

# Spectroscopy and laser operation of highly-doped 10 at.% Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramics

Wei Jing<sup>a</sup>, Pavel Loiko<sup>b</sup>, Liza Basyrova<sup>b</sup>, Yicheng Wang<sup>c</sup>, Hui Huang<sup>a</sup>, Patrice Camy<sup>b</sup>, Uwe Griebner<sup>c</sup>, Valentin Petrov<sup>c</sup>, Josep Maria Serres<sup>d</sup>, Rosa Maria Solé<sup>d</sup>, Magdalena Aguiló<sup>d</sup>, Francesc Díaz<sup>d</sup> and Xavier Mateos<sup>d,e,\*</sup>

<sup>a</sup>*Institute of Chemical Materials, China Academy of Engineering Physics, 64 Mianshan Road, 621900 Mianyang, China*

<sup>b</sup>*Centre de recherche sur les Ions, les Matériaux et la Photonique (CIMAP), UMR 6252 CEA-CNRS-ENSICAEN, Université de Caen, 6 Boulevard du Maréchal Juin, 14050 Caen, France*

<sup>c</sup>*Max Born Institute for Nonlinear Optics and Short Pulse Spectroscopy, Max-Born-Str. 2a, 12489 Berlin, Germany*

<sup>d</sup>*Universitat Rovira i Virgili (URV), Física i Cristal·lografia de Materials i Nanomaterials (FiCMA-FiCNA)-EMaS, Marcel·lí Domingo 1, 43007 Tarragona, Spain*

<sup>e</sup>*Serra Hünter Fellow*

\*Corresponding author, e-mail: [xavier.mateos@urv.cat](mailto:xavier.mateos@urv.cat)

**Abstract:** Highly-doped “mixed” transparent sesquioxide ceramics, 10 at.% Yb:(Lu<sub>2/3</sub>Sc<sub>1/3</sub>)<sub>2</sub>O<sub>3</sub>, are fabricated by vacuum sintering at 1700 °C followed by hot isostatic pressing (HIPing) at 1680 °C and 200 MPa. The ceramics are of single-phase nature with a cubic (C-type,  $a = 10.191$  Å) structure and a mean grain size of 0.66 μm. They feature high low-signal transmission of 79.0% at 1.20 μm. The Yb<sup>3+</sup> ions in “mixed” ceramics exhibit strong inhomogeneous broadening of the absorption and emission bands, with  $\sigma_{SE}$  of  $0.43 \times 10^{-20}$  cm<sup>2</sup> at 1079.4 nm and an emission bandwidth of 25.7 nm. The crystal-field splitting for Yb<sup>3+</sup> ions is revealed at 12 K. The Yb ceramic laser generated a maximum peak output power of 250 mW at ~1.04 and 1.08 μm with a slope efficiency of 40.3%. The ceramics are promising for mode-locked lasers at ~1.08 μm, including thin-disk geometry.

**Keywords:** transparent ceramics; sesquioxides; ytterbium ion; luminescence; laser operation.

## 1. Introduction

Cubic rare-earth sesquioxides  $A_2O_3$  (where  $A = \text{Sc}, \text{Y}$  or  $\text{Lu}$ ) are well-known host crystals for doping with laser-active trivalent rare-earth ions such as ytterbium ( $\text{Yb}^{3+}$ ) [1]. These materials exhibit attractive thermo-mechanical properties, such as high thermal conductivity ( $\kappa = 12.8 \text{ Wm}^{-1}\text{K}^{-1}$  for  $\text{Lu}_2\text{O}_3$  with weak dependence on the  $\text{Yb}^{3+}$ -doping level [2]), isotropic thermal expansion and small and positive thermo-optic coefficients ( $dn/dT = 5.8 \times 10^{-6} \text{ K}^{-1}$  at  $1 \mu\text{m}$  for  $\text{Lu}_2\text{O}_3$ ) [3]. They are characterized by broadband transparency and relatively low maximum phonon energies for oxide crystals ( $h\nu_{\text{ph}} = 614 \text{ cm}^{-1}$  for  $\text{Lu}_2\text{O}_3$ ) [4].  $\text{Yb}^{3+}$  ions doped in cubic sesquioxides experience a strong crystal field leading to large total Stark splitting of the ground-state ( $\Delta E(^2F_{7/2}) = 903 \text{ cm}^{-1}$  for  $\text{Yb}:\text{Lu}_2\text{O}_3$ ) [5].

The interest in doping with  $\text{Yb}^{3+}$  ions is determined by their simple energy-level scheme potentially leading to high slope efficiencies and weak heat loading in such lasers. The spectroscopic features of  $\text{Yb}^{3+}$ -doped sesquioxide crystals enable broad tuning of the laser emission wavelength [6] and the generation of femtosecond laser pulses [7,8]. These materials were also found to be very suitable for thin-disk lasers and amplifiers [2,9].

The main drawback of  $A_2O_3$  crystals is their high melting point ( $2450 \text{ }^\circ\text{C}$  for  $\text{Lu}_2\text{O}_3$ ) which complicates the crystal growth. As a result, the most common approach for the growth of single crystals is the heat exchanger method (HEM) [10] using rhenium (Re) crucibles which may induce black coloration of the crystals due to Re impurities. An attractive alternative to the single-crystal growth is the technology of transparent polycrystalline ceramics. The latter can be fabricated at much lower temperatures while the attractive thermo-mechanical and spectroscopic properties of the material are in general well preserved [11,12].  $\text{Yb}^{3+}$ -doped sesquioxide laser ceramics are now well-known [13,14].

An interesting feature of cubic sesquioxides is their ability to form solid-solutions ( $A_xB_yC_z)_2O_3$  where  $x + y + z = 1$  and  $A, B$  and  $C$  can stand for both passive (host-forming) and active (lasing) ions. The fabrication of such compositionally “mixed” materials is of interest because of the inhomogeneous broadening of the absorption and emission spectral bands of the active ions. The latter may lead to smoother and broader gain spectra which are attractive and beneficial for femtosecond mode-locked (ML) lasers. The growth and laser operation of  $\text{Yb}^{3+}$ -doped “mixed” sesquioxide single crystals were reported firstly by Schmidt *et al.* describing the growth of  $\text{Yb}:(\text{Lu},\text{Sc})_2\text{O}_3$  crystals and their Kerr-lens mode-locked operation yielding 74 fs pulses at 1048 nm [15]. Thin-disk ML lasers based on “mixed”  $\text{Yb}:(\text{Lu},\text{Sc})_2\text{O}_3$  and  $\text{Yb}:(\text{Lu},\text{Sc},\text{Y})_2\text{O}_3$  crystals have been demonstrated in [16,17]. The possibility to fabricate “mixed” sesquioxide materials was further exploited using the transparent ceramic technology [18,19]. Recently, Toci *et al.* reported on a diode-pumped CW  $\text{Yb}:(\text{Lu},\text{Y})_2\text{O}_3$  ceramic laser delivering 2.7 W at 1078 nm with a slope efficiency of 48.1% [20].

In the present work, we focus on a “mixed” ceramics with high  $\text{Yb}^{3+}$ -doping, 10 at.%  $\text{Yb}:(\text{Lu},\text{Sc})_2\text{O}_3$ , for the first time, to the best of our knowledge. Highly-doped sesquioxide ceramics are also of interest for the thin-disk laser geometry [21]. Recently, we studied similar “mixed”  $(\text{Lu},\text{Sc})_2\text{O}_3$  ceramics but doped with  $\text{Tm}^{3+}$  and  $\text{Ho}^{3+}$  ions [22,23] showing good potential for femtosecond pulse generation [24].

## 2. Fabrication of the laser ceramics

As starting materials, we used high-purity  $\text{Yb}_2\text{O}_3$ ,  $\text{Lu}_2\text{O}_3$  and  $\text{Sc}_2\text{O}_3$  (5N) oxide powders. They were taken according to the formula  $(\text{Yb}_{0.1}\text{Lu}_{0.6}\text{Sc}_{0.3})_2\text{O}_3$ , i.e., assuming an  $\text{Yb}^{3+}$  doping level of 10 at.% (with respect to the host-forming cations,  $\text{Lu}^{3+}|\text{Sc}^{3+}$ ) and well mixed. The mixture was ball milled in Teflon jars for 24 h at a speed of 200 rpm. After drying the slurry at 80 °C for 24 h, the powder was sifted with a 200-mesh screen and calcinated at 400 °C for 4 h. The green ceramic sample was dry-pressed into a disk by applying a uniaxial pressure of 20 MPa, and further cold isostatically pressed at 200 MPa to increase its density. Prior to the densification sintering, the sample was calcined at a temperature 1000 °C for 10 h. This helped to remove unwanted organic impurities and residual carbon. Then, the  $\text{Yb}:(\text{Lu},\text{Sc})_2\text{O}_3$  disk was subjected to vacuum sintering at 1700 °C followed by hot isostatic pressing (HIPing) at 1680 °C and 200 MPa in argon atmosphere for 2 h. The obtained ceramic was annealed at 1500 °C for 8 h in air to remove oxygen vacancies. The ceramic disks were polished to laser-grade quality from both sides.

A photograph of polished ceramic disks is shown in Fig. 1. They are transparent and colorless.

## 3. Experimental

The morphology of a thermally etched surface of the ceramic disk was studied by Field Emission Scanning Electron Microscopy (FESEM) using a JEOL JSM-6700 microscope. The grain size distribution was determined using the ImageJ software. The phase composition of the ceramic was identified by X-ray powder diffraction (XRD) employing a Tongda DX-1000CSC diffractometer and  $\text{Cu K}\alpha$  radiation (1.54056 Å). The XRD patterns were measured for the  $2\theta$  range of 10° - 80° with a scan speed of 1.2°/min and a step size of 0.02°.

The room temperature (RT, 293 K) Raman spectra were measured using a confocal microscope (inVia, Renishaw) equipped with a  $\times 50$  objective (Leica) and an  $\text{Ar}^+$  ion laser (488.0 nm).

The RT absorption and transmission spectra were measured using a spectrophotometer (Lambda 1050, Perkin Elmer). The luminescence spectrum was measured using an optical spectrum analyzer (OSA, Ando AQ6315-E) employing a Ti:Sapphire laser tuned to 920 nm as an excitation source. The luminescence decay was studied employing a ns optical parametric oscillator (OPO, Horizon, Continuum), a 1/4 m monochomator (Oriel 77200), an InGaAs detector and an 8 GHz digital oscilloscope (DSA70804B, Tektronix).

For low-temperature (LT, 12 K) studies, the sample was mounted on an APD DE-202 closed-cycle cryo-cooler equipped with an APD HC 2 Helium vacuum cryo-compressor and a Laceshore 330 temperature controller. The LT absorption spectrum was measured using a 20 W halogen lamp with a spectrally calibrated emission and the OSA. The LT luminescence spectrum was measured using the same OSA. The spectral resolution was 0.2 nm.

## 4. Characterization of the ceramics

### 4.1. Structural study

A typical FESEM image of the polished and thermally etched surface of the ceramic is shown in Fig. 2. The ceramic features a close-packed microstructure without pores and secondary phases at the grain boundaries. The grain size distribution is shown in the inset of Fig. 2. The grain size was determined by the linear intercept method using a shape factor of 1.2; a total of 150 grains were counted for an area of  $\sim 10 \times 10 \mu\text{m}^2$ . The distribution is relatively narrow and the mean grain size  $D_{\text{grain}}$  is  $0.66 \mu\text{m}$ .

The powder XRD pattern of the ceramics is shown in Fig. 3. The  $\text{Yb}:(\text{Lu},\text{Sc})_2\text{O}_3$  ceramic is of single-phase nature. It is cubic (sp. gr.  $Ia\bar{3} - T^7_h$ , No. 206) and possesses the so-called bixbyite ( $(\text{Mn},\text{Fe})_2\text{O}_3$ -type) or C-type structure [25], the same as the parent  $\text{Lu}_2\text{O}_3$  and  $\text{Sc}_2\text{O}_3$  compounds. The lattice constant was determined with the profile matching method using the FULLPROF software,  $a = 10.191 \text{ \AA}$ . It occupies an intermediate position between those for  $\text{Lu}_2\text{O}_3$  ( $a = 10.391 \text{ \AA}$ ) and  $\text{Sc}_2\text{O}_3$  ( $a = 9.857 \text{ \AA}$ ) [1]. Note that the stoichiometric cubic phase  $\text{Yb}_2\text{O}_3$  also exists. In the bixbyite structure, there are two crystallographic sites for  $\text{Yb}^{3+}$  ions replacing for the host-forming cations  $\text{Lu}^{3+}$  and  $\text{Sc}^{3+}$ . The site symmetries are  $C_2$  and  $C_{3i}$  and both are VI-fold oxygen coordinated. The ratio of the  $C_2$  to  $C_{3i}$  sites is 3:1, i.e., there are 32 cations in a unit cell in which 24 occupy the  $C_2$  sites and 8 occupy the  $C_{3i}$  ones. The optical properties of cubic sesquioxides are mainly determined by most ions (approximately 3/4) residing in the  $C_2$  sites (for the  $C_{3i}$  ones, the electric-dipole (ED) transitions are forbidden due to the inversion symmetry). The compositional disorder and the difference in the ionic radii of  $\text{Yb}^{3+}$  ( $0.868 \text{ \AA}$ ),  $\text{Lu}^{3+}$  ( $0.861 \text{ \AA}$ ) and especially  $\text{Sc}^{3+}$  ( $0.745 \text{ \AA}$ ) [26] is expected to promote the inhomogeneous broadening of the  $\text{Yb}^{3+}$  spectral bands.

The Raman spectrum of the  $\text{Yb}:(\text{Lu},\text{Sc})_2\text{O}_3$  ceramic is shown in Fig. 4. The factor group analysis predicts the following irreducible representations at the  $\Gamma$ -point ( $\mathbf{k} = 0$ ):  $\Gamma = 4A_g + 4E_g + 14F_g + 5A_u + 5E_u + 17F_u$  of which 22 even (*gerade*) modes ( $A_g$ ,  $E_g$  and  $F_g$ ) are Raman-active, 17 modes ( $F_u$ ) are IR-active and the rest are silent [27]. The measured Raman spectrum is typical for cubic sesquioxides [4] but it is significantly broadened as compared, e.g., to that for the  $\text{Yb}:\text{Lu}_2\text{O}_3$  ceramics. The most intense band is observed at  $388 \text{ cm}^{-1}$  (its full width at half maximum, FWHM, is  $45 \text{ cm}^{-1}$ ) and it is assigned to  $A_g + F_g$  vibrations. The maximum phonon energy  $h\nu_{\text{ph}}$  is  $689 \text{ cm}^{-1}$ .

#### 4.2. Optical spectroscopy

The small-signal transmission spectrum of the ceramics in the range of 250-1200 nm (recalculated for the reference thickness of 1.0 mm) is shown in Fig. 5. It contains a strong structured absorption band at  $\sim 1 \mu\text{m}$  due to the  ${}^2F_{7/2} \rightarrow {}^2F_{5/2}$   $\text{Yb}^{3+}$  transition and a broad band at  $\sim 0.3 \mu\text{m}$  overlapping with the absorption edge which is assigned to residual pores. The optical bandgap was determined from the Tauc plot assuming direct transitions [28], i.e., by plotting  $(\alpha_{\text{abs}} \times h\nu)^2$  vs. the photon energy  $h\nu$ , where  $\alpha_{\text{abs}}$  is the absorption coefficient, as shown as inset of Fig. 5. Note that undoped  $\text{Lu}_2\text{O}_3$  has a direct band gap and it remains so under rare-earth doping [29]. For the studied ceramic,  $E_g$  is 4.64 eV ( $\lambda_g = 267 \text{ nm}$ ).

The small-signal transmission  $T_0$  at  $\sim 1.2 \mu\text{m}$  (out of  $\text{Yb}^{3+}$  absorption) is 79.0% and it is close to the theoretical value  $T_{\text{Fr}} = 81.0\%$  determined by the Fresnel losses assuming a refractive index  $\langle n \rangle$  of 1.925 for the composition of  $(\text{Lu}_{2/3}\text{Sc}_{1/3})_2\text{O}_3$  [30]. This result is much

better than for the translucent Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramics reported in [18] having a transmission of only 46.2% at ~1.2 μm, highlighting the good optical quality.

The absorption cross-sections were calculated as  $\sigma_{\text{abs}} = \alpha_{\text{abs}}/N_{\text{Yb}}$ , where  $N_{\text{Yb}} = 28.5 \times 10^{20} \text{ cm}^{-3}$  is the Yb<sup>3+</sup> ion density. Sometimes for rare-earth-doped sesquioxides, the absorption cross-sections are calculated by considering only the ions residing in C<sub>2</sub> sites, i.e., by taking about 3/4 of the total doping concentration. However, in the case of a “mixed” material, the distribution of Lu<sup>3+</sup> and Sc<sup>3+</sup> cations over the C<sub>2</sub> and C<sub>3i</sub> sites is unknown. One may expect that Yb<sup>3+</sup> ions will mostly replace the Lu<sup>3+</sup> ones (due to the closeness of their ionic radii), so that the “ideal” distribution over the two sites will be disturbed. In this way, we consider the  $\sigma_{\text{abs}}$  values as “effective” ones.

The RT  $\sigma_{\text{abs}}$  spectrum of the Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic is given in Fig. 6(a) and compared with Yb:Lu<sub>2</sub>O<sub>3</sub> and Yb:Sc<sub>2</sub>O<sub>3</sub> single-crystals [31]. For the “mixed” ceramic, the maximum  $\sigma_{\text{abs}}$  is  $1.82 \times 10^{-20} \text{ cm}^2$  at 976.0 nm and the FWHM of the absorption peak is 5.5 nm. This peak corresponds to the so-called zero-phonon-line (ZPL) in absorption for the Yb<sup>3+</sup> ion, i.e., the transition between the lowest Stark sub-levels of the multiplets,  $0 \rightarrow 0'$ . It is split at RT showing two components at 973.5 nm and 976.0 nm. The ZPL for the “mixed” ceramics is notably broadened and reduced in intensity as compared to those for the single-crystalline sesquioxides. This is related to (i) the polycrystalline nature of the ceramic and (ii) the compositional disorder in the “mixed” material. Indeed, for Yb:Lu<sub>2</sub>O<sub>3</sub> crystal, the maximum  $\sigma_{\text{abs}}$  is  $3.06 \times 10^{-20} \text{ cm}^2$  at 975.4 nm with a FWHM of 2.9 nm [31]. Strong spectral broadening is also observed for the entire absorption spectrum of the “mixed” ceramic, representing the effect of inhomogeneous spectral broadening.

The stimulated-emission (SE) cross-section,  $\sigma_{\text{SE}}$ , was calculated by two methods. The first one is the Füchtbauer–Ladenburg (F-L) equation [32]:

$$\sigma_{\text{SE}}(\lambda) = \frac{\lambda^5}{8\pi \langle n \rangle^2 \tau_{\text{rad}} c} \frac{W'(\lambda)}{\int \lambda W'(\lambda) d\lambda}, \quad (1)$$

where,  $\lambda$  is the light wavelength,  $\langle n \rangle$  is the refractive index of the host matrix corresponding to the average luminescence wavelength  $\langle \lambda_{\text{lum}} \rangle$ ,  $\tau_{\text{rad}}$  is the radiative lifetime of the upper manifold (<sup>2</sup>F<sub>5/2</sub> for Yb<sup>3+</sup> ions),  $c$  is the speed of light and  $W'(\lambda)$  is the luminescence spectrum accounting for the apparatus function of the set-up determined using a spectrally-calibrated light source.

The second one is the reciprocity method (RM), or McCumber equation [33]:

$$\sigma_{\text{SE}}(\lambda) = \sigma_{\text{abs}}(\lambda) \frac{Z_1}{Z_2} \exp\left(-\frac{hc/\lambda - E_{\text{ZPL}}}{kT}\right), \quad (2)$$

where  $h$  is the Planck constant and  $(hc/\lambda)$  is the photon energy,  $k$  is the Boltzmann constant,  $T$  is the considered temperature (RT),  $E_{\text{ZPL}}$  is energy corresponding to the ZPL transition, and  $Z_m$  are the so-called partition functions of the lower ( $m = 1, {}^2\text{F}_{7/2}$ ) and upper ( $m = 2, {}^2\text{F}_{5/2}$ ) manifolds of the Yb<sup>3+</sup> ion:

$$Z_m = \sum_k g_k^m \exp(-E_k^m / kT). \quad (3)$$

In Eq. (3),  $g^{m_k} = 1$  is the degeneracy of the Stark sub-level with the number  $k$  and energy  $E^{m_k}$  which is measured from the lowest sub-level of each multiplet.

The SE cross-sections calculated by the two different methods show good agreement for a  $\tau_{\text{rad}}$  value of  $0.79 \pm 0.01$  ms. It agrees well with the lifetimes reported for Yb:Lu<sub>2</sub>O<sub>3</sub> (0.82 ms) and Yb:Sc<sub>2</sub>O<sub>3</sub> (0.80 ms) [31].

The SE cross-section spectrum of the Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic obtained by combining the data from the F-L equation and the RM (to avoid the effect of reabsorption on the measured luminescence spectra) is shown in Fig. 6(b). The maximum  $\sigma_{\text{SE}}$  is  $1.95 \times 10^{-20}$  cm<sup>2</sup> at 976.0 nm corresponding to the ZPL. At longer wavelengths where laser operation is expected,  $\sigma_{\text{SE}}$  is  $0.89 \times 10^{-20}$  cm<sup>2</sup> at 1034.0 nm (FWHM = 19.2 nm) and  $0.43 \times 10^{-20}$  cm<sup>2</sup> at 1079.4 nm (FWHM = 25.7 nm). Similar to the absorption spectrum, the emission band indicates strong inhomogeneous broadening as compared to the parent sesquioxides. Indeed, for Yb:Lu<sub>2</sub>O<sub>3</sub>,  $\sigma_{\text{SE}}$  is  $0.44 \times 10^{-20}$  cm<sup>2</sup> at 1079.1 nm with a FWHM of only 15.4 nm [31].

The Yb<sup>3+</sup> ion represents a quasi-three-level laser scheme with reabsorption. Thus, it is useful to calculate the gain cross-section,  $\sigma_g = \beta\sigma_{\text{SE}} - (1 - \beta)\sigma_{\text{abs}}$ , where  $\beta = N_2(^2F_{5/2})/N_{\text{Yb}}$  is the inversion ratio and  $N_2$  is the fraction of active ions in the upper laser level, to conclude about the expected laser wavelength. The gain spectra for the Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic are shown in Fig. 7. For small inversion ratios  $\beta < 0.08$ , there is a local peak centered at  $\sim 1079$  nm. For higher  $\beta$ , another peak at  $\sim 1034$  nm appears.

The luminescence decay curve was measured at RT for a finely powdered ceramic sample (to avoid the effect of reabsorption on the measured lifetime), see Fig. 8. It is well fitted with a single-exponential law yielding a luminescence lifetime of  $\tau_{\text{lum}} = 159$   $\mu$ s. For comparison, in Fig. 8, we also provide the decay curve for a bulk ceramic corresponding to longer  $\tau_{\text{lum}}$  of 172  $\mu$ s due to the reabsorption. The uncertainty of the determined lifetimes is  $\pm 5$   $\mu$ s. The determined  $\tau_{\text{lum}}$  value is much shorter than the radiative one corresponding to a luminescence quantum yield of  $\eta_q = \tau_{\text{lum}}/\tau_{\text{rad}} \approx 20\%$ . The latter is an indication of strong concentration-quenching of luminescence. It is assigned to energy migration to defects and impurities. For example, we cannot exclude the presence of Zr<sup>4+</sup> ions in the fabricated ceramics (zirconia was used in the grinding balls and milling jar). The luminescence decay curve can be then represented as  $I(t) = I_0 \times \exp(-(t/\tau_0) - \omega t)$ , where  $I(t)$  is the luminescence intensity,  $t$  is time,  $\tau_0$  is the intrinsic lifetime in the limit of low doping level and  $\omega$  is the energy-migration rate expressed in s<sup>-1</sup>. In the case of Yb<sup>3+</sup> showing no energy-transfer upconversion, the decay curve under strong energy-migration is expected to maintain the single-exponential shape.

To reveal the crystal-field splitting of the Yb<sup>3+</sup> ion, the LT absorption and luminescence spectra were measured as shown in Fig. 9. For Yb<sup>3+</sup> ions in the C<sub>2</sub> sites, each <sup>2S+1</sup>L<sub>J</sub> multiplet is split into  $J+1/2$  sub-levels which are numbered as 0 .. 3 and 0' .. 2' (in the order of increasing energy) for the lower and upper manifolds of Yb<sup>3+</sup>, respectively. The assignment of the LT spectra is according to Ref. [5]. The spectra of the ‘‘mixed’’ ceramic remain broad even at low temperatures when the electron-phonon interaction is nearly suppressed. Indeed, for the emission peak associated with the 0'  $\rightarrow$  3 electronic transition, the emission bandwidth (FWHM) is 18.6 nm. The composite nature of the ZPL is preserved at LT with two

components at 971.8 and 975.6 nm. In the previous work on Yb<sup>3+</sup>-doped sesquioxides [34], the splitting of the ZPL in absorption was also described at LT (10 K): for Yb:Lu<sub>2</sub>O<sub>3</sub>, two peaks at 974.4 and 975.6 nm were observed leading to different emission spectra assigned to Yb<sup>3+</sup> ions in C<sub>3i</sub> and C<sub>2</sub> sites, respectively. In our case, a selective excitation of the “mixed” ceramic into two spectral components of the ZPL did not lead to any noticeable difference in the LT luminescence spectra, cf. Fig. 9, both assigned to Yb<sup>3+</sup> ions in C<sub>2</sub> sites. Moreover, the relative intensity of the spectral components of the ZPL for the studied ceramic disagree with that for the Yb:Lu<sub>2</sub>O<sub>3</sub> crystal [34]. Thus, we suggest the following explanation: as a consequence of the structural disorder, the second coordination sphere around Yb<sup>3+</sup> can be constituted by various combinations of the Sc<sup>3+</sup>, Lu<sup>3+</sup> and Yb<sup>3+</sup> ions, some of them different at the point to generate crystal fields around a given site (C<sub>2</sub>) sufficiently different to give rise to distinct ZPLs.

The Yb<sup>3+</sup> energy-level scheme in “mixed” (Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic is shown in Fig. 10. The corresponding set of energy-levels is  ${}^2F_{7/2} = (0, 459, 562, 985) \text{ cm}^{-1}$  and  ${}^2F_{5/2} = (10250, 10703, 11067) \text{ cm}^{-1}$ . For comparison, we also provide the data for Lu<sub>2</sub>O<sub>3</sub> and Sc<sub>2</sub>O<sub>3</sub> [31]. For most of the Stark components, their energies are intermediate between those for the parent compounds. The total Stark splitting of the ground-state  $\Delta E({}^2F_{7/2})$  is 985 cm<sup>-1</sup>. The partition functions  $Z_1 = 1.175$  and  $Z_2 = 1.126$  and their ratio  $Z_1/Z_2 = 1.044$  (at RT).

Note that there exists a slightly different assignment of the low-temperature spectra for Yb<sup>3+</sup> in C<sub>2</sub> sites in Lu<sub>2</sub>O<sub>3</sub> [35]. The main difference from our work is the position of the sub-levels 1 ( ${}^2F_{7/2}$ ) and 1' ( ${}^2F_{5/2}$ ). The corresponding peaks in the LT spectra are marked by the asterisks.

## 5. Laser operation

### 5.1. Laser set-up

The scheme of the laser set-up is shown in Fig. 11. The Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic sample with a thickness of 2.61 mm and an aperture of 3.0×3.0 mm<sup>2</sup> was mounted on a passively cooled Cu-holder using a silver paint for better thermal contact. The nearly hemispherical cavity was formed by a flat pump mirror (PM) coated for high transmission (HT,  $T > 97\%$ ) at 0.88-0.99 μm and for high reflection (HR,  $R > 99.9\%$ ) at 1.02-1.20 μm, and a set of concave output couplers (OCs) having a radius of curvature (RoC) of -100 mm and a transmission in the range of 1% - 10% ( $\pm 0.5\%$ ) at 1.0-1.1 μm. The cavity length was about ~100 mm. The laser element was placed close to the PM with an airgap less than ~1 mm.

As a pump source, we used a CW Ti:Sapphire laser (3900S, Spectra Physics) emitting in the fundamental mode (TEM<sub>00</sub>,  $M^2 \approx 1$ ) and delivering up to ~2.3 W at 966.4 nm. The pump power was varied by a rotatable half-wave plate and a Glan-Taylor polarizer. The pump was focused into the laser element through the PM using an achromatic antireflection (AR) coated lens ( $f = 50 \text{ mm}$ ) resulting in a pump spot size at the focus  $2W_P$  of  $60 \pm 5 \text{ μm}$ . The diameter of the laser mode in the ceramic element was calculated with the ABCD method as  $2W_L = 55 \pm 10 \text{ μm}$ . As all the OCs provided high reflectance at the pump wavelength ( $R > 90\%$ ), pumping was in double-pass. The pump absorption under lasing conditions,  $\eta_{\text{abs,L}(2\text{-pass})} = P_{\text{abs}}/P_{\text{inc}}$ , was determined from pump-transmission measurement at the threshold pump

power accounting for the double-pass of the pump. It slightly decreased with output coupling, from 85.5% ( $T_{OC} = 1\%$ ) to 85.0% ( $T_{OC} = 10\%$ ). Note that these values include the Fresnel losses at the uncoated ceramic element. The residual pump was blocked by a cut-on filter (FEL1000, Thorlabs). The laser spectra were measured using an optical spectrum analyzer (OSA, Ando AQ6315-E).

### 5.2. Laser performance

First, the laser performance was studied for a pump wavelength  $\lambda_P$  of 966.4 nm (its choice will be argued below). The input-output dependences for a duty-cycle of 1:2 are shown in Fig. 12(a). The Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic laser generated a maximum peak output power of 250 mW at 1038-1042 and 1075-1088 nm with a slope efficiency  $\eta$  of 40.3% (vs. the absorbed pump power  $P_{abs}$ ) and a laser threshold  $P_{th}$  of 0.82 W (for  $T_{OC} = 10\%$ ). The output dependence was nonlinear near the threshold, so that its fitting was performed for pump powers well exceeding  $P_{th}$ . The optical-to-optical efficiency vs. the pump power incident on the crystal  $\eta_{opt}$  was 11.8%. Further power scaling was limited by the available pump,  $P_{inc} \sim 2.1$  W. For lower output coupling, the laser threshold gradually decreased reaching 0.47 W for  $T_{OC} = 1\%$ . The relatively high values of the laser thresholds are due to the relatively short luminescence lifetime (Fig. 8) in the highly doped ceramic. The simulation of the laser threshold for a quasi-three-level laser [36] yields a round-trip passive loss  $L$  of  $\sim 13\%$ .

The typical spectra of the laser emission are shown in Fig. 12(b). For low output coupling (1% – 5%), the emission was centered at  $\sim 1.08$   $\mu\text{m}$ . The spectra experienced a slight blue-shift with  $T_{OC}$ , namely from 1081-1084 nm ( $T_{OC} = 1\%$ ) to 1077-1081 nm ( $T_{OC} = 5\%$ ). For higher  $T_{OC} = 10\%$ , the emission occurred within two ranges centered at  $\sim 1.04$  and 1.08  $\mu\text{m}$ . This behavior is due to the quasi-three-level nature of the Yb<sup>3+</sup> laser scheme exhibiting weaker reabsorption for higher output coupling and it is in line with the gain spectra, Fig. 7.

We have also studied the effect of the pump duty cycle on the laser performance for the same  $T_{OC} = 10\%$ , Fig. 12(c). Using a duty cycle of 1:6, the maximum peak output power slightly improved to 284 mW corresponding to  $\eta = 40.7\%$ . For true CW operation, a thermal roll-over was observed for  $P_{abs} > 1.7$  W resulting in a maximum output power of only 173 mW. This indicates thermal effects in the ceramic laser element which was only passively cooled.

The laser excitation spectrum for the Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic laser (the dependence of the peak output power on the pump wavelength) is shown in Fig. 13. The incident pump power was almost constant across this range of  $\lambda_P$ ,  $P_{inc} \sim 2.1$  W. For comparison, we also show the small-signal single-pass pump absorption in the laser element,  $\eta_{abs,0} = 1 - \exp(-\sigma_{abs}^P N_{Yb} l)$ . Laser action was achieved when tuning the pump wavelength between 888.8 and 990.7 nm. Interestingly, the laser excitation spectrum followed the  $\eta_{abs,0}$  dependence except for the ranges where the pump was almost completely absorbed. The latter is especially clear in the vicinity of the zero-phonon-line of Yb<sup>3+</sup>. This behavior can be explained by significant reabsorption losses in unpumped areas of the highly doped laser element. As a result, selecting the pump wavelength out of the main absorption peaks resulted in a more uniform distribution of absorbed pump power along the laser element and more uniform inversion.

Two pump wavelengths  $\lambda_P$  were thus selected, 966.4 nm and 932.0 nm. The difference between them is related to the heat load. The fractional heat load,  $\eta_h$ , is estimated from the Stokes efficiency as  $\eta_h \approx \eta_{St,L} = 1 - \lambda_P / \langle \lambda_L \rangle$  yielding 10.3% and 13.5%, respectively (assuming a mean laser wavelength  $\langle \lambda_L \rangle$  of 1078 nm). Thus, more pronounced thermal effects are expected when pumping at  $\lambda_P = 932.0$  nm. The corresponding input-output dependence is shown in Fig. 12(a). The quasi-CW laser generated a maximum output power of 304 mW (due to the slightly higher available pump) whilst with a reduced  $\eta$  of 33.5% and increased  $P_{th}$  of 0.88 W.

## 6. Conclusions

To conclude, we report for the first time on the synthesis, spectroscopic characterization and laser operation of compositionally “mixed” sesquioxide ceramics  $(Lu_{2/3}Sc_{1/3})_2O_3$  highly doped with  $Yb^{3+}$  ions. These materials exhibit a relatively narrow grain size distribution and sub- $\mu m$  grain size, high optical transparency and significant inhomogeneous broadening of the spectral bands. At the wavelength where the laser operation is expected ( $\sim 1079$  nm), the stimulated-emission cross-section is  $0.43 \times 10^{-20}$  cm<sup>2</sup> corresponding to an emission bandwidth (FWHM) of 25.7 nm. The estimated radiative lifetime of  $Yb^{3+}$  is 0.79 ms. The crystal-field splitting for  $Yb^{3+}$  ions in C<sub>2</sub> sites is revealed at low temperature (12 K). CW laser operation is achieved in the fabricated ceramics yielding an output power of 173 mW with a slope efficiency of 24.6%. Reducing the thermal load in the ceramics by operating in quasi-CW at a duty-cycle of 1:2 a maximum peak output power of 250 mW at  $\sim 1.04$  and 1.08  $\mu m$  with a slope efficiency of 40.3% was measured. The laser performance is strongly affected by the reabsorption losses. The fabricated ceramics are of interest for mode-locked oscillators. Further optimization of the synthesis procedure is needed to reveal the nature of the strong concentration quenching of luminescence (e.g., by eliminating uncontrolled rare-earth impurities via purification of the raw materials).

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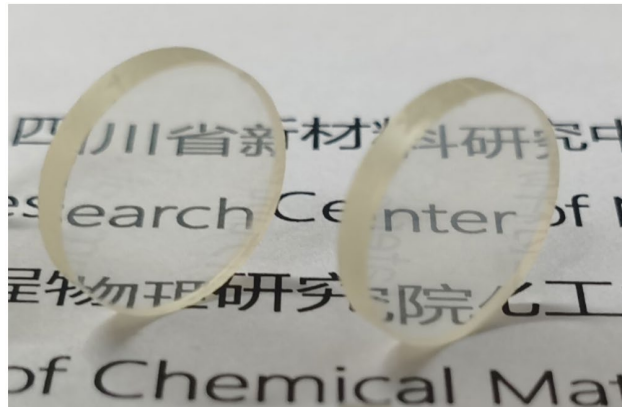
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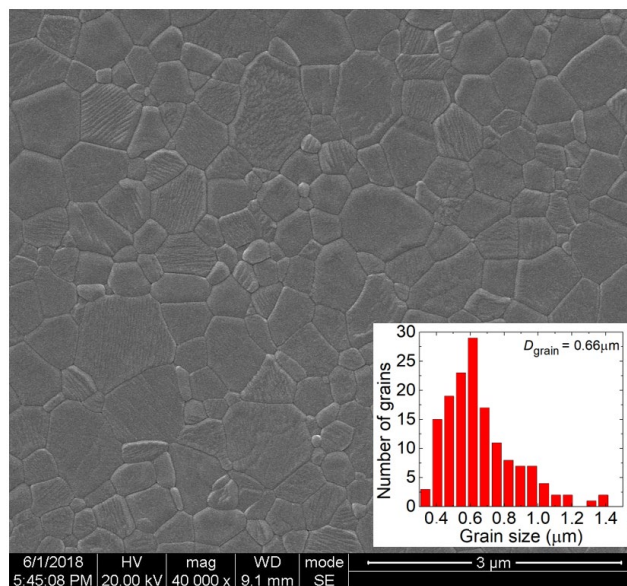
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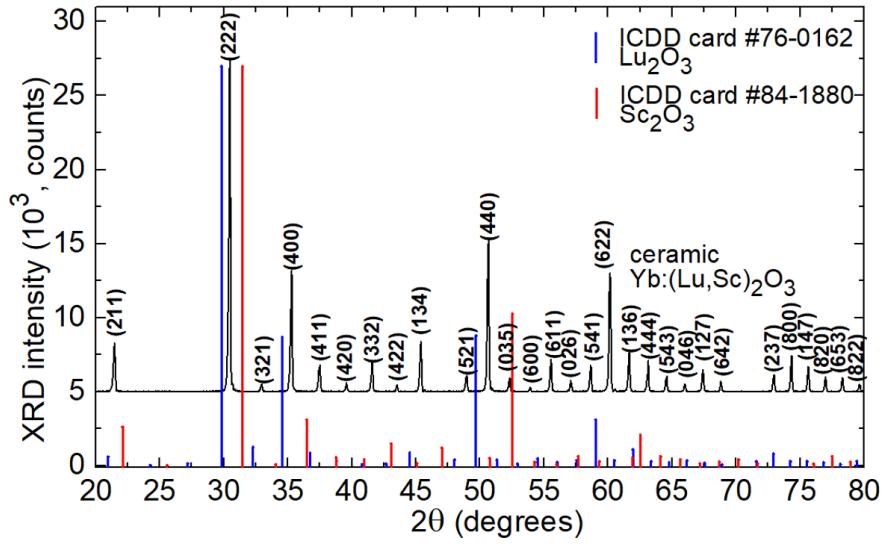
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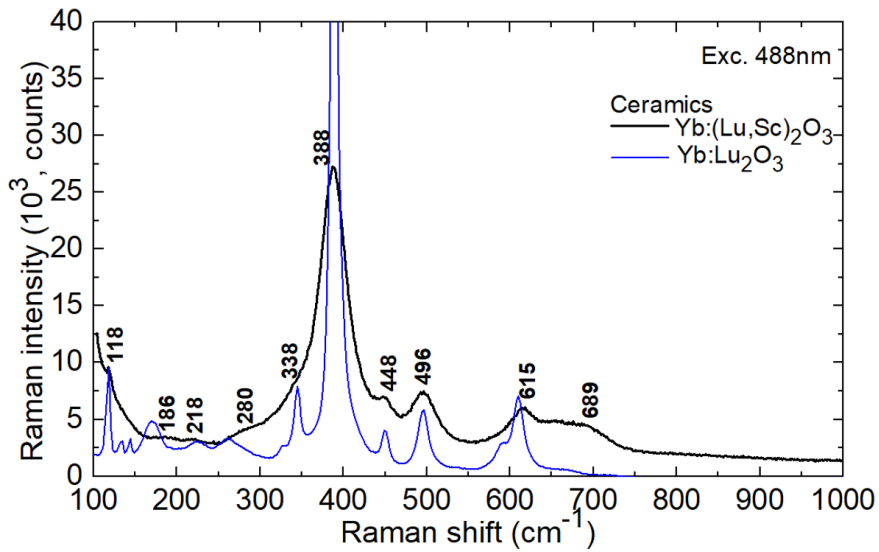
**Fig. 1.** Photograph of annealed and polished 10 at.% Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic disks.



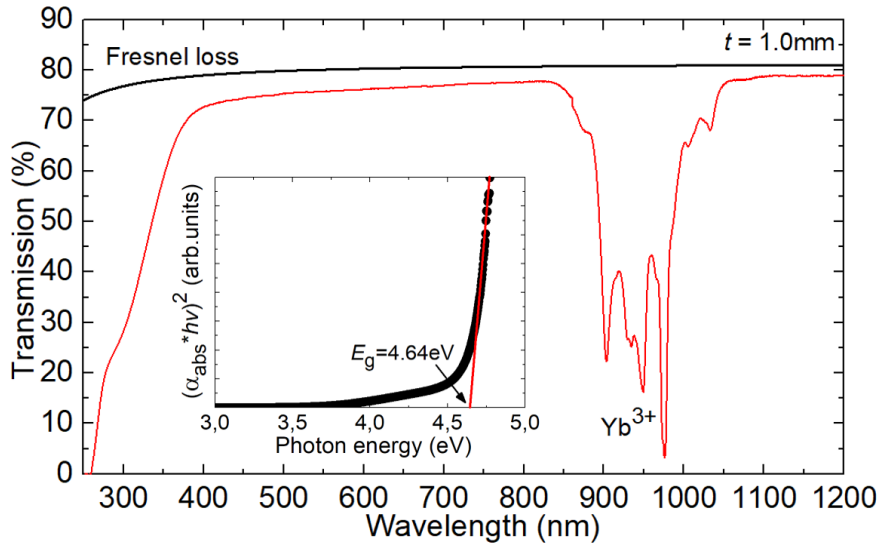
**Fig. 2.** Field-emission SEM image of the thermally etched surface of the Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic disk, *inset* – grain size distribution.



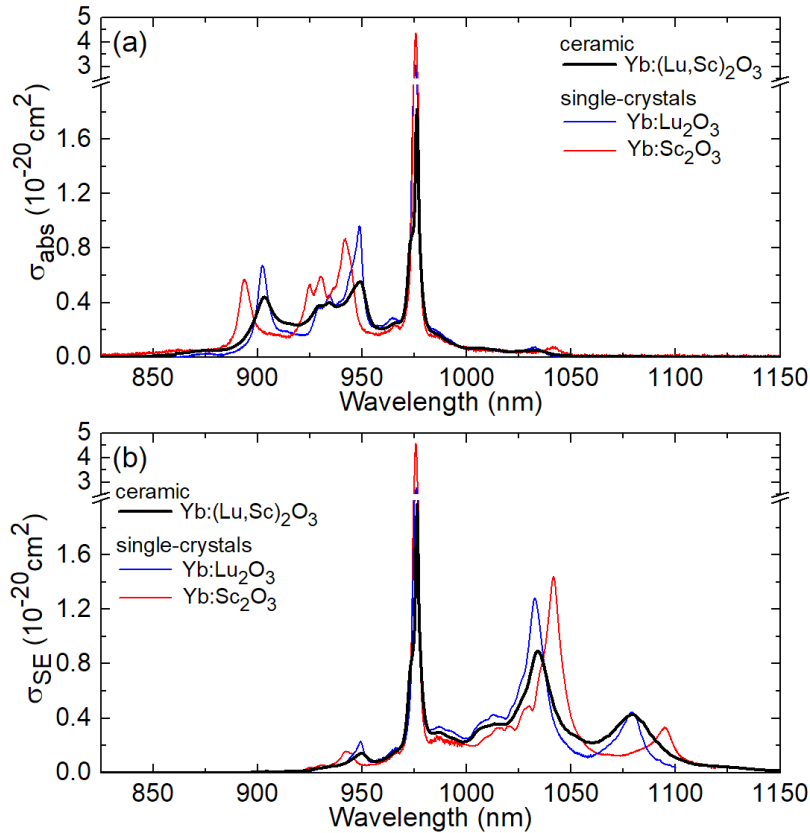
**Fig. 3.** X-ray powder diffraction pattern of 10 at.% Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramics, *red and blue peaks* – theoretical patterns for Lu<sub>2</sub>O<sub>3</sub> (ICDD card #76-0162) and Sc<sub>2</sub>O<sub>3</sub> (ICDD card #84-1880), *numbers* – Miller's indices (*hkl*).



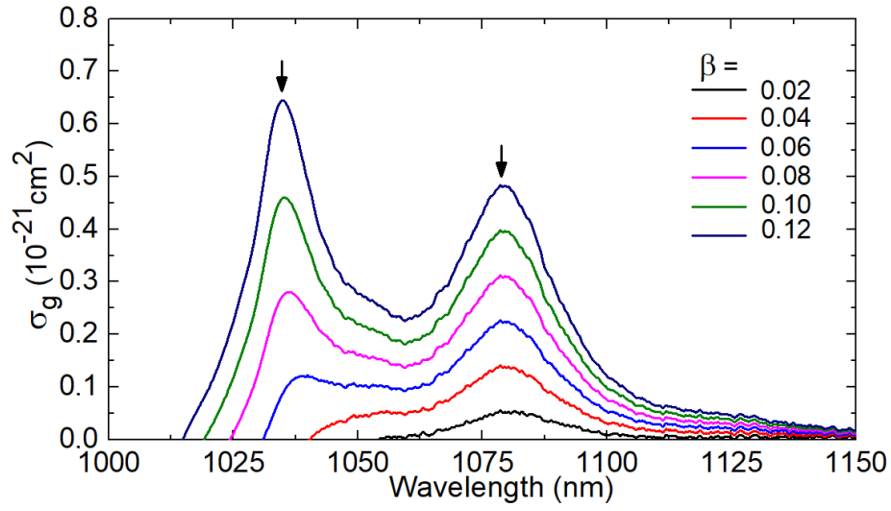
**Fig. 4.** RT Raman spectrum of Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramics. The spectrum for Yb:Lu<sub>2</sub>O<sub>3</sub> is shown for comparison, *numbers* – peak Raman frequencies,  $\lambda_{\text{exc}} = 488$  nm.



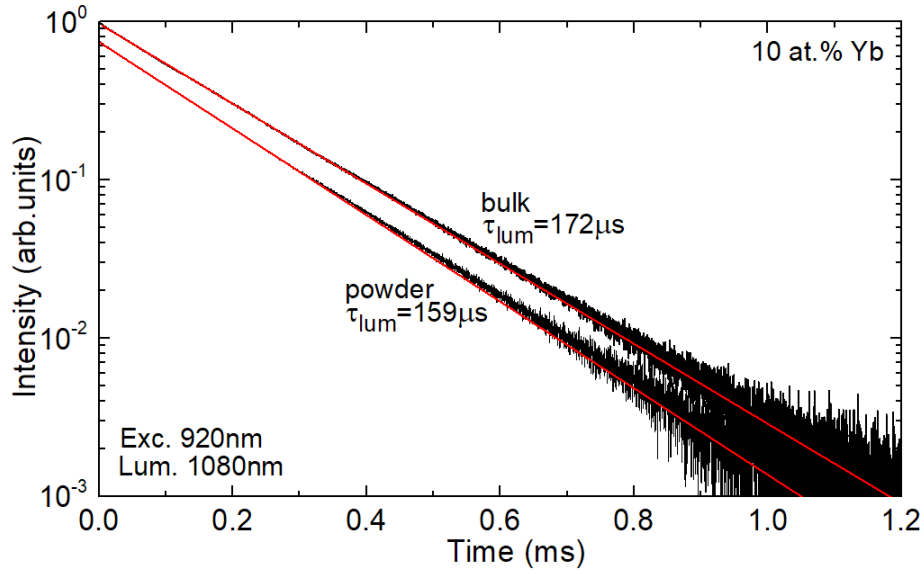
**Fig. 5.** Transmission spectrum of a laser-grade-polished Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramic disk (thickness,  $t = 1.0$  mm), *black curve* – theoretical transmission determined by the Fresnel losses for (Lu<sub>2/3</sub>Sc<sub>1/3</sub>)<sub>2</sub>O<sub>3</sub>. *Inset* – Tauc plot for determination of the optical bandgap  $E_g$  (assuming direct transitions).



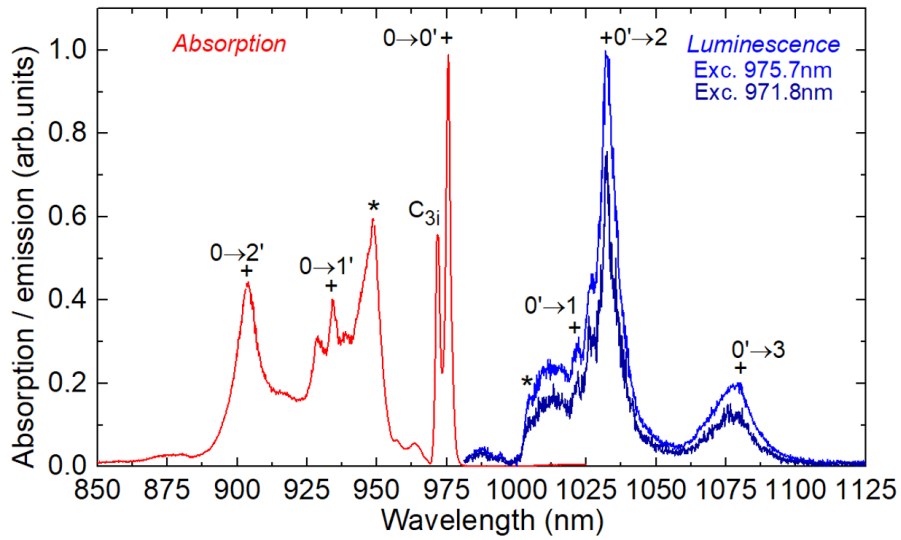
**Fig. 6.** (a) Absorption cross-sections,  $\sigma_{\text{abs}}$ , and (b) stimulated-emission cross-sections,  $\sigma_{\text{SE}}$ , for the  ${}^2\text{F}_{7/2} \leftrightarrow {}^2\text{F}_{5/2}$  Yb<sup>3+</sup> transition in (Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramics at RT. The spectra for bulk Yb:Lu<sub>2</sub>O<sub>3</sub> and Yb:Sc<sub>2</sub>O<sub>3</sub> crystals are given for comparison.



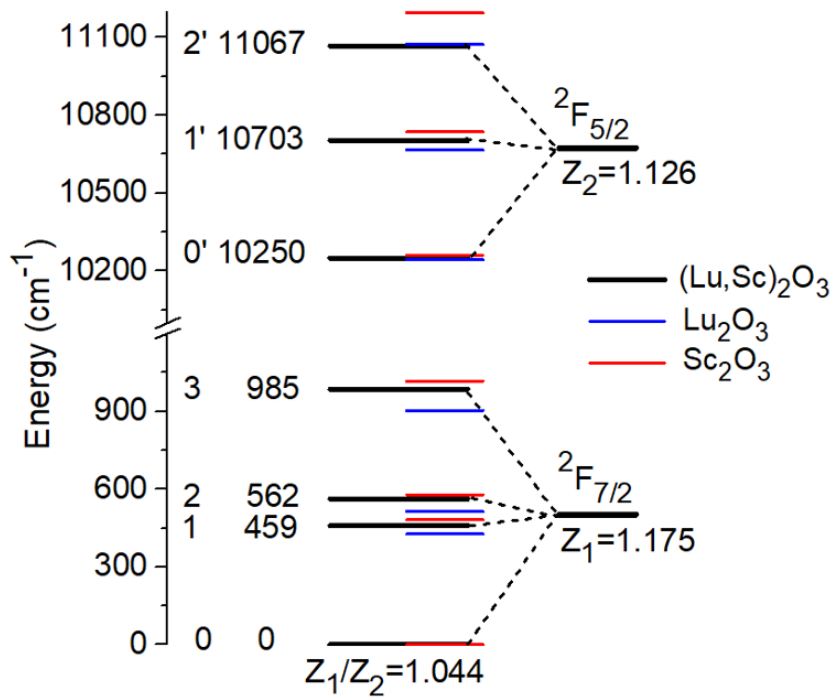
**Fig. 7.** RT gain cross-sections,  $\sigma_g = \beta\sigma_{SE} - (1 - \beta)\sigma_{abs}$ , for the  ${}^2F_{7/2} \leftrightarrow {}^2F_{5/2}$   $\text{Yb}^{3+}$  transition in  $(\text{Lu,Sc})_2\text{O}_3$  ceramics for different inversion ratios  $\beta = N_2({}^2F_{5/2})/N_{\text{Yb}}$ , arrows indicate the observed laser wavelengths.



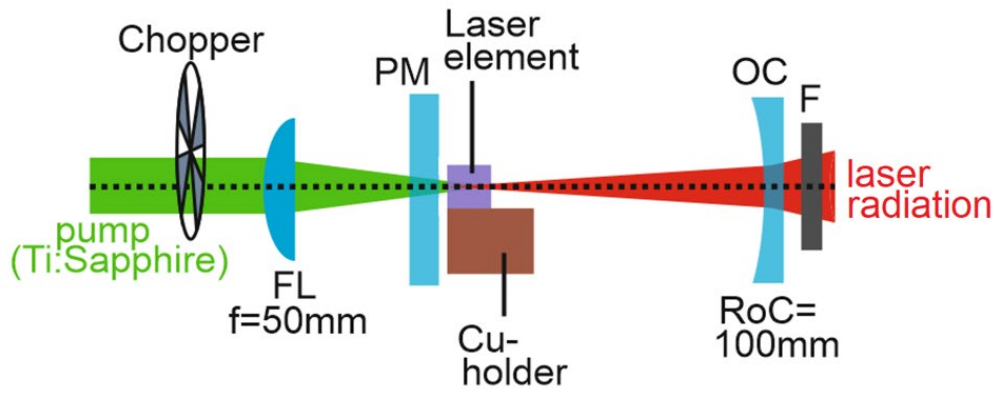
**Fig. 8.** RT luminescence decay curve for the 10 at.%  $\text{Yb}:(\text{Lu,Sc})_2\text{O}_3$  ceramics (bulk and powdered samples),  $\lambda_{exc} = 920$  nm,  $\lambda_{lum} = 1080$  nm, lines – single-exponential fits.



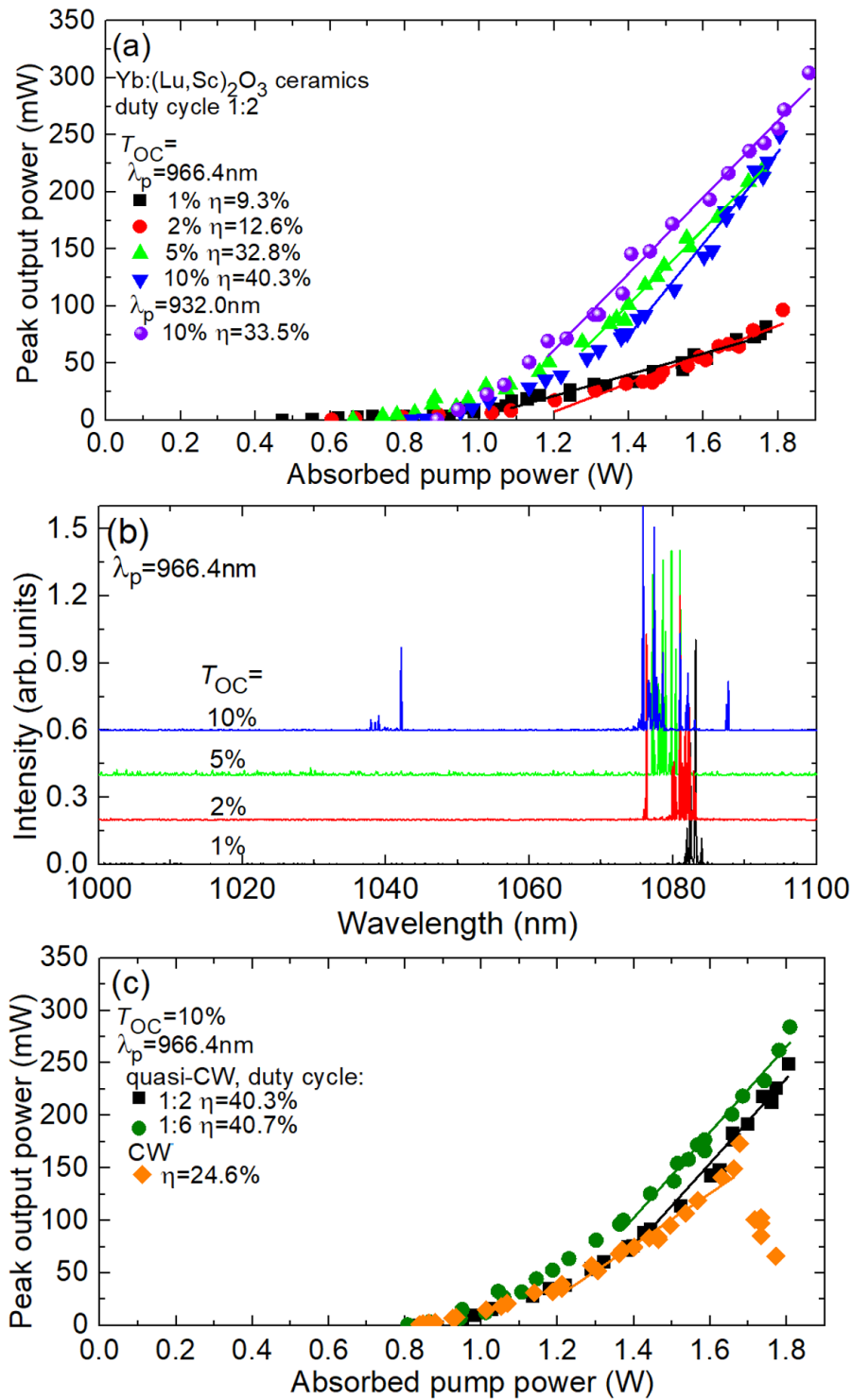
**Fig. 9.** LT (12 K) absorption and luminescence spectra for the Yb:(Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramics,  $\lambda_{\text{exc}} = 975.7$  and  $971.8$  nm, the symbol “+” refers to electronic transitions of Yb<sup>3+</sup> ions in C<sub>2</sub> sites, *asterisks* refer to the assignment from Ref. [35].



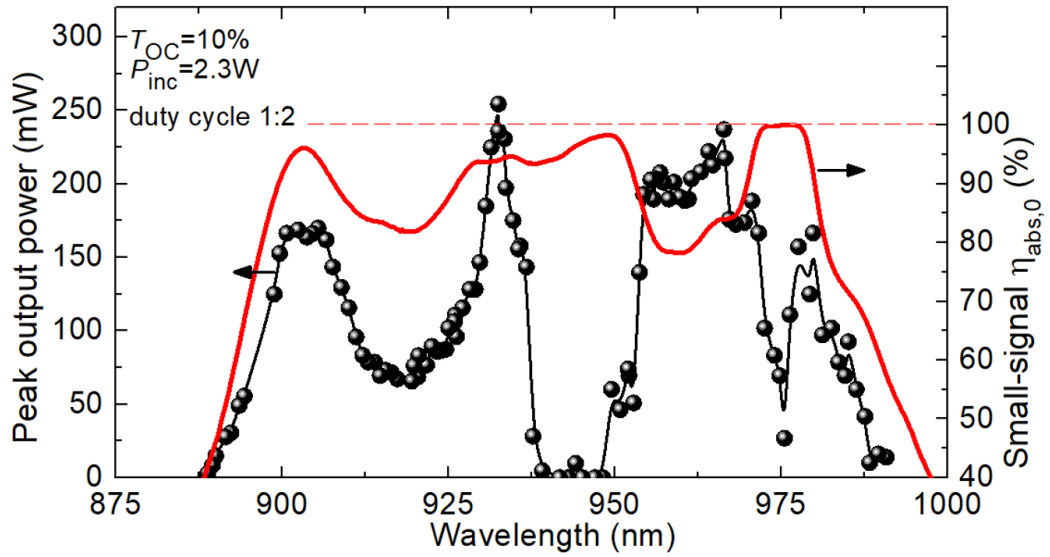
**Fig. 10.** Crystal-field splitting of Yb<sup>3+</sup> ions in C<sub>2</sub> sites in the (Lu,Sc)<sub>2</sub>O<sub>3</sub> ceramics, Stark splitting for Lu<sub>2</sub>O<sub>3</sub> and Sc<sub>2</sub>O<sub>3</sub> are given for comparison.



**Fig. 11.** Scheme of the laser set-up: FL – focusing lens, PM – pump mirror, OC – output coupler, F – cut-on filter.



**Fig. 12.** 10 at.%  $\text{Yb:(Lu,Sc)}_2\text{O}_3$  ceramic laser: (a) input-output dependences,  $\eta$  – slope efficiency, (b) typical spectra of laser emission captured at  $P_{\text{abs}} \sim 1.6 \text{ W}$ ,  $\lambda_{\text{p}} = 966.4 \text{ nm}$ . Quasi-CW pumping, duty cycle: 1:2; (c) Comparison of CW and quasi-CW laser performance for  $T_{\text{OC}} = 10\%$ ,  $\lambda_{\text{p}} = 966.4 \text{ nm}$ .



**Fig. 13.** Laser excitation spectrum for the  $\text{Yb:(Lu,Sc)}_2\text{O}_3$  ceramic laser: peak output power vs. the pump wavelength,  $T_{OC} = 10\%$ ,  $P_{inc} = 2.1\text{ W}$ , duty cycle: 1:2. The small-signal single-pass pump absorption is given for comparison.