

Vortex-induced vibrations of a circular cylinder with a pair of control rods of varying size

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Abstract

We present an experimental study on the vortex-induced vibration (VIV) of a low mass-damping one-degree-of-freedom circular cylinder subject to uniform cross-flow, with pairs of control rods of varying size located in its wake. Two particular locations for control rods, selected according to recent experimental maps of VIV sensitivity to localized perturbations, have been tested for increasing control rod diameters, d . Important amplitude reductions are observed for all arrangements investigated, with nearly total suppression of oscillations (up to 90%) for control rods of $d = 0.4D$, where D is the diameter of the main cylinder. Force measurements have been used to describe the nature of changes observed in the wake and the mechanisms behind the VIV control. In general, the placement of control rod pairs in the near wake leads to an important weakening of the lift coefficient and alters substantially the phase between transverse force and cylinder displacement. Moreover, it is shown that, for some particular arrangements, attenuation of VIV is obtained along with a drag reduction of approximately 60% at the upper branch of amplitude response, rendering the present strategy an interesting and practical solution for VIV control when several cylinders need to be installed together.

Keywords: Vortex-induced vibrations, cylinder wake, VIV, passive control.

1. Introduction

Vortex-induced vibrations (VIV) of cylindrical structures represent a classical problem in many engineering applications, such as offshore systems, where slender components, i.e. risers, pipelines or cables; are subjected to large hydrodynamic forces, that may entail strong vibrations and increase the risk of structural damage or fatigue failure. The nature of VIV of cylindrical components has been extensively investigated in the past, providing with a vast literature on the phenomenology and prediction of VIV (see e.g. [1–7]).

Besides, the aforementioned negative structural effects of VIV have given rise to a traditional interest on the mitigation of the VIV response by means of flow control techniques, that can be either active or passive [8], depending on whether there is power input or not. Passive strategies are generally easier to implement, and are based on geometrical modifications of the bluff body or perturbation of the near wake, being generally designed to influence separation and vortex shedding. Some of such classical strategies for cylindrical bodies were collected by Zdravkovich [9] or Blevins [10], and comprise, among others, the use of plates and fairings [11, 12] or helical strakes [13, 14], which have been proven efficient to reduce VIV response. However, the implementation of such techniques entails the deployment of add-on devices that could be expensive to install or maintain. On the other hand, there are many engineering applications prone to undergo VIV that involve several circular cylinders, whose interaction may modify strongly the system dynamic response. A classical example of systems where cylindrical bodies of different size exist are the piggyback pipelines or ensemble of secondary umbilicals and flowlines present in plenty of offshore oil and gas applications, where cylindrical bodies are clamped together at fixed clearances and along longitudinal intervals. Moreover, if these intervals are short enough, the whole arrangement may be treated as a unique, rigidly linked dynamical system.

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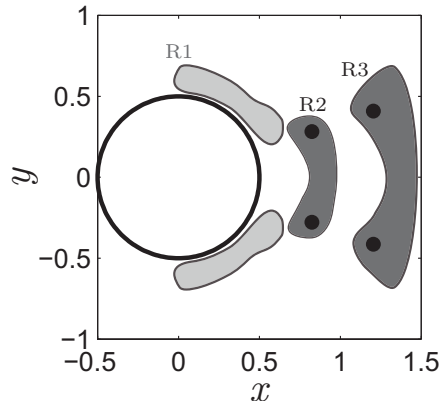


Figure 1: Sensitivity of VIV amplitude response for a flexibly mounted cylinder at $Re = 13400$ ($U^* = 6.3$): sketch of coherent regions where the placement of pairs of perturbation rods provides large VIV amplitude reductions, according to [25]. The dots within regions R2 and R3 correspond to those locations leading to weaker VIV responses, which are selected for the present study.

21 The location of secondary cylinders and the layout adopted are parameters that affect strongly the hy-
 22 drodynamical forces, and therefore, the system VIV response. Thus, the search for *optimal* configurations of
 23 secondary cylinders aiming at reducing VIV amplitude, has been the goal of plenty of works, where smaller
 24 cylinders are commonly denoted as control rods. Most studies have investigated the effect of varying the
 25 azimuthal angle of rods around the main cylinder, and the gap between them, for different configurations and
 26 a given ratio between the diameters of main cylinder, D , and small ones, d . These studies have dealt with
 27 arrangements with a single rod [15], two rods [16–18], triangular and squared configurations of rods [19, 20],
 28 or even larger number [21], using either numerical or experimental approaches. Some of such studies have
 29 also evaluated the influence of flexibility of control rods on the VIV response, allowing to establish qualitative
 30 comparisons between rigid and flexible arrangements. For instance, the use of a symmetric arrangement with
 31 four flexible rods around a rigid cylinder was tested experimentally for turbulent flow regimes by Wu et al.
 32 [19], showing that cross-flow and in-line vibrations can be practically inhibited when the rods cover most of
 33 the main cylinder length. Similar qualitative results were numerically obtained by Zhu and Yao [21], using
 34 the same arrangement with rigid rods for a two-dimensional configuration and a similar range of Reynolds
 35 number. Thus, the use of rigid rods may simplify the analysis while providing with relevant results in terms of
 36 amplitude reduction. In general, these studies were based on a trial and error process and tackled the problem
 37 by means of parametric modifications of the arrangement, showing that the effect on the dynamic response
 38 is highly dependent on the layout and size of smaller rods, since both amplification or attenuation of VIV
 39 amplitude can be obtained.

40 One simple, efficient VIV control strategy consists actually in altering the vortex shedding process by
 41 placing the rods in the wake of the main cylinder, following the concept of wake control through localized
 42 perturbations. Thus, Strykowski and Sreenivasan [22] showed that the vortex-shedding behind a stationary
 43 cylinder can be effectively suppressed at low values of Reynolds numbers, if a small rod is properly placed in the
 44 regions of the wake which are more sensitive to forcing. The potential use of a control rod to cancel VIV could
 45 be inferred from the experimental works of Sakamoto and Haniu [23] and Dalton *et al.* [24], who showed the
 46 effectiveness of such technique to reduce the lift and drag due to vortex-shedding, by parametrically modifying
 47 the location of the rod at the wake of the main cylinder. More recently, Jiménez-González and Huera-Huarte
 48 [25] extended the concept of wake sensitivity to localized perturbations to one-degree-of-freedom VIV for an
 49 elastically mounted circular cylinder. An experimental sensitivity map of the near wake was obtained by
 50 parametrically modifying the position of a symmetric pair of perturbation rods, with $d = 0.03D$. The sketch
 51 in Fig. 1 depicts the main coherent region identified in [25], where the placement of a symmetric pair of
 52 perturbation rods leads to largest VIV amplitude reduction with respect to the uncontrolled natural VIV
 53 response of the cylinder at $Re = 13400$ (or reduced velocity $U^* = u_\infty/f_n D = 6.3$, being u_∞ the free-stream
 54 velocity and f_n the natural frequency of oscillation in still water). These regions were found along the cylinder's
 55 surface and in the near wake, for radial distances of $r \simeq 0.6D$, $0.9D$ and $1.3D$ from the cylinder center, showing

56 by means of wake visualizations that different stabilizing mechanisms are related to alterations in the shear
57 layer detachment, or the vortex formation process. Moreover, the effect of perturbation size was also evaluated
58 using cylinders of $d = 0.1D$ instead, obtaining amplitude reductions up to 66% when *optimal* locations were
59 selected according to sensitivity maps (although the improvement was seen to be highly dependent on U^*).
60 The suitability of using these sensitivity distributions for the effective design of passive control systems, instead
61 of carrying out a trial and error process, was later confirmed by Huera-Huarte [26], who observed a nearly total
62 suppression of VIV amplitude by placing wired meshes around the cylinder, at the loci of highest sensitivity
63 reported in Ref. [25] at $r \simeq 0.9D$ and $1.3D$ (corresponding to regions R2 and R3 in Fig. 1).

64 In view of those results, the present work aims at exploring the potential of sensitivity maps to design
65 optimized arrangements of rigid piggyback pipelines of different sizes in terms of VIV. To that aim, we will
66 study experimentally the dynamic response and fluid forces acting on distinct configurations with varying
67 diameters ratios, rigidly connected and disposed according to loci of VIV amplitude reductions in aforemen-
68 tioned sensitivity maps. The analysis will focus on symmetric triangular arrangement, where a pair of control
69 rods is located downstream of the main cylinder at *optimal* distances $r \simeq 0.9D$ and $1.3D$ [26]. The effect of
70 the control rod size will be investigated by varying parametrically the diameters ratio d/D within the range
71 $[0.1, 0.4]$, to assess if the stabilizing effect of localized wake perturbations is strengthened or, conversely, less-
72 ened as the control rod increases due to non-local, non-linear interaction mechanisms. Note that the use of
73 symmetric arrangements constitutes a simplified approach, since risers ensembles in real applications can be
74 also misaligned with respect to the flow stream, what could also lead to enhancement of vibrations. However,
75 in spite of such limitation, the use of symmetric layouts will allow to gain insight into the general controlla-
76 bility of the VIV and forces by means of near wake modifications, while providing with dynamics responses
77 without any mean lift component, as it would be the case of asymmetric arrangements. That said, the paper is
78 organized as follows: the experimental aspects are first described in Sect. 2; while results of dynamic response
79 obtained from tests conducted at different reduced velocities are presented in Sect. 3. Next, the wake forcing is
80 studied at Sect. 4 by analyzing measured force distributions and corresponding frequencies. Finally, the main
81 conclusions of the research are drawn in Sect. 5.

82 2. Problem description and experimental set-up

83 Fig. 2 displays schematic representations of the experimental set-up, which consisted of arrangements with
84 a main cylinder, of diameters D , and control cylinders of diameters d , whose centers are located at positions
85 $(r, \pm\theta)$, relative to the coordinate system defined by the oscillating cylinder, as seen in Fig. 2(c). Two *optimal*
86 layouts were tested (Fig. 2c), aside from the isolated plain cylinder, namely: configuration #1 where a pair
87 of control cylinders was placed at positions $(r, \pm\theta) = (0.9D, 20^\circ)$, and configuration #2 with the rods located
88 at $(r, \pm\theta) = (1.3D, 20^\circ)$. Besides, for each configuration, four different diameters of control rods have been
89 studied, i.e. $d/D = [0.12, 0.2, 0.32, 0.4]$.

90 Experimental tests were carried out in the Free Surface Water Channel (FSWC) of the Department of
91 Mechanical Engineering at Universitat Rovira i Virgili (URV). The facility consists of a recirculating channel
92 with a test section of $1 \times 1.1 \text{ m}^2$, able to deliver a free-stream axial velocity u_∞ up to 0.6 m/s, with a maximum
93 variability of 1.6%, when the water height is 1 m. The cylinder model was made of a rigid acrylic tube of
94 $D = 0.05 \text{ m}$ and submerged length $L = 0.53 \text{ m}$, providing an aspect ratio $L/D = 11$. Aluminum tubes of
95 smaller diameters were disposed as the downstream control cylinders, and were held using 3D printed slim
96 parts that were located at the upper and bottom ends of the main cylinder (Fig. 2b). An end plate was also
97 attached at the bottom to avoid any three-dimensional effect from flow detachment at the cylinder base. As
98 depicted in Fig. 2(a), the whole system was elastically mounted in a rigid structural frame and hung from a
99 one-degree-of-freedom air-fed linear slide, which restricted the oscillating motion of the system to the cross-
100 flow y -direction, whose displacement was measured by means of a laser displacement sensor. Besides, the flow
101 forces acting on the cylinder along the cross-flow and in-line directions were measured in two ways. First,
102 a multi-axial load cell, with a measuring range of 100N, was installed between the air bearing rig and the
103 system, being primarily employed to measure the in-line force acting on the system, as will be detailed in
104 Sect. 3. Additionally, a precision uni-axial S-Beam load cell with a measurement range of 8.9 N, was installed
105 between the primary rig and a secondary sliding carriage, displacing alongside the oscillating assembly, to
106 accurately measure the cross-flow force with a second independent sensor, for redundancy.

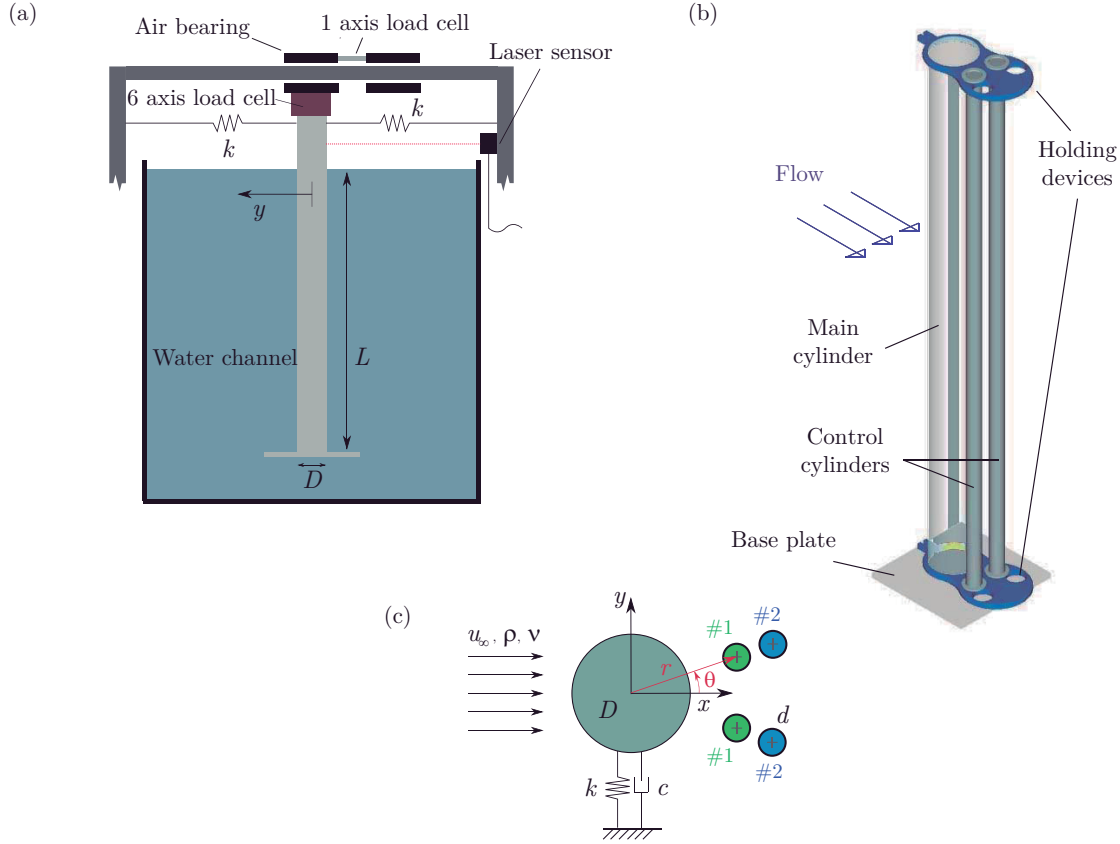


Figure 2: Experimental set-up: (a) sketch of water channel facility and (b) detailed view of control system. (c) Scheme of the problem.

107 The structural parameters characterizing the problem are the mass ratio, m^* , and the structural damping, ξ .
 108 ξ . Considering the control cylinders, the former is defined as

$$m^* = \frac{4(m + 2m_c)}{\pi\rho L(D^2 + 2d^2)}, \quad (1)$$

109 where m corresponds to the mass of the main cylinder ensemble, including the holding devices and load
 110 cell, while m_c stands for the mass of control rod with diameter d . Table 1 lists values of m^* for the different
 111 arrangements investigated, along with the combined mass-damping parameter $m^*\xi$. In that sense, an averaged
 112 value of m_c has been used to determine the structural damping in air by means of free-decay tests, which was
 113 found to be $\xi = 0.0035$. The natural frequency of oscillation in still water, f_n , is also characterized for each
 114 arrangement and displayed in Table 1 with regard to the uncontrolled plain cylinder case, f_{n0} . Besides, the
 115 Reynolds number, defined as $Re = u_\infty D/\nu$, where u_∞ and ν are respectively the free-stream velocity and
 116 kinematic viscosity, ranged from 6000 to 32000. Similarly, the reduced velocity, defined as $U^* = u_\infty/f_n D$,
 117 covered approximately the range from 4 to 12 (note that values depend on the control rod assembly).

118 The y displacement and the forces acting on the cylinder were collected using a data acquisition system
 119 with a sampling frequency of $F_s = 1.6$ kHz during 90 s, to ensure enough temporal resolution and to accurately
 120 capture the system dynamics (note that at least 45 oscillation cycles were recorded for each test presented in
 121 following sections).

122 3. Dynamic response of controlled system

123 We first present the dynamic response of the different control rod arrangements investigated, which are
 124 compared to that corresponding the plain cylinder. The VIV dynamic response is characterized by the os-

Set-up	m^*	$m^*\xi$	f_n/f_{n0}
plain cylinder	2.68	0.0094	-
$d/D = 0.12$	2.66	0.0093	1.00
$d/D = 0.2$	2.58	0.0091	0.99
$d/D = 0.32$	2.37	0.0083	0.97
$d/D = 0.4$	2.21	0.0079	0.95

Table 1: Structural properties of the different configurations studied in the present work: mass ratio, m^* , mass-damping ratio, $m^*\xi$ and ratio of natural frequencies in still water with respect to the plain cylinder case, f_n/f_{n0} .

125 cillation amplitude A and frequency f , which are nondimensionalized using respectively the main cylinder
126 diameter, D , and the natural frequency of the corresponding assembly under study, f_n (see Table 1), to give
127 $A^* = A/D$ and $f^* = f/f_n$. Spectral analysis of time signals allows determination of frequencies and ampli-
128 tudes. In particular, the latter is obtained by means of integration of the peak in the Power Spectral Density
129 distribution, $\text{PSD}(F^*)$, associated with the fundamental frequency f^* , in such a way that

$$A^{*2} = 2 \int_{f^* - \Delta f_d^*}^{f^* + \Delta f_u^*} \text{PSD}(F^*) dF^*, \quad (2)$$

130 where Δf_d^* and Δf_u^* stand for the interval around f^* for which the energy drops to 1% the peak value,
131 and F^* represents the frequency values spanning the interval $[0, F_s^*/2]$. Note that this method provides with
132 estimations of A^* which are nearly identical to those computed as the mean of instantaneous amplitude
133 calculated by means of the Hilbert transform of the measured displacement signal.

134 Let us first discuss qualitatively, the effect of control configurations #1 and #2 for increasing diameter ratio
135 d/D on the dynamic response of the flexibly mounted system, at a particular value of $U^* \simeq 6.2$ ($\text{Re} \simeq 13900$),
136 in which very energetic oscillations were observed. Fig. 3 presents temporal evolutions of amplitude response
137 $A^*(t)$, and corresponding Power Spectral Density function, $\text{PSD}(F^*)$, for the *optimal* layout selected, #1
138 (Figs. 3a-b) and #2 (Figs. 3c-d), and different control diameters ratios. At the selected value of U^* , the
139 amplitude of the plain cylinder is $A_0^* \simeq 0.99$ (see dashed horizontal lines), which agrees with values of largest
140 amplitude in previous works describing the VIV of low mass-damping circular cylinders (see e.g. Ref. [27]).
141 Note that, for the uncontrolled plain case, the peaks of temporal amplitude response are slightly bumpy due to
142 the modulation of the amplitude and the existence of several harmonics components at $U^* \simeq 6.2$. The effect of
143 increasing the parameter d/D contributes positively to reduce the amplitude of oscillations for both layouts,
144 although apparently, it is more efficient for the first configuration, for which there is an abrupt decrease of
145 A^* and the main peak in the $\text{PSD}(F^*)$, for $d/D > 0.12$. This sharp change suggests a general qualitative
146 modification in the regime of dynamic response. Besides, the attenuation of oscillations seems to be more
147 progressive with d/D for configuration #2.

148 The complete curves of amplitude and frequency responses with respect to reduced velocity U^* , and
149 Reynolds number Re , are depicted in Figs. 4 and 5 for both layouts #1 and #2, respectively. The response
150 of the single plain cylinder is also included for comparison. This uncontrolled case shows the classical curve
151 for VIV of flexibly mounted cylinders in water, consisting of initial, upper and lower branches, being largest
152 amplitudes reached within the upper branch ($A_0^* \simeq 1$). The oscillation frequency (see Fig. 4b) grows linearly
153 as U^* is increased along the initial branch, following the Strouhal law ($St \simeq 0.18$), to reach a plateau within
154 the upper branch, that indicates the beginning of the *lock-in* range.

155 The placement of control rods of increasing size alters substantially the dynamic response of the flexibly
156 mounted system. When configuration #1 is selected (Fig. 4), increasing the control diameter produces a
157 progressive overall reduction of A^* , although the type of response is highly dependent on the value of d/D .
158 For instance, when $d/D = 0.12$, the response is qualitatively similar to that of the uncontrolled case, with well
159 defined branches, although the range of VIV response narrows (oscillations occur for $U^* \lesssim 11$). Moreover, an
160 important amplitude weakening is observed at the initial and the upper branches. For $d/D > 0.12$ the picture
161 is qualitatively different, since the upper branch seems to be inhibited. In particular, for $d/D = 0.2$, there
162 is a growing trend in the amplitude up to $U^* \simeq 7$, where a nearly constant value of $A^* \simeq 0.47$ is reached,
163 that is followed by a monotonous decay in A^* within the range $9 \lesssim U^* \lesssim 11$. A similar picture is observed

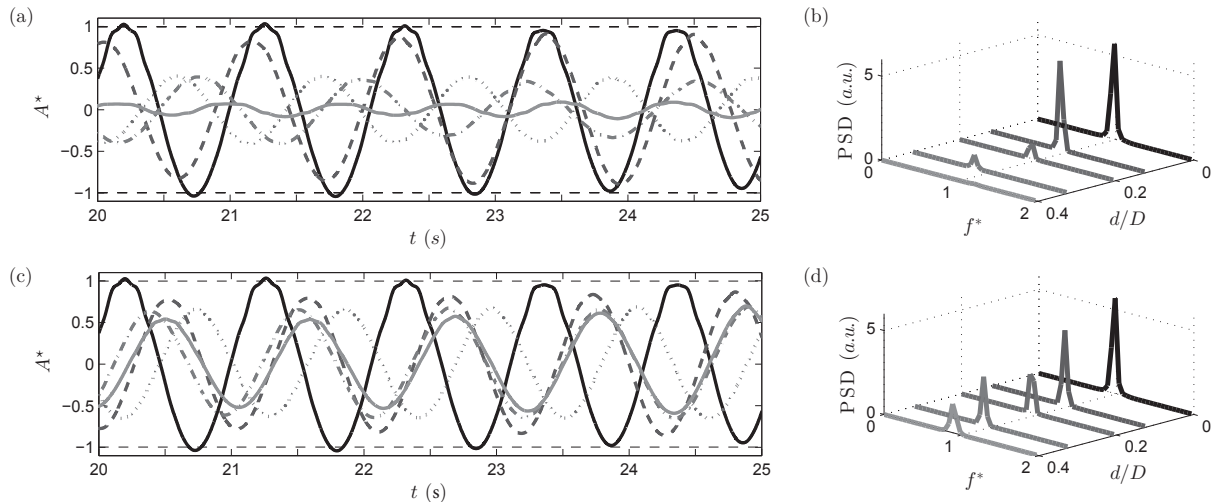


Figure 3: Temporal amplitude response $A^*(t)$ (a,c) and corresponding Power Spectral Density function, $PSD(F^*)$ (b,d), for configuration #1 (a-b) and #2 (c-d), with different diameters ratios d/D , at $U^* \simeq 6.2$ ($Re \simeq 13900$). In temporal signals: black solid line (plain cylinder), gray dashed line ($d/D = 0.12$), gray dotted line ($d/D = 0.2$), gray dashed-dotted line ($d/D = 0.32$) and gray solid line ($d/D = 0.4$). Dashed horizontal lines represent the value of uncontrolled amplitude A_0^* .

164 for $d/D = 0.32$, although now the response at lower branch is further extended to higher values of U^* . Note
 165 that, as showed in Table 1, increasing the value of d/D with this set-up led to reduction of m^* values, what
 166 is known to widen the synchronization range and to extend it to larger values of U^* (see e.g. Ref. [28]).
 167 Finally, for $d/D = 0.4$ a nearly total VIV suppression takes place for reduced velocities lower than 8, although
 168 the amplitude keeps growing for larger values of U^* , extending the range of VIV response. Nevertheless, the
 169 value of A^* remains always below those from the uncontrolled case at the lower branch. These results show
 170 that a maximum amplitude reduction of nearly 90% can be obtained at the upper branch if control rods are
 171 sufficiently large.

172 Oscillation frequencies behave in a similar manner to the uncontrolled reference case, although the beginning
 173 of the lock-in regime shifts slightly leftwards as d/D increases (see Fig. 4b). This trend is however different
 174 for $d/D = 0.4$, for which there is a jump around $U^* = 7.5$ which marks the start of the growing trend in A^*
 175 and corresponds to the lock-in regime. In that case, one could infer that the initial branch widens while the
 176 upper one vanishes. Besides, the oscillation frequency during the lock-in range decreases, and for $d/D = 0.4$
 177 it never exceeds the natural frequency f_n . Note also that there is an overall slight shift in U^* for all curves, as
 178 a consequence of the natural frequency growth occurring when the parameter d/D is increased (see Table 1).

179 Corresponding VIV responses for the flexibly-mounted controlled systems with layout #2 are shown in
 180 Fig. 5. The first feature to remark is the progressive mitigation of VIV amplitude as d/D grows, alongside the
 181 narrowing and rightwards shift of the upper branch response. In general, A^* curves are qualitatively similar
 182 for all the arrangements investigated, since they present well defined branches, although for $d/D \geq 0.32$ there
 183 is marked transition between an initial branch of attenuated amplitude and nearly constant value, and the
 184 response of maximum displacement within the modified upper branch. The amplitude reduction attained when
 185 the maxima in the curves takes place in the upper branches is near 40%. In addition, oscillation frequencies
 186 (Fig. 5b) are very similar for all the systems implemented, with only slight changes when the control rod
 187 diameter is increased.

188 The overall dependence of VIV amplitude with the reduced velocity and the diameters ratio is summarized
 189 in Fig. 6, where the three-dimensional amplitude plots (Figs. 6a-b) and their corresponding two-dimensional
 190 contours of amplitude response (Figs. 6c-d) are displayed for both configurations #1 and #2. Note that the
 191 data of amplitude response depicted in contours of Figs. 6(c-d) for values of d/D not tested in the present
 192 analysis, have been interpolated from measured cases. As mentioned, increasing the value of d/D in both
 193 layouts implies the progressive reduction of A^* , especially within the upper branch, where largest displacements
 194 occur for the uncontrolled case, although different behaviours are observed depending on the layout. For

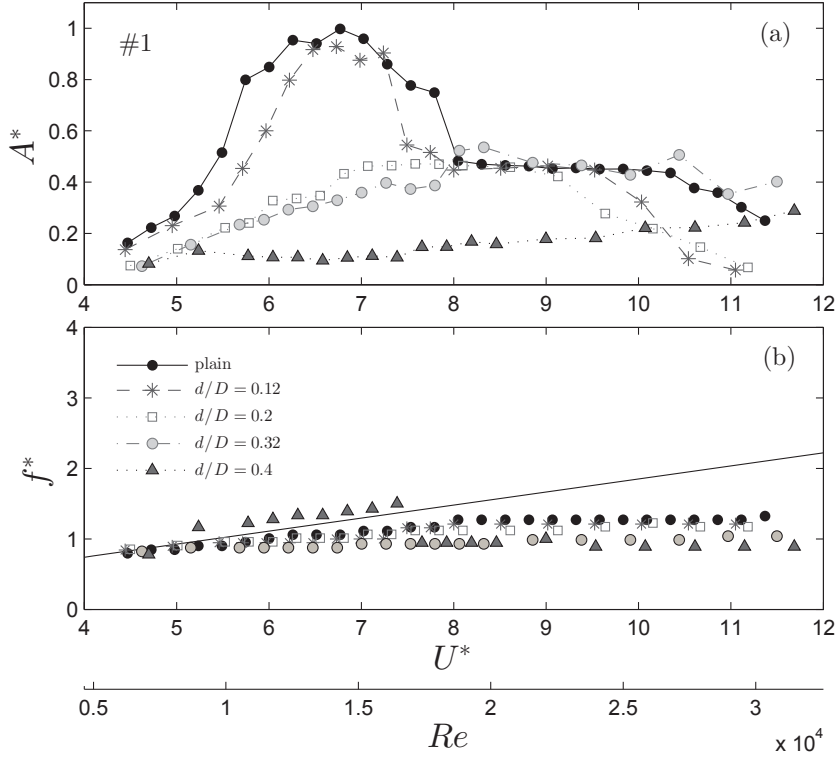


Figure 4: (a) Amplitude and (b) frequency response of the flexibly-mounted systems of different d/D with layout #1, versus reduced velocity U_r and Reynolds number Re . VIV response for isolated plain cylinder is included for comparison.

195 instance, for the first case (Figs. 6a,c), three types of responses can be described based on amplitude results.
 196 Thus, when $d/D \leq 0.12$ curves are characterized by well defined response branches, whereas for $0.12 < d/D \leq$
 197 0.32 , the initial branch extends towards larger values of U^* , softly leading to a plateau in the amplitude,
 198 which seems to be the outcome of the upper and lower branches merging. Finally, for $d/D = 0.4$, there is
 199 a practically total suppression of oscillations at the upper branch, where amplitude reductions of nearly 90%
 200 are obtained. Conversely, for arrangements based on configuration #2 (Fig. 6b), the controlled dynamics
 201 varies smoothly with d/D , being characterized by distinguishable lower and upper branches, where amplitude
 202 magnitude decreases progressively. However, it is worth highlighting that, when $d/D \geq 0.32$, an initial branch
 203 of nearly constant small amplitude develops.

204 The installation of control rods of increasing size has been shown to lead to mitigated or partially suppressed
 205 oscillations over a wide range of reduced velocity, with the cylinder responding generally over a narrow range
 206 of U^* . This controlled dynamics will be subsequently analyzed based on the force coefficients and the phase
 207 difference between the forcing and the response, which will also help shedding some light on the control
 208 mechanisms acting here.

209 4. Force measurements of controlled systems

210 4.1. Validation of measured data

211 As mentioned in Sect. 2, both a multi-axial and a uni-axial load cell were used to investigate the forces in
 212 the cross-flow direction (F_y). Instantaneous values of in-line force, $F_x(t)$, measured with the multi-axis load
 213 cell, were found to be always close to 5N, which represents a 5% of the full scale of the multi-axial sensor.
 214 This was considered appropriate for calculating the time-averaged drag coefficients, provided this was the only
 215 way of measuring them in the experimental system designed. However, maximum values of instantaneous

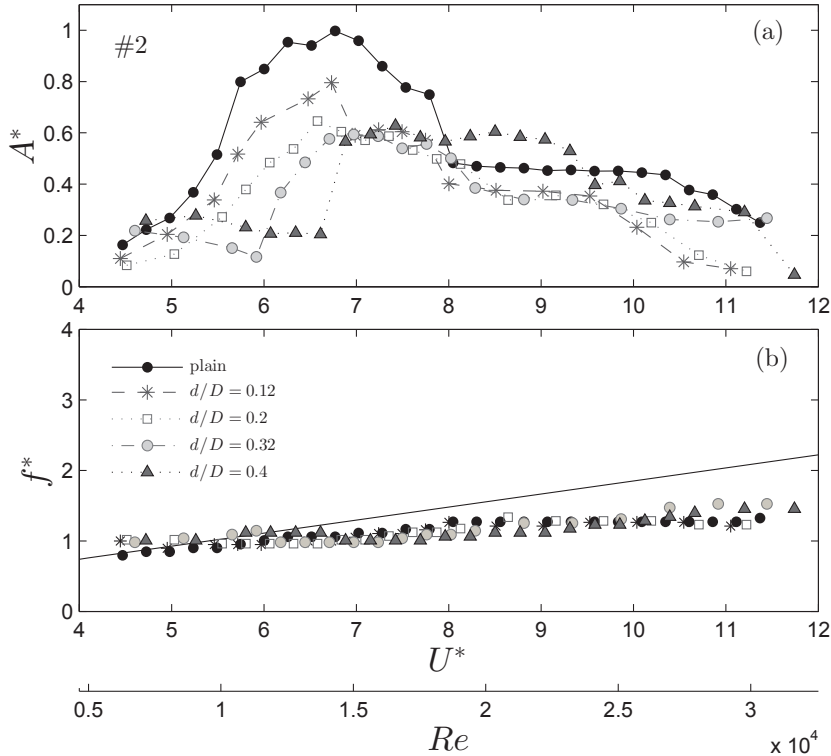


Figure 5: (a) Amplitude and (b) frequency response of the flexibly-mounted systems of different d/D with layout #2, versus reduced velocity U_r and Reynolds number Re . VIV response for isolated plain cylinder is included for comparison.

216 cross-flow force magnitude did not exceed 2N for the present experiments and therefore a second redundant
 217 load cell was used to validate the results. In fact, since the major interest was in the amplitude of the cross-flow
 218 force variations, the uni-axial load cell measurements were finally used, giving larger resolution. Moreover, the
 219 measured transverse force is validated by using the displacement y and modeling the structure as a one-degree-
 220 of-freedom system, which is a widely accepted method in the literature (see Refs. [27, 29]). This process will
 221 also serve to illustrate the mentioned drawback and to justify the use of two force sensors in the present work.
 222 Following the previous assumption, the cross-flow force can be computed as:

$$F_y = m\ddot{y} + 2m\omega\xi\dot{y} + m\omega^2y, \quad (3)$$

223 where m is the mass of the moving system (which depends on the control rods used), ξ the structural damping
 224 (0.0035 for the averaged set-up), ω is the system angular oscillation frequency and y the displacement measured
 225 by means of the optical position sensor. A validation case is presented in Fig. 7, where computed and measured
 226 cross-flow force values are compared for the plain cylinder, at $U_r^* \simeq 5.0$, 6.0 and 10.2, which correspond
 227 respectively to points in the initial, upper and lower branches of the response curve. Measured data from load
 228 cells was post-processed to subtract the inertia force related to the motion of the corresponding oscillating
 229 mass. As it can be observed, both the computed time evolution of F_y and its corresponding spectra, reproduce
 230 accurately the force signal measured through the uni-axial load cell for the three cases selected. However, as
 231 initially suspected, the small magnitude of the force amplitude does not allow a perfect match between the
 232 forces coming out of the multi-axial sensor and the other two methods. Note also that, although carefully
 233 mounted and aligned, a small misalignment of the end plate could result into small off-axis forces that may
 234 have increased the error between measurements from both force sensors. Therefore, only the values of cross-
 235 flow force measured by means of the uni-axial load cell will be used in the following. Again, time-averaged
 236 values of in-line forces are only available as measured by the multi-axis sensor.

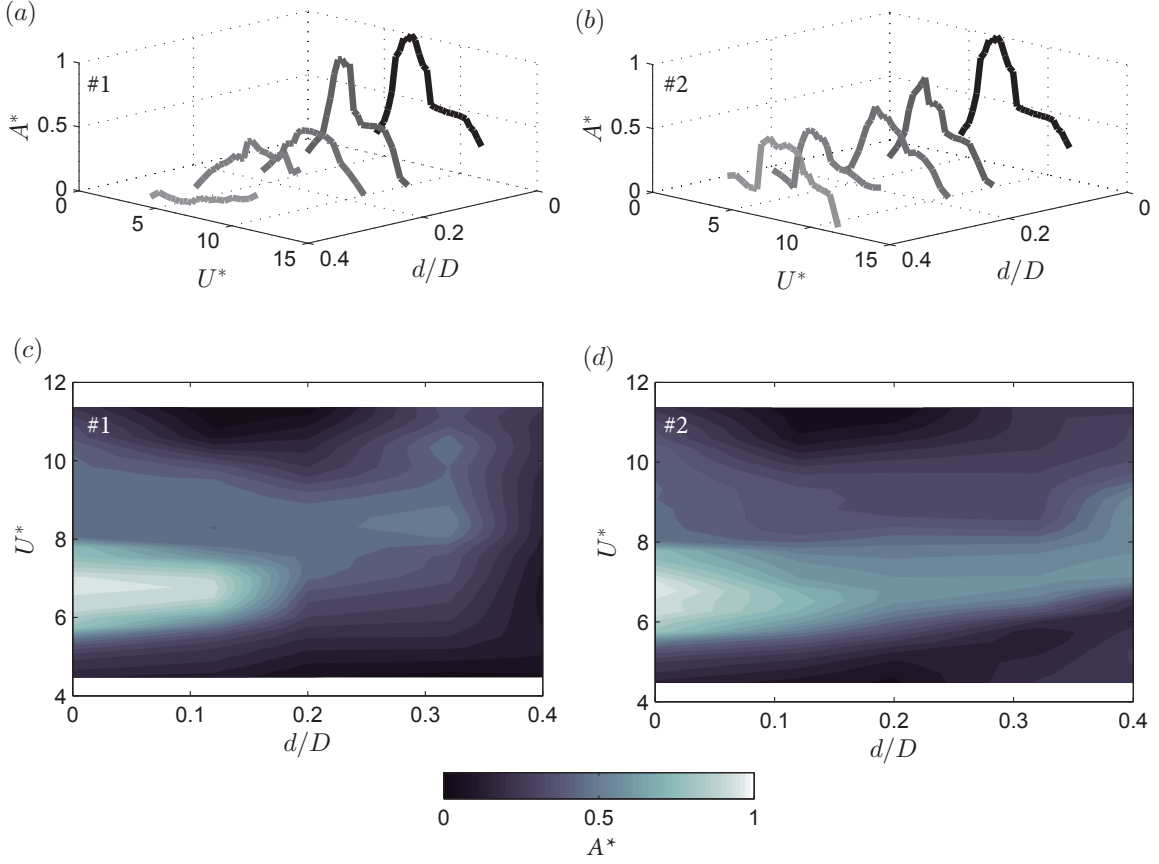


Figure 6: (a-b) Plots of amplitude response as a function of the reduced velocity U^* and diameters ratio d/D , for configurations #1 and #2; and (c-d) corresponding contours of amplitude response for the same respective configurations. Data in (c-d) have been interpolated from measured results.

237 4.2. Lift coefficient and phase between force and displacement

238 The lift coefficient amplitude is computed as

$$C_y = \frac{\hat{F}_y}{0.5\rho D L u_\infty^2}; \quad (4)$$

239 where $\hat{F}_y = \sqrt{2}F_{y,rms}$, and *rms* stands for the root mean square value of the fluctuating cross-flow force. The
 240 lift coefficient can be divided into components in phase with the acceleration, $C_{y,a}$, and with the velocity,
 241 $C_{y,u}$. Such coefficients were computed as the time-average of the product between the instantaneous value
 242 of the cross-flow fluctuations about its mean value, with the displacement and velocity respectively, namely
 243 $C_{y,a} = \overline{C'_{y,a}}$ and $C_{y,u} = \overline{C'_{y,u}}$. The fluctuating values $C'_{y,a}$ and $C'_{y,u}$ were respectively obtained by means of
 244 the following expressions [30]:

$$C'_{y,a} = \frac{\sqrt{2}(F_y - \overline{F_y})}{0.5\rho D L u_\infty^2} \frac{y}{\sqrt{\overline{y^2}}}, \quad (5)$$

245 and

$$C'_{y,u} = \frac{\sqrt{2}(F_y - \overline{F_y})}{0.5\rho D L u_\infty^2} \frac{\dot{y}}{\sqrt{\overline{\dot{y}^2}}}. \quad (6)$$

246 Thus, positive values of $C_{y,u}$ indicate a net transfer from the fluid to the body, what excites the structural
 247 response. Besides, the phase difference between the y displacement and the lift force ϕ was obtained using the
 248 Hilbert transform,

$$\phi = \phi_{F_y} - \phi_y. \quad (7)$$

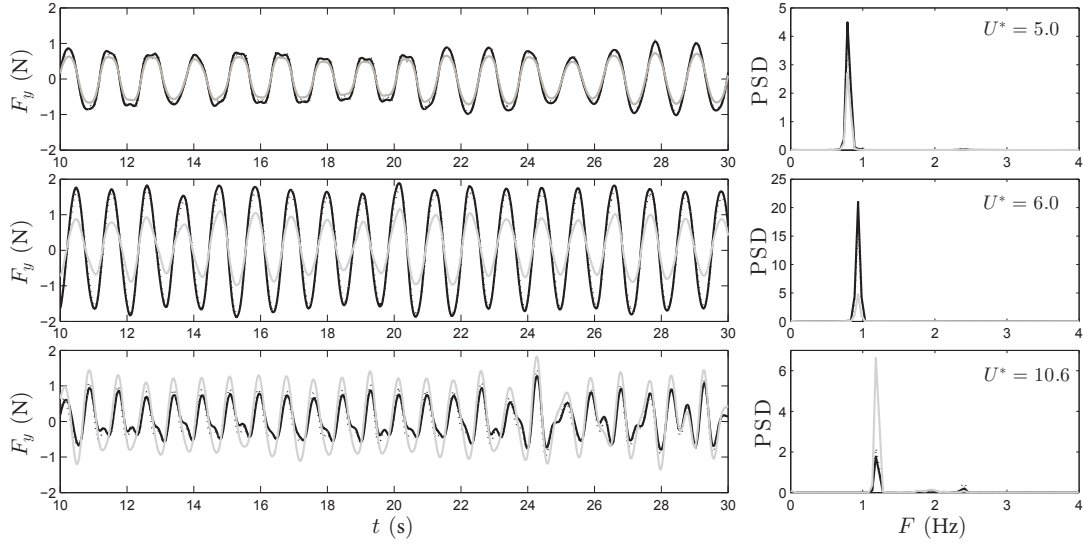


Figure 7: Temporal evolutions of cross-flow force, $F_y(t)$, and their corresponding power spectral density, $\text{PSD}(F)$, measured directly using the uni-axial load cell (black solid line), the multiaxial load cell (gray solid line), and reconstructed using the model of Eq. 3 (black dotted line), for the plain cylinder at $U^* = 5.0, 6.0$ and 10.6 .

249 Fig. 8 depicts lift coefficient amplitude and corresponding components, together with the phase difference,
 250 for the different ratios d/D based on layout #1 and the plain cylinder, which will be first analyzed to set
 251 a reference. First, the lift coefficient peaks approximately at $U^* \simeq 5.7$ (see Fig. 8a), that corresponds to
 252 the amplitude jump between initial and upper branches in Fig. 4(a). After that, a monotonous decrease is
 253 observed for C_y with U^* growing, until reaching a nearly nil asymptotic value as the VIV response decays in
 254 amplitude. The lift components in phase with velocity, $C_{y,u}$, presents positive values for all U^* , reaching a
 255 maximum at $U^* \simeq 6.5$, close to the maximum of amplitude response in Fig. 4(a). As said, the coefficient $C_{y,u}$
 256 is related to the fluid damping, and quantifies the energy transferred from the fluid to the body. Therefore, the
 257 largest excitation is obtained when $C_{y,u}$ reaches the maximum absolute value. Besides, $C_{y,a}$, which is related
 258 to the fluid inertia, peaks approximately at $U^* \simeq 5.7$ as C_y , and decreases until reaching a negative value for
 259 $U^* \simeq 8.0$, where the phase changes from 0° to 180° (Fig. 8d). This phase shift occurs when the oscillating
 260 frequency reaches the natural one in still water, i.e. $f^* \simeq 1$ (Fig. 4b), and corresponds to the decrease in
 261 A^* from upper to lower branch, since now the force acts in the opposite direction to the body's motion, and
 262 consequently, the response is weakened.

263 When the control rods of $d/D = 0.12$ are placed behind the cylinder model, force coefficients are slightly
 264 modified with respect to those of the plain case, but present similar trends, as it could have been expected
 265 from the VIV response displayed in Fig. 5. Main differences are related to weaker values of total lift C_y
 266 and component $C_{y,u}$, whose peaks are now shifted rightwards. Besides, $C_{y,a}$ is shown to become negative
 267 earlier than for the plain case, i.e. around $U^* \simeq 7.5$, corresponding to the phase difference jump (Fig. 8d)
 268 and the transition between upper and lower branches in amplitude response curve (Fig. 4a). In general, the
 269 combination of a sooner phase difference transition and weaker excitation and inertia lift components, $C_{y,u}$
 270 and $C_{y,a}$, provides with a less energetic VIV response.

271 A further increase of control rods size up to $d/D = 0.2$, reduces significantly the total lift magnitude, C_y ,
 272 which becomes nearly zero for $U^* > 7.5$, after peaking at approximately $U^* = 6.1$, where the inertia term,
 273 $C_{y,a}$, reaches also the largest value. This coefficient becomes negative now around $U^* = 9.1$, coinciding with
 274 the phase jump in Fig. 8(c). Interestingly, the phase difference changes now through 90° towards 0° again as
 275 the reduced velocity increases. This fact is counterbalanced by a low lift excitation component, $C_{y,u}$, which
 276 is now weaker than for smaller control rods, reaching its minimum around $U^* = 7.6$, where the amplitude of
 277 motion A^* is also largest in Fig. 4(a). From this point, both the amplitude response and the magnitude of
 278 $C_{y,u}$ decrease towards zero.

279 On the other hand, as it can be seen in Figs. 6(a,c), amplitude responses of $d/D = 0.2$ and 0.32 present

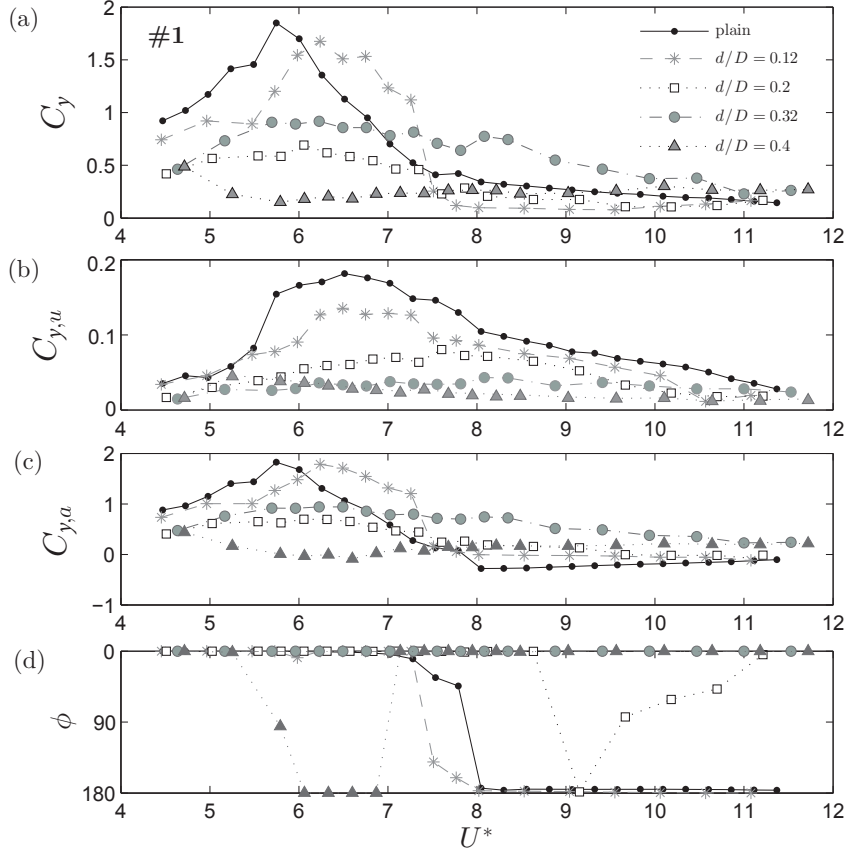


Figure 8: (a) Lift coefficient, C_y , along with corresponding components in phase with velocity (b) and displacement (c), and phase difference between lift and y -displacement (d), for different arrangements with layout #1.

280 qualitatively similar trends for $U^* < 9$, whereby A^* grows almost linearly for low values of reduced velocity,
 281 until reaching nearly similar constant amplitudes. However, the arrangement of $d/D = 0.32$ displays a larger
 282 amplitude response at higher values of reduced velocity. This behavior is the outcome of a larger magnitude
 283 of total lift C_y for the whole range of reduced velocity investigated. Moreover, the lift component in-phase
 284 with velocity $C_{y,v}$ lies very close to zero, indicating that the forcing is basically guided by the component $C_{y,a}$
 285 in-phase with acceleration, which now is always positive and above the curve of $d/D = 0.2$. Thus, the phase
 286 angle ϕ never shifts from 0° to 180° meaning that, even at larger values of reduced velocity, the forcing acts
 287 in the same direction as the body displacement and the level of motion amplitude is somehow maintained.

288 Finally, for the largest control rod size investigated, i.e. $d/D = 0.4$, the system response is largely attenuated
 289 as a consequence of a very low total lift coefficient C_y and corresponding components over the entire range of
 290 reduced velocity. The magnitude of C_y (and $C_{y,a}$) is highest at the lowest value of U^* , from which it drops
 291 and then grows slightly for $U^* > 6$. The coefficient $C_{y,a}$ is negative around $6 < U^* < 7$, where the phase ϕ
 292 becomes 180° , to subsequently jump back to 0° . Meanwhile, $C_{y,u}$ remains close to zero over the entire range
 293 of U^* . The overall weak forcing translates into a very mitigated response for all U^* investigated.

294 Results with the forcing for #2 are displayed in Fig. 9 for different values of d/D . In general, unlike in the
 295 case of configuration #1, now both the lift and phase difference show clear trends as the control rod diameter
 296 grows, displaying progressive changes, what could be related to smooth, less abrupt, qualitative modifications
 297 in the wake. Thus, the decrease in the amplitude response reported in Sect. 3 (see Fig. 5a), is related to a
 298 reduction of the magnitude of total lift C_y and its components, $C_{y,a}$ and $C_{y,u}$ (Figs. 9a-c). In particular,
 299 the coefficient in-phase with the velocity $C_{y,u}$ (Figs. 9b) becomes smaller, thus reducing the excitation on the
 300 structure as d/D grows, whereas the coefficient in-phase with acceleration $C_{y,a}$ (Figs. 9c), presents a similar
 301 behavior to the total lift, with a progressive magnitude peak reduction and displacement towards larger values

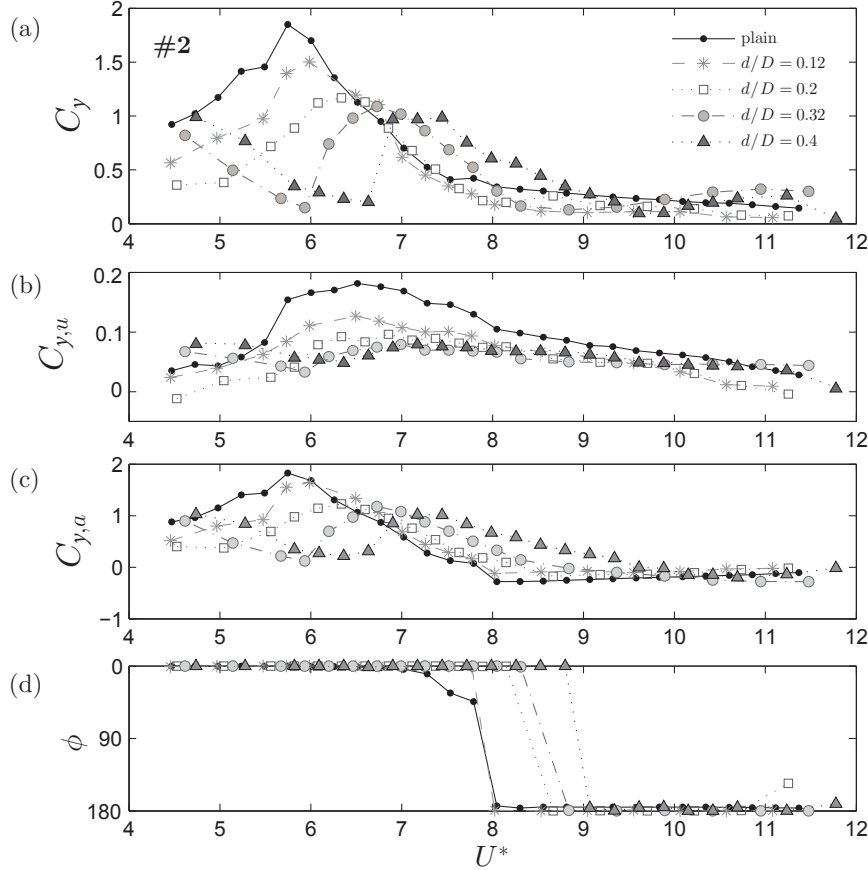


Figure 9: (a) Lift coefficient, C_y , along with corresponding components in phase with velocity (b) and displacement (c), and phase difference between lift and y -displacement (d), for different arrangements with layout #2.

302 of reduced velocity. Besides, the value of U^* for which $C_{y,a}$ becomes negative increases progressively, and
 303 consequently, the phase jump in Fig. 9(d) also moves slightly towards higher values of reduced velocity with
 304 d/D . This indicates that forcing and response are in phase for a wider range of reduced velocity for biggest
 305 values of d/D . This fact combined with the stronger magnitude of lift at larger values of U^* (due to shift in
 306 curves of C_y and $C_{y,a}$) leads to the rightwards extension of the upper branch curves of amplitude (Fig. 5a), what
 307 is particularly noticeable for $d/D = 0.4$ (for instance, the upper limit of such branch, which is determined by
 308 the phase jump, takes place around $U^* = 9$ approximately while for the uncontrolled case it occurs around 8).
 309 Additionally, for $d/D \geq 0.32$ and low values of reduced velocity ($U^* < 7$), the forcing is qualitatively different
 310 to that controlled configurations with $d/D = 0.12$ and 0.2, since there is a noteworthy growth in magnitudes
 311 of total lift C_y and coefficients $C_{y,u}$ and $C_{y,a}$. This distinct behavior translates into a more energetic response
 312 in terms of amplitude, as it was shown in Fig. 4(a), where a new initial branch was reported. Note that the
 313 frequency response for this range of reduced velocities is characterized by values of $f^* \simeq 1$, suggesting that
 314 there might be a widening of the lock-in range as d/D grows.

315 4.3. Correspondence between frequency forcing and response

316 Following the latter observation, we will next analyze the general modifications undergone by the structural
 317 dominant frequencies (response frequency) and the cross-flow forces (forcing frequency) upon increases of the
 318 control rod sizes. Fig. 10 displays PSD spectra from cross-flow force signals along with their corresponding
 319 dominant forcing and oscillation frequencies, for the plain cylinder case (Fig. 10a) and both controlled config-
 320 urations, #1 (Fig. 10b) and #2 (Fig. 10c), for control rods size $d/D = 0.12$, 0.2 and 0.4. Power spectra are
 321 used here to qualitatively estimate the intensity of wake oscillations and coherence of vortex shedding. As it is
 322 shown in Fig. 10(a), the plain cylinder case is characterized by a response frequency which is coincident with

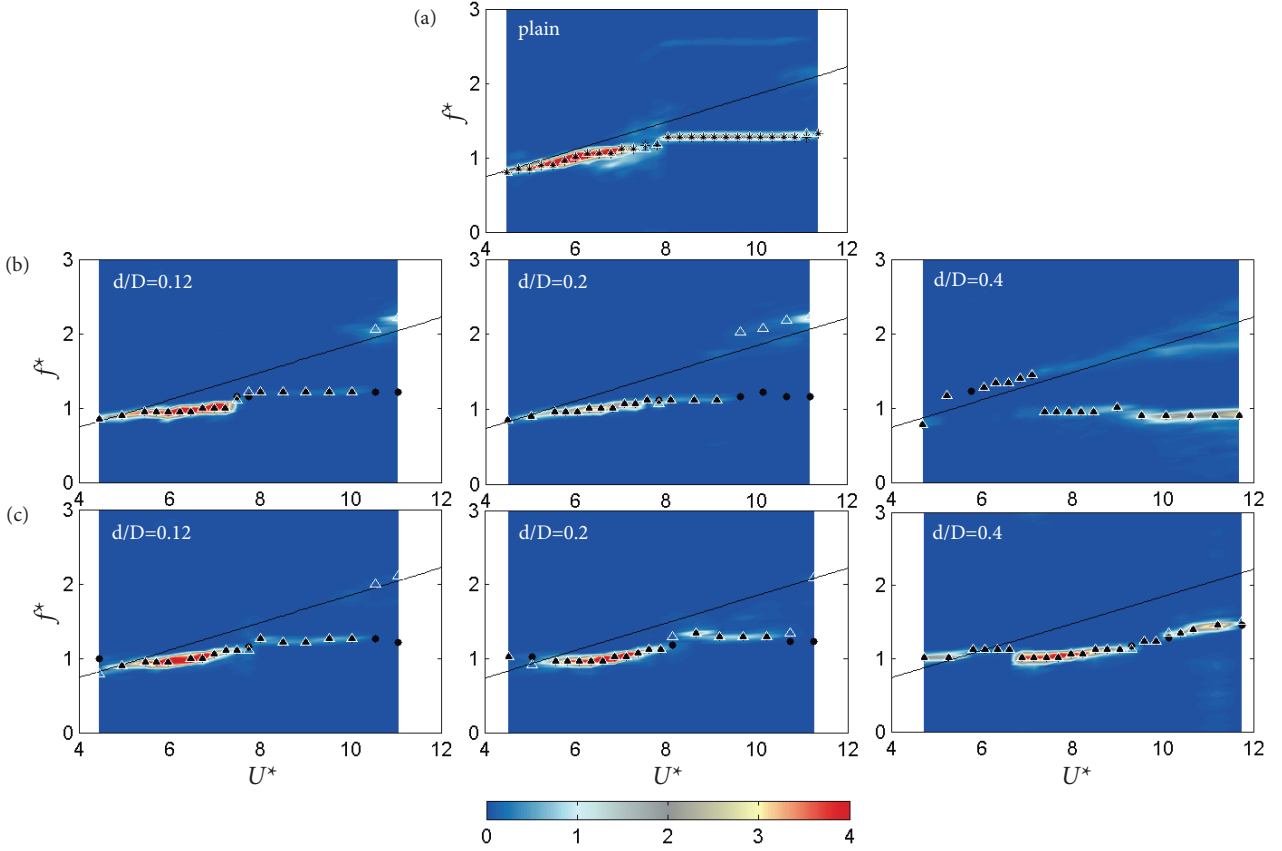


Figure 10: PSD spectra of cross-flow force signals (background contours) and corresponding dominant forcing frequency (white triangle symbols) and response oscillation frequency (black dotted symbols) for: plain cylinder (a) and controlled configurations #1 (b) and #2 (c), with control rods size $d/D = 0.12, 0.2$ and 0.4 . Solid lines represent the Strouhal law with $St = 0.18$, while the colormap indicates value of PSD amplitude of cross-flow force.

323 the dominant forcing frequency for the whole range of reduced velocity investigated. Thus, the initial branch is
 324 shown to be characterized by growing frequencies that follow the Strouhal law (solid line in Fig. 10 represents
 325 a measured value of $S \simeq 0.18$), until a point where the oscillations in the wake attain its largest magnitude,
 326 from which frequencies start to level off, with $f^* \simeq 1$, thus marking the beginning of the upper branch. For
 327 values of U^* between 7 and 8, the magnitude of PSD decreases and spectra display broader peaks, which is
 328 a known feature of the transition region between upper and lower branches, as it was previously reported by
 329 Khalak and Williamson [27] relating it to the change in wake regime between the so-called 2S and 2P modes.
 330 In view of the results, this transition between upper and lower branches takes place around $U^* = 8$, where the
 331 dominant frequencies reach a plateau at $f^* > 1$ (as it typically occurs for flexibly mounted cylinders with low
 332 $m^*\xi$ ratios). At the lower branch, the magnitude of PSD decreases and more periodic spectra with thinner
 333 peaks are observed, what may be related to the definitive setting of a 2P mode in the wake [28].

334 The implementation of control rods using layout #1 (Fig. 10b) produces significant changes in the wake
 335 and therefore, the forcing. First, when $d/D = 0.12$, there is general reduction on the magnitude of the PSD
 336 periodogram along with a shortening of the lower branch, when compared to plain case. Thus, forcing and
 337 response frequencies desynchronize for $U^* > 10$, where the forcing frequency follows the Strouhal law again.
 338 The modifications, although qualitatively similar, are more substantive in the case of $d/D = 0.2$, for which
 339 the desynchronization of frequencies values takes place at a lower reduced velocity. However, the picture is
 340 considerably different for $d/D = 0.4$. Now, the forcing and response frequencies follow the Strouhal law up to
 341 $U^* \simeq 7$, coinciding with a phase $\phi = 180^\circ$ and a very attenuated amplitude response (see Figs. 4a and 8d).
 342 Then, an abrupt transition takes place towards a plateau that is characterized by $f^* < 1$, from which the phase
 343 was shown to return to $\phi = 0^\circ$ (Fig. 8d) and the amplitude recovers (Fig. 4a). Besides, the forces spectra are

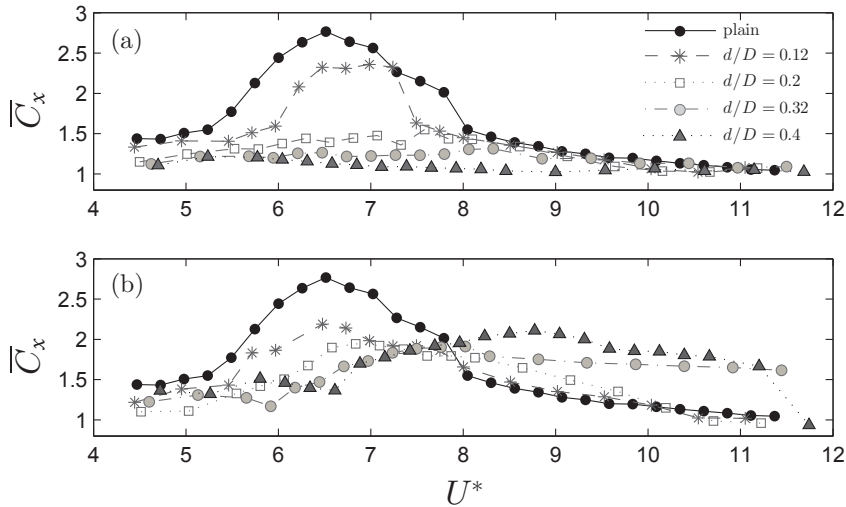


Figure 11: Mean drag coefficient, \bar{C}_x , as a function of reduced velocity U^* , controlled systems of different d/D and configurations #1 (a) and #2 (b).

344 now less periodic and present several peaks of similar order of magnitude (except for the initial branch). Unlike
 345 the previous cases, now the typical natural wake vortex shedding frequency is always observable in the spectra,
 346 also during the lock-in range, which seems to extend further than $U^* = 12$ (which was the experimental feasible
 347 limit of our study).

348 It is worth noting that there is a clear frequency decrease for the lock-in range as d/D grows, which is
 349 intrinsically related to wake modifications induced by control rods (note that, as listed in Table 1, the natural
 350 frequency of oscillations in still water f_n decreases with experimental arrangements of increasing d/D , and
 351 consequently values of $f^* = f/f_n$ can only diminish if f decreases). The latter might stem from the dependence
 352 of f^* on the effective added-mass (see e.g. Ref. [31]), which quantifies the effect of the total lift force in phase
 353 with the body acceleration, whose contribution increases with d/D at large U^* . Besides, the fact that $f^* < 1$
 354 prevents the jump in phase ϕ for $d/D = 0.32$ and 0.4 , which remains close to 0° at large U^* . This behaviour,
 355 however, can be also related to changes in the cross-sectional arrangement of the cylinder, in this case with “a
 356 triangular shape”, or to a stronger three-dimensionality of the shedding process. For instance, a comparable
 357 phenomenon has been recently reported for triangular prisms [32], or for a cylinder with helical strakes [33],
 358 where oscillation frequency remains always below the natural one, and no phase jump occurs.

359 Finally, a less complex forcing scenario is observed in Fig. 10(c) for configuration #2. Thus, when $d/D =$
 360 0.12 , the periodogram shows a similar forcing pattern to that corresponding to configuration #1, whereby
 361 the lock-in range is limited to $U^* < 11$, from which the dominant forcing frequency starts to follow again the
 362 natural shedding frequency. Concerning the initial branch, the lowest value of U^* presents now $f^* \simeq 1$, which
 363 is a typical feature of an incipient initial branch with quasi-periodic behavior [27]. As d/D increases, there is
 364 a progressive rightwards shift of the different branches, in line with previous observations on the lift and the
 365 phase. Interestingly, for $d/D = 0.4$, the forcing and response frequencies present a clear lock-in for the initial
 366 branch with $f^* \simeq 1$. In contrast with the former layout #1, now the spectra are seemingly more periodic,
 367 suggesting a more orderly shedding in the controlled wake.

368 4.4. Drag coefficient

369 Lastly, we will evaluate the effect of control rods on the mean drag coefficient, \bar{C}_x , which will be computed
 370 using the time-averaged in-line force as:

$$\bar{C}_x = \frac{\overline{F_x}}{0.5\rho D L u_\infty^2}. \quad (8)$$

371 Fig. 11 displays the mean drag coefficient \bar{C}_x as a function of the reduced velocity for controlled systems of
 372 different d/D and configuration #1 and #2, along with the plain cylinder case, which is shown for comparison.

373 In general, for the uncontrolled case, the drag coefficient is correlated to the VIV amplitude response (see Fig. 4a
374 and 5a) presenting larger values of \overline{C}_x along the upper branch, where the response is highest, and showing
375 lower values at initial and lower branches, where the value is similar to those typically reported for static
376 cylinders, at the same Re . For configuration #1 (Fig. 11a), the VIV amplitude reduction provided by the
377 implementation of control rods with $d/D \geq 0.2$ is associated to very remarkable drag reductions in the upper
378 branch and lock-in range. In particular, for $d/D = 0.4$, the nearly suppressed VIV response for $U^* < 8$ leads
379 to a maximum drag reduction of approximately 60%, which is considerably larger than other VIV control
380 solutions studied in the past [11, 26]. These results could be expected from the small lift coefficient values
381 displayed in Fig. 8, which were an indication of the lower vortex intensity at the wake. Such an effect would
382 then translate into a larger base pressure in the vicinity of control rods, and therefore, into a smaller drag
383 coefficient.

384 For layout #2, the situation is however different as Fig. 11(b) shows. In general, drag coefficient curves
385 are displaced to larger values of reduced velocity as d/D grows, in line with what was observed for the VIV
386 amplitude response. Besides, there is an important drag reduction at the upper branch for $d/D = 0.12$ and
387 0.2, that seems to be reverted for $d/D = 0.32$ and, more importantly, for $d/D = 0.4$, whose rightward shift
388 and lock-in range widening leads to an important increase of drag for $U^* > 8$. Therefore, it seems evident that
389 configuration #1 constitutes a more interesting control solution, not only in terms of amplitude response, but
390 also for drag reduction.

391 5. Conclusions

392 We have presented an experimental study on the attenuation of vortex-induced vibration (VIV) of a low
393 mass-damping one-degree-of-freedom circular cylinder, of diameter D , subject to uniform cross-flow, using
394 pairs of control rods of varying size located in the near wake. Two different *optimal* layouts for control rods
395 have been selected using recently obtained maps of VIV sensitivity to localized perturbations (Fig. 1). Both
396 control symmetric configurations are based on a pair of rods at downstream distances of $r \simeq 0.9D$ (layout
397 #1) and $r \simeq 1.3D$ (layout #2). Several values of the control cylinder diameter d have been tested, including
398 $d = 0.12D$, $0.2D$, $0.32D$ and $0.4D$. Thus, although important amplitude reductions are observed for all
399 arrangement investigated (Figs. 4 and 5), control solutions based on configuration #1 have been shown to
400 provide with the largest mitigation of VIV amplitude response, reaching values of amplitude reduction of
401 approximately 90% within the lock-in range.

402 Force measurements have been used to describe the nature of the changes in the wake and to shed some
403 light on the physical mechanisms behind the VIV control. Therefore, the aforementioned strong reductions
404 have been shown to be related to an important weakening of lift coefficient and phase modifications (Figs. 8
405 and 9). Additionally, the evaluation of drag coefficient implies that, for some particular arrangements, the
406 amplitude response attenuations comes with a relevant drag reduction (especially at the upper branch), which
407 in the case of layout #1 and $d = 0.4D$ is approximately 60%.

408 It is worth highlighting that such strong reduction in amplitude may be solely related to wake modifications
409 induced by control rods, since, as it has been shown in Table 1, the increase of d/D was accompanied by a slight,
410 progressive reduction of mass ratio m^* (and mass-damping ratio $m^*\xi$). Consequently, in view of other classical
411 studies on the ‘‘Griffin’’ plot for the plain cylinder (see e.g. [34]), a less attenuated amplitude response should
412 be expected if no wake control effects were put into play. Such effects seem to be related to sufficiently large
413 control rods preventing the shear layers to roll in shortly behind the cylinder, thus reducing the magnitude
414 of vortices in the near wake and consequently, the total lift and drag magnitudes. Besides, as occurs for
415 cylinder with strakes or bumps [9], the control rods of increasing size may also act strengthening the wake
416 three-dimensionality, subsequently leading to a weaker correlated vortex shedding, and a less coherent forcing.
417 This hypothesis is supported by the observation of less periodic coherence and irregularity that forces time
418 evolution display as d/D increases (signals are not shown here for the sake of brevity), that translates into
419 spectra with multiple frequencies. This is especially remarkable for arrangements with layout #1, as Fig. 10(b)
420 depicts.

421 In summary, the present strategy, based on optimal locations selected by means of sensitivity maps, has
422 been proven to provide with significant VIV amplitude attenuation in combination with considerable drag
423 reductions, a feature that can be considered of practical relevance in many engineering applications where

ensembles of several cylinders, subject to uniform cross-flow, need to be installed together.

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