

1 **Synergy between probiotic *Lactobacillus casei* and milk to maintain barrier integrity of**  
2 **intestinal epithelial cells**

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17

18 **Abstract**

19 We hypothesized that *Lactobacillus casei* BL23 and milk work synergistically to prevent  
20 damage to epithelial barrier integrity induced by pro-inflammatory cytokines. To test this, barrier  
21 disruption was induced in polarized Caco-2 monolayers by sequential, basolateral treatment with  
22 IFN- $\gamma$  and TNF- $\alpha$ . Apical application of either 25% v/v reconstituted skim milk (RSM) or Ultra  
23 High Temperature (UHT) milk (2% fat) prior to cytokine exposure reduced losses to  
24 transepithelial electrical resistance (TER). Permeability to fluorescein isothiocyanate-dextran  
25 (FD-4; 4 kDa) was also significantly reduced in the presence of 25% v/v UHT milk ( $P < 0.05$ ),  
26 but not RSM. Protection against increases in paracellular permeability was even greater when  
27 cell-free preparations of *L. casei* BL23 fermented UHT milk or fermented RSM were applied.  
28 The permeability coefficients of cells incubated with BL23 fermented UHT milk were equivalent  
29 to the untreated controls ( $P = 0.12$ ) and those cells also produced  $247.6 \pm 35.5$  pg/mL IL-8,  
30 which were significantly lower than found for cytokine-treated controls ( $353.9 \pm 40.0$  pg/mL).  
31 The benefits of the fermented milk were also confirmed by the reduced expression of TNF  
32 receptor 2 (TNFR2), myosin light-chain kinase (MLCK), and claudin-encoding genes relative to  
33 the controls. By comparison, apical application of viable *L. casei* onto the Caco-2 cells did not  
34 result in protection from the barrier-disruptive actions of IFN- $\gamma$  and TNF- $\alpha$ . These results  
35 indicate that milk can maintain intestinal barrier integrity during pro-inflammatory cytokine  
36 exposure and that this is enhanced by modifications to milk matrix caused by prior incubation  
37 with *L. casei* BL23.

38 **Keywords:** *Lactobacillus casei*, milk, intestinal barrier, inflammation, *TNFR2*

39

40 **Introduction**

41 Intestinal epithelial cells (IECs) maintain intestinal homeostasis by providing a physical  
42 and biochemical barrier which segregates host tissues from luminal contents containing digestive  
43 enzymes, degraded food products, and microorganisms<sup>1-2</sup>. Disruptions to the intestinal barrier  
44 that result in the induction of inappropriate inflammatory responses are thought to occur in  
45 inflammatory bowel diseases (IBD)<sup>3-4</sup>. Although there are presently no cures for IBDs or other  
46 intestinal inflammatory conditions, anti-inflammatory drugs, steroids, or biologics (e.g. anti-  
47 tumor necrosis factor [anti-TNF- $\alpha$ ]) help to maintain the epithelial barrier and reduce symptoms  
48 <sup>5-6</sup>. Pharmacological therapies provide sustained benefits, however, those therapies are costly and  
49 can result in severe side-effects. Therefore, alternative approaches such as nutrition-based  
50 strategies to managing inflammatory flare-ups could complement and be used to support current  
51 clinical practices.

52 Probiotics are useful for the prevention and treatment of a variety of acute and chronic  
53 human diseases<sup>7-8</sup>. While some attention has been given to the potential mechanisms by which  
54 probiotics improve health by modulating the intestinal microbiota<sup>9-10</sup>, direct interactions between  
55 probiotics and the intestinal epithelium and immune system have also been shown<sup>11-12</sup>. In tests  
56 using human IEC cultures, probiotics were observed to dampen pro-inflammatory responses, and  
57 prevent deficits to intestinal barrier function<sup>13-15</sup>. Strains of *Lactobacillus casei* have shown  
58 promise for the prevention or mitigation of intestinal inflammation in mice<sup>16-18</sup> and IECs<sup>19-20</sup>.  
59 However, studies addressing the direct protective effects of *L. casei* on barrier integrity are  
60 limited to *L. casei* DN114 001. This strain was shown to improve the epithelial barrier by down-  
61 regulating the NF- $\kappa$ B signaling pathway, increasing the production of the tight junction protein  
62 ZO-1, and inhibiting ZO-1 redistribution from the membrane to the cytoplasm<sup>21-23</sup>.

63 Dairy products are the most common delivery matrices of probiotic bacteria in foods and  
64 beverages. Bovine milk is a rich source of proteins, lipids, and carbohydrates as well as other  
65 bioactive compounds including but not limited to oligosaccharides, glycosphingolipids,  
66 lactoferrin, and immunoglobulins. Some bioactive compounds from milk such as beta-  
67 lactoglobulin<sup>24</sup>, lactoferrin<sup>25</sup> and casein-derived peptide<sup>26</sup> were reported to improve the epithelial  
68 barrier function *in vitro* and *in vivo*. Probiotic-containing, fermented dairy products (mainly  
69 yogurts) were found to improve symptoms of IBD in dietary recall surveys<sup>27</sup>. In mice, fermented  
70 skim milk containing *L. paracasei* CBA L74 ameliorated dextran sulfate sodium (DSS)-induced  
71 colitis and elicited anti-inflammatory responses in human dendritic cells<sup>28</sup>.

72 We previously observed that *L. casei* BL23 protected against the development of DSS-  
73 induced colitis in mice when ingested in the presence of Ultra High Temperature (UHT)-  
74 processed milk (2% fat) but not when ingested in a nutrient-free buffer<sup>29</sup>. Consumption of UHT  
75 milk alone also provided some protection against weight loss and intestinal inflammation, but  
76 was not as effective as the *L. casei*-fermented milk combination. Therefore, it is expected that  
77 combining *L. casei* BL23 and milk can confer advantages beyond the ingestion of either *L. casei*  
78 or milk alone.

79 In this study, we hypothesized that *L. casei* and milk work synergistically to prevent  
80 disruptions to the intestinal epithelial barrier caused by pro-inflammatory cytokines. We  
81 employed an IEC Caco-2 Transwell model to investigate the effects of milk, *L. casei* BL23, and  
82 cell-free preparations of *L. casei* fermented milk on preventing epithelial barrier disruption  
83 induced by IFN- $\gamma$  and TNF- $\alpha$ . In addition to testing UHT milk, reconstituted skim milk (RSM)  
84 was also included because it is commonly used in preclinical probiotic studies<sup>30-32</sup> and affords a  
85 more standardized format for investigating probiotic-milk interactions. Transepithelial electrical

86 resistance (TER) and paracellular permeability were measured as well as changes in the  
87 expression of IEC signaling pathways and tight junction proteins.

88

## 89 **Materials and Methods**

90 **Chemicals.** Difco Skim Milk and de Man Rogosa and Sharpe (MRS) broth were obtained  
91 from BD Biosciences (Franklin Lakes, NJ, USA). Ultra high temperature (UHT)-processed 2%  
92 reduced fat milk was purchased from Gossner Foods (Logan, UT, USA). Cell culture reagents,  
93 Dulbecco's Modified Eagle Medium (DMEM), fetal bovine serum, sodium pyruvate,  
94 nonessential amino acids, GlutaMax, penicillin/streptomycin and sterile phosphate buffer saline  
95 (PBS, pH 7.4) were obtained from Gibco (Life Technologies, Carlsbad, CA, USA). Cytokines  
96 IFN- $\gamma$  and TNF- $\alpha$  were purchased from R&D Systems (Minneapolis, MN, USA). Fluorescein  
97 isothiocyanate-dextran (4 kDa; FD-4) was purchased from Sigma-Aldrich (St Louis, MO, USA).  
98 ELISA kits used for quantification of IL-8 and TGF- $\beta$  were obtained from Life Technologies  
99 (Carlsbad, CA, USA). TRIzol reagent for RNA extraction was purchased from Invitrogen  
100 (Carlsbad, CA, USA). TURBO DNA-free for RNA purification and RETROscript for first-strand  
101 cDNA synthesis were purchased from Ambion (Austin, TX, USA). SsoFast Eva Green Supermix  
102 used for real-time PCR assay was obtained from Bio-Rad Company (Hercules, CA, USA).

103 ***Lactobacillus casei* and milk sample preparation.** *L. casei* BL23 was grown under static  
104 conditions at 37 °C in MRS broth for 24 h before inoculation into reconstituted skim milk  
105 medium (RSM) at 1% (v/v) and incubation at 37 °C for another 24 h to stimulate adaptations for  
106 growth in milk. *L. casei* BL23 cells were then collected by centrifugation at 10,000  $\times$  g for 10  
107 min at 4 °C, and washed twice with PBS. BL23 cells were either suspended in DMEM and  
108 applied directly in the Transwells at a density of  $3 \times 10^3$  (low),  $3 \times 10^5$  (moderate), and  $3 \times 10^7$

109 (high) cells/cm<sup>2</sup>, respectively or suspended in DMEM, RSM, or UHT (2% fat) milk at a number  
110 of approximately  $1 \times 10^8$  cells/mL and then incubated at 37 °C for 6 h. Next, the cultures were  
111 diluted to 25% (v/v) in DMEM and the cells were removed by centrifugation at  $10,000 \times g$  for 10  
112 min at 4 °C. The supernatant was collected, adjusted to pH 7.4, and then filtered through 0.22  
113 µm filters (SLGP033RB; Millipore, Bedford, MA, US). Supernatants from non-fermented UHT  
114 and RSM milk samples diluted to 25% (v/v) in DMEM were prepared following same procedure  
115 and included as controls. To test if diluted DMEM affected the Caco-2 cell integrity, DMEM  
116 diluted with sterile water was tested.

117 **Epithelial cell culture and cytokine stimulation.** Human intestinal Caco-2 cells ATCC  
118 HTB-37, purchased from American Type Cell Culture (Rockville, MD, USA), were grown in  
119 DMEM as previously described<sup>33</sup>. Briefly, the Caco-2 cells were incubated in DMEM, 20%  
120 fetal bovine serum, 1 mM sodium pyruvate, 0.1 mM nonessential amino acids, 2 mM GlutaMax  
121 and 1% v/v penicillin/streptomycin at 37 °C in 10% CO<sub>2</sub>. To form a monolayer, the cells were  
122 seeded onto polycarbonate membranes in Transwell inserts (6.5 mm, 0.4 µm pore size, 24 wells;  
123 Corning, NY, USA) at a density of  $1 \times 10^4$  cells/cm<sup>2</sup>. After incubation for at least 21 days, the  
124 cells were fully differentiated and formed polarized monolayers with intercellular tight junction  
125 structures. TER was measured at 37 °C with an epithelial volttohmmeter (World Precision  
126 Instruments, Sarasota, FL, USA) equipped with STX-2 “chopstick” electrodes. Caco-2  
127 monolayers with an initial TER of more than 250 Ohms·cm<sup>2</sup> were used for the subsequent  
128 experiments.

129 Initial TER values were measured for each monolayer before adding fresh DMEM or  
130 DMEM containing milk or bacteria to the apical surface. Consistent with previous studies,<sup>14,34</sup>  
131 the cells were apically exposed to the different treatments at 37 °C for 3 h prior to basolateral

132 addition of 100 ng/mL IFN- $\gamma$ . The DMEM of the basal compartment wells was replaced 20 h  
133 later and supplemented with 10 ng/mL TNF- $\alpha$ .

134 **Paracellular permeability assay.** Paracellular permeability was determined by the flux of  
135 FD-4 through differentiated Caco-2 monolayers as previously described with minor  
136 modifications<sup>33,35</sup>. After 48 h stimulation with TNF- $\alpha$ , the apical side contents were replaced  
137 with DMEM containing FD-4 (2 mg/mL). After 30 min, FD-4 content on the basolateral side  
138 was quantified by measuring the fluorescence intensity 485 nm (excitation) and 530 nm  
139 (emission) in a microplate reader (Synergy-2; BioTek, Winooski, VT, USA). The concentration  
140 of FD-4 was calculated using a standard curve of FD-4 in DMEM. The apparent permeability  
141 coefficient,  $P_{app}$  (cm/s), was determined according to the following equation:  $P_{app} = \frac{dQ}{dt} \frac{1}{A \times C_0}$ ,  
142 where  $dQ/dt$  is the quantity of FD-4 transported per second (ng/s),  $A$  is the surface area of the  
143 filter (cm<sup>2</sup>), and  $C_0$  is the initial FD-4 concentration in DMEM on the apical side (ng/mL).

144 **ELISA assays for IL-8 and TGF- $\beta$  detection.** After 48 h stimulation with TNF- $\alpha$ , DMEM  
145 collected from the basolateral side was used for quantification of interleukin 8 (IL-8) and  
146 transforming growth factor beta (TGF- $\beta$ ) by ELISA. All assays were performed in triplicate  
147 according to the manufacturer's instructions.

148 **RNA extraction and quantitative reverse-transcription PCR.** After 48 h stimulation  
149 with TNF- $\alpha$ , total RNA from the Caco-2 monolayers was isolated using TRIzol reagent and  
150 purified using the TURBO DNA-free Kit. RNA was quantified on the NanoDrop 2000c  
151 (Thermo-Fisher). Quality was assessed on the Agilent 2100 Bioanalyzer (Agilent Technologies,  
152 Santa Clara, CA) and found to be intact (RIN 9.4 to 9.8). RNA (1  $\mu$ g) was reverse transcribed to  
153 cDNA using the RETROscript kit, following the manufacturer's protocol. Quantitative reverse-  
154 transcription PCR (RT-qPCR) was performed using SsoFast Eva Green Supermix on a 7500 Fast

155 Real-time PCR system (Applied Biosystems, Carlsbad, CA, USA). The primers used for RT-  
156 qPCR are listed in **Table S1**. Amplification was initiated at 95 °C for 20 s, and was followed by  
157 40 cycles of 95 °C for 10 s (denaturation), and 60 °C for 30 s (annealing extension). Primer  
158 specificity was assessed by examination of the melting curve at the end of amplification. All  
159 reactions were performed in triplicate. Data were analysed using the  $2^{-\Delta\Delta C_t}$  method corrected for  
160 primer efficiencies using the untreated cells as the reference condition<sup>36</sup>. The housekeeping gene  
161 *GAPDH* was used for transcript normalization.

162 **Statistical analysis.** Data were analyzed using GraphPad Prism 6 software for Windows  
163 (GraphPad Software, Inc., La Jolla, CA, USA). All data are reported as mean  $\pm$  standard  
164 deviation (SD) and analyzed by ANOVA with post-hoc Tukey HSD (Honestly Significant  
165 Difference) test for comparing multiple treatments.

166

## 167 **Results**

168 **RSM and UHT (2% fat) milk protect against IFN- $\gamma$  and TNF- $\alpha$  induced losses to**  
169 **Caco-2 barrier integrity.** To develop conditions that permit assessments of the effects of milk  
170 on intestinal permeability, DMEM was adjusted to contain either 6.25%, 12.5%, 25% v/v RSM  
171 or UHT (2% fat) milk. The TER of Caco-2 cell monolayers did not change in response to any of  
172 these milk additions relative to the untreated controls (DMEM, no milk) (**Figure 1A**).

173 Additionally, the dilution of DMEM caused by the inclusion of RSM or UHT milk did not result  
174 in barrier deficits as shown by testing DMEM diluted up to 50% with water (**Figure S1**).

175 Therefore, DMEM containing 25% v/v RSM or UHT milk was used in subsequent experiments.

176 Caco-2 cell monolayers were next exposed to IFN- $\gamma$  and TNF- $\alpha$  in succession. After TNF- $\alpha$   
177 application, the TER values were reduced by up to 30% within 48 h incubation, indicating that

178 the cytokines caused disruptions to barrier integrity (**Figure 1B**,  $P < 0.0001$  relative to the  
179 untreated controls). By comparison, incubating the monolayers in the presence of DMEM  
180 containing 25% v/v RSM or UHT milk for 3 h prior to cytokine addition resulted in average  
181 reductions in TER by only 2.3% and 7.7%, respectively (**Figure 1B**,  $P = 0.0004$  and  $P < 0.0001$   
182 relative to the untreated controls). Notably, the response of the Caco-2 cells to the milk was dose  
183 dependent, as shown by applications 6.25%, 12.5%, or 25% v/v RSM milk (**Figure 1C**).

184 To further verify the beneficial effects of milk on the Caco-2 cell barrier, paracellular  
185 permeability to uncharged macromolecules was measured using FD-4. IFN- $\gamma$  and TNF- $\alpha$   
186 exposure resulted in significant increases in the transfer of FD-4 from the apical to the  
187 basolateral side of the Transwells, leading to a permeability coefficient ( $P_{app}$ ) 2-fold higher than  
188 found for untreated controls (**Figure 1D**,  $P < 0.0001$ ). Caco-2 cells exposed to DMEM  
189 containing 25% v/v RSM were not protected from IFN- $\gamma$  and TNF- $\alpha$  according to this  
190 measurement (**Figure 1D**). By comparison, incubation with 25% v/v UHT milk partially  
191 prevented the cytokine-mediated, permeability increase (**Figure 1D**). The  $P_{app}$  with 25% v/v  
192 UHT milk was significantly lower than found for Caco-2 cells exposed to IFN- $\gamma$  and TNF- $\alpha$  in  
193 the absence of milk ( $P = 0.003$ ) and only slightly, albeit still significantly, higher than the  
194 untreated controls ( $P = 0.045$ ).

195 ***L. casei* BL23 cells do not prevent cytokine-induced intestinal barrier disruption.** To  
196 investigate whether viable *L. casei* BL23 can influence IEC barrier integrity, the apical surfaces  
197 of the Caco-2 monolayers were exposed to low ( $3 \times 10^3$ ), moderate ( $3 \times 10^5$ ), and high ( $3 \times 10^7$ )  
198 numbers of *L. casei* BL23 cells/cm<sup>2</sup>. Low and moderate numbers of *L. casei* BL23 did not  
199 impact the monolayers, whereas high *L. casei* cell quantities resulted in significant losses to TER  
200 within 72 h incubation (**Figure S2**). Therefore, the moderate level ( $3 \times 10^5$  cells/cm<sup>2</sup>) of *L. casei*

201 BL23 cells was tested for the capacity to prevent impairments caused by IFN- $\gamma$  and TNF- $\alpha$ .  
202 However, no protection was found when viable BL23 were applied according to measurements  
203 of TER and permeability to FD-4 (**Figure 2**).

204 **Attenuation of barrier dysfunction by *L. casei* BL23 fermented milk.** In order to avoid  
205 cytotoxic effects caused by *L. casei* BL23 on the Caco-2 cells, and because direct contact with  
206 the epithelium might not be essential for probiotic-induced health benefits, we tested whether  
207 metabolites secreted by *L. casei* BL23 have the capacity to alter intestinal barrier integrity. To  
208 measure this, *L. casei* BL23 was incubated in DMEM, RSM, or UHT milk at a level of  $1 \times 10^8$   
209 cells/mL for 6 h prior to application of cell-free preparations of the fermented media (DMEM or  
210 milk) at a 25% v/v ratio onto the Caco-2 monolayers. *L. casei* BL23 was metabolically active in  
211 DMEM, RSM and UHT milk as indicated by the reductions in pH from approximately pH 7 to  
212 pH 5 by the end of the 6 h incubation period (data not shown). When cell-free preparations of the  
213 fermented DMEM or milk were applied at a 25% v/v ratio in DMEM onto the Caco-2  
214 monolayers, no losses in barrier integrity were found over 72 h incubation (data not shown).

215 When *L. casei* fermented RSM and UHT milk was tested on monolayers exposed to IFN- $\gamma$   
216 and TNF- $\alpha$ , the TER remained comparable to the native (non-fermented) milk counterpart  
217 (**Figure 3A**). However, the fermented UHT milk resulted in significantly reduced FD-4  
218 permeability coefficients compared to the native UHT milk ( $P = 0.006$ ) and untreated Caco-2  
219 cell controls ( $P = 0.12$ , **Figure 3B and S4**). The *L. casei* fermented RSM did not protect the  
220 Caco-2 monolayers against increases in paracellular permeability caused by IFN- $\gamma$  and TNF- $\alpha$   
221 (**Figure 3B**). *L. caesi* fermented DMEM also did not prevent cytokine-induced losses to TER or  
222 increases in translocation of FD-4 (**Figure S3**).

223 **Inhibition of IL-8 production by UHT milk exposed to *L. casei* BL23.** Quantities of IL-  
224 8, an indicator of inflammatory responses in epithelial cells<sup>14,37</sup>, were elevated in the basolateral  
225 medium of Caco-2 cells exposed to IFN- $\gamma$  and TNF- $\alpha$  ( $353.9 \pm 40.0$  pg/mL). These levels were  
226 significantly lower in cells exposed to the *L. casei* fermented UHT milk, which was  $247.6 \pm 35.5$   
227 pg/mL (**Figure 4**,  $P < 0.05$ ). By comparison, intermediate, non-significant reductions in this  
228 cytokine were found for the other (fermented) milk types. None of the (fermented) milk  
229 treatments prevented IFN- $\gamma$  and TNF- $\alpha$ -induced reductions in the anti-inflammatory cytokine  
230 TGF- $\beta$  (**Figure S5**).

231 **Down-regulation of *TNFR2*, *MLCK*, and tight junction gene expression by *L. casei***  
232 **BL23 fermented UHT milk.** The expression of tumor necrosis factor receptor 2 (*TNFR2*) in  
233 Caco-2 cells was previously shown to be up-regulated in response to IFN- $\gamma$ <sup>37</sup>. Similarly, we  
234 found that monolayers exposed to IFN- $\gamma$  and TNF- $\alpha$  contained, on average, 4.5-fold higher  
235 quantities of *TNFR2* transcripts compared to the untreated Caco-2 controls (**Figure 5**, average of  
236 2.2-fold on a log<sub>2</sub> scale). These levels were similarly high when the monolayers were exposed to  
237 either RSM or UHT milk. By comparison, incubation with either *L. casei* BL23 fermented RSM  
238 or UHT milk resulted in reduced *TNFR2* expression relative to the cytokine-treated controls  
239 (**Figure 5**,  $P < 0.05$ ).

240 It was previously shown that the major effector responsible for TNF- $\alpha$  induced intestinal  
241 barrier disruption is the myosin light chain kinase (*MLCK*)<sup>38</sup>. Although there was no change in  
242 *MLCK* transcript abundance between cytokine-treated and untreated controls, *MLCK* expression  
243 was slightly, but significantly, reduced in the Caco-2 monolayers exposed to *L. casei* BL23  
244 fermented RSM as well as native and fermented UHT milk relative to both the untreated Caco-2  
245 cells and cytokine-treated controls (**Figure 5**,  $P < 0.05$ ).

246 Transcript levels of *CLDN2*, coding for the pore-forming tight junction protein Claudin-2,  
247 were 1.5-fold lower in Caco-2 cell monolayers incubated with *L. casei* fermented RSM or native  
248 or fermented UHT milk compared to the cytokine-treated controls (**Figure 6**,  $P < 0.05$ ). For cells  
249 incubated with *L. casei* BL23 fermented UHT milk, *CLDN1* (Claudin-1) expression was also  
250 reduced (**Figure 6**,  $P < 0.05$ ). Moreover, both *L. casei* fermented milks resulted in reduced  
251 quantities of *CLDN3* and *CLDN4* transcripts, coding for barrier-forming, tight junction proteins  
252 Claudin-3 and Claudin-4, compared to the cytokine-treated controls (**Figure 6**,  $P < 0.05$ ). Lastly,  
253 occludin (*OCLN*) and ZO-1 (*ZO-1*) expression levels were unchanged (**Figure 6**,  $P > 0.05$ ).

254

## 255 **Discussion**

256 Both RSM and UHT milk reduced the impact of IFN- $\gamma$  and TNF- $\alpha$  on epithelial barrier  
257 integrity of Caco-2 cells. However, the effects of these milk types were significantly augmented  
258 by the application of cell-free preparations of the RSM and UHT milk that had been incubated in  
259 the presence of *L. casei* BL23. These distinctions were evident in the improved paracellular  
260 permeability, lower IL-8 levels, and reduced expression of *TNFR2*, *MLCK*, and altered tight  
261 junction proteins in response to the *L. casei* fermented milk. The findings are also notable  
262 because the direct application of viable BL23 cells onto the monolayers was insufficient to  
263 prevent reductions in barrier integrity. These results are consistent with our prior work showing  
264 that *L. casei* BL23 in milk prevented the development of DSS-induced colitis and this response  
265 was specific to the *L. casei* and milk combination<sup>29</sup>.

266 Bovine milk as well as individual milk components were previously reported to improve the  
267 intestinal barrier and have anti-inflammatory properties in the digestive tract<sup>26,39-40</sup>. To this  
268 regard, both RSM and UHT milk significantly reduced the damaging effects of IFN- $\gamma$  and TNF- $\alpha$ .

269 on Caco-2 cells according to assessments of TER. This was achieved even when a low quantity  
270 of milk (6.5% v/v RSM in DMEM) was applied. The UHT milk, but not RSM, conferred  
271 additional changes indicated by the reduced paracellular permeability to FD-4 relative to the  
272 DMEM controls. To this regard, 2% fat UHT milk appears to be more protective than RSM.  
273 Although the two milk types have obvious differences in fat content, there are also numerous  
274 other compositional differences, such as variations in protein composition that could affect these  
275 outcomes<sup>41-42</sup>.

276 Incubation of intact, viable *L. casei* BL23 cells with Caco-2 cells did not alleviate cytokine-  
277 induced barrier disruption, and the application of higher *L. casei* numbers even resulted in  
278 cytotoxic effects. These findings differ from other studies showing that some *Lactobacillus*  
279 strains, applied in similar or higher numbers (up to approximately  $1 \times 10^8$  cells/cm<sup>2</sup>), improved  
280 epithelial barrier function *in vitro*<sup>14,43-44</sup>. Such differences support the premise that *Lactobacillus*  
281 interactions with IECs are strain and species specific. Because the application of a cell-free  
282 preparation of *L. casei* BL23 fermented DMEM did not prevent declines in TER or increased  
283 translocation of FD-4, the findings here also strongly indicate that probiotic activity is dependent  
284 on the incubation conditions (e.g. milk or DMEM) to which the probiotics are exposed.

285 *L. casei* fermented RSM and UHT milk attenuated cytokine-induced reductions in  
286 paracellular permeability according to FD-4 translocation from the apical to basolateral  
287 compartments in the Caco-2 Transwells. This finding is notable because TNF- $\alpha$  is known to  
288 increase paracellular permeability, as opposed to only cause more general impairments measured  
289 by TER. Moreover, only the *L. casei* fermented UHT milk reduced IL-8 production levels to the  
290 extent that quantities were significantly lower compared to the cytokine-treated controls. These  
291 outcomes indicate that *L. casei* was able to alter the milk in such a way that the beneficial

292 properties of the milk matrix were preserved while also providing additional components or  
293 modifications that caused reinforcements to the paracellular tight junctions.

294 The impacts of the *L. casei* fermented milk on the Caco-2 cells were also shown by the  
295 changes in *TNFR2*, *MLCK*, and tight junction protein gene expression levels. As expected,  
296 *TNFR2* was up-regulated in cells exposed to IFN- $\gamma$  and TNF- $\alpha$ . However, exposure of the  
297 monolayers to the *L. casei* fermented milk resulted in significantly lower *TNFR2* transcript levels  
298 than both the cytokine-treated controls and cells exposed to either RSM or UHT milk. Similarly,  
299 application of *L. casei* BL23 fermented RSM and UHT milk also resulted in reduced expression  
300 of *MLCK* and several claudin genes. Among the claudin genes, *CLDN2* was reported to be up-  
301 regulated in inflammatory bowel diseases and under inflamed conditions in the gut<sup>45-46</sup>. The  
302 other claudin genes *CLDN1*, *CLDN3* and *CLDN4* form a charge barrier<sup>47</sup>. The changes in gene  
303 expression observed here indicate that (fermented) milk application modifies tight junction  
304 architecture caused by exposure to IFN- $\gamma$  and TNF- $\alpha$ .

305 Ultimately, these results should be verified and further investigated in studies quantifying  
306 IEC protein levels and localization. The studies should also be supported by efforts to identify  
307 the specific bioactive metabolites modified or produced by *L. casei* in milk. For example, we  
308 previously observed that the quantities of several *L. casei* BL23 proteins involved in proteolysis  
309 (PepO and PepF1) were elevated when the strain was incubated in milk<sup>29</sup>. These enzymes might  
310 be used to hydrolyze milk proteins and generate bioactive peptides. Alternatively, short chain  
311 fatty acids, such as lactate and acetate produced by lactobacilli in food matrixes are known to  
312 directly stimulate epithelium growth and improve intestinal barrier function<sup>48-49</sup>. Knowledge on  
313 these specific *L. casei*-elicited modifications to the milk matrix combined with identification of  
314 the predominant bioactive compounds in milk<sup>50</sup> is needed in order to identify the precise

315 receptors and signal transduction pathways activated in Caco-2 cells to maintain barrier integrity  
316 in the presence of pro-inflammatory cytokines and inflammatory insults. Similarly, this  
317 information will assist in designing experiments to determine the strain specificity and numbers  
318 of *L. casei* cells required to sufficiently augment the barrier protective properties of milk. Lastly,  
319 the stability and activity of those components should be studied *in vivo* to determine the impact  
320 of digestive processes on *L. casei* – milk interactions.

321 In conclusion, this study provides new evidence of synergistic probiotic-milk matrix  
322 interactions. At least for *L. casei* BL23 studied here, the effects on IECs were not dependent on  
323 cell to cell contact but rather secreted compounds or modifications to the milk matrix caused by  
324 *L. casei*. The baseline benefit of milk, and the 2% fat UHT milk in particular, together with the  
325 increased responses caused by incubating *L. casei* BL23 in the milk prior to application, provides  
326 opportunities for pairing whole foods such as dairy products with different probiotic strains to  
327 improve health outcomes.

328

### 329 **Abbreviations**

330 IEC, Intestinal epithelial cell;

331 IBD, inflammatory bowel disease;

332 TNF- $\alpha$ , tumor necrosis factor alpha;

333 DSS, dextran sulfate sodium;

334 IFN- $\gamma$ , interferon gamma;

335 FD-4, fluorescein isothiocyanate-dextran (4 kDa);

336 NF- $\kappa$ B, nuclear factor kappa-light-chain-enhancer of activated B cells;

337 TER, Transepithelial electrical resistance;

338 RSM, sterile reconstituted skim milk;

339 UHT, ultra-high temperature treated;  
340 DMEM, Dulbecco's Modified Eagle Medium;  
341 IL-8, interleukin-8;  
342 MLCK, myosin light chain kinase;  
343 OCLN, occludin;  
344 ZO-1, zonula occludens-1;  
345 CLDN, claudin;  
346 GAPDH, glyceraldehyde-3-phosphate dehydrogenase.

347

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358

#### 359 **Supporting Information**

360 Additional Supporting Information may be found in the online version of this article at the  
361 publisher's web-site:

362 **Table S1.** Primers used for RT-qPCR.

363 **Figure S1.** Caco-2 monolayers are unaffected by the presence of 50% v/v DMEM.

364 **Figure S2.** High numbers of *L. casei* BL23 cells impair Caco-2 cell TER.

365 **Figure S3.** Lack of protection by *L. casei* BL23 fermented DMEM.

366 **Figure S4.** Change of the permeability to FD-4 in Caco-2 monolayers over time.

367 **Figure S5.** TGF- $\beta$  in the basal medium after pro-inflammatory cytokine stimulation.

368

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501 **2017**, *232*, 673-682.

502

503

504 **Tables**

505

506 **Table 1. Nutritional composition of 2% fat UHT milk<sup>a</sup> and reconstituted skim milk<sup>b</sup>.**

Nutritional composition (100 mL)	2% fat UHT milk	Skim milk
Energy	50.8 kcal	36.6±2.3 kcal
Protein	3.4 g	3.5±0.2 g
Total fat	2.1 g	0 g
Total carbohydrates	4.7 g	5.2±0.4 g
Cholesterol	8.5 mg	1.8±0.6 mg
Sodium	42.4 mg	52.2±2.0 mg

507 <sup>a</sup>Data was obtained from product label provided by Gossner Foods (Logan, UT, USA).

508 <sup>b</sup>Collected from USDA Food Composition Databases.

509

510

511 **Figure legends**

512 **Figure 1. The effects of milk on Caco-2 monolayers.** (A) Transepithelial electrical resistance  
513 (TER) of Caco-2 monolayers 72 h after incubation in DMEM containing 6.25%, 12.5%, 25% v/v  
514 reconstituted skim milk (RSM) or Ultra High Temperature (UHT) 2% fat milk. (B) TER after  
515 exposure to IFN- $\gamma$  and TNF- $\alpha$ . Caco-2 monolayers were either untreated (black bars) or basally  
516 stimulated with 100 ng/mL IFN- $\gamma$  for 20 h and then 10 ng/mL TNF- $\alpha$  (white bars). DMEM was  
517 refreshed in the controls or modified to contain 25% v/v RSM (slashed bars) or UHT milk  
518 (checkered bars) 3 h prior to IFN- $\gamma$  supplementation. TER was measured 24, 36, and 48 h after  
519 TNF- $\alpha$  addition. (C) TER after exposure to IFN- $\gamma$  and TNF- $\alpha$  in the presence of different ratios  
520 of 6.25%, 12.5%, 25% v/v RSM. Milk and cytokine additions were carried out as described for  
521 (B). (D) Paracellular permeability was determined by the flux of fluorescein isothiocyanate-  
522 dextran (4 kDa; FD-4) through differentiated Caco-2 monolayers 48 h after stimulation with  
523 TNF- $\alpha$ . Cells were incubated in the presence of FD-4 for 30 min before measuring for the  
524 compound in the basal medium. One representative experiment of at least two independent  
525 experiments is shown. Bars with different letters are statistically significant compared to each  
526 group (n = 6,  $P < 0.05$ ).

527  
528 **Figure 2. *L. casei* BL23 in DMEM did not alleviate intestinal barrier dysfunction.** (A) Caco-  
529 2 monolayers were either untreated (black bars) or basally stimulated with 100 ng/mL IFN- $\gamma$  for  
530 20 h and then 10 ng/mL TNF- $\alpha$  (white bars). A total of  $3 \times 10^5$  *L. casei* BL23 cells/cm<sup>2</sup> in  
531 DMEM were added to the apical surface 3 h before the addition of IFN- $\gamma$ . TER was measured  
532 24, 36, and 48 h after TNF- $\alpha$  addition. (B) Paracellular permeability was determined by the flux  
533 of FD-4 through differentiated Caco-2 monolayers 48 h after stimulation with TNF- $\alpha$ . Cells were

534 incubated in the presence of FD-4 for 30 min before measuring for the compound in the basal  
535 medium. Bars with different letters are statistically significant compared to each group (n = 6,  $P$   
536 < 0.05).

537

538 **Figure 3. *L. casei* BL23 combined with milk alleviated the effects of pro-inflammatory**  
539 **cytokines on epithelial barrier integrity.** BL23 fermented milk cultures (pH 5) were diluted to  
540 25% (v/v) with DMEM and then centrifuged at  $10,000 \times g$  for 10 min at 4 °C. The supernatant  
541 was collected, adjusted to pH 7.4 and then filtered through 0.22  $\mu\text{m}$  filters. Fresh DMEM with or  
542 without 25% v/v BL23 fermented RSM (FRSM) or UHT milk (FUHT) was added 3 h before  
543 cytokine exposure. TER was measured 24, 36, and 48 h after TNF- $\alpha$  addition. (B) Paracellular  
544 permeability was determined by the flux of FD-4 through differentiated Caco-2 monolayers after  
545 48 h stimulation with TNF- $\alpha$ . The permeability coefficient ( $P_{\text{app}}$ , unit: cm/s) was determined  
546 from the amount of compound transported per time. Bars with different letters are statistically  
547 significant compared to each group (n = 6,  $P$  < 0.05).

548

549 **Figure 4. BL23 fermented UHT milk alleviates the effects of pro-inflammatory cytokines**  
550 **on IL-8 production in Caco-2 cells.** Basolateral concentrations of IL-8 were measured 48 h  
551 after TNF- $\alpha$  addition. FRSM- fermented RSM; FUHT- fermented UHT milk. The coefficient of  
552 variation between the three replicate monolayers was <15% for each treatment group. Bars with  
553 different letters are statistically significant compared to each group (n = 3,  $P$  < 0.05).

554

555 **Figure 5. The expression of *TNFR2* and *MLCK* was down-regulated after BL23 fermented**  
556 **milk treatment.** Data were normalized using the  $2^{-\Delta\Delta\text{Ct}}$  method with the untreated cells as the

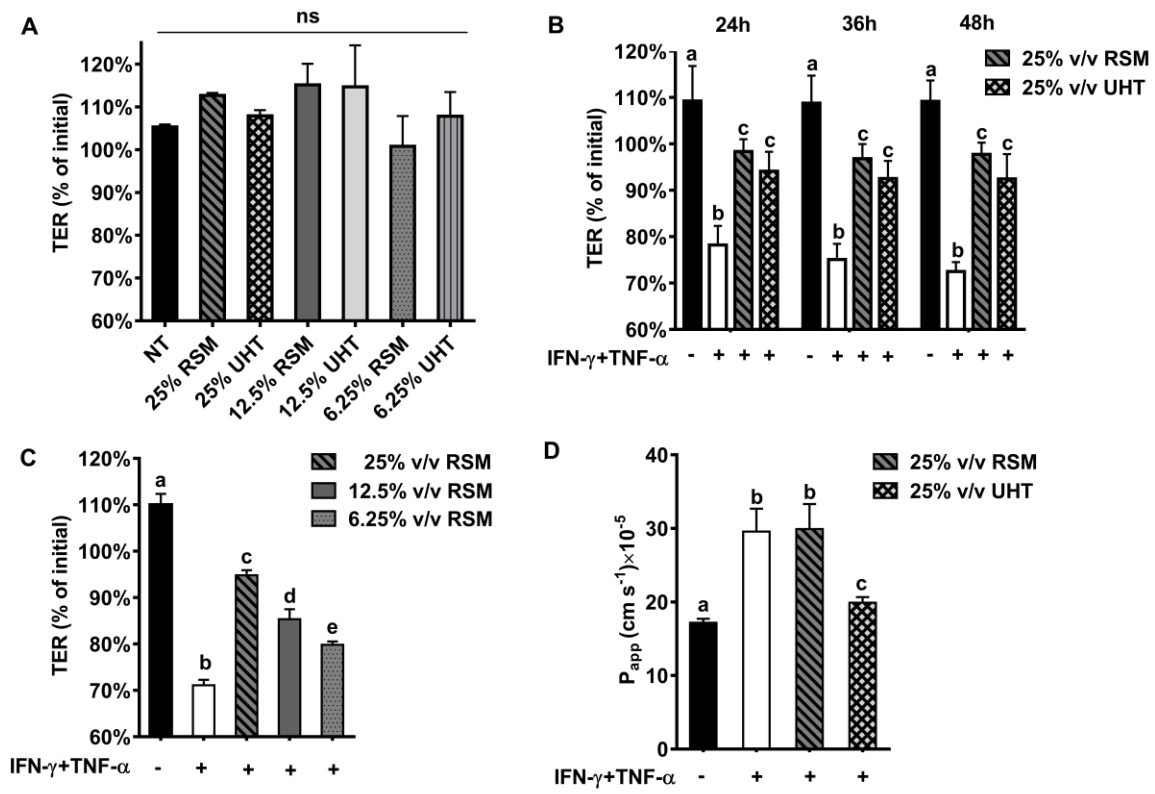
557 reference condition. CK- cytokine treated control; FRSM- fermented RSM; FUHT- fermented  
558 UHT milk. Bars with different letters are statistically significant compared to each group (n = 6,  
559  $P < 0.05$ ).

560

561 **Figure 6. Expression of tight junction genes in Caco-2 cells.** CLDN1: claudin-1; CLDN2:  
562 claudin-2; CLDN3: claudin-3; CLDN4: claudin-4; OCLN: occludin; ZO-1: zonula occludens-1.  
563 Data were normalized using the  $2^{-\Delta\Delta C_t}$  method with the untreated cells as the reference condition.  
564 CK- cytokines treated control; FRSM- fermented RSM; FUHT- fermented UHT milk. Bars with  
565 different letters are statistically significant compared to each group (n = 6,  $P < 0.05$ ).

566

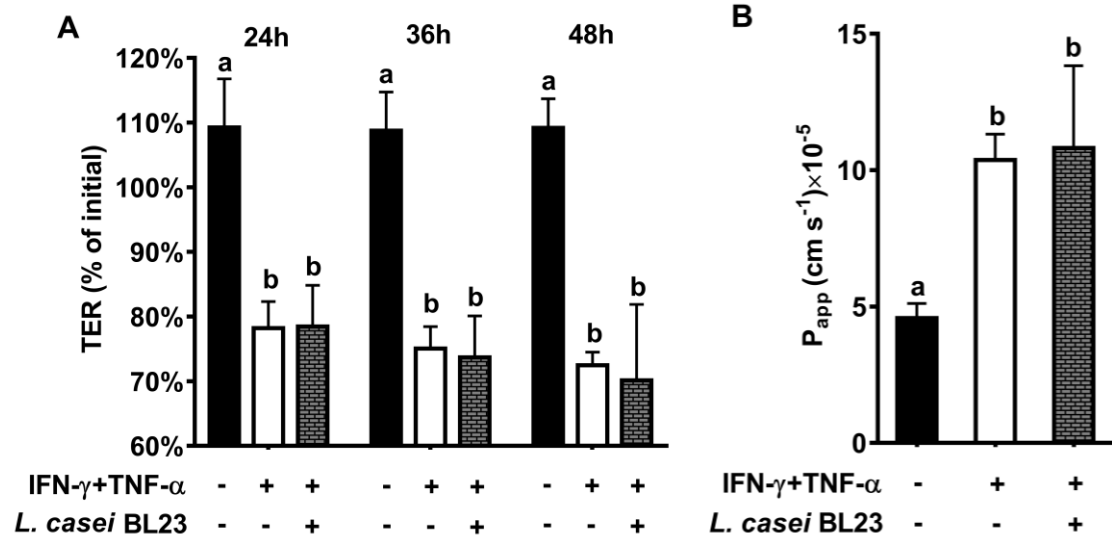
567 **Figures**  
 568  
 569 **Figure 1**



570

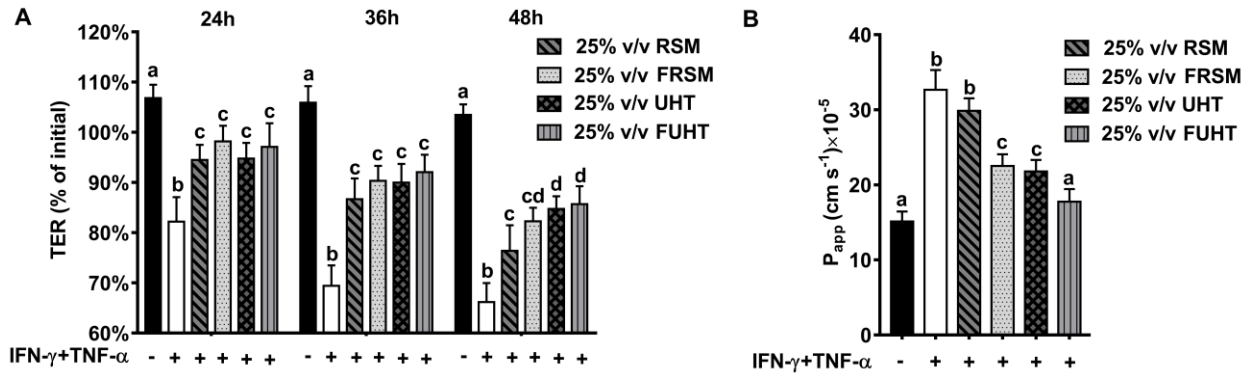
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572 **Figure 2**



573

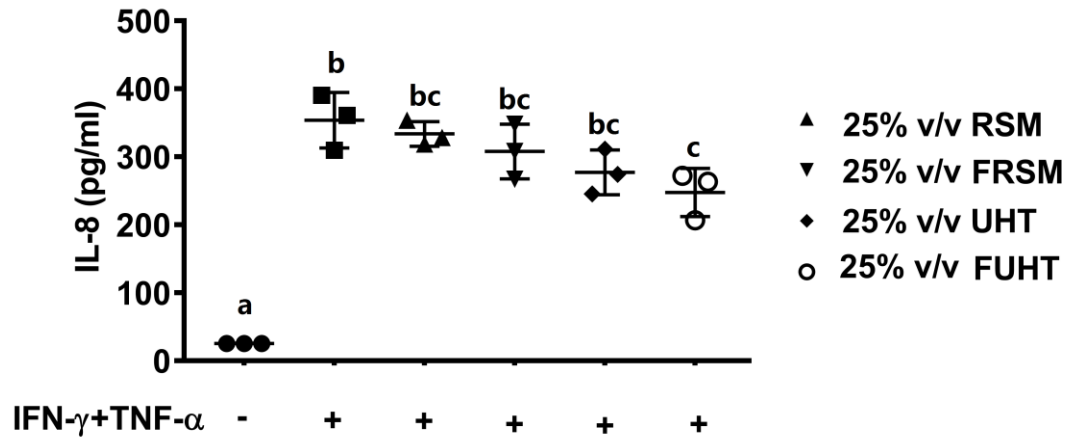
1 **Figure 3**  
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5 **Figure 4**



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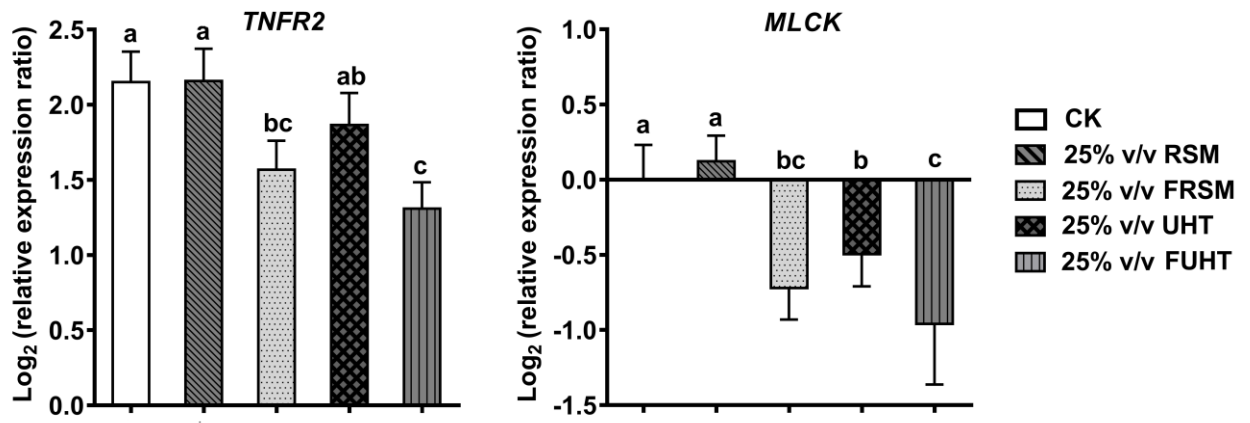
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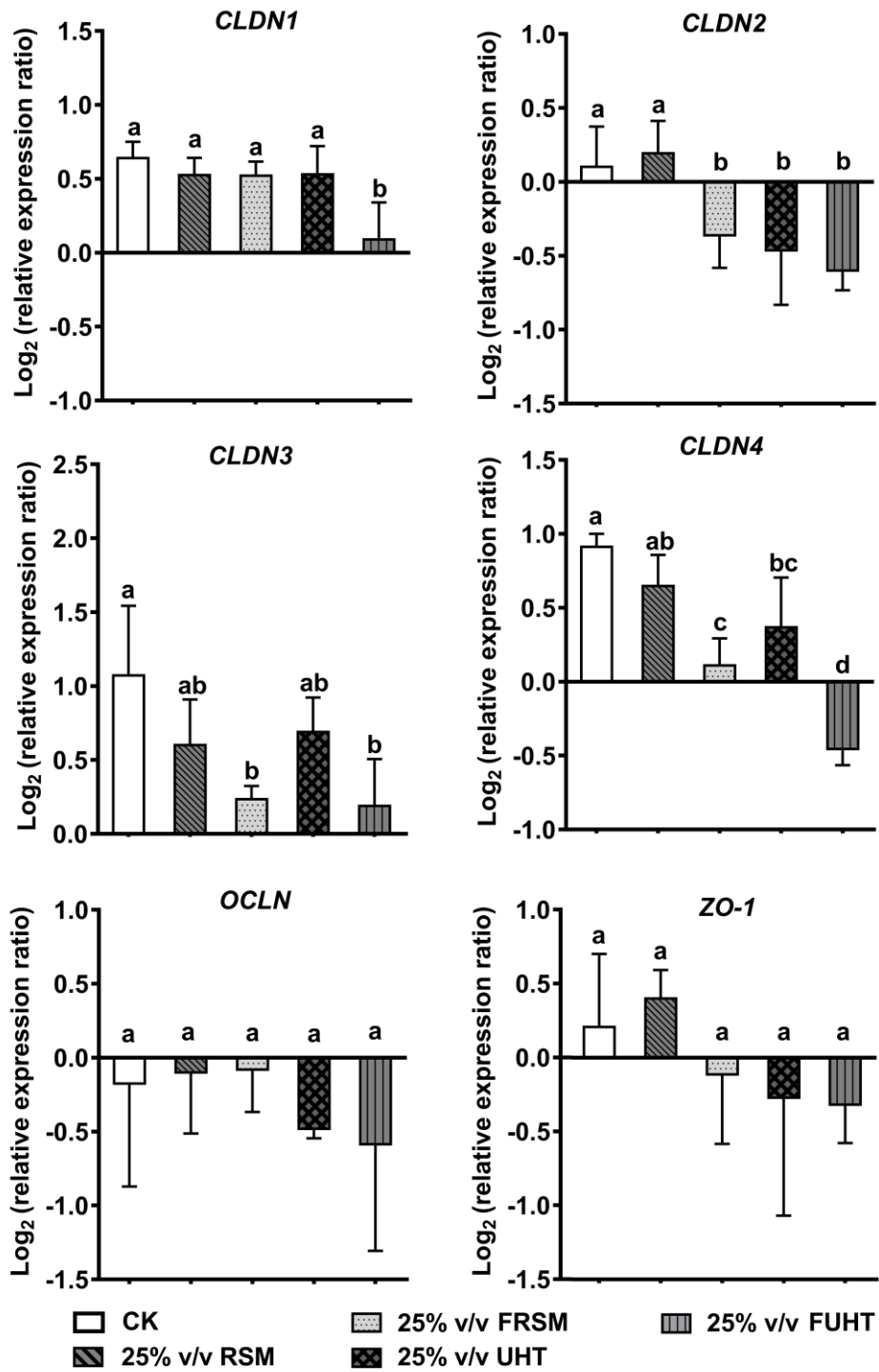
11 **Figure 5**



12

13

14 **Figure 6**

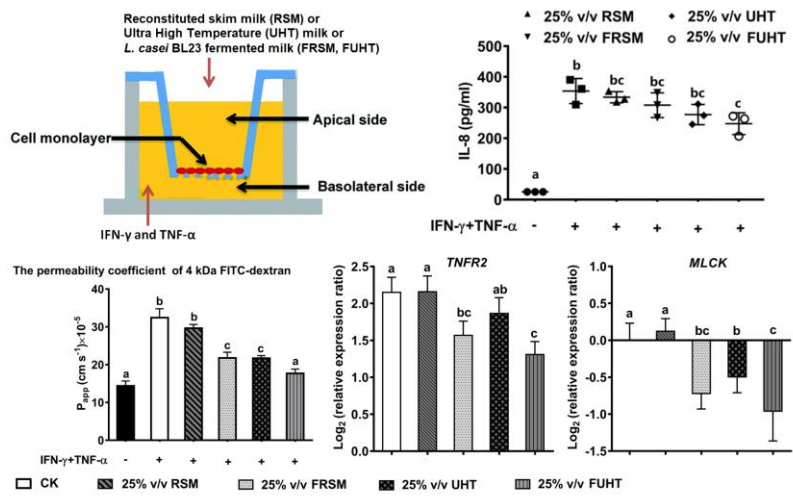


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18 **Table of contents (TOC)**  
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