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Ephedrine as a lead compound for the development of new DPP-IV inhibitors

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Abstract

Background: Extracts from *Ephedra* species have been reported to be effective as antidiabetics. A previous *in silico* study predicted that ephedrine and five ephedrine derivatives could contribute to the described antidiabetic effect of *Ephedra* extracts by inhibiting dipeptidyl peptidase IV (DPP-IV). Finding selective DPP-IV inhibitors is a current therapeutic strategy for type 2 diabetes mellitus management. Therefore, the main aim of this work is to experimentally determine whether these alkaloids are DPP-IV inhibitors.

Results: Our results show that all six molecules are DPP-IV inhibitors, with IC_{50} ranging from 124 μ M for ephedrine to 28.890 mM for N-methylpseudoephedrine.

Conclusions: Further computational analysis shows how *Ephedra*'s alkaloids could be used as promising lead molecules for designing more potent and selective DPP-IV inhibitors.

Keywords: Ephedra extract; natural compounds; protein-ligand docking

1. Introduction

Nature has been an essential resource in folk medicine for the treatment of a wide variety of diseases. Moreover, in recent decades, medicinal chemists have used the complexity and molecular diversity of natural products to discover and develop new drugs [1,2]. Of all small-molecule approved drugs between 1981 and 2014, 33% are either natural products or have been derived from them [3]. Plants in particular have played an important role in healthcare for different cultures. For instance, about 800 medicinal plants have been reported to show hypoglycemic properties [2,4].

Plants from the *Ephedra* family, whose traditional preparation is called *ma huang*, have been used in Chinese medicine for over 5000 years as a circulatory stimulant, a diaphoretic and an antipyretic remedy [5]. Indeed, *Ephedra* was considered a spiritual drink for rejuvenation, immortality and resurrection in ancient Aryan culture [6]. *Ephedra* species contain alkaloids of biological relevance such as ephedrine, pseudoephedrine, norephedrine, norpseudoephedrine, N-methylephedrine and N-methylpseudoephedrine [7,8]; all which have a similar molecular structure. These alkaloids are adrenergic agonists that stimulate the release of endogenous catecholamines (see Table S1) [9–11]. For this reason, the *Ephedra* herb has been commonly used for increasing blood pressure and stimulating the central nervous system [9,10]. Likewise, it has benefits for the treatment of asthma, coughs, colds and mild forms of bronchospasm [11–13]. Unfortunately, consumption of this plant has been associated with various adverse effects including palpitations, hypertension, insomnia, anxiety and dysuria [10–13]. Because of these adverse cardiovascular effects and the fact that it is a major source of methamphetamine [5,8], *Ephedra* extracts have been banned in food supplements in several European countries [14] and by the American Food & Drug Administration (FDA) [5,10].

Extracts from some *Ephedra* species (i.e., *Ephedra sinica* and *Ephedra alata*) have been also reported to be effective as oral antidiabetics [15,16]. Moreover, it has been shown that they regenerate atrophied pancreatic islets and restore insulin secretion [16]. Interestingly, a recent computational study has predicted that ephedrine and other derivatives also present in different *Ephedra* species can inhibit the dipeptidyl peptidase-IV enzyme (DPP-IV) [17]. DPP-IV is a ubiquitous aminopeptidase that selectively removes N-terminal dipeptides from peptides with proline or alanine in the second position [18,19]. DPP-IV is involved in glycemia, cardiovascular function and inflammation through the proteolytic inactivation of a wide variety of biological regulatory peptides, including incretin hormones [20]. Incretins, secreted as a response to nutrient ingestion, play a key role in the regulation of glucose homeostasis and pancreatic islet functions [21]. Therefore, a good mechanism for treating or preventing type 2 diabetes mellitus (T2DM) could consist of inhibiting DPP-IV and thus increasing the half-lives of incretin hormones [18,21]. Consequently, DPP-IV inhibitors are of considerable interest to the pharmaceutical industry [19,22,23], and intensive research in this area has resulted in the recent launch of several gliptins onto the market for T2DM treatment (see Table S2). Interestingly, DPP-IV inhibitors can also inhibit the apoptosis of β -cells and thus help them to regenerate and differentiate, which would have the same positive effect on islet pancreatic regeneration [24,25] that has also been

described for *Ephedra* extracts [16]. The selectivity of the DPP-IV inhibitors is also important for establishing an optimal safety profile for this antihyperglycemic treatment. Thus, the inhibition of DPP8 and DPP9 by DPP-IV inhibitors has been associated with multi-organ toxicities in preclinical species (e.g. alopecia, thrombocytopenia, reticulocytopenia, enlarged spleen, multi-organ histopathological changes, mortality in rats and gastrointestinal toxicity in dogs) [26].

Therefore, the main goals of the present study are (1) to demonstrate that, at least partly, the described antidiabetic effect of different *Ephedra* species extracts is the result of the DPP-IV inhibitory bioactivity of ephedrine and the ephedrine-derivatives found in these extracts; and (2) to perform a computational study to suggest how one of these alkaloids could be used as lead compound for designing new DPP-IV inhibitors with better potency and selectivity and without the side effects associated to ephedrine and the ephedrine-derivatives.

2. Experimental

2.1. Chemicals and biochemicals

The compounds (1R,2S)-(-)-ephedrine (product number 134910), (1S,2S)-(+)-pseudoephedrine (product number 287636), (1S,2R)-(+)-norephedrine (product number 317500), (1R,2R)-(-)-norpseudoephedrine (product number 670561), (1R,2S)-(-)-N-methylephedrine (product number 235210) and (1S,2S)-(+)-N-methylpseudoephedrine (product number 290041) (purity \geq 98%) were purchased from Sigma Aldrich (St Louis, MO). The DPP-IV Drug Discovery Kit-AK499 was purchased from Enzo Life Sciences International, Inc. (Farmingdale, NY) whereas the fluorogenic DPP8 (catalog number 80208) and DPP9 (catalog number 80209) assay kits were bought from BPS Bioscience, Inc. (San Diego, CA). Vildagliptin (purity \geq 98%) was purchased from Cayman Chemical Company (Ann Arbor, MI).

2.2. Pure compound preparation

The first stock solution for pseudoephedrine and norpseudoephedrine was made with 99.9% ethanol, N-methylephedrine and N-methylpseudoephedrine were prepared with 99.9% methanol and ephedrine and norephedrine were prepared with 1M HCl solution. The rest of the dilution banks were made with 50 mM Trizma hydrochloride at pH 7.4.

2.3. In vitro assay of inhibition of DPP-IV by ephedrine and ephedrine-derivatives

The DPP-IV drug discovery Kit-AK499 was used to measure the DPP-IV inhibitory activity of ephedrine and ephedrine-derivatives. The DPP-IV activity was monitored fluorimetrically by using the fluorogenic substrate (i.e., H-Gly-Pro-AMC) provided by the kit. This fluorimetric assay is based on the cleavage of the 7-amino-4-methylcoumarin moiety (i.e., AMC) from the C-terminus of the peptide substrate, which

1 intensifies its fluorescence. The procedure was performed in a 96-well microplate in 100 μ L of reaction
2 volume. After the addition of 10 μ L of each compound tested to the human recombinant DPP-IV
3 enzyme, the microplate was incubated for 10 minutes at room temperature to allow compound/enzyme
4 interaction. Next, the reaction was started by the addition of 50 μ L (diluted 50-fold from stock) of the
5 fluorimetric substrate H-Gly-Pro-AMC. Finally, sample triplicates were read each minute for 40 minutes
6 in a Biotek FLx800 Fluorescence Microplate Reader at Ex:380nm/Em:460nm. Four different conditions
7 were used: **(1)** the blank (*i.e.*, no DPP-IV present); **(2)** the negative control (*i.e.*, no inhibitor present); **(3)**
8 the positive control (*i.e.*, the P32/98 inhibitor diluted 10-fold from stock provided by the kit); and **(4)** the
9 ephedrine and ephedrine-derivatives at different concentrations (see Figure S1). Data was plotted as
10 time versus Relative Fluorescence Units, and only the slope where the reaction was linear was used to
11 obtain the activity of the enzyme. The remaining enzyme activity for DPP-IV in the presence of each
12 tested compound was calculated as a percentage of activity relative to negative control wells.
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20 2.4. *In vitro* assay of inhibition of DPP8 and DPP9 by ephedrine and ephedrine-derivatives

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23 Similar fluorogenic assays were performed to measure DPP8 and DPP9 activity under the effect of
24 ephedrine and ephedrine-derivatives. The procedure was performed in a 96-well black microplate in
25 100 μ L of reaction volume. Briefly, after the addition of 10 μ L of each compound tested to the human
26 recombinant DPP8 and DPP9 enzyme, the microplate was incubated for 10 minutes at room
27 temperature to allow compound/enzyme interaction. Next, the reaction was started by the addition of 20
28 μ L (diluted 20-fold from stock) of the fluorogenic substrate. Finally, sample triplicates were read in a
29 Biotek FLx800 Fluorescence Microplate Reader at Ex:380nm/Em:460nm. Four different conditions were
30 used: **(1)** the blank (*i.e.*, no DPP8/DPP9 present); **(2)** the negative control (*i.e.*, no inhibitor present); **(3)**
31 the positive control [*i.e.*, vildagliptin (with IC_{50} = 900 and 680 nM for DPP8 and DPP9, respectively) at
32 2.5 nM]; and **(4)** the ephedrine and ephedrine-derivatives at concentrations equal to their IC_{50} for DPP-
33 IV. The remaining enzyme activity for DPP8 or DPP9 in the presence of each tested compound was
34 calculated as a percentage of activity relative to negative control wells.
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43 2.5. *Statistical analysis and IC_{50} calculation*

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45 Statistical calculations were carried out with XLSTAT v2016.7 for Windows. Results were expressed as
46 the mean \pm SD of three replicates. The DPP-IV IC_{50} was obtained through non-linear regression (curve
47 fit) followed by sigmoidal dose-response curve (variable slope). One-Way ANOVA followed by
48 Bonferroni's Multiple Comparison Test were applied to observe significant differences ($p < 0.05$).
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52 2.6. *Target structure setup for protein-ligand docking*

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55 The protein structure used during the protein-ligand docking studies has the Protein Data Bank (PDB)
56 code 1X70. Default options with VHELIBS were used to evaluate the correctness of the fit between the
57 1X70 binding site coordinates and the electron density map [27]. According to the results of this
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analysis, the binding site coordinates for this structure are reliable and, therefore, suitable for use as the target during protein-ligand docking.

In order to set up 1X70 for use as a target during the protein-ligand docking with Glide v6.9 (Schrödinger, LLC, New York, NY, 2015), it was prepared with the *Protein Preparation Wizard* panel (PPW; Protein Preparation Wizard 2015-4, Schrödinger, LLC, New York, NY, 2015) available at the Schrödinger 2015-4 release. During the PPW's pre-process step all options were set to default with the exception of *remove original hydrogens*, *fill in missing side chains* and *cap termini* options that were set to on. During the PPW's *review and modify* step, chain B was removed and states for the 1X70's ligand were generated with default parameters. Then the S2 state for the ligand was chosen because it has a lower state penalty (*i.e.*, 0.83 Kcal/mol) and a higher H-bound count (*i.e.*, 2) compared with those of the original ligand state (*i.e.*, 4.00 Kcal/mol and 1, respectively). Finally, during the PPW's *refine* step: **(a)** hydrogen bond assignment was performed with default options with the *automatically optimize* tool; **(b)** waters with less than 3 hydrogen bonds to non-waters were removed; and **(c)** the resulting structure was submitted to a restrained minimization with default options.

The resulting 1X70 structure was then used to obtain the grid used during the docking of ephedrine and ephedrine-derivatives. The receptor grid was obtained around the 1X70's ligand (*i.e.*, sitagliptin) by using the *Schrödinger's Grid Generation* panel with default options.

2.7. Ephedrine and ephedrine-derivatives setup for protein-ligand docking

In order to obtain their 3D structures, ephedrine and ephedrine-derivatives were downloaded from Reaxys Medicinal Chemistry (<http://www.reaxys.com>). Then, all six molecules were processed with LigPrep v3.6 (Schrödinger, LLC, New York, NY, 2015) as follow: **(a)** the force field OPLS 2005 was used; **(b)** all possible ionization and tautomerization states at pH 7.0 ± 2.0 were generated with Epik; **(c)** the desalt option was activated; **(d)** chiralities were respected from input geometry when generating stereoisomers; and **(e)** one low-energy ring conformation per ligand was generated.

2.8. Structure-based pharmacophore generation for DPP-IV Inhibition

The structure-based pharmacophore used in the present study (see Figure S2A) is a modified version of a previous one developed by our group (see Figure S2B) [28]. Thus, Figure S2 shows that: **(a)** both pharmacophores share two compulsory sites (*i.e.*, **P/D** and **H/R1**) showing interactions with the Glu205/Glu206 dyad (*i.e.*, **P/D**) and with the S1 pocket (*i.e.*, **H/R1**); **(b)** the optional sites **H/R2** and **H/R4** are related with sites **R2** and **P/R3** in the new pharmacophore, respectively; and **(c)** the rest of the sites in the old pharmacophore are not shared either because of its preference to form covalent bonds (*i.e.*, **H/R3**) or because of their low energy contribution to the protein-ligand interaction energy (*i.e.*, **A1** and **A2**). The tolerances for the different sites were also readjusted using as reference more co-crystallized DPP-IV inhibitor complexes than in the original pharmacophore. The associated tolerances were thus

2.3Å for **P/D** (instead of 1.8Å), 2.0Å for **H/R1** (like in the original one), 2.5Å for **R2** (instead of 3.3Å for **H/R2**) and 1.8Å for **P/R3** (instead of 2.0Å for **H/R4**).

2.9. Protein-ligand docking and structure-based pharmacophore screening

Docking studies for the six assayed alkaloids were carried out with Glide v6.9 as follows: **(a)** the extra precision mode (*i.e.*, GlideXP) was used; **(b)** the aromatic hydrogens were considered as donors; **(c)** the maximum number of poses per ligand was increased to 32; and **(d)** the number of poses per ligand to include in the post-docking minimization was increased to 320.

Next, the docked poses of ephedrine and ephedrine-derivatives were filtered through the structure-based pharmacophore by using Phase v4.5 (Schrödinger, LLC, New York, NY, 2015) with the *score in place* option set to on (*i.e.*, no re-orientation of the docked poses was allowed during the search). Only those docked poses that matched the two compulsory sites of the structure-based pharmacophore were kept.

2.10. Lead-optimization from the (1S,2R)-(+)-norephedrine

Lead optimization was performed with CombiGlide v3.9 (Schrödinger, LLC, New York, NY, 2015) by using the *Virtual Combinatorial Screening* workflow. Initially, the phenyl group of the lowest-energy docked pose for norephedrine was replaced by a naphthyl substituent and the resulting pose for the naphthyl-containing derivative (*i.e.*, norephedrine-naph-dev) was refined with GlideXP. Then, the substituents were obtained from the Schrödinger CombiGlide Diverse Side-chain Collection v1.2 (<https://www.schrodinger.com/combiglide>) (which contains all reasonable ionization and tautomeric states for a collection of 817 representative functional groups commonly found in pharmaceuticals, with linkers of variable lengths).

After defining the point where the substituents were attached, we established the following parameters for a single-position docking run: **(a)** the receptor grid was the same as during the previous protein-ligand docking step; **(b)** the *apply core constraints* option was used within a maximum RMSD of 1.0Å; **(c)** the *Fully enumerated* option was selected; and **(d)** the *CombiGlide XP docking* mode was used. After this process, the resulting norephedrine-naph-dev derivatives were filtered according to their ADME properties using *Druglike* filter set. Only two violations of the following criteria were allowed for these derivatives to be considered: **(a)** molecular weight less than 500 Da; **(b)** maximum 5 hydrogen bond donors; **(c)** maximum 10 hydrogen bond acceptors; **(d)** predicted octanol/water partition coefficient (*i.e.*, logP) less than 5; **(e)** 10 or less rotatable bonds; and **(f)** 150 Å² or less Van der Waals surface area. Then, the resulting derivatives were filtered again with the same structure-based pharmacophore and conditions as above but with the exception that a third compulsory site was now required (*i.e.*, **R2**). Finally, the resulting derivatives were refined with GlideXP by using default parameters. The same process was followed again with the aim of further optimizing the derivatives

(this time, by using two different norephedrine-naph-dev derivatives as the core-containing molecules where two significantly different substituents were included during the first optimization step). All previous steps for CombiGlide were performed following the same parameters and selection criteria as the first optimization step with the exception of: (a) the structure-based pharmacophore, in which a fourth compulsory site (*i.e.*, P/R3) was now required; and (b) the inclusion of a prediction of the toxicity or reactivity of the resulting derivatives. Thus, the number of reactive functional groups which can lead to toxicity or reactivity was restricted to the 0-2 range by measuring the #rtvFG property with QikProp v4.6 (Schrödinger, LLC, New York, NY, 2015).

3. Results and Discussion

3.1. DPP-IV inhibitory bioactivity for selected compounds

Previous *in silico* work by our research group allowed us to predict which molecules in natural extracts with a known antidiabetic effect were DPP-IV inhibitors [17]. Two of these molecules, ephedrine and pseudoephedrine, have been isolated from two *Ephedra* species (*i.e.*, *Ephedra distachya* and *Ephedra alata*) with described antidiabetic bioactivity [15,16]. Another four ephedrine alkaloids (*i.e.*, norephedrine, norpseudoephedrine, N-methylephedrine and N-methylpseudoephedrine) were also predicted to be DPP-IV inhibitors [17] and, although no antidiabetic activity had been reported before for the natural sources from which they were isolated, they have been identified in species that share the same genus as plants with known antidiabetic properties [8]. For this reason, the six molecules were experimentally assayed to determine their bioactivity on DPP-IV and the results are shown in Figure 1 and Figure S1.

The bioactivity assay shows that all six molecules are able to inhibit DPP-IV at relatively high concentrations (*i.e.*, IC₅₀ values in the 124 μM to 28.890 mM range) with a dose-response behavior (see Figure S1). Therefore, all six molecules are significantly less active as DPP-IV inhibitors than commercially available ones (*e.g.*, the IC₅₀ for vildagliptin, sitagliptin, alogliptin and saxagliptin is in the 3.5 to 18 nM range). Unfortunately, these six alkaloids also inhibit DPP8 and DPP9 (see Table 1) at a concentration equivalent to its IC₅₀ for DPP-IV. This absence of selectivity could be because of the similar pattern of intermolecular interactions shared by these six compounds with conserved residues in all the three enzymes (*e.g.*, the Glu205/Glu206 dyad [29] and the hydrophobic residues of the S1 pocket [30]; see Figure 2). These compounds are therefore expected to present the secondary effects of the DPP8 and DPP9 inhibitors [26].

3.2. Structure-activity relationship as DPP-IV inhibitors

Figure 2 shows protein-ligand docked poses for the six assayed alkaloids and suggests how they bind to the binding site. Overall, due to the low molecular weight of the tested alkaloids (at the 151-179 Da range), only a reduced number of interactions with the DPP-IV binding site are exhibited. These are (1)

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either one or two salt bridges (depending on the alkaloid) between the ligand amino group and the Glu205/Glu206 side chains (*i.e.*, **P/D** site of the pharmacophore; see Figure S2A); **(2)** a hydrophobic interaction between the phenyl ring and the S1 pocket (*i.e.*, **H/R1** site of the pharmacophore; see Figure S2A); and **(3)** hydrogen bonds with either Glu205, Tyr662 or Asn710.

The Glu dyad has been highly conserved throughout the DPP-IV protein family for different species [29,31] and the interactions with these two residues have been shown to be essential for DPP-IV inhibition by single amino acid point mutations [29]. Moreover, their relevance has been demonstrated experimentally in different structure-activity relationship (SAR) studies that show how the bioactivity of DPP-IV inhibitors is strongly influenced by: **(a)** their different capacity to form salt bridges and/or hydrogen bonds with the N-terminal recognition region (which includes the Glu dyad and Tyr662); and **(b)** the electrostatic surfaces they create in this area. For instance, SAR studies have shown that a replacement of a hydroxyl group in compound **5a** by a positively charged primary amine in compound **6a** leads to a 6-fold increase in the bioactivity (*i.e.*, from $IC_{50} > 100 \mu\text{M}$ to $IC_{50} = 16 \mu\text{M}$; see Figure 3A) [32] because it allows the formation of intermolecular salt bridges with Glu205/Glu206. Also, if the configuration of the carbon containing the positively charged amino group in sitagliptin is switched from **R** to **S**, there is a 24-fold decrease in the bioactivity of the resulting enantiomer (*i.e.*, from $IC_{50} = 18 \text{ nM}$ to $IC_{50} = 440 \text{ nM}$; see Figure 3B) due to a reduced number of interactions with the N-terminal recognition region [33] [a similar effect has been shown for compounds **18** and **19** in relation to their corresponding stereoisomers (*i.e.*, compounds **21** and **20**; see Figures 3C and 3D) with a 10,000-fold and 15-fold decrease in bioactivity, respectively] [34].

The S1 pocket is formed by the side chains of residues Tyr631, Val656, Trp659, Tyr662, Tyr666 and Val711 [18,28] and is a rigid cavity that is also highly conserved among the DPP-IV gene family [30]. SAR studies indicate that an aromatic ring is commonly used by non-peptidomimetic inhibitors to fill the S1 pocket optimally [19,35]. For instance, the replacement of an *n*-butyl group (compound **89/7**) [36,37] by either a phenyl substituent (compound **13** [37]) or a 3-methylphenyl substituent (compound **90** [36]) increases the bioactivity (from $IC_{50} = 520 \text{ nM}$ to $IC_{50} = 200 \text{ nM}$ for compound **13** and to $IC_{50} = 4.6 \text{ nM}$ for compound **90**; see Figure 3E).

Therefore, the docking poses of ephedrine and ephedrine derivatives highlight the importance of these two recognition motifs, namely salt bridge and/or hydrogen bond interactions with the N-terminal recognition region and hydrophobic interactions with the S1 pocket. The fact that the IC_{50} values for all six molecules were in the $\mu\text{M}/\text{mM}$ range is also consistent with previous findings that associated these interactions with basal levels of DPP-IV inhibition [38,39]. In this regard, three co-crystallized inhibitors [*i.e.*, valine-pyrrolidide (PDB code 1N1M), 1-methylamine-1-benzyl-cyclopentane (PDB code 2BUA) and 1-biphenyl-2-ylmethanamine (PDB code 3CCB) show that small molecules (170.25 Da, 175.27 Da and 183.25 Da, respectively) are able to inhibit DPP-IV, albeit with a low micromolar affinity ($K_i = 2 \mu\text{M}$, $IC_{50} = 33 \mu\text{M}$, and $IC_{50} = 30 \mu\text{M}$, respectively), by accomplishing interactions with only these two recognition motifs. This is also supported by virtual screening studies with fragments [38] and low molecular

weight structures [39]• that found micromolar bioactivity for chemical starting points able to simultaneously bind to the S1 pocket and to the N-terminal recognition region.

A comparison of the bioactivities for the six alkaloids shows that there is a large loss of bioactivity for N-methylephedrine and N-methylpseudoephedrine relative to the other ephedrine derivatives (see Figure 1). This difference could be explained by the fact that the positively charged amine group is not able to make as many salt bridges with the Glu dyad. Thus, in the case of the N-methylephedrine and N-methylpseudoephedrine pair, the amino group corresponds to a tertiary amine (see Figures 1E and 1F), while for the norephedrine/norpseudoephedrine and for the ephedrine/pseudoephedrine pairs, a primary and a secondary amine are placed, respectively (see Figures 1A, 1B, 1C and 1D). The introduction of a primary amino group can have an impact on potency [22,40,41]• because it can contribute with three instead of one or two interactions. For instance, substituting the piperazine ring in compound **1** ($IC_{50} = 3900$ nM) with a 3-aminopiperidine in compound **2** ($IC_{50} = 82$ nM) leads to a 48-fold gain in bioactivity (see Figure 3F) [42]• . Unfortunately, the docked poses for the six compounds do not allow us to explain their bioactivity differences. For instance, although the bioactivity of ephedrine and norephedrine are higher than their corresponding pseudo partners, their docked poses show no differences in terms of their intermolecular interactions with the DPP-IV binding site. Likewise, the intermolecular interactions of the docked poses for norephedrine and N-methylephedrine are not sufficiently different (see Figures 1C and 1E) to explain the differences in bioactivity between the two compounds.

3.3. Using norephedrine as a lead compound for *computationally* designing new DPP-IV inhibitors

Our results show that ephedrine derivatives have a basal DPP-IV inhibitory activity and, consequently, that these natural products are interesting to medicinal chemistry as promising lead compounds for designing potent and selective DPP-IV inhibitors. In our case, we have selected norephedrine for performing such computational drug design. Moreover, it should be necessary to consider how to decrease the cardiovascular side effects associated with the activation of adrenergic receptors by the resulting norephedrine derivatives. In that sense, the first aim was to develop an antagonist (*i.e.*, a β -blocker) via appropriate chemical modifications of norephedrine. It has been reported that adrenergic receptor agonists promote conformational changes at the binding site of the β_1 and β_2 adrenergic receptors by interacting with two Ser residues. In contrast, β -blockers do not make polar interactions with both Ser residues and, therefore, do not promote the above mentioned conformational changes [43]• . Consequently, the reduction of polar interaction with both Ser residues and, instead, the promotion of hydrophobic interactions with them has been suggested to stimulate antagonist binding [43]• . For instance, the replacement of the catechol ring of isoprenaline by a phenyl ring at 2-(isopropylamino)-1-phenylethanol reduces the agonist activity whereas the replacement by a naphthalene ring yield to pronethalol, the first clinical candidate as β -blocker (see Figure S3). Therefore, following this schema, the first step on using norephedrine as a lead molecule for the design of new DPP-IV inhibitors was the substitution of its phenyl by a naphthyl ring (see Figure 4A). This is

1 not only expected to avoid the agonistic behavior of further norephedrine derivatives but also to
2 promote antagonistic effects and increase the occupancy of the S1 pocket (which is expected to
3 increase the bioactivity of the resulting derivatives as DPP-IV inhibitors [36]).
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7 With the aim of improving the binding affinity and the selectivity for DPP-IV, we have used as the
8 starting point for lead optimization the lowest-energy docked pose of norephedrine-naph-dev (with a
9 GlideXP GScore of -9.061 kcal/mol; see Figure 4A). In this sense, the optimization process has been
10 designed with the goal of building norephedrine-naph-dev derivatives that reach the S2 extensive
11 subsite of DPP-IV (i.e., the R2 site of the pharmacophore) and interact with Tyr547 of DPP-IV (i.e., the
12 P/R3 site of the pharmacophore).
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17 The reason for this selection is that π - π stacking intermolecular interaction between the ligand and
18 Phe357 (one of the residues of the S2 extensive subsite) has recently been demonstrated to increase
19 bioactivity and selectivity in DPP-IV inhibitors [22,44]. For instance, compounds **25**, **31** and **42** (co-
20 crystallized with DPP-IV at the PDB structures encoded as 2I78, 2OQI and 2OQV; respectively) were
21 developed in a lead optimization process from a low MW compound (i.e., **6** with 207.70 Da; see Figure
22 3G) [45]. Thus, the corresponding SAR studies show how compound **6** ($K_i = 0.82 \mu\text{M}$) can be modified
23 to become compounds **25**, **31** and **42** for reaching the S2 extensive subsite by establishing either
24 hydrophobic or π - π interactions that lower K_i to the 1.3-4.0 nM range for DPP-IV. Another example is
25 compound **22e** [46], whose π - π interaction between its indolanyl moiety and Phe357 improves 116-
26 fold its bioactivity relative to compound **10** (which has the same structure as **22e** with the exception that
27 in **10**, no substituent fills the S2 extensive subsite because **10** lacks a substituent equivalent to the
28 indolanyl moiety in **22e**; see Figure 3H) [46]. Moreover, as previously mentioned, the S2 extensive
29 subsite has been described as governing the selectivity for DPP-IV over DPP8 and DPP9 [22,23]. For
30 these reasons, a point of attachment was placed on the methyl group of the lead (see the R label at
31 Figure 4A) with the aim of establishing π - π intermolecular stacking with Phe357. Therefore, only
32 derivatives that met all the following criteria were selected: (a) reaching the R2 site at the
33 pharmacophore; (b) making the π - π interaction with Phe357; and (c) being drug-like with proper ADME
34 properties. The resulting derivatives were then visually inspected and only those with significantly
35 different substituents (i.e., norephedrine-naph-dev-199 and norephedrine-naph-dev-443) were
36 considered for further optimization (see Figures 4B and 4C).
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48 Next, a second optimization step was performed using norephedrine-naph-dev-199 and norephedrine-
49 naph-dev-443 (see Figures 4B and 4C) with the aim of establishing either additional π - π stacking or
50 cation- π interactions with Tyr547 (a residue that plays a major role stabilizing the oxyanion intermediate
51 and is essential for the cleavage of the prolyl peptide bond of the substrate) [47,48]. This step was
52 taken because interactions with Tyr547 have been described as improving the potency of DPP-IV
53 inhibitors [22] and as possibly increasing the ligand's selectivity [49]. For instance, the uracil ring of
54 alogliptin and trelagliptin and the xanthine ring of linagliptin are able to form π - π stacking interactions
55 with Tyr547 to contribute to the inhibitory potency of these drugs (i.e., 9 nM, 4.2 nM and 1 nM,
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1 respectively). In order to achieve the intermolecular interaction with Tyr547, another attachment point
2 was then defined for each lead (see the R1 and R2 label at Figures 4B and 4C). Finally, only derivatives
3 that met all the following criteria were considered: (a) reaching the R3 site of the pharmacophore; (b)
4 making π - π or cation- π interactions with Tyr547; (c) being drug-like with proper ADME/Tox properties;
5 and (d) having a better XP GScore than the lead compounds. The derivatives that met all these criteria
6 are shown in Tables 2 and 3 and the docked pose for the best derivative at each attachment point is
7 shown in Figure 5.
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12 Remarkably, the XP GScores for these norephedrine-derivatives increased to -12.520 kcal/mol, which is
13 even higher than the XP GScore obtained for crystallized gliptins such as alogliptin, sitagliptin,
14 linagliptin and anagliptin (from -8.096 to -10.833 kcal/mol; see Table S2). The norephedrine-derivatives
15 maintain the most important protein-ligand interactions found in the norephedrine core for a basal
16 inhibitory activity of DPP-IV. Additionally, all of them are able to reproduce most of the important
17 intermolecular interactions described in previous SAR studies (*i.e.*, S2 extensive subsite and Tyr547) for
18 increasing binding affinity and selectivity for DPP-IV and they are therefore likely to exhibit nanomolar
19 activity as inhibitors.
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26 4. Conclusion

27 The World Health Organization and the International Diabetes Federation have reported that between
28 340 and 536 million people worldwide currently have diabetes and it is forecast that diabetes deaths will
29 double between 2005 and 2030, thus making it the 7th leading cause of death by 2030. Moreover, the
30 use of several natural products (with different *Ephedra* herbs among them) has been reported as useful
31 for reducing and preventing hyperglycemia [1,2,4]. The results of the present study experimentally
32 demonstrated that among the antidiabetic properties previously described for *Ephedra* species [15,16]
33 at least one of the mechanisms is the inhibition of DPP-IV by some of the alkaloids found in their
34 extracts. In this regard, it has been suggested that ephedrine and pseudoephedrine account for nearly
35 99% of total alkaloids in some *Ephedra* species [8]. Unfortunately, several adverse effects have been
36 described for ephedrine and ephedrine-derivatives and, as a consequence, their use has been severely
37 restricted as food supplements by the EFSA and the FDA [14]. Nevertheless, we have shown here
38 how their basal activity as DPP-IV inhibitors could be taken advantage of to design derivatives that,
39 according to well-established computational approaches and SAR studies, would have proper
40 ADME/Tox properties, improved potency and selectivity as antidiabetics and with low agonist activity for
41 adrenergic receptors.
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52 5. Future perspective

53 Recent clinical trials reported a possible cardioprotective effect of the DPP-IV inhibitors beyond the
54 glycemic control. However, the molecular mechanism whereby these inhibitors improves cardiovascular
55 metabolism remains unclear and further studies are needed to determine the underlying benefits. Our
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1 study reports a link between DPP-IV and β -adrenergic receptors throughout ephedrine and ephedrine-
2 derivatives that bind to both kind of targets and, therefore, this opens the door to design potent and
3 selective DPP-IV inhibitors that have also β -blocker activity. At this point, it is worth to remind that this is
4 not the first time that this is suggested. Thus, Li & Yang patented a series of DPP-IV inhibitors that were
5 claimed by the authors to show also bioactivity as β -blockers [50]. Unfortunately, Li & Yang do not
6 explain how they find the connection between DPP-IV and β -adrenergic receptors but described the
7 molecules they patented as useful in the treatment of neurological disorders, diabetes, inflammatory
8 disorders such as arthritis, obesity, osteoporosis, hypertension and glaucoma. Therefore, the alkaloids
9 of *Ephedra* are promising lead structures to develop new antidiabetic drugs with additional
10 cardiovascular benefits as β -blockers.
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18 Finally, preliminary studies in our lab have found that some of the β -blockers approved by the health
19 agencies show bioactivity as DPP-IV inhibitors and we are also comparing the binding sites of both
20 targets type in order to understand how: **(a)** this dual bioactivity is achieved; and **(b)** to optimize the
21 structure of lead molecules to simultaneously obtain high bioactivities for a single molecule. In our
22 opinion, the results of this research will have a great impact on the treatment of the metabolic
23 syndrome.
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29 **6. Executive summary**

- 31 • *Ephedra* alkaloids bioactivities are evaluated *in vitro* due to their predicted antidiabetic
32 properties as DPP-IV inhibitors.
- 33 • These compounds are experimentally confirmed to be DPP-IV inhibitors, exhibiting inhibitory
34 activities ranged from 124 μ M to 28.890 mM.
- 35 • The intermolecular interactions with DPP-IV are described by protein-ligand docking.
- 36 • These alkaloids are able to interact with the N-terminal recognition motif and the S1 pocket,
37 both considered to be the most important anchor points for DPP-IV inhibitor recognition.
- 38 • Norephedrine is used as a lead compound to computationally suggest how to design new
39 potent and selective DPP-IV inhibitors with low agonist activity for adrenergic receptors.
- 40 • A link between DPP-IV and β -adrenergic receptors throughout *Ephedra* alkaloids has been
41 established and, therefore, this opens the door to design potent and selective DPP-IV inhibitors
42 with β -blocker activity.
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1 **Figure 1.** Percentage of DPP-IV activity relative to the concentration (in M) for each assayed
2 compound. DPP-IV inhibitory dose-response curve was obtained via a competitive binding assay. The
3 data represent the mean \pm SD of an experiment performed in triplicate. A non-linear regression (curve
4 fit) followed by sigmoidal dose-response curve (variable slope) was made to find the IC_{50} . Each panel
5 includes also the 2D structure of the ionization state of the corresponding molecule at pH 7.
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10 **Figure 2.** The best docked poses (with the corresponding XP GScore) for the six studied *Ephedra*
11 alkaloids at the DPP-IV binding site. Blue dashed lines show π - π stacking intermolecular interactions
12 whereas the red ones show either salt bridges (between the positively charged amine and the Glu
13 dyad) or hydrogen bonds. All panels have the same orientation to allow easy comparison between
14 them.
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18 **Figure 3.** Chemical structures from SAR studies that are discussed in this paper. Compounds in the
19 same panel show important changes in their DPP-IV bioactivity as a consequence of localized changes
20 in their structures (highlighted with a red line surrounding them). Compounds in each panel are
21 classified by increasing bioactivity from left to right.
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26 **Figure 4.** Lead optimization of norephedrine-naph-dev that aims to obtain derivatives with improved
27 potency and selectivity for DPP-IV. Firstly, a substituent was attached to the methyl substituent (see
28 Figure 4A) in order to reach the S2 extensive subsite (*i.e.*, the R2 site at the pharmacophore) and allow
29 a π - π stacking interaction with Phe357. As a result of the first optimization and the application of the
30 corresponding selection criteria, two derivatives (*i.e.*, norephedrine-naph-dev-199 and norephedrine-
31 naph-dev-443) were selected for further optimization (see Figures 4B and 4C, respectively).
32 Furthermore, another point for the attachment of new substituents was also selected on each derivative
33 in order to allow either cation- π or π - π stacking interactions with Tyr547 (reaching the P/R3 site of the
34 pharmacophore). Names for the derivatives obtained after this second optimization step are classified
35 according to the attachment position and sorted by their XP GScore (see also their 2D structure and XP
36 GScore at Tables 2 and 3).
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44 **Figure 5.** Lowest-energy docked poses of the derivatives obtained after second optimization step. The
45 coordinates for DPP-IV that were used during the docking correspond to the PDB file with code 1X70.
46 Blue dashed lines show either π -cation or π - π stacking intermolecular interactions whereas the red
47 ones show either salt bridges (between the positively charged amine and the Glu dyad) or hydrogen
48 bonds. All panels have the same orientation to allow easy comparison between them.
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Table 1. Bioactivity data of the *Ephedra* alkaloids on DPP-IV, DPP8 and DPP9.

IC₅₀ has been calculated for DPP-IV, whereas for DPP8 and DPP9 the value corresponds to the percentage of inhibition using a concentration of each compound equivalent to its IC₅₀ for DPP-IV.

Table 2. Best norephedrine-naph-dev-199 derivatives obtained in the second optimization step.

Molecules are sorted according to XP GScore. The name for each derivative was built by adding the code of the attached fragment (according to the CombiGlide Diverse Side-chain Collection) to the lead name.

Table 3. Best norephedrine-naph-dev-443 derivatives obtained in the second optimization step.

Molecules are sorted according to XP GScore. The name for each derivative was built by adding the code of the attached fragment (according to the CombiGlide Diverse Side-chain Collection) to the lead name.

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*** Experimental design showing that Ephedra extract promoted the regeneration of pancreas islets and restore the secretion of insulin.**

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**** The first time that some molecules are described, simultaneously, as DPP-IV inhibitors and β -blockers.**

Figure 1

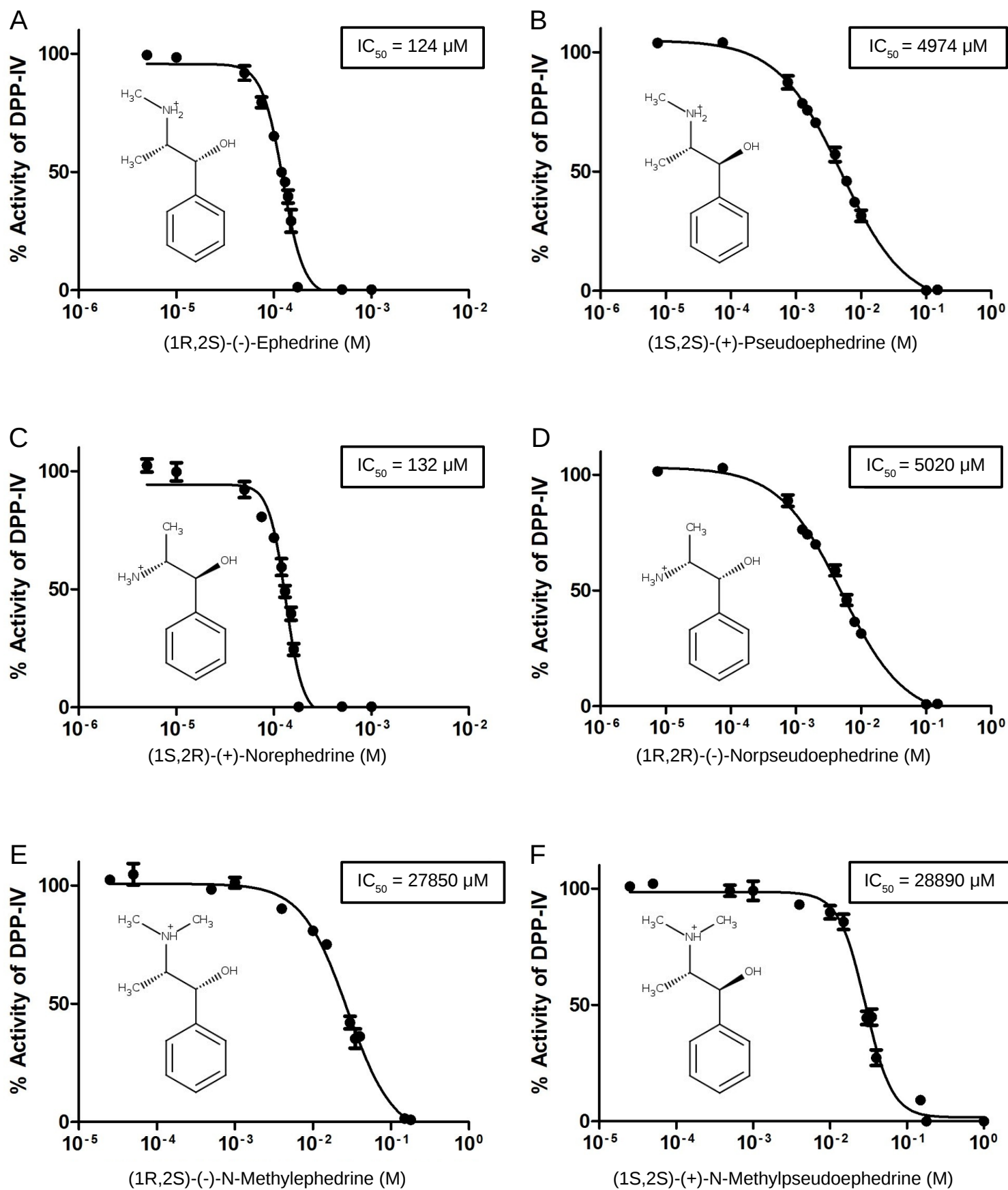


Figure 2

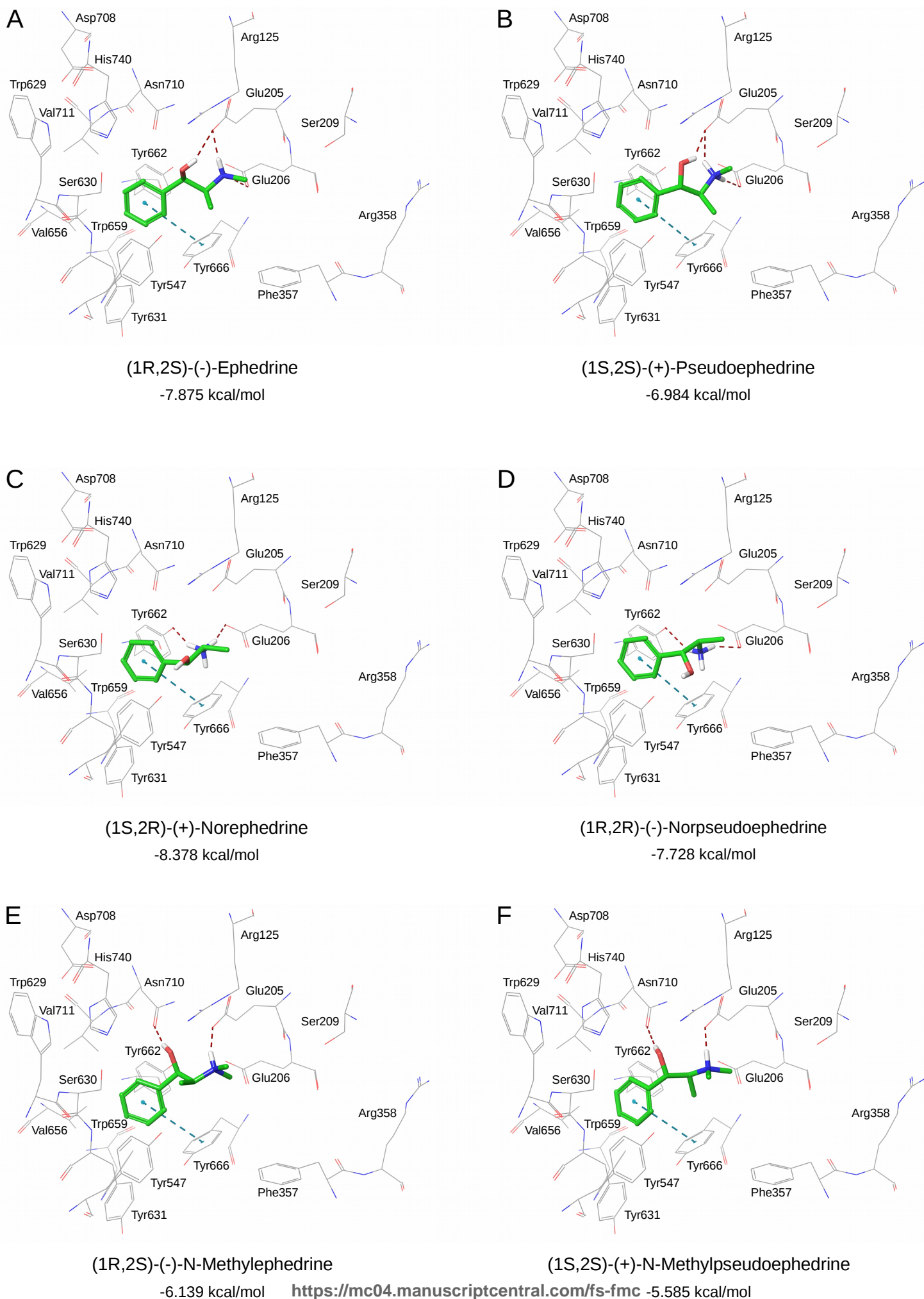
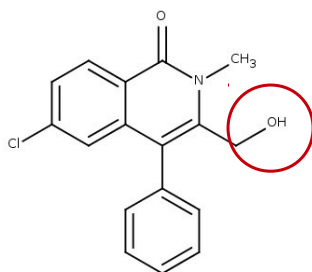
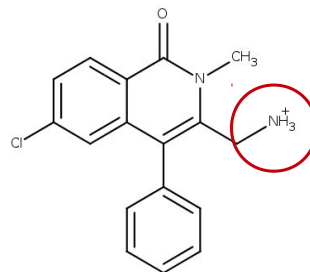


Figure 3

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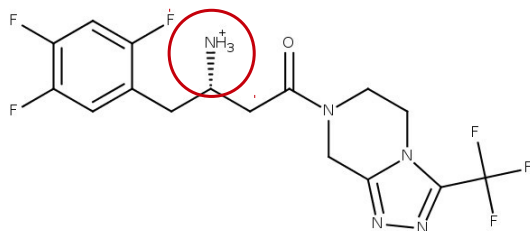


5a [32]
 $IC_{50} > 100 \mu M$

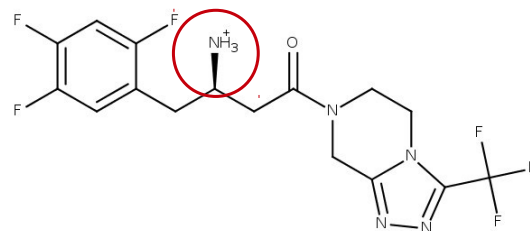


6a [32]
 $IC_{50} = 16 \mu M$

B

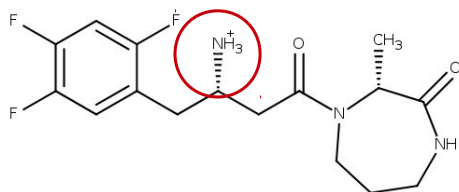


(S)-Sitagliptin [33]
 $IC_{50} = 440 \text{ nM}$

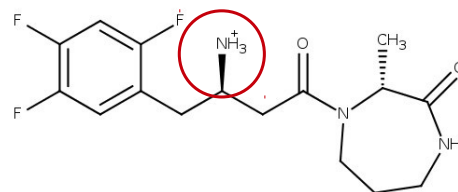


(R)-Sitagliptin [33]
 $IC_{50} = 18 \text{ nM}$

C

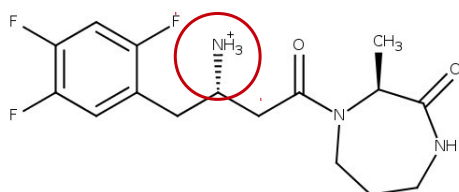


21 [34]
 $IC_{50} = 67000 \text{ nM}$

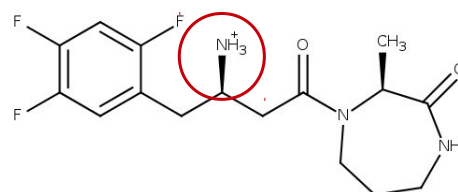


18 [34]
 $IC_{50} = 6.6 \text{ nM}$

D

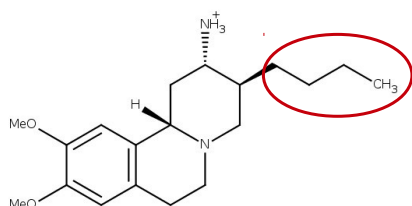


20 [34]
 $IC_{50} = 2300 \text{ nM}$

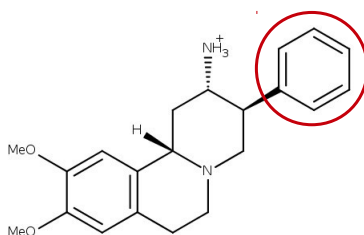


19 [34]
 $IC_{50} = 150 \text{ nM}$

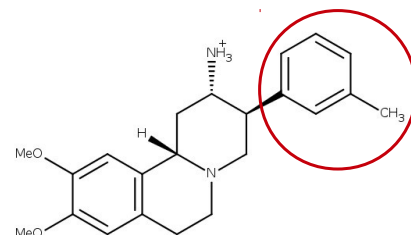
E



89/7 [36,37]
 $IC_{50} = 520 \text{ nM}$

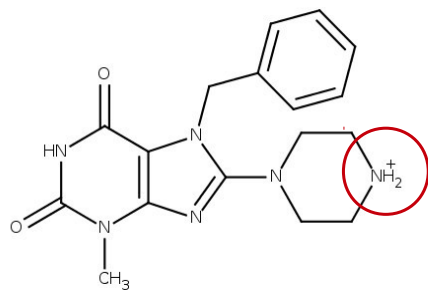


13 [37]
 $IC_{50} = 200 \text{ nM}$

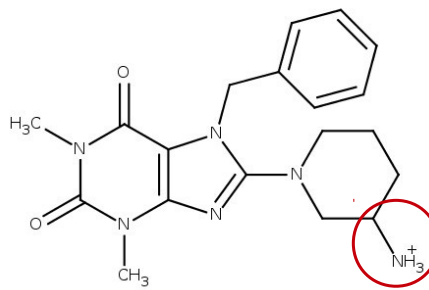


90 [36]
 $IC_{50} = 4.6 \text{ nM}$

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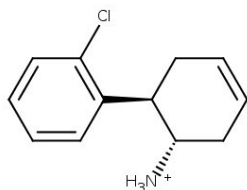


1 [42]
IC₅₀ = 3900 nM

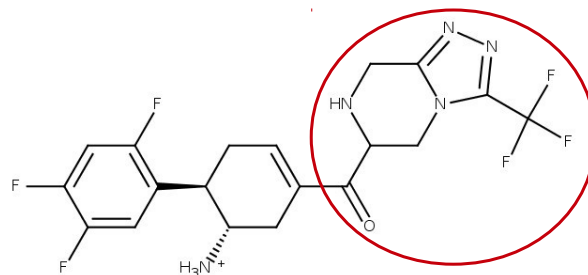


2 [42]
IC₅₀ = 82 nM

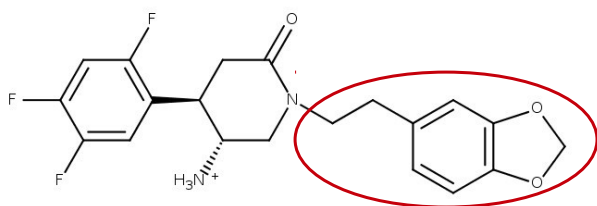
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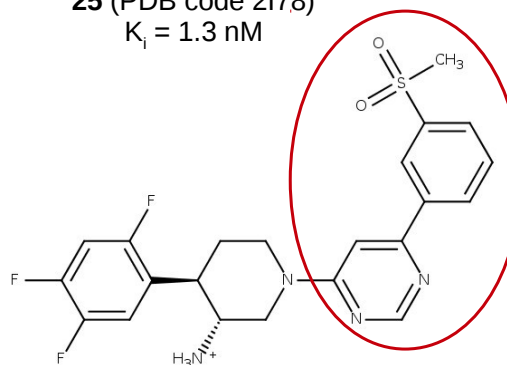
6 [45]
K_i = 0.82 μM



25 (PDB code 2I78)
K_i = 1.3 nM

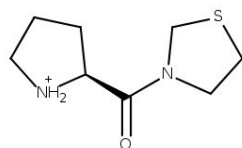


31 (PDB code 2OQI)
K_i = 3.2 nM

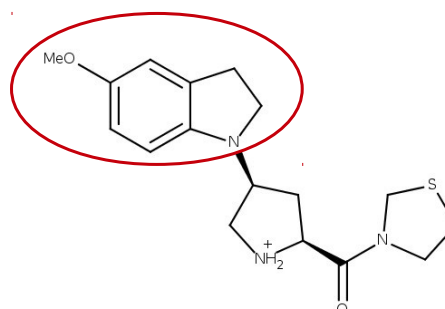


42 (PDB code 2OQV)
K_i = 4.0 nM

H

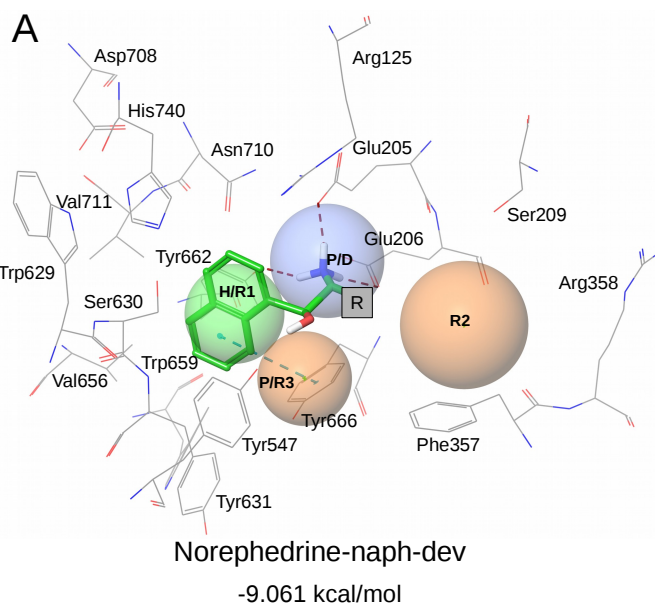


10 [46]
IC₅₀ = 538 nM



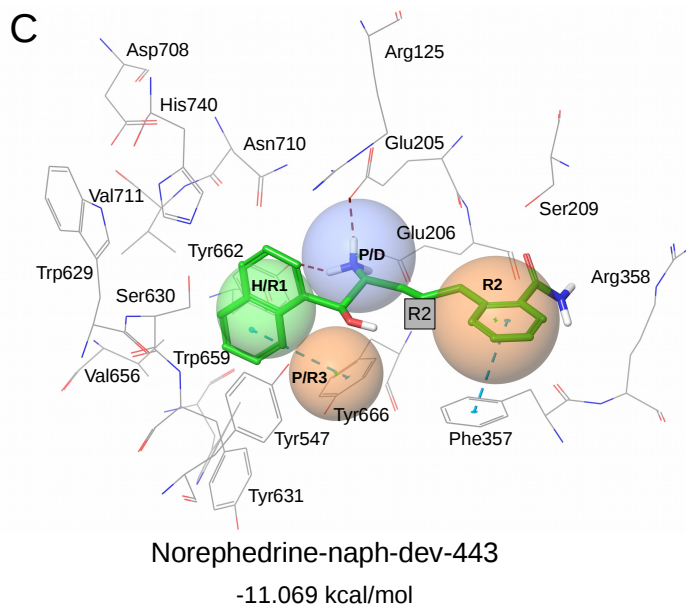
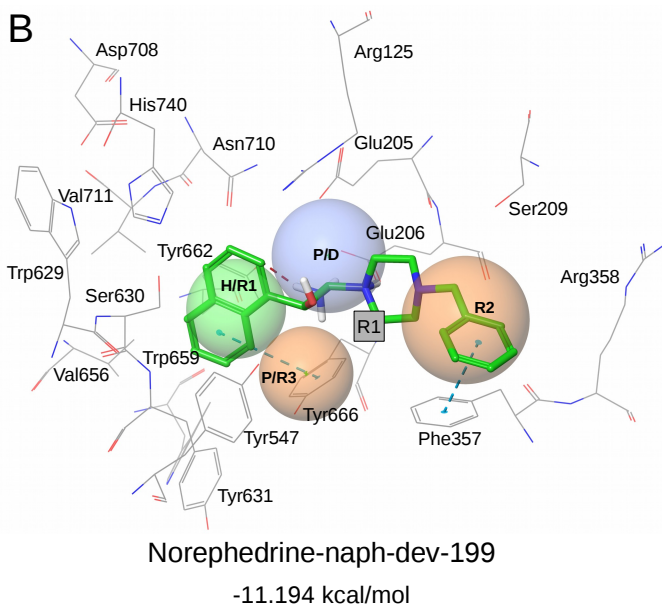
22e [46]
IC₅₀ = 4.8 nM

Figure 4

**FIRST OPTIMIZATION STEP**

Only candidates that simultaneously:

- accomplish the **R2** site of the pharmacophore
 - make π - π interaction with Phe357
 - are drug-like with proper ADME properties
 - include significantly different substituents
- are further optimized

**SECOND OPTIMIZATION STEP**

Only candidates that simultaneously:

- accomplish the **P/R3** site of the pharmacophore
- make π - π or cation- π interaction with Tyr547
- are drug-like with proper ADME/Tox properties
- have a XP GScore more negative than the leads

Norephedrine-naph-dev-199 derivatives

R1

199-279
199-701
199-303
199-93

Norephedrine-naph-dev-443 derivatives

R2

443-182
443-177

Figure 5

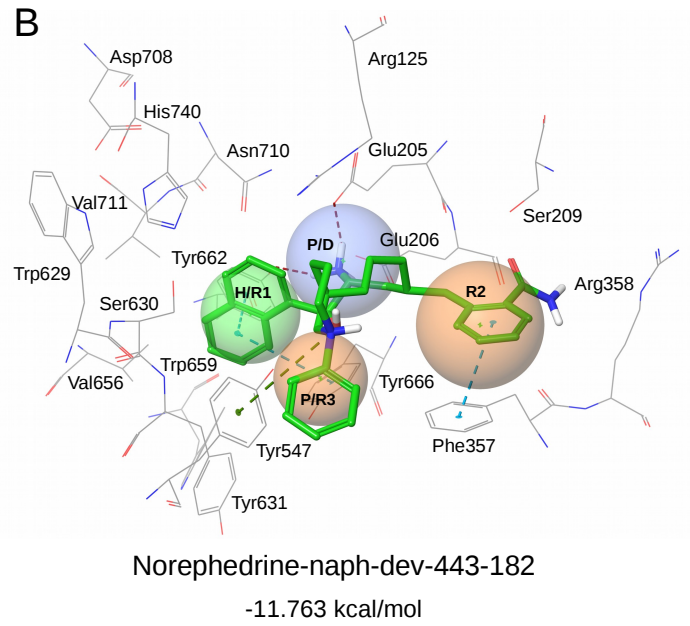
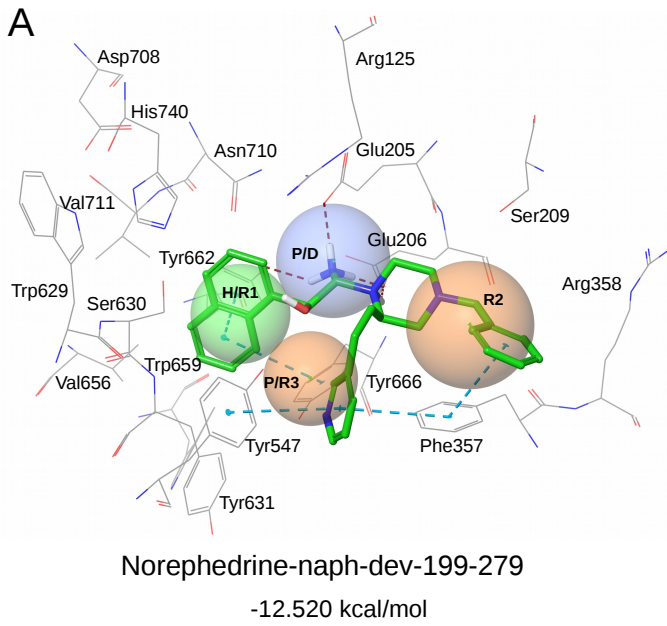
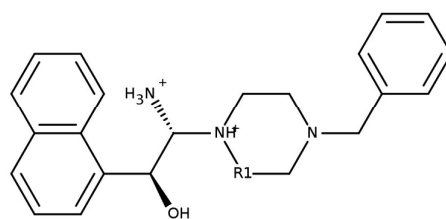


Table 1

<i>Ephedra</i> alkaloid	DPP-IV IC ₅₀ (μM)	% of inhibition for DPP8 (% ± SD)	% of inhibition for DPP9 (% ± SD)
(1R,2S)-(-)-Ephedrine	124	75.87 ± 1.57	90.19 ± 0.39
(1S,2S)-(+)-Pseudoephedrine	4974	30.30 ± 1.68	52.21 ± 3.41
(1S,2R)-(+)-Norephedrine	132	74.53 ± 2.76	90.30 ± 0.53
(1R,2R)-(-)-Norpseudoephedrine	5020	46.71 ± 3.27	56.93 ± 5.30
(1R,2S)-(-)-N-Methylephedrine	27850	69.49 ± 1.62	86.61 ± 2.59
(1S,2S)-(+)-N-Methylpseudoephedrine	28890	73.84 ± 0.35	88.92 ± 0.68

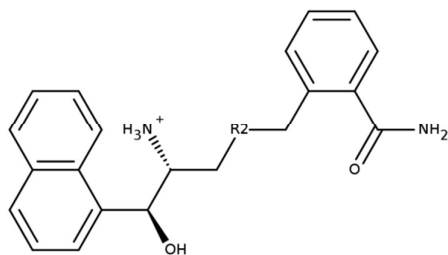
Table 2



Norephedrine-naph-dev-199

Norephedrine derivative	R1 substituent	XP GScore (Kcal/mol)
199-279		-12.520
199-701		-12.345
199-303		-11.770
199-93		-11.373

Table 3



Norephedrine-naph-dev-443

Norephedrine derivative	R2 substituent	XP GScore (Kcal/mol)
443-182		-11.763
443-177		-11.754

Supporting information for

Ephedrine as a lead compound for the development of new DPP-IV inhibitors

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Table S1. Bioactivity data in human targets for the six *Ephedra* alkaloids under study. No activity data was found for N-methylpseudoephedrine in the bibliography.

Target tested	Ephedrine	Pseudoephedrine	Norephedrine	Norpseudoephedrine	N-Methylephedrine
α 1A-adrenoceptor	pK _i = 4.6 [1,2] pK _i = 5.0 [3]	pK _i = 4.2 [3]	pK _i = 5.1 [3]	pK _i = 4.6 [3]	pK _i = 4.2 [3]
α 1B-adrenoceptor	pK _i = 4 [1,2] pK _i < 3 [3]	pK _i < 3 [3]			
α 1D-adrenoceptor	pK _i = 4.3 [1] pK _i = 4.3 [3]	pK _i < 3 [3]			
α 2A-adrenoceptor	pK _i = 6.1 [1,2] pK _i = 4.8 [3]	K _i = 2011 nM [4] pK _i = 4.2 [3]	pK _i = 6.6 [8] K _i = 3024 nM [4] pK _i = 5.2 [3]	pK _i = 4.3 [3]	pK _i = 4.2 [3]
α 2B-adrenoceptor	pK _i = 5.6 [1,2] K _i = 648 nM [4] pK _i = 4.8 [3]	K _i = 1870 nM [4] pK _i = 4.4 [3]	K _i = 3940 nM [4]		pK _i = 4.3 [3]
α 2C-adrenoceptor	pK _i = 5.1 [1,2] K _i = 708 nM [4] pK _i = 4.8 [3]	K _i = 1220 nM [4] pK _i = 4.2 [3]	K _i = 597 nM [4] pK _i = 5.0 [3]	pK _i = 4.5 [3]	pK _i = 4.1 [3]
β 2-adrenoceptor	K _d = 2830 nM [5] EC ₅₀ = 565 nM [5]				

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5 Solute carrier family 22 $IC_{50} = 29 \mu M$ [6] $IC_{50} = 4.2 mM$ [7]
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Table S2. Commercialized gliptins sorted by year of authorization by health agencies.

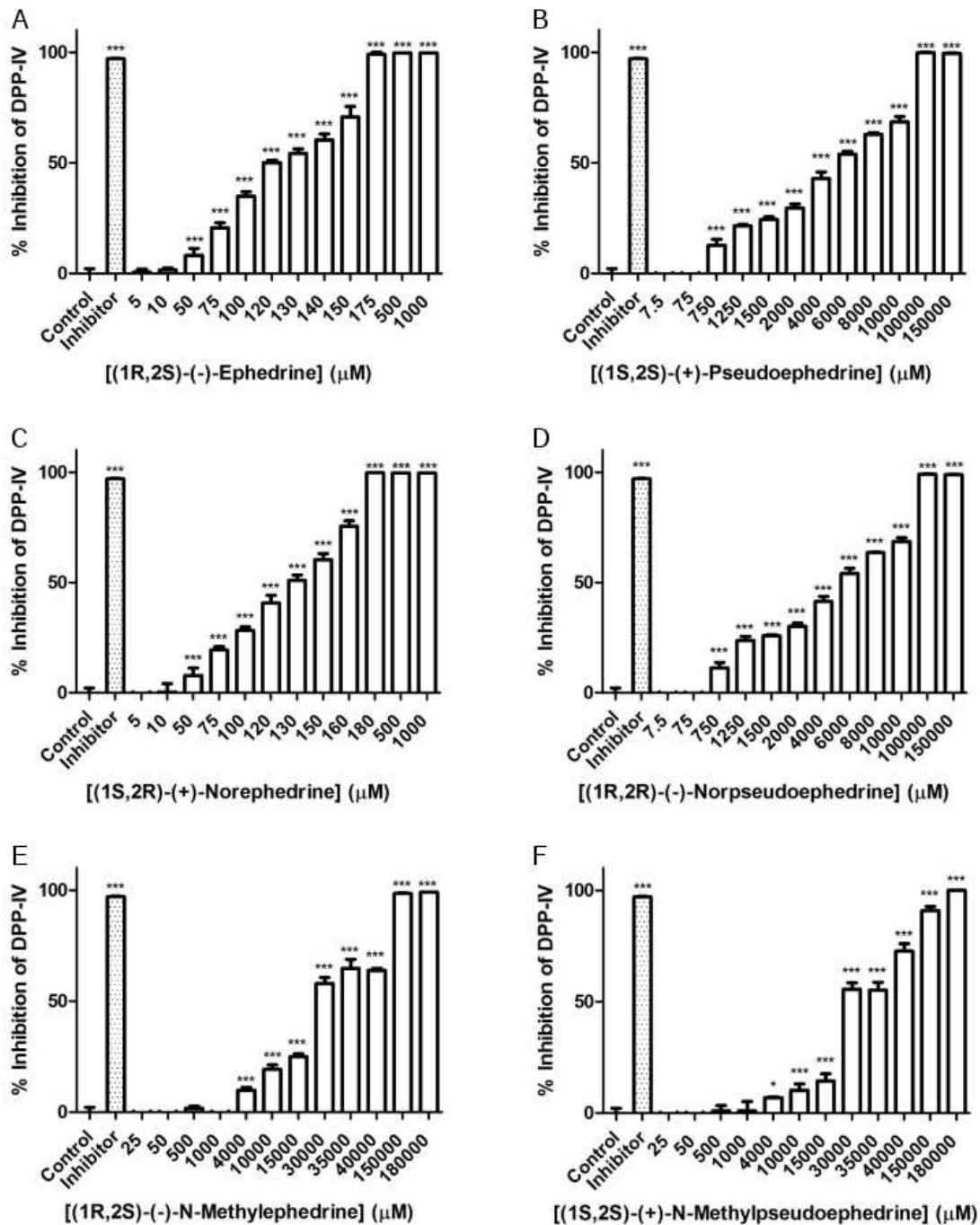
Compound	Year of authorization by health agencies	Developed by	XP GScore (kcal/mol) ¹
Sitagliptin	FDA, 2006 EMA, 2007	Merck & co.	-8.096 (1X70)
Vildagliptin ²	EMA, 2007	Novartis	
Saxagliptin ²	FDA, 2009 EMA, 2009	AstraZeneca and Bristol-Myers Squibb	
Alogliptin	Japan, 2010 FDA, 2013	Takeda Pharmaceutical Company	-9.237 (3G0B)
Linagliptin	FDA, 2011	Boehringer Ingelheim	-10.833 (2RGU)
Teneligliptin	Japan, 2012 Korea, 2014	Mitsubishi Tanabe Pharma	-9.564 (3VJK)
Gemigliptin ³	Korea, 2012	LG Life Sciences	
Anagliptin	Japan, 2012	Sanwa Kagaku Kenkyusho Co., Ltd. and Kowa Company, Ltd	-8.096 (3WQH)
Trelagliptin	Japan, 2015	Takeda Pharmaceutical Company	-10.018 (5KBY)
Evogliptin ³	Korea, 2015	Dong-A Pharmaceutical	
Omarigliptin	Japan, 2015	Merck & co.	-7.611 (4PNZ) ⁴

¹XP GScore for the Glide refinement of the experimental pose of the corresponding gliptin at the PDB file indicated in parenthesis. This calculation was performed only for gliptins not covalently bound to DPP-IV.

²Gliptin covalently bound to Ser630.

³No crystallized protein-ligand complex available at the PDB.

⁴Crystallized protein-ligand complex with fluoroomarigliptin and not with omarigliptin.

**Figure S1**

Dose-response of the six pure molecules tested at different concentrations to inhibit DPP-IV. * $p < 0.05$ compared with the control. One-Way ANOVA followed by Bonferroni's Multiple Comparison Test. Data is expressed as mean \pm SD of three replicates.

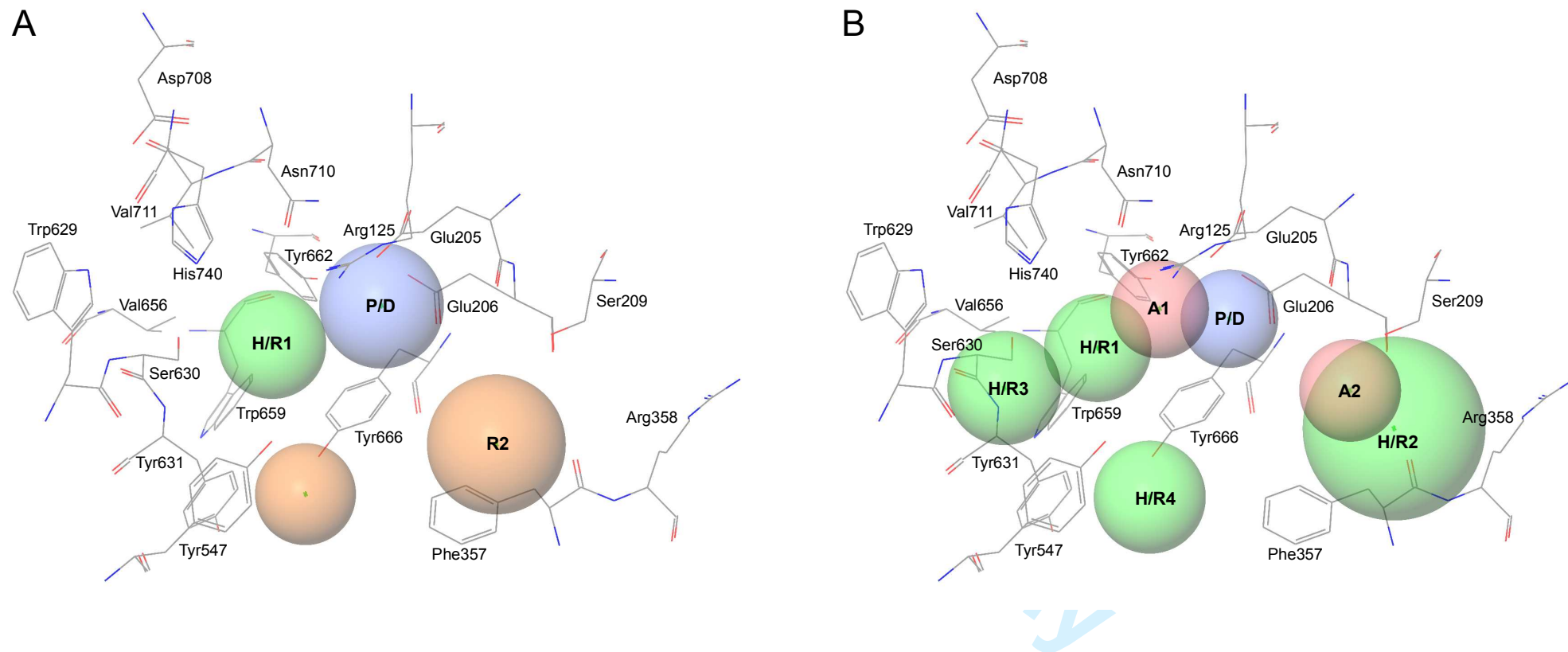
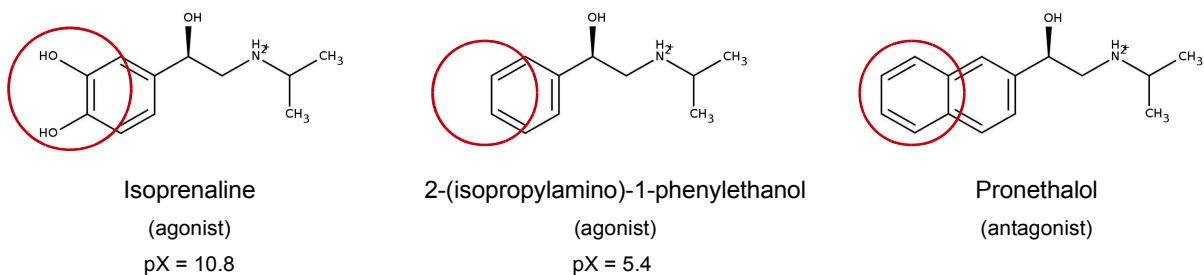


Figure S2. Comparison of the structure-based pharmacophore used in this paper (panel **A**) and the common structure-based pharmacophore used by us in previous studies (panel **B**). Main differences between them are: **(a)** optional sites **A1**, **A2** and **H/R3** are not considered now; **(b)** the optional **H/R2** and **H/R4** sites are now the **R2** and the **P/R3** sites, respectively; and **(c)** tolerances were readjusted using, as reference, more co-crystallized DPP-IV inhibitor complexes than in

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4 the original pharmacophore.
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15 **Figure S3.** Chemical structure of selected ligands that interact with β_2 adrenergic receptor: isoprenaline
16 (agonist) [9]^{*}, 2-(isopropylamino)-1-phenylethanol (agonist) [10]^{*} and pronethalol (antagonist).
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10. Grana E, Lilla L, Pratesi P, La Manna A, Villa L. Chemical structure and biological activity of catecholamines. 3. Exchange of the phenolic hydroxyls with other substituents. *Farmaco. Sci.* 21(1), 4–15 (1966).