

# Solar-driven absorption cycle for space heating and cooling

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## ABSTRACT

The Energy Performance of Buildings Directive (EPBD) requires that all new buildings in the European Union be nearly zero energy buildings (NZEB) by the end of 2020. Consequently, energy saving measures must be introduced in the design phase. However, in most cases there will still be a cooling and heating demand, which could be satisfied using renewable energy. Of all of the renewable energy resources, solar energy has been given most attention since it is CO<sub>2</sub> neutral.

The present study investigates a new solar thermally-driven system that meets the heating and cooling demands of buildings. This system consists of a reversible absorption cycle, which operates as a single-effect absorption cycle in the cooling mode and as a heat transformer in the heating mode. The components of both cycles are the same, and only the flow direction inside the system changes.

The working pair selected was ammonia-lithium nitrate so no rectifier is required and there are no problems of refrigerant freezing at low condensing temperatures when the cycle operates as a heat transformer. For both cycles, a mathematical model is developed to obtain feasible driving temperatures and evaluate the cycle's coefficient of performance (COP) depending on the ambient temperature.

The results show that in the heating mode, the heat transformer cycle can be driven with a heat source at 40 °C to produce hot water at 55 °C at an ambient temperature of 0 °C and a COP of about 0.45. In addition, the lower the ambient temperature, the higher the hot water temperature that the heat transformer can produce. In the cooling mode, the single-effect absorption cycle can be driven with a heat source at 85 °C to produce chilled water at 7 °C at an ambient temperature of 30°C and a COP of 0.6. As a result, this configuration overcomes the limitations of existing absorption cycles and presents an interesting alternative to existing heating and cooling systems.

*Keywords: solar heating and cooling; absorption cooling; absorption heat transformer; ammonia-lithium nitrate; reversible absorption cycle*

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## 1. Nomenclature

A	Absorber
C	Condenser
COP	Coefficient of performance
CR	Circulation ratio
CV	Closing valve
E	Evaporator
EV	Expansion valve
G	Generator
GTL	Gross temperature lift (°C)
h	Specific enthalpy (kJ/kg)
m	Mass flow rate (kg/s)
P	Pump
p	Pressure (kPa)
Q	Heat rate (kW)

48	SHE	Solution heat exchanger
49	SSAR	Single stage absorption refrigeration
50	SSAHT	Single stage absorption heat transformer
51	T	Temperature (°C)
52	W	Pump power consumption (kW)
53	w	Lithium nitrate mass fraction
54		
55	<i>Greek letters</i>	
56		
57	$\varepsilon$	Effectiveness (%)
58	$\eta$	Efficiency (%)
59	$\rho$	Solution density (kg/m <sup>3</sup> )
60		
61	Subscripts	
62		
63	A	Absorber
64	C	Condenser
65	E	Evaporator
66	G	Generator
67	H	High
68	L	Low
69	P	Pump
70	PR	Refrigerant pump
71	PS	Solution pump
72	R	Refrigerant
73	S	Solution
74	SHE	Solution heat exchanger
75		

## 76 1. Introduction

77 The increasing access to energy has improved the living conditions of people all around the world.  
78 Nevertheless, this continuous increase in the consumption of energy, which is mainly based on fossil  
79 fuels, has caused our society to face three fundamental problems: global warming, atmospheric pollution  
80 and a strong dependency on a finite supply of fossil fuels. The European Union has taken the fight  
81 against these problems very seriously and adopted several directives fostering the use of renewable  
82 energy sources and the maximum harnessing of energy to reduce the waste of resources as much as  
83 possible.

84 The Energy Performance of Buildings Directive (EPBD) requires that all new buildings in the European  
85 Union be nearly zero energy buildings (NZEB) by the end of 2020 [1]. As a result, energy efficiency  
86 measures must be considered in the design phase. However, in most cases there will still be a cooling  
87 and heating demand, and this has encouraged an interest in meeting heating and cooling demands with  
88 renewable energy.

89 Solar energy can contribute to meet the heating and cooling demands of buildings by solar electricity or  
90 solar thermally driven processes [2]. Electricity-driven reversible compression heat pumps connected to  
91 photovoltaic modules are an attractive solution as long as the local utility allows the connection to the  
92 grid. In isolated photovoltaic installations, the high cost and environmental impact of batteries may mean  
93 that the accumulation of electricity in batteries for operation is not viable outside sunny hours. So, heat  
94 storage for heating and cooling are needed to compensate for mismatches between the solar radiation  
95 available and the building loads [3]. Even so, at ambient temperatures below 0°C the maximum  
96 temperature of current electrical compression single-stage heat pumps is below 55 °C, too low for  
97 conventional heating systems [4].

98 In thermally-driven systems, solar thermal collectors convert solar energy into thermal heat, which can  
99 be used to run a thermally-activated device. Different types of thermally-driven cooling systems are  
100 available on the market and, of these, absorption systems are the most mature technology [5].

101 Solar absorption cooling has been actively investigated for nearly twenty years and has generated a  
102 wealth of theoretical and experimental literature, much of which has been collected in literature review  
103 papers [6-12]. The literature review in [6] concludes that the average normalized collector area and COP  
104 for the studies considered are 4.67 m<sup>2</sup>/kW and 0.68, respectively. The average regeneration temperature  
105 used to drive the solar absorption cycle is 88.5 °C.

106 Solar absorption heating has been studied much less than solar absorption cooling. The thermal  
107 collectors mostly used by solar absorption cooling installations are flat plate or evacuated tubes.

108 However, these solar collectors provide a hot water temperature in winter that is too low to drive  
109 commercial absorption cycles when they have to operate as heat pumps for heating in winter. Wu et al.  
110 [12] modeled the performance of various air-source absorption heat pumps using NH<sub>3</sub>-H<sub>2</sub>O. They  
111 compared the performance of a single-effect absorption heat pump and a GAX cycle with direct solar  
112 heating. They concluded that with a flat collector, the system efficiency of the absorption heat pump is  
113 far lower than the solar efficiency of the direct solar heating system. Although the absorption cycle  
114 achieves a COP of 1.3-1.5, the high activation temperatures required by the cycle, reduce the collector  
115 efficiency to below 0.3. Seeing the limitations imposed by the high activation temperatures of the cycle,  
116 other authors [13,14] have proposed activating the absorption heat pump with a boiler and using the  
117 solar energy of the collectors in the evaporator to save more energy than a conventional system with a  
118 boiler. Along these lines, Wu et al [13] modeled an air-source absorption heat pump using NH<sub>3</sub>-H<sub>2</sub>O,  
119 NH<sub>3</sub>-LiNO<sub>3</sub> and NH<sub>3</sub>-NaSCN as working fluids. The results indicate that NH<sub>3</sub>-LiNO<sub>3</sub> requires a lower  
120 generating temperature, and can work at a lower evaporating temperature and a higher condensing  
121 temperature.

122 On the other hand, the temperature of the hot water produced in the solar collectors is too low on cold  
123 days to directly feed conventional heating systems with radiators. A similar situation takes place at the  
124 end of the winter season in district heating systems integrated with solar energy and a seasonal thermal  
125 energy storage. In both cases, an alternative would be to operate the absorption cycle as an absorption  
126 heat transformer, which can upgrade up to 50% of the heat supplied at low temperature to more useful  
127 levels [15-16].

128 To date, absorption heat transformers have mainly been installed in distillation plants, the pulp and paper  
129 industry and synthetic rubber factories [16]. Most published works are theoretical simulation studies,  
130 small-scale applications for water purification or steam production prototypes [16]. In all industrial  
131 applications and in the majority of theoretical studies or pilot plants, the working fluid mixtures used  
132 are the traditional ones (namely  $\text{H}_2\text{O-LiBr}$  and  $\text{NH}_3\text{-H}_2\text{O}$ ). But these conventional working pairs have  
133 certain limitations when the absorption heat transformer is integrated into a heating system in winter.  
134 For instance, the  $\text{H}_2\text{O-LiBr}$  mixture cannot be used with negative ambient temperatures because water  
135 freezes at  $0^\circ\text{C}$ . The  $\text{NH}_3\text{-H}_2\text{O}$  mixture does not have this problem but requires rectification that adds  
136 complexity to the cycle and reduces the COP.

137 Due to these limitations, this study proposes the mixture  $\text{NH}_3\text{-LiNO}_3$  as the working fluid for a reversible  
138 absorption cycle. In heating mode, the absorption cycle would operate as a heat transformer to upgrade  
139 low-temperature heat sources. In cooling mode, it would operate as a single-effect absorption chiller.

140 The  $\text{NH}_3\text{-LiNO}_3$  mixture has already been proposed in two previous studies for use in heat transformers  
141 [17-18]. However, these studies focus on higher heat source and environment temperatures.

142 This mixture has also been proposed in many investigations for use in absorption refrigeration systems  
143 and some of these studies [21-23] compare the performance of this mixture with other working mixtures  
144 in absorption cooling systems. Theoretical investigations report that the  $\text{NH}_3\text{-LiNO}_3$  absorption cycle  
145 can operate at lower temperature of the driving heat and high COP than the  $\text{NH}_3\text{-H}_2\text{O}$  absorption cycle.  
146 This perspective of the theoretical studies has also been confirmed in experimental prototype studies  
147 [24-26].

148 Although several studies have suggested that the  $\text{NH}_3\text{-LiNO}_3$  mixture can be used in solar cooling  
149 absorption systems, to our knowledge, none have proposed coupling an absorption heat transformer with  
150 this mixture to a solar panel to upgrade the temperature of solar heat. Moreover, the main novelty of the  
151 present study lies in the operational modes of the system proposed, which could operate either as an  
152 absorption chiller or as an absorption heat transformer.

153 Therefore, this study proposes a new solar thermally-driven system that meets the heating and cooling  
154 demands of buildings. The system consists of a reversible absorption unit, which operates as a single-  
155 stage absorption cycle for cooling and as an absorption heat transformer for heating. The components  
156 of both cycles are the same; however, the internal flow direction and the operating conditions of the  
157 components change.

## 158 2. Description of the system

159 Fig. 1 illustrates the main components of a single-stage absorption refrigeration (SSAR) cycle and a  
160 single-stage heat transformer (SSAHT) cycle represented in a Pressure-Temperature plot. As can be  
161 seen, both cycles consist of the same five heat exchangers: an absorber, a generator, a condenser, an  
162 evaporator and a solution heat exchanger. The only difference is that the heat transformer cycle includes  
163 two pumps – one in the solution circuit and another in the refrigerant circuit – and only one expansion  
164 valve in the solution circuit, while the absorption refrigeration cycle has only one solution pump in the  
165 solution circuit and two expansion valves in the solution and refrigerant circuits.

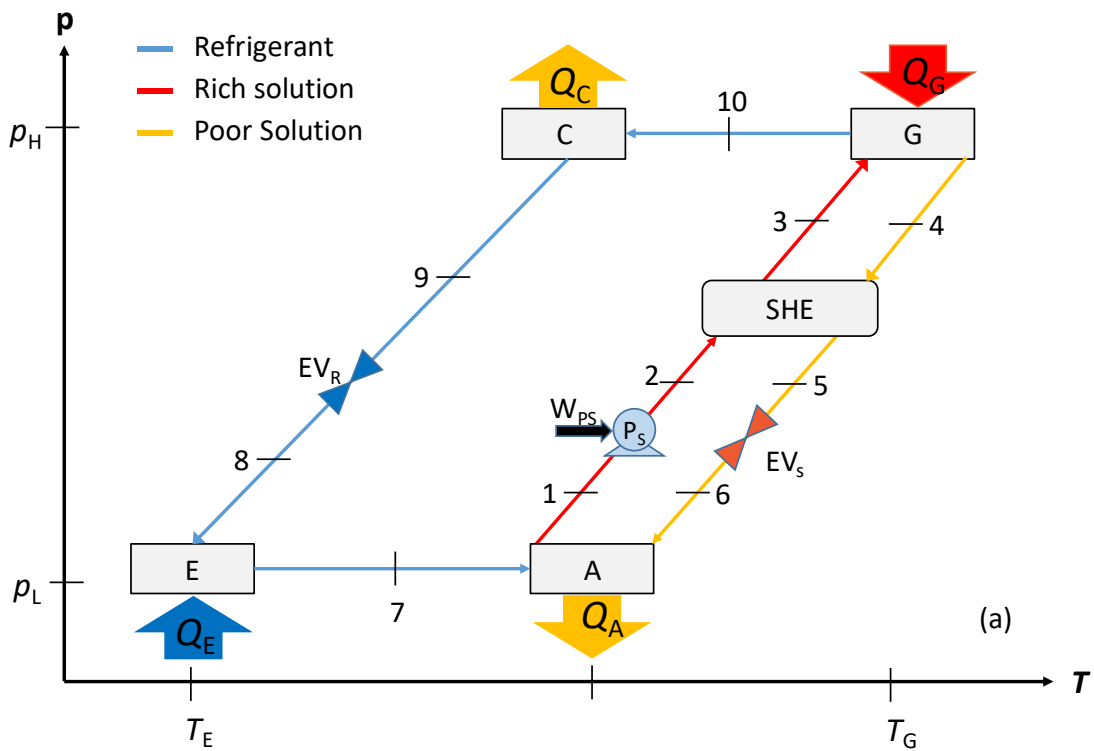
166 Both cycles operate at two pressure levels. In the case of SSAR, the condenser and generator are on the  
167 high-pressure side while the absorber and the evaporator are on the low-pressure side. For SSAHT, it is  
168 the opposite. Both cycles operate at three temperature levels. The difference is that in the SSAHT driving  
169 heat ( $Q_E$ ) is supplied at an intermediate level ( $T_E=T_G$ ) to evaporate the refrigerant in the evaporator and  
170 to boil the solution in the generator ( $Q_G$ ). On the other hand, the cycle produces heat at a higher  
171 temperature ( $T_A$ ) in the absorber ( $Q_A$ ) and dissipates heat at a lower temperature ( $T_C$ ) in the condenser  
172 ( $Q_C$ ) in the process of absorption and condensation of the refrigerant, respectively. In the case of the  
173 SSAR, driving heat is supplied at a high temperature ( $T_G$ ) in the generator, which produces the cooling  
174 effect at a low temperature in the evaporator ( $T_E$ ) while heat is dissipated at an intermediate temperature  
175 in the absorber ( $T_A$ ) and condenser ( $T_C$ ).

176 To sum up, a SSAHT could be said to be a SSAR operating inversely. Also, the objective of both cycles  
177 is different: SSAHT can recover heat available at a medium temperature ( $T_E=T_G$ ), upgrade it to useful  
178 heat at a higher temperature ( $T_A$ ), and reject some heat at ambient temperature ( $T_C$ ); while SSAR

179 produces cooling at low temperature ( $T_E$ ), and rejects heat at ambient temperature ( $T_C=T_A$ ) thanks to the  
 180 driving heat supplied at high temperature ( $T_G$ ).

181 Fig. 2 shows a schematic diagram of the machine that can operate as a SSAR for cooling and as a SSAR  
 182 for heating. The continuous line and dashed line represent the circulation of the solution and refrigerant  
 183 streams for the SSAR and the SSAHT, respectively. The first letter of each component indicates the  
 184 function of the heat exchanger when it operates as a SSAR. As far as the solution circuit is concerned,  
 185 although the position of the absorber and generator is reversed, the circuit does not change. Although  
 186 the diagram shows two circuits to clarify the circulation of each cycle in the machine, actually there is  
 187 only one. On the other hand, refrigeration side requires two circuits because the SSAR needs an  
 188 expansion valve but the SSAHT needs a refrigerant pump. Automatic shut-off valves isolate the non-  
 189 operational circuit.

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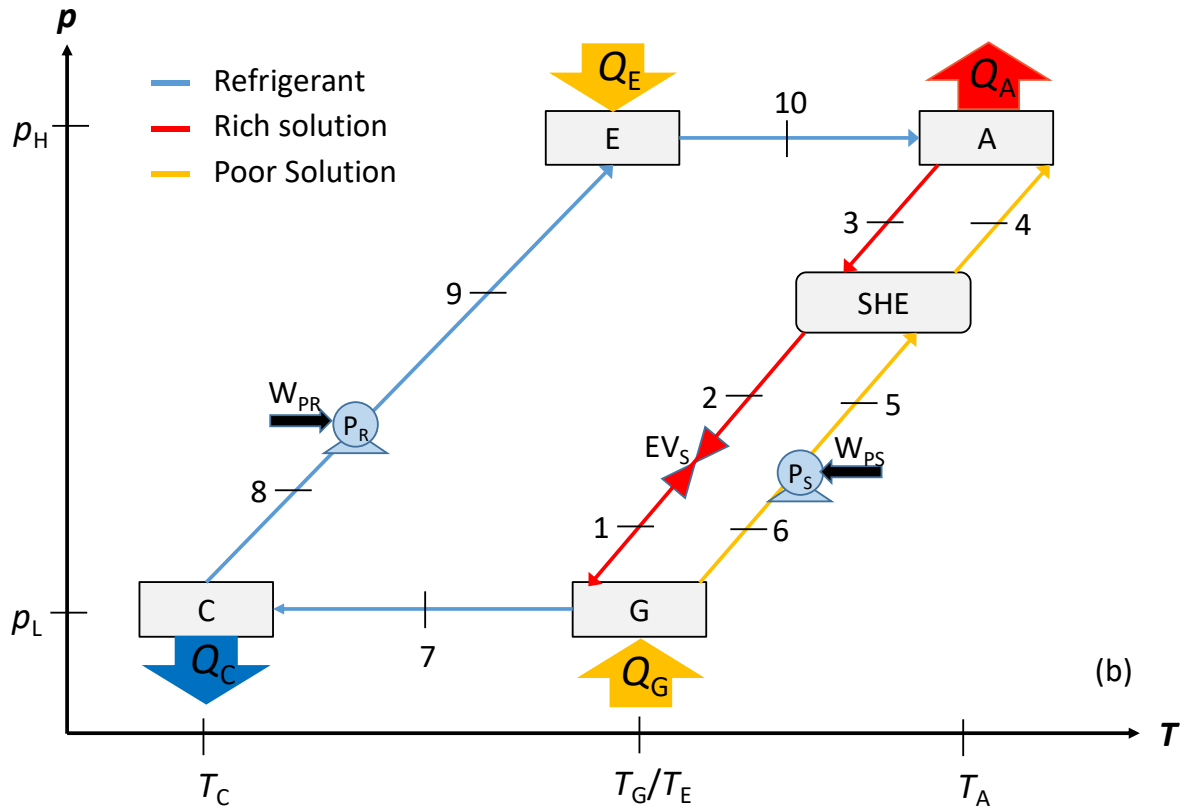


Figure 1. P-T diagram for a SSAR (a) and a SSAHT (b)

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195 Plate heat exchangers could be used in all thermal components of the system, using glycol solutions or  
 196 water, as external thermal fluids, to provide or dissipate heat in each component. In order to dissipate to  
 197 the environment, the heat released in the absorber and the condenser of SSAR or the condenser of  
 198 SSAHT, the use of a dry cooler is proposed. In a building, it results difficult to justify the use of a wet  
 199 cooling tower for maintenance costs and hygienic-health risks. In addition, the wet cooling tower could  
 200 not be used to dissipate the heat of SSAHT condenser if the ambient temperature is below 0 °C.

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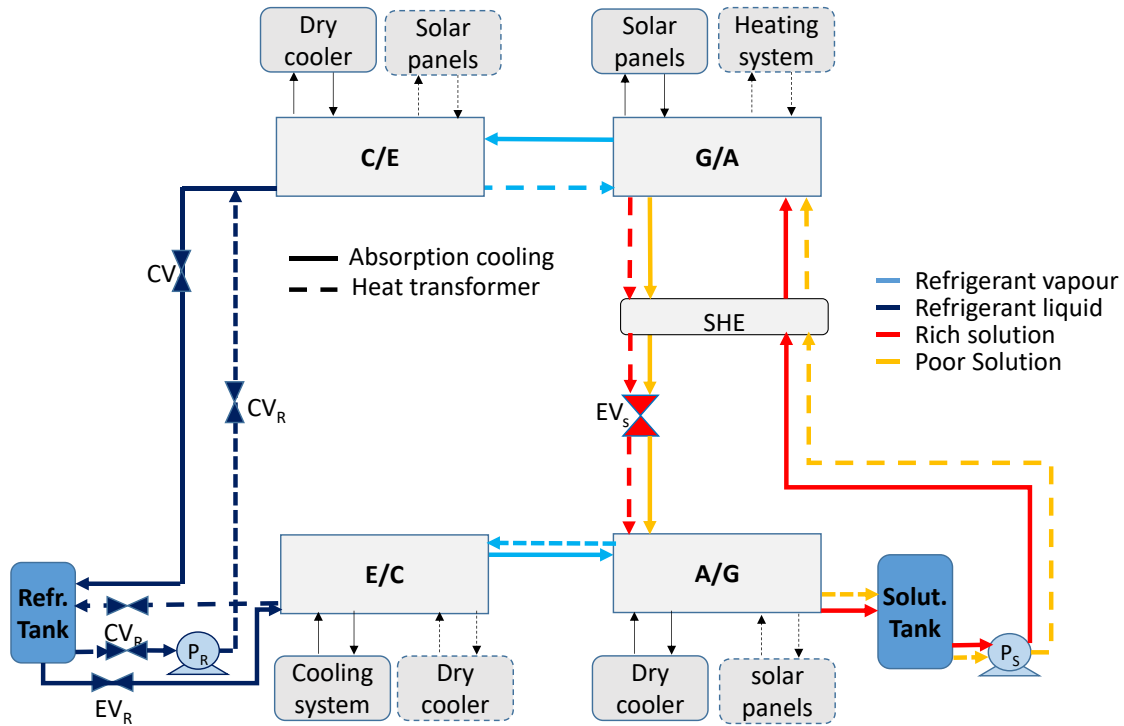


Figure 2. Schematic diagram of the system

An analysis of the literature enables us to draw the following conclusions about the reversibility of the cycle and the mixture  $\text{NH}_3\text{-LiNO}_3$  as working pair. Because of the low heat and mass transfer coefficients, the absorber is the biggest component in absorption systems, and its performance directly impacts the overall size and energy performance of these devices [27]. However, the experimental data on the absorption and desorption processes of the  $\text{NH}_3\text{-LiNO}_3$  mixture in plate exchangers indicate that the heat and mass transfer coefficients are quite similar in both cases. For instance, Ornel et al. [28] presented an experimental study of bubble absorption in a plate heat exchanger using  $\text{NH}_3\text{-LiNO}_3$ . Experiments were carried out at a nominal system pressure of 510 kPa using a corrugated plate heat exchanger formed by three channels in which absorption takes place in the central one. The results indicate that, for a solution mass flux around  $50 \text{ kg/s.m}^2$ , the heat transfer coefficient ranges from 3 to 5  $\text{kW/m}^2\text{.K}$  and the mass transfer coefficient from 0.9 to 1.2 m/h. On the other hand, Táboas et al. [29] experimentally determined the flow boiling heat transfer coefficient of  $\text{NH}_3\text{-LiNO}_3$  using the same plate heat exchanger. The results indicate that, for the same solution mass flux, the boiling heat transfer coefficient is between 2.5 and 3.5  $\text{kW/m}^2\text{.K}$ . Therefore, the heat transfer coefficient in the absorber is even higher and the pair of heat exchangers that exchange their role between absorber and generator must be designed according to the maximum generation heat of the two cycles. As regards the evaporator/condenser pair, the heat transfer coefficients of ammonia condensation in plate exchangers are up to twice as high as those of evaporation [30]. Therefore, the sizing of these two components must be based on evaporation heat transfer coefficients in plate exchangers that are between 6 and 7  $\text{kW/m}^2\text{ K}$  for evaporation temperatures higher than  $-10 \text{ }^\circ\text{C}$  [30, 31].

### 3. Modeling assumptions

The following assumptions have been made for the thermodynamic simulation of both cycles:

- Operating conditions are steady state.
- Frictional pressure losses in the heat exchangers and pipes and gravitational pressure drops are negligible.
- At the exit of the condenser and evaporator, the refrigerant is saturated.
- The solution is at equilibrium at the exit of generator and absorber.

- Expansion in the valves is assumed to be isenthalpic.
- Heat losses to the surrounding in the components and pipes of the cycle are negligible.
- The pump efficiency is set at a constant value  $\eta_p=50\%$ .
- The effectiveness of the solution heat exchanger is set at a value of  $\epsilon_{SHE}=85\%$ , unless otherwise stated.
- The solution pump delivers a constant flow rate of 1 kg/s.

#### 4. Governing equations and properties of the working pair

In order to analyze the feasibility and performance of the system to produce chilled water in summer and hot water in winter from a heat source supplied by solar thermal panels, a simulation tool was developed in the Engineering Equation Solver (EES) environment. The system performance is expressed as the coefficient of performance (COP).

The mathematical model is based on the global mass, species and energy balance equations in each component of the cycle. If the kinetic and potential energies are neglected, these equations in steady-state processes with reference to Figure 1 are as follows:

Table 1. Mass, species and energy balance equations in the components of the system

	SSAR	SSAHT
Evaporator (E)	$Q_E = m_7 h_7 - m_8 h_8$ (1)	$Q_E = m_{10} h_{10} - m_9 h_9$ (15)
Condenser (A)	$Q_C = m_9 h_9 - m_{10} h_{10}$ (2)	$Q_C = m_8 h_8 - m_7 h_7$ (16)
Absorber (A)	$m_6 + m_7 = m_1$ (3)	$m_4 + m_{10} = m_3$ (17)
	$m_6(1 - w_6) + m_7 = m_1(1 - w_1)$ (4)	$m_4(1 - w_4) + m_{10} = m_3(1 - w_3)$ (18)
	$Q_A = m_1 h_1 - m_6 h_6 - m_7 h_7$ (5)	$Q_A = m_3 h_3 - m_4 h_4 - m_{10} h_{10}$ (19)
Generator (G)	$m_3 = m_4 + m_{10}$ (6)	$m_1 = m_6 + m_7$ (20)
	$m_3(1 - w_3) = m_4(1 - w_4) + m_{10}$ (7)	$m_1(1 - w_1) = m_6(1 - w_6) + m_7$ (21)
	$Q_G = m_4 h_4 + m_{10} h_{10} - m_3 h_3$ (8)	$Q_G = m_6 h_6 + m_7 h_7 - m_1 h_1$ (22)
Solution Pump (P <sub>S</sub> )	$\eta_P = \frac{1}{\rho_1} \frac{p_{high} - p_{low}}{h_2 - h_1}$ (9)	$\eta_P = \frac{1}{\rho_6} \frac{p_{high} - p_{low}}{h_5 - h_6}$ (23)
	$W_{PS} = m_2 h_2 - m_1 h_1$ (10)	$W_{PS} = m_5 h_5 - m_6 h_6$ (24)
Refrigerant Pump (P <sub>R</sub> )		$\eta_P = \frac{1}{\rho_8} \frac{p_{high} - p_{low}}{h_9 - h_8}$ (25)
		$W_{PR} = m_9 h_9 - m_8 h_8$ (26)
Solution Heat exchanger (SHE)	$m_2(h_2 - h_3) + m_4(h_4 - h_5) = 0$ (11)	
	$\epsilon_{SHE} = \frac{m_4(h_4 - h_5)}{\min(m_4(h_4 - h_5(T_5=T_2)); m_2(h_3(T_3=T_4) - h_2))}$ (12)	$\epsilon_{SHE} = \frac{m_4(h_4 - h_5)}{\min(m_4(h_4(T_4=T_3) - h_5); m_2(h_3 - h_2(T_2=T_5)))}$ (27)
COP	$COP = \frac{Q_E}{(Q_G + W_{PS})}$ (13)	$COP = \frac{-Q_A}{(Q_G + Q_E + W_{PS} + W_{PR})}$ (28)
CR	$CR = \frac{m_2}{m_7}$ (14)	$CR = \frac{m_5}{m_7}$ (29)
GTL		$GTL = T_A - T_E$ (30)

In order to solve the mass and energy balances of the mathematical model, the thermophysical properties of working fluids are required. The thermodynamic and transport properties of ammonia are obtained from the equation of Tillner-Roth [32] implemented in the EES. Meanwhile, for the NH<sub>3</sub>-LiNO<sub>3</sub> mixture, the following equations obtained from Salavera and Coronas [33], valid from 0.2 to 0.65 in ammonia mass fraction and up to 150 °C, are used.

260  $\ln(p) = (a_0 + a_1w) + \frac{1}{T} \sum_{i=0}^4 b_i w^i + c_0 \cdot \ln(T + 273.15) + d_0 \cdot (T + 273.15)$  (34)

261

262

263  $h = \sum_{i=0}^4 c_i w^i + (a_0 + a_1w)T + 0.5(b_0 + b_1w)[T(T + 2 * 273.15)]$  (35)

264

265  $\rho = \frac{a_0 + a_1w + a_2w^2}{(b_0 + b_1w + b_2w^2) \left[ 1 + \left( 1 - \frac{T + 273.15}{c_0 + c_1w} \right)^{d_0} \right]}$  (36)

266

267

268 In these equations,  $p$  is the vapor pressure in kPa,  $\rho$  is the density in kg/m<sup>3</sup>,  $h$  is the specific enthalpy in  
 269 kJ/kg,  $w$  is the lithium nitrate mass fraction and  $T$  is the temperature in °C. The value of the regression  
 270 coefficients for Eq. 34-36 are given in Table 2.

271

272 Table 2. Coefficients of Eq. 34-36 for the thermophysical properties of the NH<sub>3</sub>-LiNO<sub>3</sub> solution

Coefficient	Pressure	Enthalpy	Density
a <sub>0</sub>	83.57524472	2.1662	60.257249
a <sub>1</sub>	0.603133	-2.0375	376.38
a <sub>2</sub>	-	-	568.11
a <sub>3</sub>	-	-	-
b <sub>0</sub>	-4669.7	0.008866	0.25443
b <sub>1</sub>	-257.676	-0.005756	0.7712
b <sub>2</sub>	-834.214	-	-0.28135
b <sub>3</sub>	1883.30	-	-
b <sub>4</sub>	-5422.44	-	-
c <sub>0</sub>	-11.607	200.00	405.65
c <sub>1</sub>	-	-768.23	0.1086
c <sub>2</sub>	-	-615.94	-
c <sub>3</sub>	-	2266.1	-
c <sub>4</sub>	-	-837.17	-
d <sub>0</sub>	0.01719	-	0.288

273

274

275 **5. Results**

276 A parametric study was carried out to analyze the feasibility and limitations of the system to provide air  
 277 conditioning throughout the year from solar thermal energy. Then, the coefficient of performance (COP)  
 278 and the cooling capacity  $Q_E$  for SSAR and the COP and heating capacity  $Q_A$  for SSAHT were plotted  
 279 versus the main operation parameters. The results reported here are all obtained with a solution pump  
 280 flow rate of 1 kg/s. Table 3 shows the thermodynamic properties at various state points and the energy  
 281 flows to and from each component of both cycles in the reference conditions shown in Table 4.  
 282

283 Table 3. Thermodynamic parameters at various state points of the SSAR and SSAHT cycles

State point	SSAR					SSAHT				
	T	p	w	m	h	T	p	w	m	h
1	40.0	517	0.50	1.00	9.3	18.3	430	0.40	1.32	82.5
2	40.7	1555	0.50	1.00	11.4	49.4	1555	0.40	1.32	82.5
3	76.0	1555	0.50	1.00	122.0	60.0	1555	0.40	1.32	119.6
4	85.0	1555	0.52	0.95	141.7	57.1	1555	0.52	1.00	55.0
5	47.4	1555	0.52	0.95	25.6	40.7	1555	0.52	1.00	6.1
6	44.8	517	0.52	0.95	25.6	40.0	430	0.52	1.00	3.9
7	5.0	517	0.00	0.05	1467.3	40.0	430	0.00	0.32	1561.7
8	5.0	517	0.00	0.05	390.6	0.0	430	0.00	0.32	199.9
9	40.0	1555	0.00	0.05	390.6	0.6	1555	0.00	0.32	203.4
10	85.0	1555	0.00	0.05	1625.2	40.0	1555	0.00	0.32	1489.9
COP	0.57					0.46				
CR	21.0					3.2				
$Q_E$	51.1					406.2				
$Q_C$	58.6					430.0				
$Q_G$	90.1					388.5				
$Q_A$	84.8					368.0				
$W_{PS}$	2.0					2.16				
$W_{PR}$	-					1.1				

284 Units: T (°C), P (kPa), w (%), h (kJ/kg), Q (kW), W(kW).

285

286 Table 4. Nominal operating conditions for the cycle simulations

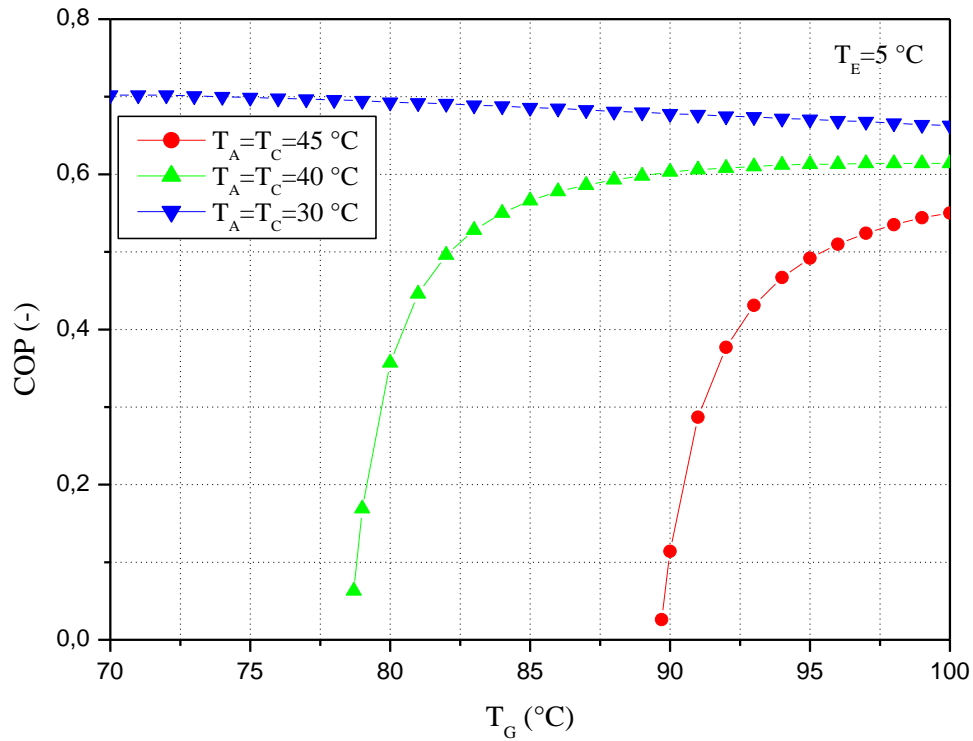
SSAR	SSAHT
$T_E = 5\text{ °C}$	$T_C = 0\text{ °C}$
$T_A = T_C = 40\text{ °C}$	$T_G = T_E = 40\text{ °C}$
$T_G = 85\text{ °C}$	$T_A = 60\text{ °C}$

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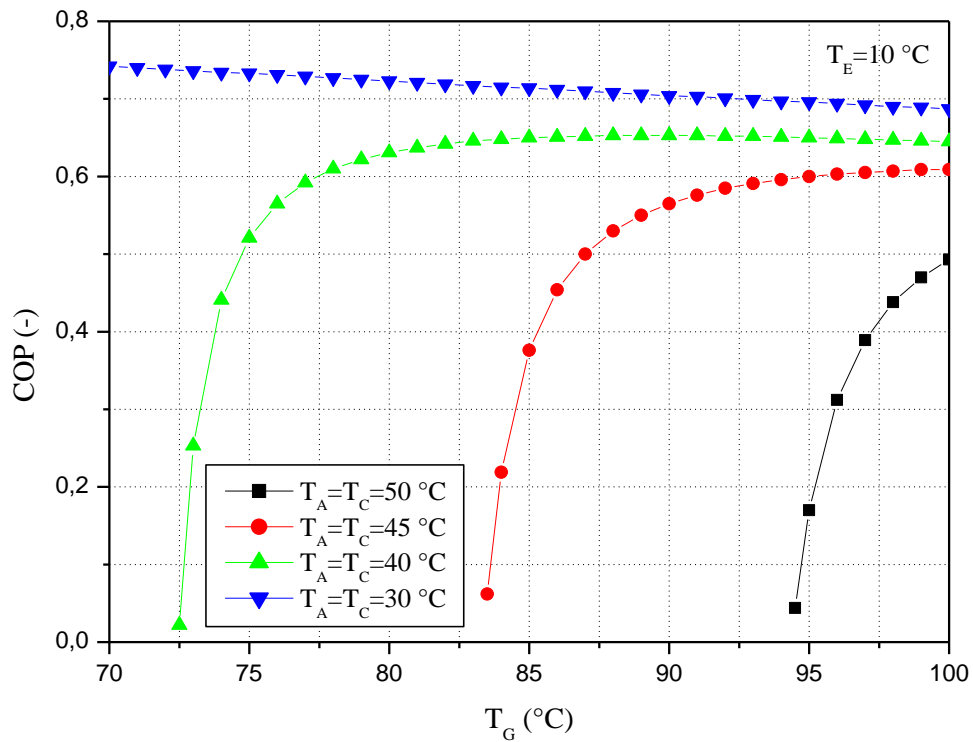
288 **5.1 Single-stage absorption refrigeration cycle (SSAR)**

289 Figs. 3(a) and 3(b) show the COP values versus the generator temperature at an evaporation temperature  
 290 of 5 and 10 °C, respectively. The evaporation temperature of 5 °C is the typical evaporation temperature  
 291 for air conditioning systems with fan-coils while the temperature of 10 °C is that of an underfloor cooling  
 292 system. Each figure shows the COP values at four absorber-condenser temperatures. The COP values  
 293 for an absorption-condensation temperature of 30 °C could be for a geothermal dissipation system. In  
 294 contrast, the other three absorption-condensation temperatures could occur for a dry-cooler with ambient  
 295 temperatures between 30 and 40 °C. As can be seen, the COP remains almost constant and drops  
 296 drastically when the generation temperature decreases because of the decrease in the concentration  
 297 difference between the strong and weak solutions. Therefore, there is a lower limit of the generator  
 298 temperature for each absorber-condenser temperature. By comparing the two figures, it can be observed  
 299 that the higher the evaporation temperature and the lower the absorption-condensation temperature, the  
 300 lower the low generator temperature limit. Approximately, a decrease of 5 °C in the evaporation  
 301 temperature leads to an increase of 5 °C in the minimum generation temperature. Finally, it should be

302 noted that, even though the cycle can operate with very high dissipation temperatures, a geothermal  
 303 dissipation system can provide COP values above 0.6 with very low solar collector temperatures. For  
 304 instance, if a pinch temperature value of 5 °C is chosen in every heat transfer operation, the SSAR cycle  
 305 can be driven with a heat source temperature of 85 °C to produce chilled water at 7 °C at a cooling water  
 306 temperature of 30 °C with a COP of 0.6.



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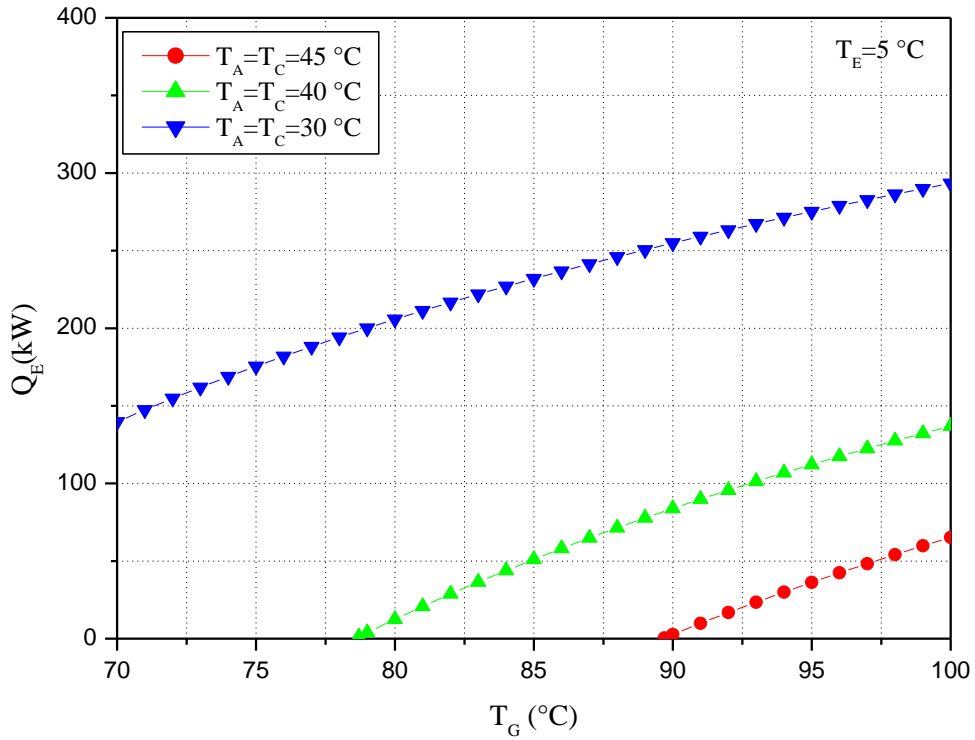
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309 Figure 3. Effect of generator temperature on COP for SSAR at: (a)  $T_E = 5 \text{ }^\circ\text{C}$ ; (b)  $T_E = 10 \text{ }^\circ\text{C}$

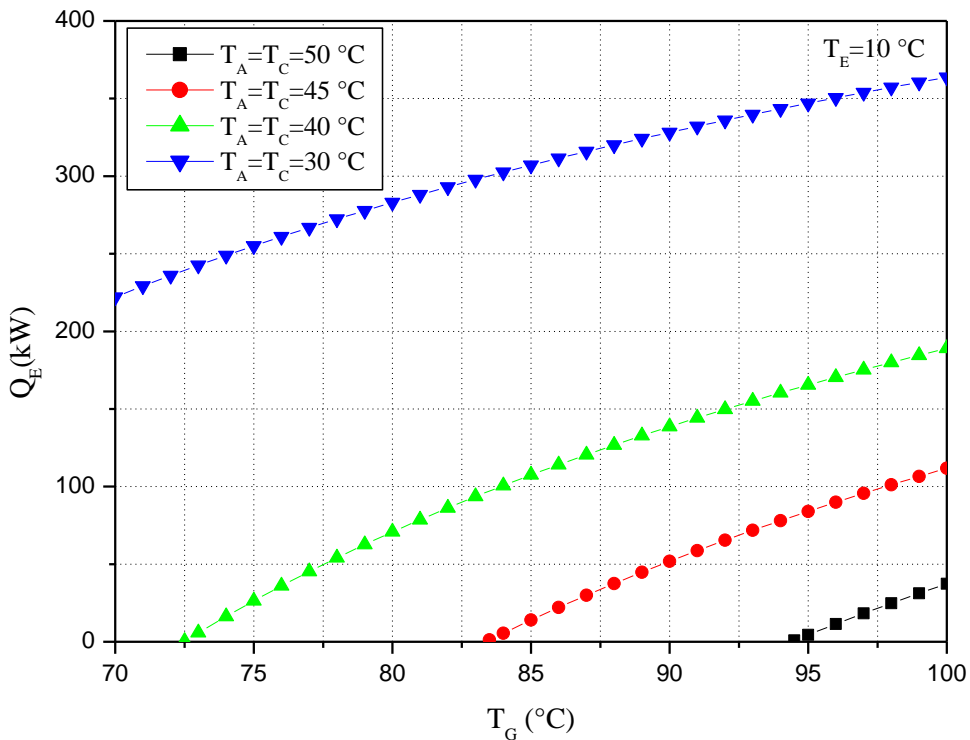
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311 Figures 4(a) and 4(b) show cooling capacity versus the generation temperature. It can be seen that in all  
312 cases, there is a significant reduction in the cooling capacity as the temperature of the generator  
313 decreases. As long as it does not approach the lower limit of the generator temperature, the temperature  
314 decrease of the hot water driving the generator allows the machine to operate at partial load without a  
315 COP penalty. In addition, it is worth noting the strong effect of the absorber-condenser temperature on  
316 the cooling capacity of the equipment. For instance, for a fixed generator temperature of 85 °C, the  
317 cooling capacity decreases from 231.9 to 51.1 kW when the temperature of the absorber-condenser  
318 increases by 10 °C from 30 to 40 °C at an evaporation temperature of 5 °C, and from 307.1.6 to 107.5  
319 at an evaporation temperature of 10 °C.  
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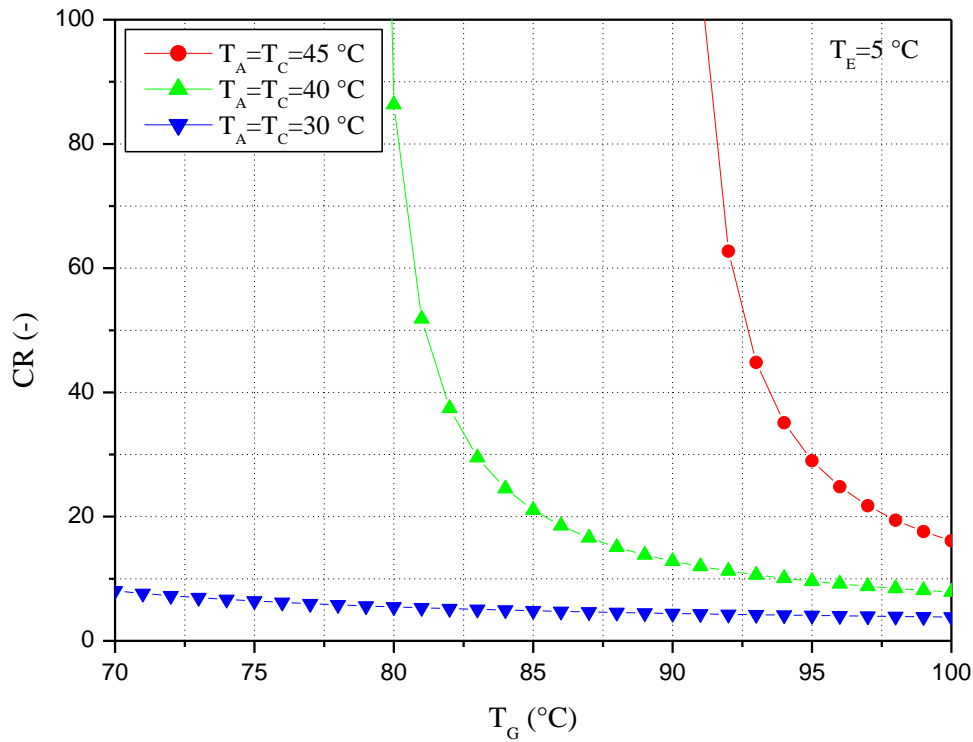


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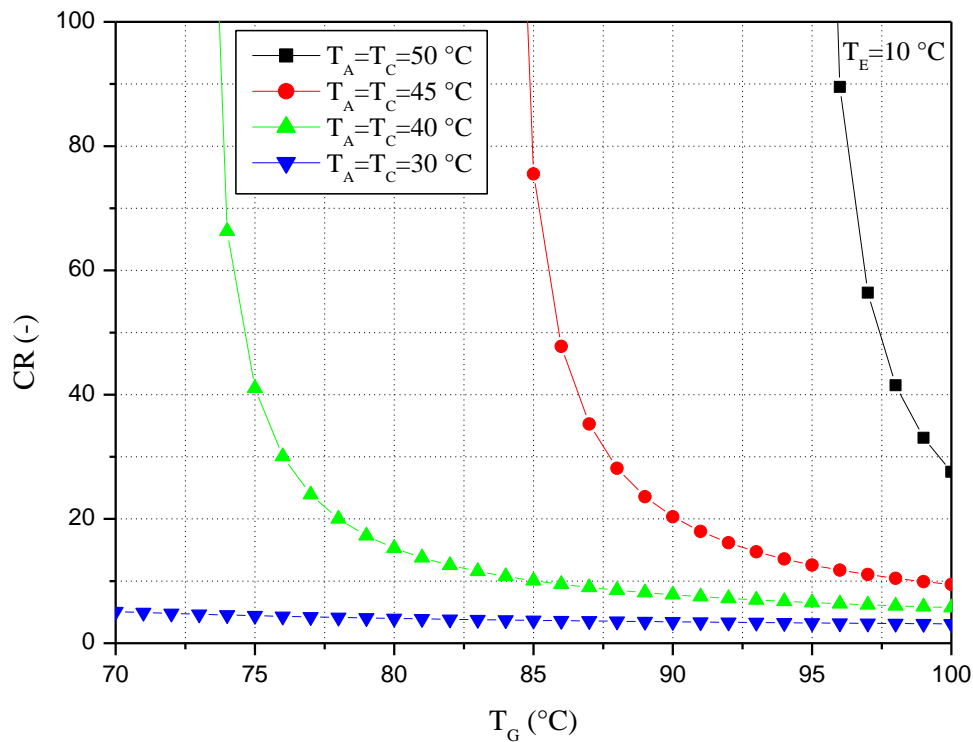
Figure 4. Effect of generator temperature on cooling capacity for SSAR at: (a)  $T_E = 5\text{ }^\circ\text{C}$ ; (b)  $T_E = 10\text{ }^\circ\text{C}$

Figures 5(a) and 5(b) show the corresponding comparison of circulation ratios (CR) versus generator temperature. The figures show that the circulation ratio achieves values below 10 when the flat COP zone is reached. When the generator temperature approaches its lower limit the circulation ratio

332 increases dramatically. Therefore, if the solution flow is kept constant, there will be a reduction in the  
 333 cooling capacity, as seen in Figure 4.  
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 338 Figure 5. Effect of generator temperature on circulation ratio for SSAR at: (a)  $T_E = 5\text{ }^\circ\text{C}$ ; (b)  $T_E = 10\text{ }^\circ\text{C}$   
 339

340 To study the influence of solution heat exchanger effectiveness on performance, the COP of the SSAR  
 341 at different generation temperatures is simulated at three different values of heat exchanger  
 342 effectiveness. The absorption-condensing temperature considered is  $35\text{ }^\circ\text{C}$  and the evaporation

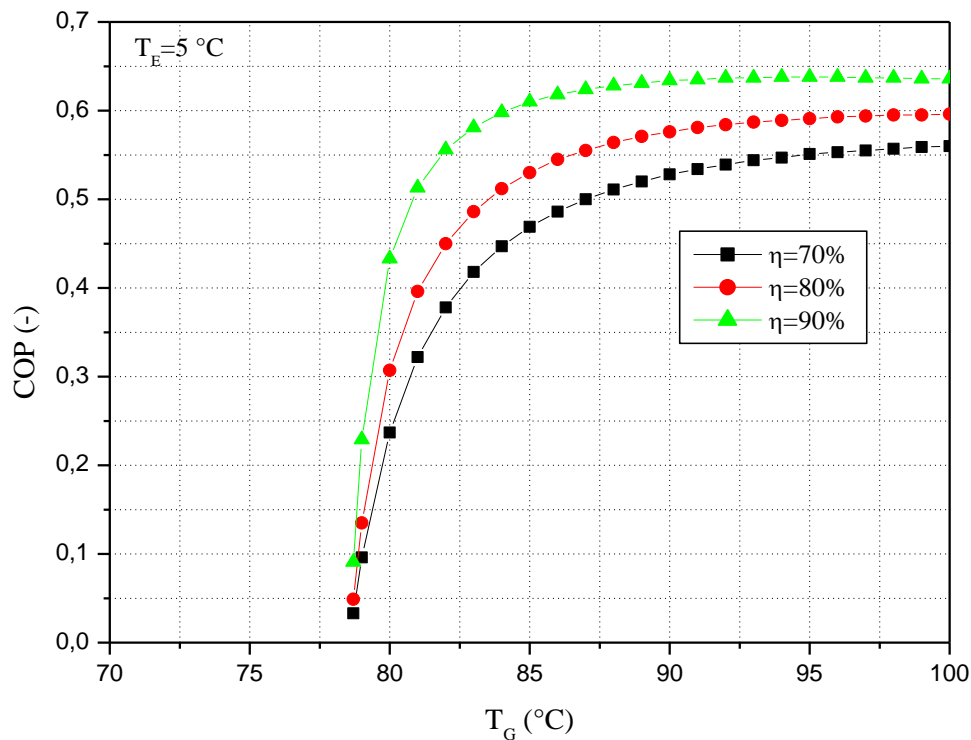
343 temperature 5°C. The results presented in Figure 6 indicate that the heat exchanger effectiveness has a  
 344 reduced effect on the minimum activation temperature, although it has a significant effect on the COP.  
 345 For instance, the COP decreases from 0.61 to 0.47 when the heat exchanger effectiveness is reduced  
 346 from 0.9 to 0.7 at a fixed generation temperature of 85 °C.

347 The COP values, between 0.6 and 0.7 over a wide range, match the results presented in previous SSAR  
 348 simulation studies with this mixture for air-conditioning purposes [34-36]. Also, CR values below 10 in  
 349 the stable COP range, coincide with the literature results [35].

350 Although the literature results indicate that the H<sub>2</sub>O-LiBr mixture gives higher COP values for these  
 351 operating conditions [21], the freezing temperature of water means that it cannot even be considered for  
 352 use in an air conditioning system that must operate all year round.

353 On the other hand, the literature results agree that with the proposed mixture, NH<sub>3</sub>-LiNO<sub>3</sub>, the cycle can  
 354 operate at lower generator temperatures and with higher COP values than the traditional mixture NH<sub>3</sub>-  
 355 H<sub>2</sub>O [19, 20, 23].

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Figure 6. Effect of solution heat exchanger effectiveness on SSAR at T<sub>E</sub>= 5 °C

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### 363 5.2 Single-stage absorption heat transformer cycle (SSAHR)

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365 Figures 7(a) and 7(b) show the COP values versus generator- evaporator temperatures at an absorption  
 366 temperature of 60 and 50 °C, respectively. In this case, the absorption temperature of 60 °C is the typical  
 367 absorption temperature for a conventional heating system with radiators, whereas the temperature of 50  
 368 °C is that of an underfloor heating system. As can be observed, the COP remains almost constant at a  
 369 value close to 0.45 and drops drastically when the generation- evaporation temperature is decreased  
 370 because of the smaller concentration difference between the strong and weak solutions. The  
 371 condensation temperature, directly related to the ambient air temperature, marks the minimum  
 372 temperature required for the cycle operation. For instance, at an absorption temperature of 50 °C and a  
 373 condensation temperature of 0 °C, the cycle reaches a COP value of 0.45 at a generation- evaporation  
 374 temperature of 27 °C, while at a condensing temperature of 10 °C a generation- evaporation temperature

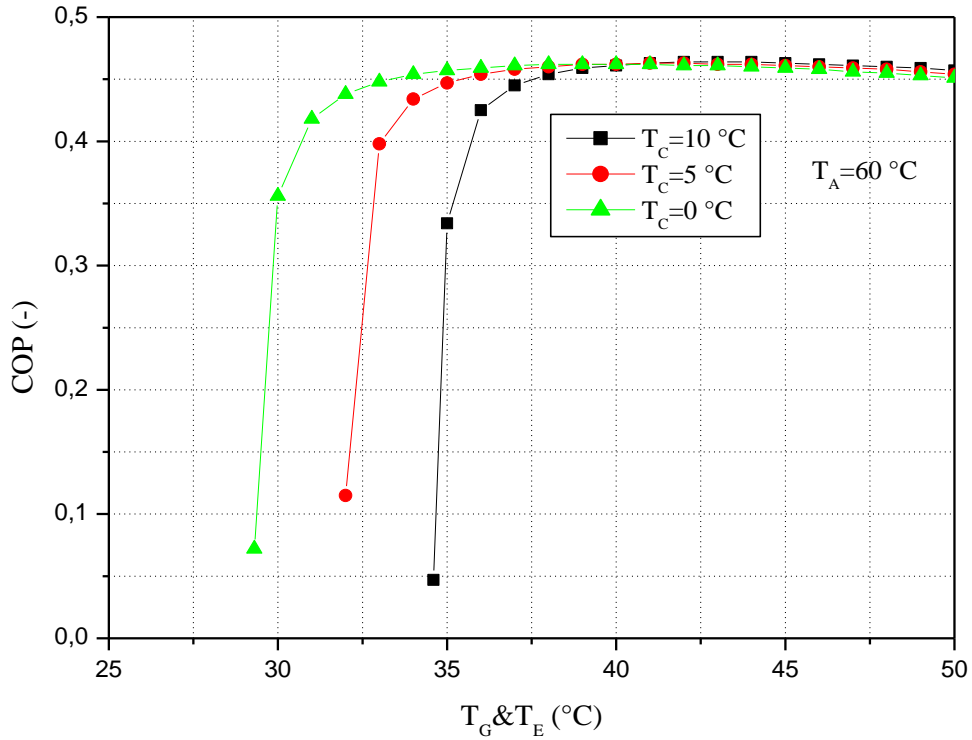
375 of 32 °C is required. However, once the COP's stable zone is reached, there is no significant effect of  
376 the condensation temperature on the cycle COP. Moreover, it is worthy of note that, unlike the  
377 refrigeration cycle, the worse the environmental conditions are (low temperature in winter) the lower  
378 the activation temperatures the cycle can operate with.

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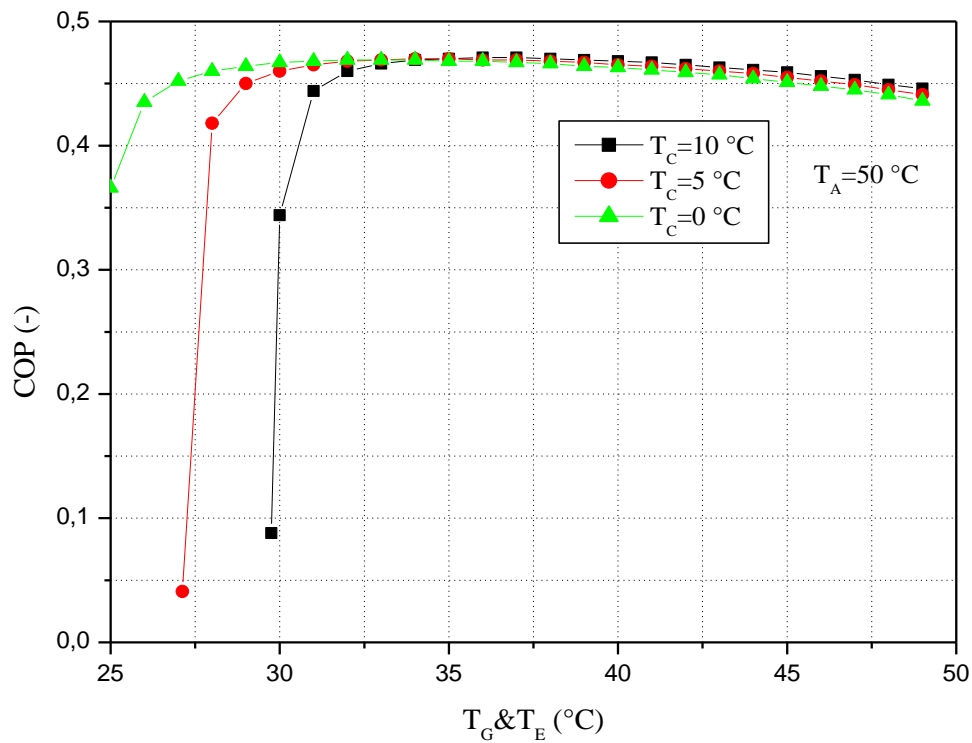
380 If the pinch temperature is again considered to be 5 °C in every heat transfer operation, the SSAHT  
381 cycle can be driven with a heat source temperature of 40 °C to produce hot water at 55 °C at an ambient  
382 temperature of 0 °C and a COP close to 0.45.

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388 Figure 7. Effect of generator and evaporator temperature on COP for SSAHT at: (a) T<sub>A</sub> = 60 °C; (b) T<sub>A</sub> =  
389 50 °C

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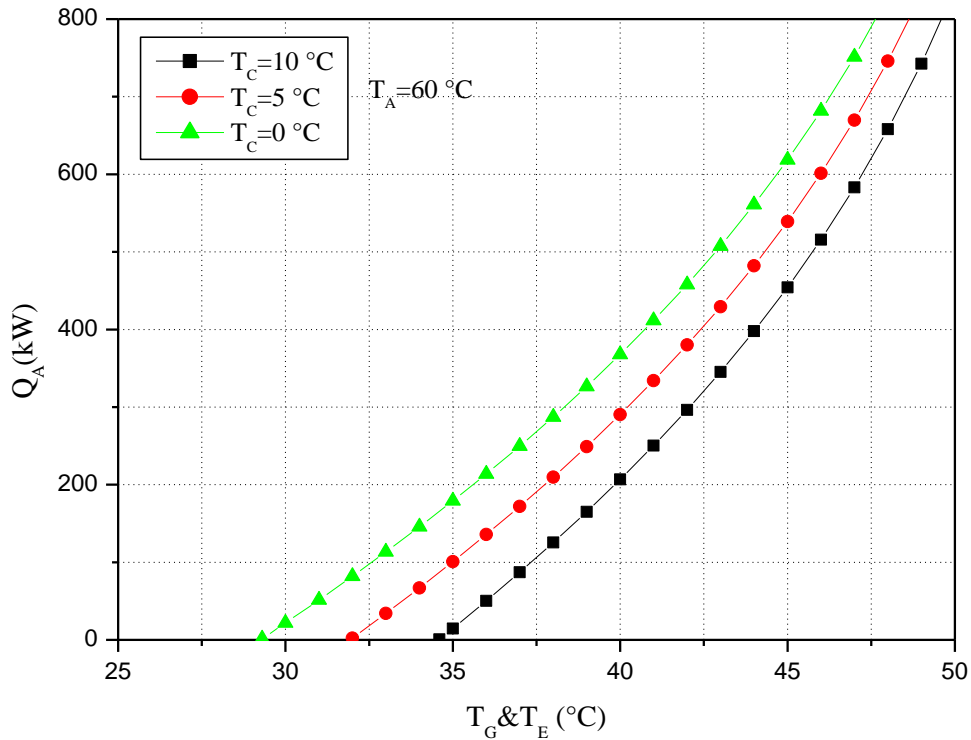
391 Figures 8(a) and 8(b) show the effect of generation-evaporation temperatures on the heating capacity. It  
392 should be noted in this case that, contrary to what happens in the refrigeration cycle, when the climatic  
393 conditions get worse (that is to say, when the ambient temperature drops), the heating power of the  
394 equipment increases. For instance, at an absorber temperature of 60 °C and a generator- evaporator

395 temperature of 40 °C, the heating power increases from 206.7 to 367.8 kW when the condensing  
396 temperature drops from 10 to 0 °C. Also note that for most of the operating conditions presented, the  
397 power of the heating cycle is higher than that of cooling. This is because, for the selected operating  
398 conditions, the concentration difference between the strong and weak solutions is higher in the SSAHT  
399 cycle (see Table 3). Therefore, if the heating loads of the building are much higher than the cooling  
400 loads, these could be achieved as long as the heat exchangers are sized for these capacities. Moreover,  
401 the almost linear variation of the machine power with the temperature of the driving heat indicates that  
402 a simple control can be used for the machine functioning at partial load.

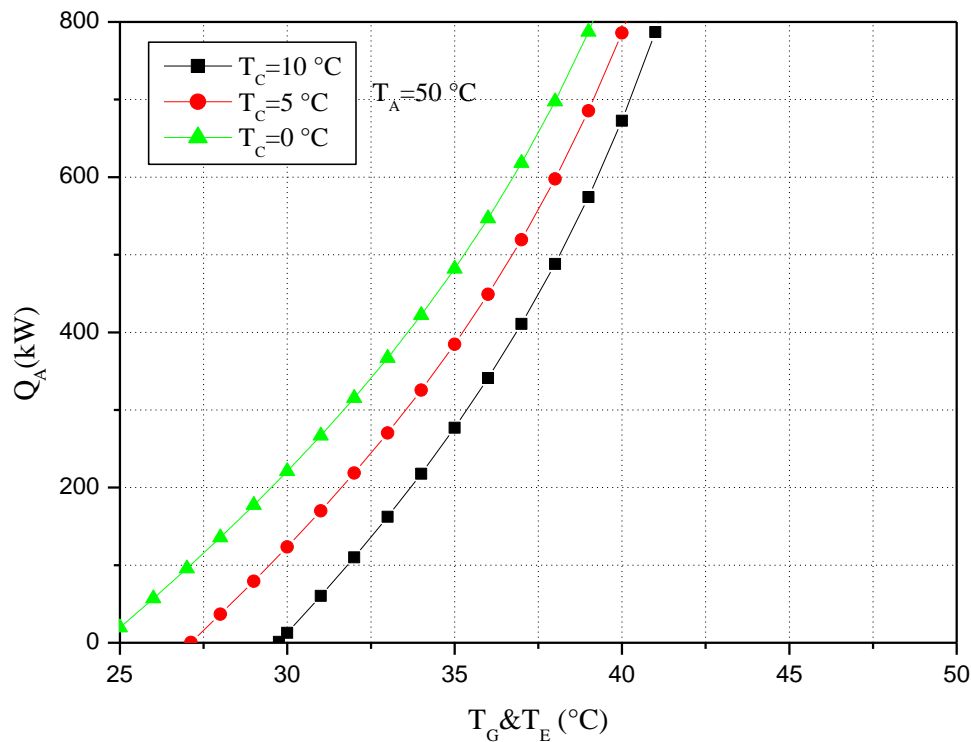
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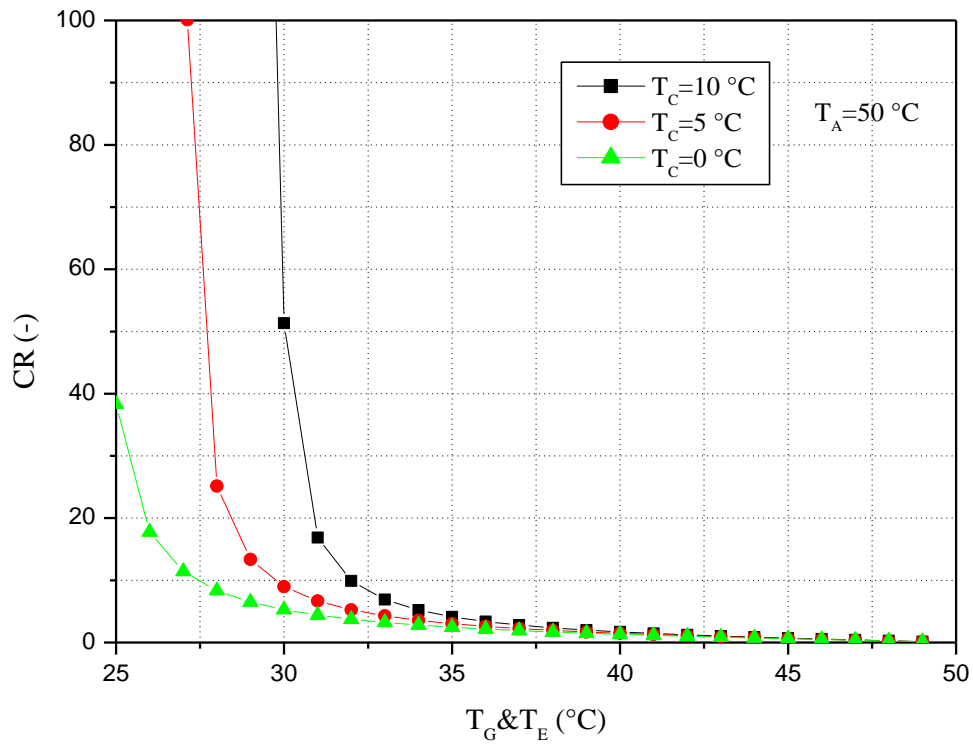
408 Figure 8. Effect of generator and evaporator temperature on heating capacity for SSAHT at: (a)  $T_A = 60$   
 409 °C; (b)  $T_A = 50$  °C

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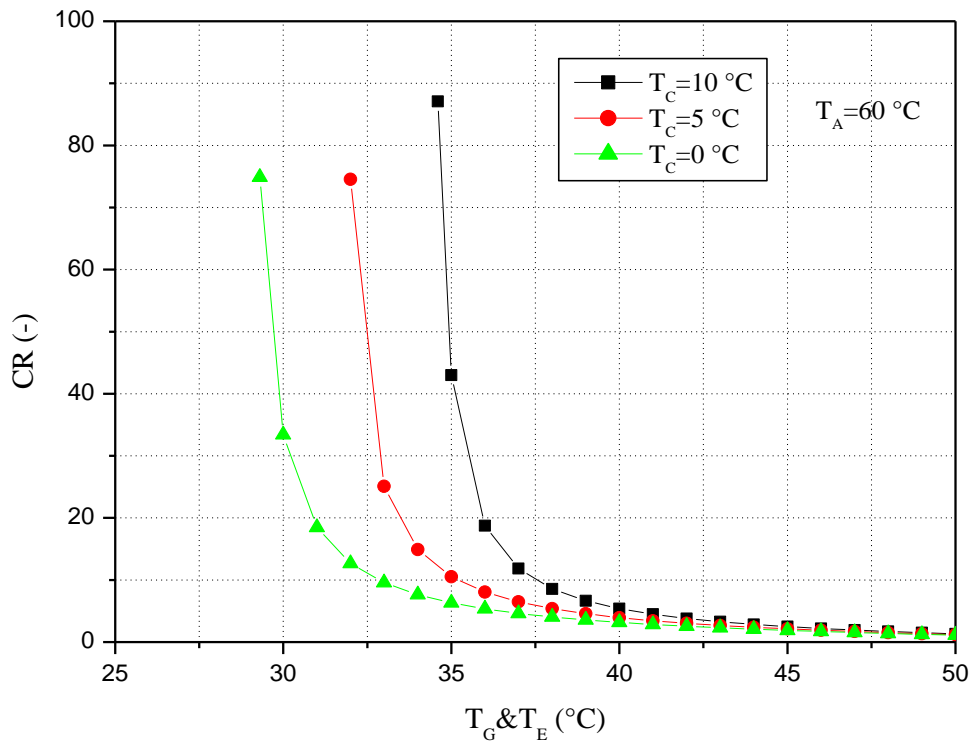
411 Figures 9(a) and 9(b) compare the circulation ratios versus the generator temperature. They show that  
 412 the circulation ratio for the SSAHT cycle is lower than for the SSAR cycle. This means that the circuits  
 413 on the solution side of the cycle must be sized in terms of the cooling loads. This lower circulation ratio  
 414 means that the effectiveness of the solution exchanger has less influence on the cycle COP as can be  
 415 seen in Fig. 10 where the cycle COP is presented for three efficiencies of the solution heat exchanger.

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421 Figure 9. Effect of generator and evaporator temperature on circulation ratio for SSAHT: (a)  $T_A = 60\text{ }^\circ\text{C}$ ;

422 (b)  $T_A = 50\text{ }^\circ\text{C}$

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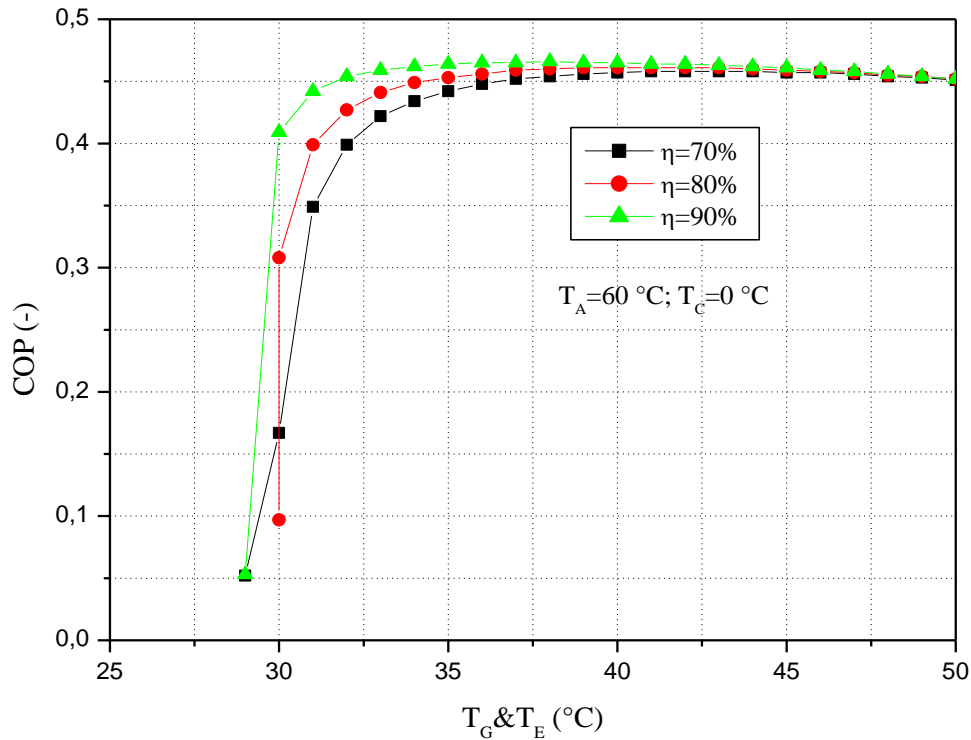


Figure 10. Effect of solution heat exchanger effectiveness on the COP of SSAHT at  $T_A = 60$  °C

Finally, Figure 11 shows the maximum gross temperature lift (GTL) that can be reached with the SSAHT for the four  $T_G = T_E$  considered. The absorber temperatures have been obtained considering a minimum COP value of 0.44, since below this value the COP falls sharply. In each data series, the three points, from top to bottom, correspond to the three condensation temperatures (0, 5 and 10 °C) considered in this study. The GTL increases as the temperature in the generator-evaporator increases, reaching a maximum GTL value of 34 °C for a  $T_G = T_E = 50$  °C and a condensing temperature of 0 °C. For a  $T_G = T_E = 35$  °C and a condensing temperature of 10 °C a minimum GTL value of 22 °C was obtained. On the other hand, it is observed that the maximum GTL range becomes narrower as the generator-evaporator temperature increases.

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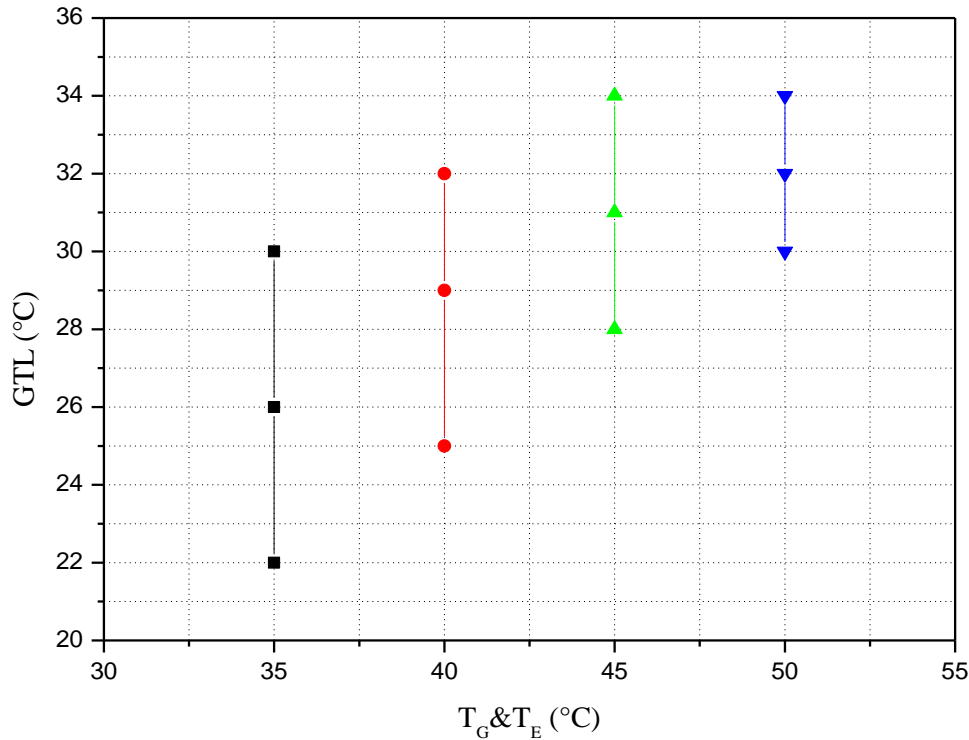


Figure 11. Variation of the maximum GTL as a function of generator- evaporator temperature

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464 To our knowledge, only two simulation studies have been published on a SSAHT working with the  
465  $\text{NH}_3\text{-LiNO}_3$  mixture. In the first, Best et al. [17] reported data for a condensation temperature of 10 °C  
466 and a low evaporation temperature of 45 °C. However, in the generator and absorber they considered a  
467 minimum temperature of 55 and 80 °C, respectively. As a result of the higher temperature lift, a lower  
468 COP of 0.328 was obtained. The second study by Hernández-Magallanes et al. [18] focused on the  
469 energy revaluation of waste heat, but at higher heat source temperatures, since the minimum supply  
470 temperature considered was 70 °C. Also the condensation temperatures were higher since the minimum  
471 condensation temperature contemplated was 30 °C. Nonetheless, it is worth pointing out that in the  
472 stable COP range, the COP values were in the range 0.45-0.5, close to those found here.

473 As regards the comparison with the conventional working pair  $\text{NH}_3\text{-H}_2\text{O}$ , the experimental study  
474 presented by Garone et al. [37] considers a lower operating temperature range that is close to that of the  
475 present study. For instance, for a water inlet temperature to the condenser, generator- evaporator and  
476 absorber of 10, 60 and 70 °C, respectively, the COP value was 0.47. For a temperature lift of 10 °C, the  
477 results are similar to those obtained here. Wakim and Rivera-Tinoco [38] also studied the performance  
478 of a SSAHT with the working pair  $\text{NH}_3\text{-H}_2\text{O}$  but at a condensation temperature of 25 °C and generator-  
479 evaporator temperatures above 60 °C. Their results for COP, CR and GTL are similar to those presented  
480 here. But again, it must be considered that the absence of a rectifier with the  $\text{NH}_3\text{-LiNO}_3$  mixture  
481 simplifies the structure of the cycle and the switch between the SSAR and SSAHT cycles.

482

## 483 6. Conclusions

484 A thermodynamic analysis was made of an  $\text{NH}_3\text{-LiNO}_3$  reversible absorption cycle, which operates as  
485 a single-stage absorption refrigeration cycle (SSAR) in the cooling mode and as a single-stage  
486 absorption heat transformer (SSAHT) in the heating mode. The cycle is activated with solar thermal  
487 energy to meet the cooling and heating demands of a building. The components of both cycles are the  
488 same, and the only change is the flow direction inside the system.

489 Using a thermodynamic model, we present the effects of operation temperatures on the performance,  
490 the capacity and the circulation ratio of SSAR and SSAHT cycles. Then, combining the simulated  
491 performance with climatic conditions and available hot water temperature in winter and summer, we  
492 analyze the applicability of both cycles to provide heating and cooling demands. The most significant  
493 results are summarized below:

- 494 1. The SSAR cycle, driven with hot water temperatures that can be reached using flat collectors in  
495 summer, can provide chilled water for fan-coils or for an underfloor cooling system. A geothermal  
496 dissipation system provides COP values above 0.6 with hot water temperatures below 80 °C. A dry  
497 cooler would need heat source temperatures higher than 90 °C. The effectiveness of the solution  
498 exchanger should be as high as possible to maximize the COP of the cycle.
- 499 2. The SSAHT cycle, driven with hot water temperatures that can be reached using flat collectors in  
500 winter, can provide hot water for a conventional heating system with radiators or for an underfloor  
501 heating system. In this case, the heat dissipation would always be with a dry cooler and the activation  
502 temperature of the cycle decreases as the ambient temperature decreases. At an ambient temperature  
503 below 0 °C the cycle could be driven with hot water below 40 °C with a COP close to 0.45. The  
504 circulation ratio of the SSAHT cycle is lower than that of the SSAR cycle. Therefore, if the heating  
505 and cooling loads are similar, the components of the cycle should be sized according to the cooling  
506 load.

507

## 508 **7. Acknowledgments**

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- 611  
612

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623  $^\circ\text{C}$ ; (b)  $T_A = 50\text{ }^\circ\text{C}$

624 Figure 9. Effect of generator and evaporator temperature on circulation ratio for SSAHT: (a)  $T_A = 60\text{ }^\circ\text{C}$ ;  
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