>2</sub>	0.99	40	1919	4.0	40	246	[36]
Tm:Lu <sub>3</sub> Al <sub>5</sub> O <sub>12</sub>	1.55	32.4	2022	17.6	405	88.2	[51]
Tm:Sc <sub>2</sub> SiO <sub>5</sub>	0.19	~6	1970	3.4	442	55.6	[52]
Tm:LuScO <sub>3</sub>	0.03	~2	1976	0.95	590	34.7	[53]
Tm:YAlO <sub>3</sub>	0.30	~8	2010	13.6	255	21.8	[54]
Tm:KY <sub>3</sub> F <sub>10</sub>	0.77	34.1	1884	13.2	490	58	**
Tm,Ho:YAlO <sub>3</sub>	0.66	14.7	2131	2.7	135	245	[55]
Ho:Y <sub>3</sub> Al <sub>5</sub> O <sub>12</sub>	0.81	68	2090	4.9	85	165	[56]

\* $P_{out}$  – average output power,  $\eta$  – slope efficiency,  $\lambda_L$  – laser wavelength,  $E_{out}$  – pulse energy,  $\Delta\tau$  – pulse duration, PRF – pulse repetition frequency.

\*\*This work.