

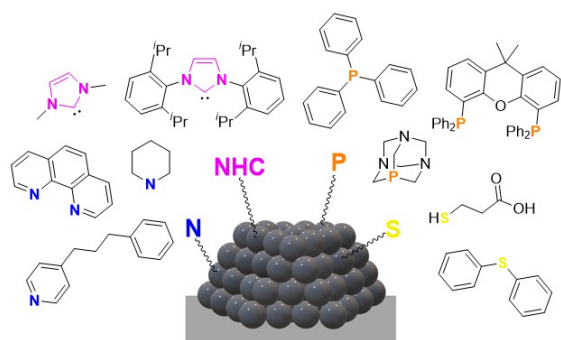
Chapter II: Ligand-capped heterogeneous catalysts from groups 8-10

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Graphical Abstract



Ligand-capped
heterogeneous systems



Catalysis

Improvement of *activity*, *selectivity*
and *stability*

Abstract

This chapter focuses on the functionalization of heterogeneous catalysts of group 8-10 by capping ligands. The synthetic approaches used for the introduction of ligands were particularly looked at and according to the type of ligands, 3 main approaches were used: the colloidal formation of metal nanoparticles (MNPs) stabilized by ligands and their subsequent deposition over a solid support, the direct synthesis by colloidal formation of the ligand-capped active phase in the presence of the support and the ligand functionalization of a previously synthesized heterogeneous catalyst. Depending on the aim of the study and the catalytic application, one of the synthetic strategies was selected. The ligands were shown to have a range of effect on the catalytic performance of the catalyst by tuning the electronic properties of the metal(s), the steric hindrance at the metal surface or blocking some of the catalyst active sites. These modifications can therefore influence the activity, the selectivity and/or the stability of the materials and as such, ligand functionalization appears as a powerful method to fine tune the properties of heterogeneous catalysts.

Keywords Ligands, Nanoparticles, Heterogeneous catalysts, Phosphorus, Nitrogen, N-Heterocyclic carbenes, S-donor, catalytic performance.

Contents

1. Introduction

2. N-donor ligand-capped heterogeneous catalysts

2.1 N-ligand capped heterogeneous catalysts formed by colloidal methods prior to their deposition over a solid support

2.1.a Aliphatic N-donor ligands

2.1.b Aromatic N-donor ligands

2.1.c Mixture of amine and carboxylic acid as capping agents

2.2 N-capped heterogeneous catalysts formed by colloidal methods in the presence of the solid support

2.2.a Aliphatic N-donor ligands

2.2.b Aromatic N-donor ligands

2.2.c Mixture of amine and carboxylic acid as capping agents

2.3 N-capped heterogeneous catalysts formed by classical methods followed by ligand functionalization

3. Phosphorus donor ligand-capped heterogeneous catalysts

3.1 Colloidal synthesis of P-capped MNPs followed by deposition over a solid support

3.2 Colloidal synthesis of P-capped MNPs in the presence of a solid support

3.3 Functionalization of previously synthesized heterogeneous catalysts using P-donor ligands

3.4 Functionalization of the support/solvent using P-donor ligands

4. NHC ligand-capped heterogeneous catalysts

4.1 NHC-capped heterogeneous catalysts formed by colloidal synthesis followed by deposition onto a solid support

4.2 NHC-capped heterogeneous catalysts formed by colloidal synthesis in the presence of a solid support

4.3 Functionalization of previously synthesized heterogeneous catalysts using NHC ligands

4.4 Functionalization of the support by NHC ligands prior to MNP formation

5. S-donor ligand-capped heterogeneous catalysts

5.1 S-donor ligand-capped heterogeneous catalysts formed by colloidal synthesis followed by deposition onto a solid support

5.2 Functionalization of previously synthesized heterogeneous catalysts using S-donor ligands

6. Conclusions and perspectives

1. Introduction

Over the last decades, the preparation of metal nanoparticles (MNPs) by colloidal strategies has become an efficient tool for the controlled synthesis of heterogeneous catalysts.[1,2,3] In this approach, the binding of organic ligands (also called capping agents) at the metallic surface enables the precise control of MNP size, shape and composition (*i.e.*, surface structure). However, the presence of such ligands was traditionally believed to induce the blockage of the catalytic active sites and therefore to negatively affect the catalyst activity and various strategies were reported to remove these ligands without altering the surface properties of the MNPs.

More recently, the beneficial roles of surface ligands in terms of activity or selectivity of nanocatalysts has been demonstrated.[4] Indeed, the presence of ligands on metal surfaces can induce steric effect between ligands and reactants, electron transfer through the metal–organic interface, etc...[5] These capping ligands can be introduced in different manners depending on the nature of the support and future application of the nanocatalysts. Indeed, when the fine control of the MNP size and composition are aimed for, the ligands can be used as unique stabilizers in colloidal synthesis prior to their deposition onto a solid support. However, when the metal-support interactions are strong enough, the decomposition of the metal precursor can be performed in the presence of both the support and the capping agents. Moreover, the effect of the capping agents can also be directly probed by functionalization of an heterogeneous catalysts previously synthesized under classical conditions (**Fig. 1**).

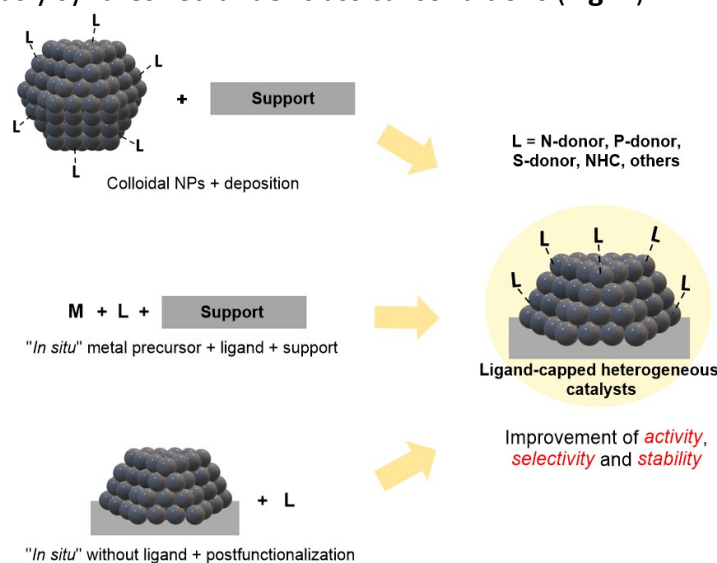


Fig. 1. Synthetic strategies for the formation of ligand-capped heterogeneous catalysts.

In this chapter, the effects of ligands on heterogeneous nanocatalysts are presented through literature examples and classified by the donor atoms of the ligands (**Fig. 2**) and the synthetic methodology utilized (**Fig. 1**). In view of the somewhat unclear definition of ligands in heterogeneous catalysis, the effects of capping agents described here will be limited to N-, P-, and S-donor molecules and NHC ligands. Recent results demonstrated that appropriate modifications of metallic nanoparticle-based catalysts by ligands can dramatically improve the activity/selectivity of specific catalytic reactions, mainly due to steric and electronic modifications of the heterogeneous nanocatalysts. The stability of ligand-promoted nanocatalysts before and after catalytic reactions is also discussed.

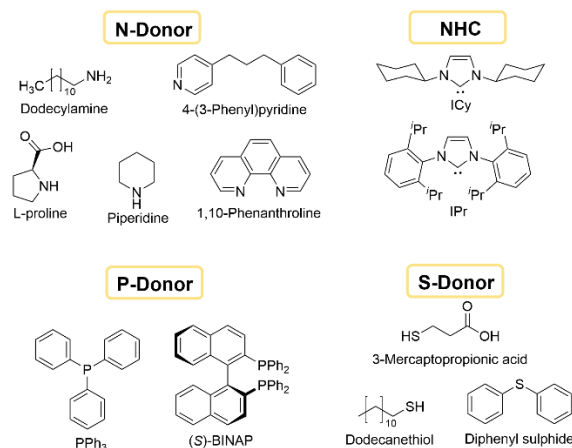


Fig. 2. Examples of ligands used to enhance the performances of heterogeneous catalysts.

2. N-donor ligand-capped heterogeneous catalysts

Generally, N-donor ligands were mainly utilized to finely tune the physical properties of the heterogeneous catalyst active phases through colloidal synthesis. Using this approach, the metallic active phases were formed in solution using N-based capping agents followed by their deposition over a solid support. This approach presents the advantage of precisely controlling the structure and composition of MNPs and to reach very high particle loadings. In colloidal chemistry, N-donor molecules were among the first ligands that were reported due to their ability to stabilize MNPs [6]. Since these early reports, a variety of N-donor ligands were used to improve the catalytic behavior of heterogeneous catalysts either during the synthesis of colloidal nanoparticles, during the formation of the nanoparticles in the presence of the support or by functionalization of the previously synthesized catalysts by addition of ligands.

The introduction of N-functions at the surface of the support during the catalyst synthesis has also attracted much interest [7]. In these cases, the N-based capping agents can be designed to either retain their structural properties or to be integrated within the solid support structure during a calcination step. Depending of the strategy to be used, either amines containing aliphatic chains or pyridine derivatives were reported as capping agents.

For instance, Guerrero, Rossi, Philippot and co-workers reported the preparation of palladium NPs supported over a magnetite-based support decorated by terpyridine ligands acting as stabilizers (**Fig. 3**) [8, 9]. The role of the terpyridine ligand was to control the size and stability of the palladium nanoparticles. Moreover, in hydrogenation reactions, the activity and selectivity of this nanocatalyst were enhanced compared to those provided by palladium nanoparticles supported on an amino-modified magnetic support.

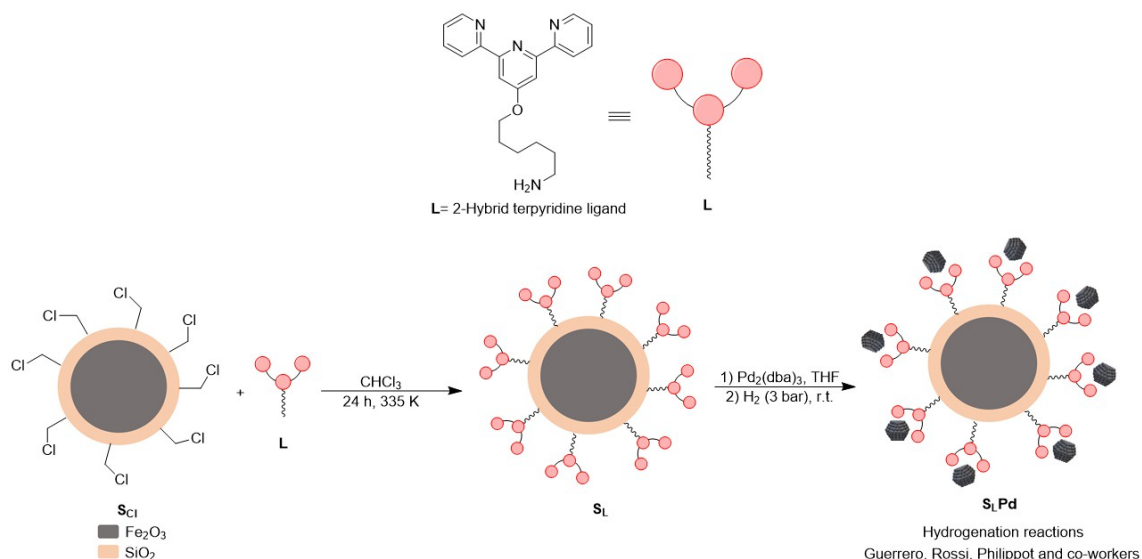


Fig. 3. Ligand modification of a support reported by Guerrero, Rossi, Philippot and co-workers.

Using a distinct approach, Chen and co-workers used ethylenediamine (EDA) as a nitrogen precursor for the synthesis of a Fe/Co-N_x composite onto nanoporous carbon black [10]. In this case, the N-donor ligands were used to stabilize the metal precursor prior to their decomposition upon heating the mixture. The resulting pyridinic-type nitrogen introduced in the catalysts revealed crucial for ORR catalytic activity and selectivity.

In the following sections, representative examples of heterogeneous catalysts containing N-donor ligands will be described and classified according to the synthetic approach used for the introduction of the N-donor ligands and its nature (i.e. aliphatic vs aromatic).

2.1-N-capped heterogeneous catalysts formed by colloidal methods prior to their deposition over a solid support

2.1.a Aliphatic N-donor ligands

Long chain aliphatic amines have been successfully used for the stabilization of well defined transition MNPs and their application in a range of applications.

For instance, in 2007, Nikles and co-workers prepared well-dispersed Pt NPs by polyalcohol reduction using oleylamine as capping agent that were subsequently loaded onto a carbon support for application in the electrocatalytic oxidation of methanol [11]. The ligand to metal ratio used in the synthesis affected the particle size and variations from 3.5 nm to 11.5 nm were observed when decreasing the amount of oleylamine. The as-prepared particles were subsequently deposited onto a carbon support but showed no electrocatalytic activity. An activation step involving the removal of the ligand from the surface of the Pt NPs was carried out by heating the particles in air at 185 °C. Cyclic voltammetry showed that the ligand-free particles were electrocatalytically active for methanol oxidation. Interestingly, the smallest Pt NPs (3.5 - 4.0 nm) demonstrated a higher intrinsic activity for methanol oxidation, but a lower tolerance to CO poisoning, compared with the larger particles (6.0 - 11.5 nm).

In 2009, Peng and Yang described a new approach to address synergetic effects on both the activity and stability issues of electrocatalysts using palladium MNPs as support for Pt catalysts [12]. The Pt-on-Pd nanoparticles were prepared using a sequential synthetic method. The Pd NPs were formed by thermal decomposition of palladium acetylacetonate in the presence of oleylamine and trioctylphosphine and exhibited an average diameter of 5.3 ± 0.6 nm [13, 14]. The Pt NPs were formed by subsequent decomposition of Pt acetyl acetonate in the presence of the Pd NPs. The Pt NPs exhibited an average diameter of ~ 3 nm and were distributed evenly on the surface of Pd NPs. Similarly to the previous examples, activation was necessary and these bimetallic catalysts exhibited much higher activity and stability than the corresponding pure Pt catalyst, which was attributed to the favored interfacial structures between Pt and the Pd support.

Ramírez-Meneses and co-workers compared the performance of Pt NPs stabilized by different primary amines (i.e. tert-butylamine, 1,3-diaminopropane and anthranilic acid) in the oxygen reduction reaction (ORR) (**Fig. 4**). The MNPs were synthesized through the organometallic approach and subsequently supported over Vulcan carbon [15]. The resulting particle sizes ranged from 10 to 100 nm depending on the stabilizer. The catalytic results revealed an effect of the amine stabilizer and higher activities were obtained when the Pt NPs were capped by tert-butylamine.

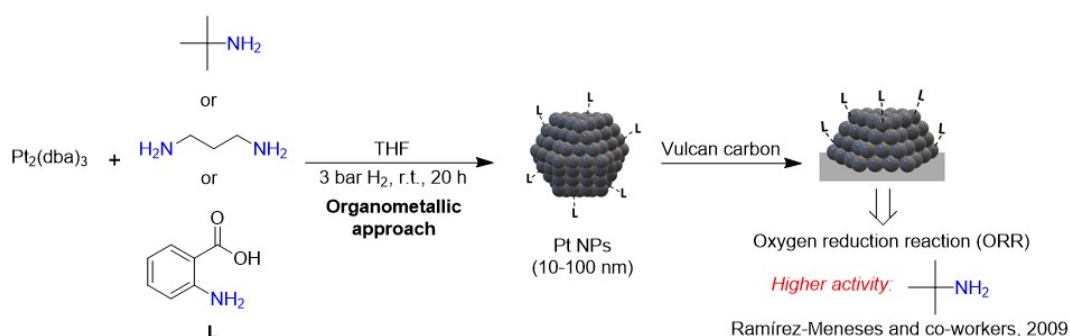


Fig. 4. Synthesis of N-capped Pt nanocatalysts reported by Ramírez-Meneses and co-workers.

More recently, Miyabayashi, Nishihara and Miyake reported the preparation of Pt NPs (2.4 - 2.8 nm) protected with octylamine (OA) and partly substituted by alkylamine with a pyrene group (PA) and supported over carbon black (i.e. Vulcan XC72) [16]. The synthesis of the ligand-stabilized MNPs was performed by chemical reduction with NaBH_4 [17]. The MNPs without any pretreatment to remove the introduced organic-protected agents were applied as catalysts for oxygen reduction reaction (ORR). The modification of the Pt NP surface with OA and PA considerably enhanced the electrocatalytic activity of the catalysts. Indeed, the area and mass specific activities increased at high PA/OA ratios. The potential cycle test demonstrates that the modification of Pt NPs by PA improves the stability of the catalyst and maintains high area and mass specific activities of ORR.

In 2017, Miyabayashi and Miyake reported the preparation of Pt NPs (2.6 nm) using undecafluorohexylamine (UFHA) and octylamine as stabilizing ligands and their subsequent deposition over acid-treated carbon black [10, 18]. The synthesis of Pt NPs using both ligands was performed following the modified two-phase liquid reduction method using NaBH_4 as reductant [16]. The carbon black supported systems were

applied as electrocatalysts for the ORR. The affinity between the perfluorinated alkyl chains of UFHA and the Nafion[®] used as binder between the support and the catalyst improved the activity of the latter by generating a proton conduction path. Moreover, modification by UFHA enhanced robustness by avoiding Pt dissolution and carbon corrosion due to limited water accessibility.

In 2019, Ung and Cossairt prepared bare CoP nanocrystals that were decorated with carboxylates (i.e. oleate, octanoate, acetate) and amines (i.e. oleylamine, octylamine, dioctylamine, trioctylamine butylamine) and deposited over a carbon fiber electrode support with the aim of studying the effect of the ligand in catalysis [19]. Carboxylates and amines were selected as common stabilizers in colloidal syntheses and to evaluate the impact of their steric properties and hydrophobicity on catalysis. These systems were tested in the hydrogen evolution reaction (HER). Long chain carboxylates caused a larger increase in overpotential than their equivalent amines (e.g. oleate vs oleylamine), due to higher ligand density and stronger coordination of carboxylates. Moreover, carboxylates bearing longer carbon chain resulted in higher overpotential whereas no such an effect was observed with primary amines. These results suggested that the surface ligands hinder catalysis on CoP for HER mainly by preventing substrate access to surface active sites.

Wang, Lou and co-workers prepared novel 3D Pt nano assemblies consisting of 1D single-crystal Pt nanowires (diameter and length were in the range of 5–10 nm and 100–200 nm, respectively), by a one-pot method using oleylamine and cetyltrimethylammonium bromide (CTAB) as stabilizers [20]. In this case, oleylamine acted as solvent, reducing agent and stabilizer for the formation of metal nanostructures [21, 22]. Cetyltrimethylammonium bromide (CTAB) plays an important role as the structure-directing agent in the formation of multi-wire structures since only irregular multipods were obtained in the absence of CTAB [23]. When evaluated as electrocatalysts for low-temperature fuel cells, these interconnected 3D Pt nanostructures manifested high electrochemical surface area and significant long-term stability.

OA ligands were also used for thermocatalytic processes. For instance, Son and co-workers reported the synthesis of tetrahedral Rh nanoparticles (4.9 ± 0.4 nm) using oleylamine as stabilizing ligand that were subsequently loaded on charcoal [24]. Spherical Rh nanoparticles were also synthesized by hot injection method for comparison. Both catalysts were tested in the hydrogenation of arenes. The tetrahedral Rh NPs on charcoal provided much higher activity than the spherical nanoparticles and commercial Rh/C in the hydrogenation of anthracene. Moreover, the tetrahedral nanoparticles showed outstanding activity for a range of arenes.

Borchert and co-workers reported the preparation of Pt NPs and nanowires using dodecylamine (DDA) and/or hexanethiol as stabilizers for CO oxidation catalysis. These nano-objects were subsequently deposited over γ -alumina or silica [25]. CO adsorption experiments were performed for these systems and revealed that small molecules can pass through the ligand shell and adsorb on free areas of the Pt surface. These systems were active in the catalytic CO oxidation reaction. The differences in activity observed were ascribed to the morphology of the catalysts, nature of the support or differences in surface coverage by the ligand molecules. Interestingly, the Pt nanowires were restructured upon catalytic conditions and the shape of the Pt species in the spent catalyst was quasi-spherical.

Bäumer and co-workers reported a distinct approach based on the initial synthesis of colloidal ligand-free Pt NPs (2.2 ± 0.3 nm) by metal salt reduction in ethylene glycol (EG) and the subsequent functionalization with DDA (2.2 ± 0.3 nm) [26]. Then, the coating of three different monolithic supports (i.e. cordierite honeycomb, Al₂O₃ foam and Nickel fleece) was performed with preformed ligand-free and ligand-stabilized Pt NPs. Interestingly, prior to the deposition of the MNPs the supports were functionalized with the ligands. The formation of this ligand double layer was shown to avoid the formation of an alloy between the MNP and the support and as such, high activity for CO oxidation was maintained. In contrast, when no ligand was used, the catalytic activity decreased over time. Similarly, the same authors later reported the deposition of ligand-free (2.3 ± 0.2 nm), dodecylamine-capped (2.3 ± 0.2 nm) or dodecanethiol (DDT) Pt NPs supported over Fe₃O₄ and showed that the presence of ligands at the Pt surface avoids unfavorable strong metal–support interactions (SMSI) and as such, enhance the activity of the catalysts in CO oxidation [27]. They also reported the deposition of ligand-free and dodecylamine-capped Pt NPs (1.9 - 2.2 nm) over three different support materials with varying acid/base properties, namely γ -Al₂O₃, SiO₂ and MgO [28]. The nanoparticles were prepared in ethylenglycol (EG) and subsequent functionalization with DDA was performed using a phase-transfer method [29, 30] (Fig. 5).

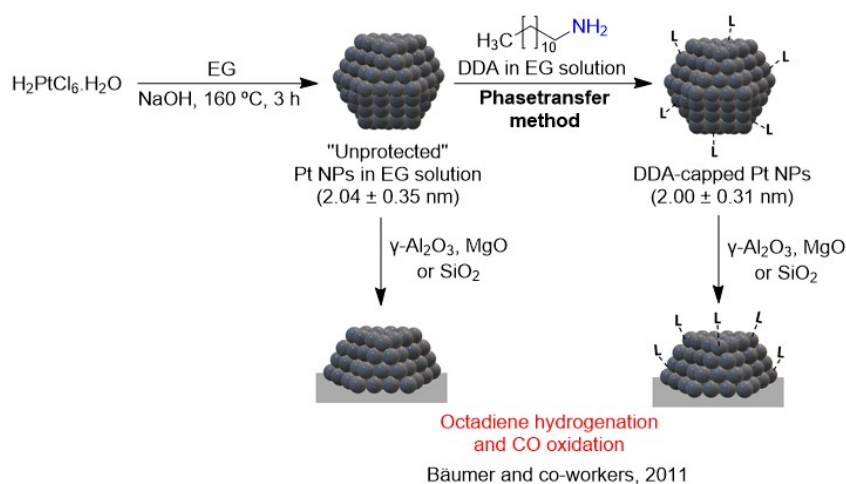


Fig. 5. Preparation of Pt catalysts by Bäumer and co-workers.

This constituted the first systematic study on the influence of ligands in heterogeneous gas-phase catalysis using identical nanoparticles synthesized by colloidal methods. The catalytic properties of these catalysts were investigated in two reactions. First, octadiene hydrogenation was used as a test reaction to probe the influence of the ligands under reducing conditions temperatures ($T < 100$ °C). The ligands strongly modified the metal–support interactions and exerted a protecting effect with respect to support induced oxidation during the deposition step. When SiO₂ was the support, where surface oxidation is more prominent, the ligand-capped catalyst was significantly more active than the ligand-free analog. When the catalysts were tested in CO oxidation at high temperatures ($T \approx 200$ °C), decomposition, desorption and spillover of ligands on the support became important. Depending on the supports, the ligand coverage varied and spillover was most evident on Lewis acidic γ -Al₂O₃ compared to the Brønsted acidic SiO₂.

In 2013, Altmann, Bäumer and co-workers reported the preparation of colloidal ligand-capped (i.e. DDA) Pt (2.2 ± 0.3 nm) and bimetallic PtSn (2.3 - 2.4 nm) nanoparticles that were subsequently deposited on SiO₂ for the selective semi-hydrogenation of acetylene in ethylene-rich streams [31]. To assess the effect of ligands on catalysis, monometallic and bimetallic DDA-capped MNPs were compared with ligand-free catalysts. Both surface ligands and Sn enhance the selectivity and the most selective catalyst was the DDA-capped PtSn catalyst (metal atom ratio of 1:1).

Philippot, Rossi and co-workers reported the preparation of bimetallic NiPd nanoparticles (5-6 nm) via the organometallic approach using hexadecylamine (HDA) as stabilizing ligand and supported over magnetic silica [32]. Nickel-palladium nanoparticles were supported onto a magnetic silica using various Ni/Pd ratios. The supported catalysts were evaluated in the hydrogenation of cyclohexene and their performance compared to the corresponding monometallic catalysts. The catalysts prepared using a 1:9 Ni/Pd molar ratio provided the highest initial activity (i.e. $> 50\,000\text{ h}^{-1}$), greater than the monometallic Pd catalyst. Moreover, excellent separation from the products could be performed without metal leaching, leading to an efficient recycling.

More recently, Domingos, Philippot and co-workers reported the preparation of a series of Ni(0) nanocatalysts prepared through the organometallic approach in the presence of hexadecylamine (HAD), polyvinylpyrrolidone (PVP), PVP/triphenylphosphine, octanoic acid (OA) and stearic acid. The resulting MNPs exhibited diameters of *ca.* $5\text{-}6 \pm 2$ nm and were evaluated in the selective hydrogenation of α,β -unsaturated carbonyl compounds by H₂ under mild reaction conditions [33]. The system in which OA was used as ligand was also deposited over SiO₂. All catalysts were active in the chemoselective hydrogenation of the C=C bond, which was attributed to the reduced nature of the Ni NPs surface. Moreover, the hydrogenation reaction rate revealed sensitive to the stabilizer type, with the carboxylic acid-stabilized systems providing the best performances. Moreover, the supported catalyst could be reused up to 10 times without significant loss of activity.

Pérez-Yuste and co-workers presented a novel strategy based on the immobilization of oleylamine-stabilized Pd NPs (3.0 ± 0.4 nm) on filter paper for development of a catalytic system with high efficiency and recyclability [34, 35]. The final material was prepared by a simple dip-coating process using highly concentrated MNP dispersions in volatile organic solvents and tested in several model reactions such as the oxidative homocoupling of arylboronic acids, the Suzuki cross-coupling reaction, and nitro to amine reduction. These N-capped heterogeneous catalysts displayed efficient catalytic activity and excellent recyclability and reusability.

The same year, Kunz and co-workers reported the preparation of well-defined DDA-capped bimetallic PtSn nanoparticles (*ca.* 2 nm) by colloidal methods that were subsequently deposited on TiO₂ (**Fig. 6**) [36]. The influence of the Pt/Sn ratio on the catalytic properties for the hydrogenation of crotonaldehyde was looked at. The activity of Pt increased significantly by adding small amounts of Sn (10%), which was attributed to an electronic effect. However, a gradual decrease was observed when the Sn content was further increased, which attributed to the loss of Pt sites needed for the activation of H₂. The lower activity was accompanied by a constant selectivity increase towards crotyl alcohol. In this study, activity and selectivity followed clear trends related to the

Sn content, which emphasizes the potential of colloidal chemistry for model studies in heterogeneous catalysis.

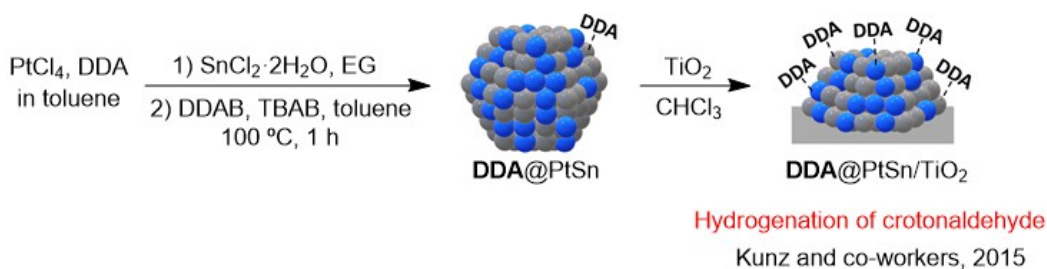


Fig. 6. Preparation of well-defined amine-capped bimetallic PtSn nanoparticles and their subsequent deposition on TiO₂.

More recently, Fan and co-workers used a slightly distinct approach for the synthesis of rhodium nanoparticles bearing L-proline as capping agent [37]. The preparation was performed by chemical reduction of RhCl₃ with NaBH₄ in the presence of L-proline and without isolation, the MNPs were then deposited on carbon (**Fig. 7**). In this synthesis, L-proline was employed as a size controller for the synthesis of small (~ 2 nm) and uniform Rh NPs for the first time.

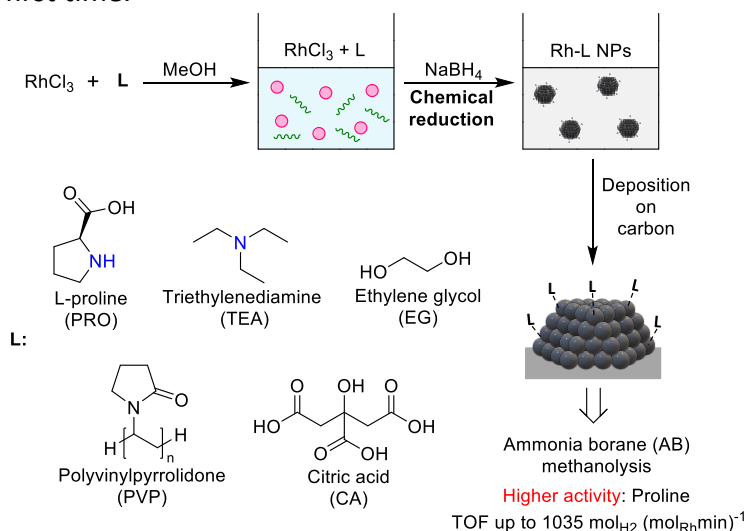


Fig. 7. Ligand-stabilized Rh NPs supported on carbon reported by Fan and co-workers.

The Rh catalysts showed high activity for ammonia borane (AB) methanolysis with a total turnover frequency (TOF) up to 1035 mol_{H₂} (mol_{Rh}min)⁻¹ under basic conditions. This excellent catalytic performance was attributed to the well-dispersed Rh NPs and the functionalized metal surface, which provided more active sites for the reaction. Other ligands such as ethylenediamine, triethylamine, ethylene glycol, polyvinylpyrrolidone and citric acid were used for the preparation of analogous catalysts, however, the best results were obtained with the L-proline-functionalized Rh catalyst.

2.1b Aromatic N-donor ligands

Aromatic N-based donor molecules were also used for the preparation of N-ligand-capped heterogeneous catalysts.

For instance, in 1993, Schmid and co-workers reported the deposition of Pd clusters (between 31.5 and 36.0 Å) stabilized with phenanthroline over TiO₂ [38]. The resulting

material was used as catalyst in the semi-hydrogenation of alkynes with selectivity to the corresponding alkene up to 98%. In 1996, Schmid and co-workers reported the use of ligand-stabilized palladium clusters (3-4 nm) on various supports such as TiO₂, Al₂O₃ and CaCO₃ for applications in gas phase and liquid phase hydrogenation and semi-hydrogenation reactions [39, 40]. For example, N-donor ligands such as di-2,9-(2-methyl-butyl)-1,10-phenanthroline and (-)-cinchonidine were employed in the catalytic semi-hydrogenation of 2-hexyne. The phenanthroline stabilizer improved the selectivity of the reaction by suppressing isomerization and over hydrogenation reactions. In the hydrogenation of acetophenone, the stabilizers mainly affected the activity of the catalysts.

In 1997, Schmid and co-workers reported the preparation of *p*-H₂NC₆H₄SO₃Na (sodium sulfanilate)-stabilized Pt shell on Au or Pd cores supported onto alumina and TiO₂ for their application in hydrosilylation reactions [41, 42, 43]. The aim of these works was to study the influence of the electronegativity of the colloidal core metals on the activity and selectivity of the Pt surface in hydrosilylation reactions.

Gómez and co-workers reported the synthesis of small and well-dispersed Ru NPs stabilized by 4-(3-phenylpropyl)pyridine as ligand and supported over different supports, such as Al₂O₃, SiO₂, activated carbon (AC) and multi-walled carbon nanotubes (MWCNT) [44]. The synthesis of the catalyst was carried out in two steps: first, the preparation of colloidal MNPs (1.3 ± 0.4 nm, **Fig. 8**) [45] and their subsequent immobilization over the corresponding support.

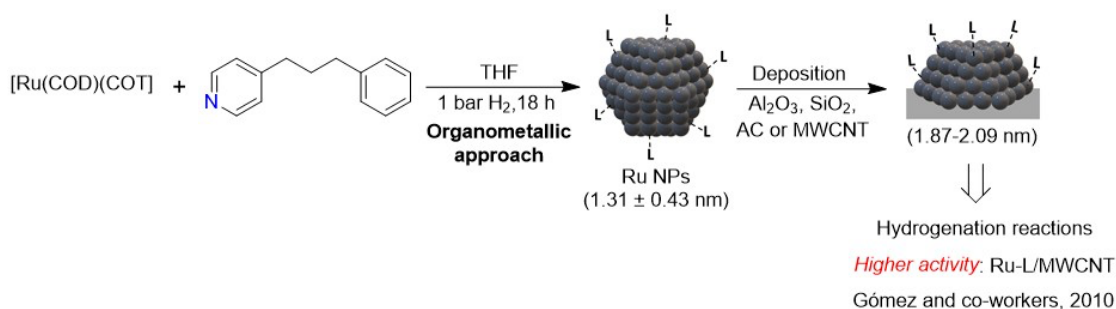


Fig. 8. Ru NPs stabilized by 4-(3-phenylpropyl)pyridine and supported over different supports, including MWCNT.

These supported catalysts were used in the hydrogenation of several unsaturated substrates. The effect of the supports was particularly looked at and the highest activity, chemoselectivity and recyclability was observed for the Ru-L system immobilized over MWCNT.

In 2011, the same group reported the preparation of well-dispersed Pd NPs in ionic liquids in the presence of 4-(3-phenylpropyl)pyridine and their subsequent immobilization onto multiwalled carbon nanotubes (MWCNTs) modified with imidazolium moieties [46]. When the synthesis of the MNPs was performed in the presence of the ligand in [BMI][PF₆] as solvent, the obtained MNPs exhibited a size of 4.5 ± 0.8 nm. In the absence of the ligand, the particle size was similar (4.5 ± 1.0 nm) but a more heterogeneous dispersion was observed. Once dispersed over the support, this catalyst was tested in hydrogenation and Heck coupling reactions and could be recycled up to four times without significant activity loss.

Recently, García-Antón, Sala and co-workers reported the synthesis of a hybrid material (Ru@RuO₂PPTiO₂-RuP) based on 4-phenylpyridine-capped Ru nanoparticles (RuPP), TiO₂ nanocrystals and [Ru(bpy)₂(4,4'-(PO₃H₂)₂(bpy))]Cl₂ (RuP) as catalyst for the hydrogen evolution reaction (HER) [47]. The preparation of this catalyst was performed in three steps. First, the Ru NPs (1.4 ± 0.3 nm) capped with 4-phenylpyridine were prepared through the organometallic approach [48] followed by their deposition onto TiO₂ by impregnation (1.7 ± 0.4 nm), and the decoration of the latter with a visible-light photoabsorber (RuP). TiO₂ acted as an efficient dispersing agent and stabilizer for the catalyst (Ru@RuO₂PP) and electron relay between Ru@RuO₂PP and the photoabsorber (RuP) under irradiation. Thus, this double role of TiO₂ as support and electron-mediator enabled the first efficient visible-light-driven HER photocatalysis based on a Ru-based photocatalyst and a molecular photoabsorber.

In the area of asymmetric catalysis, Bönemann and Braun reported in 1997 the first example of MNPs stabilized by chiral molecules as a new type of enantioselective heterogeneous catalysts, combining good selectivity control and high activity in asymmetric hydrogenation reactions [49]. In their work, colloidal Pt NPs were stabilized by the alkaloid dihydrocinchonidine and subsequently supported over silica. Both colloidal and supported systems exhibited the same MNP size. These systems were tested in catalysis and compared with a commercial heterogeneous catalyst. The colloidal systems provided higher conversions and enantiomeric excess (*ee*) values than the two supported heterogeneous catalysts.

2.1c Mixture of amine and carboxylic acid as capping agents

In 2010, Yang and co-workers reported the synthesis of Pt₃Ni catalysts with using long-chain amines as capping agent and Vulcan carbon as support [50]. In this system, adamantanecarboxylic acid (ACA) or adamantaneacetic acid (AAA) were also employed [51]. At a later stage, butylamine was used to replace the capping agents used during the synthesis of Pt₃Ni nanocrystals and to generate carbon-supported active electrocatalysts that exhibited enhanced activity compared to commercial catalysts.

In 2012, Yang and co-workers described the synthesis of PtM (M = Au, Ni, Pd) (PtAu particle size: 11 ± 0.2 nm; Pt₃Ni particle size: 13 ± 0.3 nm) icosahedral nanocrystals [52]. The synthesis was performed using the gas reducing agent in liquid solution (GRAILS) method [53], which requires the use of carbon monoxide (CO) [54]. In this study, oleylamine (OAm) and oleic acid (OA) were used in the synthetic mixture and the resulting MNPs were supported over carbon. Both CO gas and organic surface capping agents play critical roles in stabilizing the icosahedral shape with {111} surfaces. Among the PtM alloy nanocrystals, Pt₃Ni revealed very active with an impressive ORR specific activity of 1.83 mA/cm² Pt and 0.62 A/mg_{Pt}.

In 2012, Strasser and co-workers reported the preparation of a series of dealloyed Pt_xNi_{1-x} core-shell nanoparticles [55] in the presence of oleic acid (OAc), oleylamine (OAm) and 1,2-tetradecandiol (TDD), which were subsequently supported over carbon. The particle size of the PtNi, PtNi₃, and PtNi₅ NPs were 5.1 ± 0.6, 8.3 ± 0.8, and 12.1 ± 1.1 nm, respectively. The radial location and the composition of the Ni-enriched inner shells were dependent on the initial alloy compositions. These self-organized Ni enriched inner

shells revealed to play a key role in maintaining surface lattice strain and thus control the surface catalytic activity in the oxygen reduction reaction (ORR).

Bäumer and co-workers also prepared colloidal PtNi nanoparticles (~ 4 nm) using OAm and OA as organic stabilizers [56], and their deposition onto γ -Al₂O₃ and MgO powders [57]. These materials were tested in the CO oxidation reaction and no pre-treatment was needed to obtain immediate activity. The results prove that well-defined catalysts with exceptional activities can be obtained with this approach and the authors concluded that the residual ligands could have a protecting effect.

In 2014, Yin, Ma and co-workers reported for the first time the preparation of monodispersed bimetallic PdAg NPs using OAm and OA as capping agents and carbon black as support [58]. The bimetallic PdAg NPs were synthesized via the thermal decomposition of palladium acetate and reduction of silver acetate in the emulsion-assisted ethylene glycol ternary system [59]. Various compositions of bimetallic PdAg nanoparticles, Pd₈₀Ag₂₀ (3.8 nm), Pd₆₅Ag₃₅ (3.6 nm) and Pd₄₆Ag₅₄ (3.3 nm) were obtained by modifying the reaction parameters. The PdAg systems were supported on carbon black without any post-treatment and provided high electro-oxidation activity towards methanol oxidation in alkaline media. The carbon-supported Pd₈₀Ag₂₀ nanoparticles revealed superior activity than that of the commercial Pt/C electro-catalyst. It was concluded that the enhanced activity was dependent on the unique twinning structure of the catalyst.

In 2009, Rinaldi, Zanchet and co-workers reported the preparation of colloidal Ni NPs (5.3 nm) stabilized by trioctylamine and OA and their deposition over silica and activated carbon. Subsequently, trioctylphosphine was added [60, 61, 62]. These heterogeneous catalysts were applied in the hydrogenation of cyclohexene and the steam reforming of ethanol [63]. The impregnation onto silica resulted in high metal dispersion. However, no or low catalytic activity was observed. The detailed surface analysis of the Ni/SiO₂ sample showed that the capping-ligands are not easily removed from the Ni surface in the posterior steps of the catalyst preparation, inhibiting the surface activation of the reactants.

In 2022, Serp, Soulantica and co-workers reported the synthesis of freestanding and few-layer graphene (FLG)-supported ϵ -Co nanoparticles and hexagonal closepacked hcp-Co nanorods stabilized by lauric acid (LA) and hexadecylamine (HDA) [64]. Cobalt nanostructures were synthesized by the reduction of [Co{N(SiMe₃)₂}₂(thf)] by H₂ in the presence of LA and HDA [65, 66]. The authors showed that while hcp-Co nanorods exposing a majority of {1120}-type facets are the most active in the selective hydrogenation of cinnamaldehyde to cinnamyl alcohol, the supported spherical ϵ -Co nanoparticles combined high activity and excellent selectivity. The concentration-dependent ligand conformation on the surface of the nanostructures also influences their catalytic performances, as higher concentrations favored both activity and selectivity to cinnamyl alcohol.

In 2010, Sun and co-workers described the preparation of monodisperse Ni NPs by Ni(acac)₂ reduction using borane tributylamine (BTB) in the presence of OAm and OA. The Ni NPs dispersed on Ketjen carbon were highly active for hydrolytic dehydrogenation of the ammonia-borane (AB) complex at room temperature [67]. In this process, OAm acted as both solvent and surfactant, BTB was the reducing agent, and OA was added as cosurfactant for Ni NP stabilization.

2.2 *N*-capped heterogeneous catalysts formed by colloidal methods in the presence of the solid support

Another approach for the synthesis of *N*-donor ligand-capped heterogeneous catalysis is the direct reduction of the metal precursor in the presence of both the support and the stabilizing ligands. In this approach both the support and the ligands participate in the stabilization of the MNPs.

2.2a Aliphatic *N*-donor ligands

Jang and co-workers reported the synthesis of Pt NPs supported over carbon using OAm as ligand for their application as electrocatalysts in proton exchange fuel cells [68]. For the preparation of this material, a mixture of the metal precursor, OAm, carbon black and NaBH₄ as reducing agent, was used with to produce MNPs with diameters between 2 and 3 nm. The performance of this catalyst was compared with that of a commercial Pt/C modified by OAm. The electrocatalyst prepared by the authors showed higher ORR activity and kinetic current density. They concluded that the surface ligands selectively blocked large anion adsorption and as such enhanced the catalytic activity since small oxygen molecules can still adsorb and react.

In 2020, Serp, Soulantica and co-workers reported the preparation of octadecylamine (ODA)-stabilized platinum concave nanocubes supported on graphene exposing {110} facets using an *in-situ* process and their subsequent use as catalysts in the selective hydrogenation of cinnamaldehyde [69]. The nanocubes were directly grown onto the a FLG support in the presence of ODA as stabilizing agent. Two sets of unsupported nanocubes stabilized by ODA were also prepared following literature procedures for comparison purposes [70]. Immobilization improved both activity and selectivity towards the desired unsaturated alcohol. The FLG-supported concave nanocubes presented outstanding activity and selectivity to cinnamyl alcohol higher than 80%. The presence of the organic stabilizer ensured the stability of the nanoparticle morphology and influenced the catalyst performance. While higher activity was obtained using small nanoparticles and low ligand contents, the selectivity to the unsaturated alcohol was favored by high ligand contents, probably due to steric effects favoring the substrate adsorption through the C=O moiety. Apart from stabilizing the nanocubes, the authors reported the presence of interactions between the support and the long chain amine ligand, and as such behaved as a ligand reservoir.

2.2b Aromatic *N*-donor ligands

In 2010, Esteruelas and co-workers reported the synthesis of Rh NPs (1.5 ± 0.2 nm) by decomposition of the pre-synthesized chloro[2,6-bis{1-(phenyl)iminoethyl}pyridine]rhodium(I) complexes [71]. The obtained Rh NPs were stabilized by the partially hydrogenated ligand. The nanoparticles were also generated in the presence of alumina as support. Under a constant atmospheric pressure of hydrogen, the nanoparticles catalyzed the dehalogenation and the hydrogenation of different substrates. However, Hg(0) poisoning test revealed that both homogeneous and heterogeneous catalysts coexist during the dehalogenation reactions whereas the hydrogenation processes were only catalyzed by heterogeneous catalysts.

In 2017, Hayashi and co-workers reported the preparation of nickel nanoparticles (*ca.* 4 nm) stabilized with pyridine ligands and supported over ZSM-5 zeolite [72]. The synthesis of the catalyst was carried out by NaBH_4 chemical reduction of the metal precursor in the presence of pyridine and the ZSM-5 zeolite support. Pyridine played a crucial role in the selective formation of small and well dispersed Ni NPs and increased their stability by enhancing the interaction between the Ni and the support. This catalyst provided the first complete hydrogenation of phenolic compounds as lignin monomers with higher activity than that of conventional Ni catalysts. Upon recycling, no relevant increase in size was observed after each catalytic cycle when pyridine was used in the synthesis of the MNPs whereas a large increase in diameter was evidenced for those synthesized in the absence of pyridine.

In 2009, Serp and co-workers reported the preparation of PtRu NPs (*ca.* 2 nm) stabilized by 4-(3-phenylpropyl) pyridine ligand containing a pyridine unit that has an affinity for the surface of the MNPs and a phenyl group able to interact with the carbon nanotube (CNT) graphene layers (π - π interaction) [73]. The authors also functionalized the CNT external surface with carboxylic acid and amide groups bearing a long alkyl chain to induce weak interaction or repulsion between the MNPs and the CNT external surface. These nanocatalysts displayed excellent catalytic performance in the selective hydrogenation of cinnamaldehyde owing to confinement of both the active phase and the reactants in the inner cavity of the CNTs.

2.2c Mixture of N-donor and carboxylic acid

Serp, Soulantica and co-workers reported the preparation of non-promoted $\text{Co}/\text{Al}_2\text{O}_3$ - SiO_2 catalyst for Fischer-Tropsch synthesis (FTS) using hexadecylamine (HDA) and lauric acid (LA) ligands as MNP stabilizers [74]. Cobalt nanorods were prepared by growing anisotropic hcp-cobalt nanostructures on conventional $\text{Co}/\text{Al}_2\text{O}_3$ - SiO_2 catalyst through the so-called seeded growth method [75]. The as-obtained catalyst showed a better stability than a reference catalyst for FTS. The removal of most of the ligands from the nanorod further enhanced the catalyst activity while maintaining its stability [76]. Previously, the same group reported monolithic hcp-cobalt nanowires bearing HDA and LA as ligands and supported over copper and nickel foams through a wet chemistry approach [77, 78, 79]. The $\text{Co}/\text{Cu}_{\text{foam}}$ catalyst was tested in the Fischer-Tropsch synthesis in a fixed-bed reactor, providing superior activity and selectivity towards C_{5+} than a Co/SiO_2 - Al_2O_3 reference catalyst. In this work, a negative effect of the ligands was described [74].

2.3 N-capped heterogeneous catalysts formed by classical methods followed by ligand functionalization

Other N-capped heterogeneous catalysts only involve the functionalization of the metal surface by the N-ligand in a final step of the synthesis. Usually, the capping of heterogeneous catalyst by N-donor molecules was performed to tune the selectivity of the catalytic reactions.

In an early example, Vasudevan and co-workers reported the effect of piperidine addition over Pd NPs supported on Al_2O_3 prepared by wet impregnation for the hydrogenation of unsaturated hydrocarbons [80, 81, 82]. The addition of piperidine

during catalysis improved the hydrogenation rate and the selectivity, which was attributed to the electron donating properties of the piperidine ligands that decreased the bonding energy of the hydrocarbons.

In 2013, Sung and co-workers reported the modification of Pt/C (~ 5 nm) with oleylamine to prepare a carbon-supported platinum electrocatalyst for oxygen reduction reaction (ORR) [83]. The addition of a small amount of OAm on Pt NPs resulted a significant enhancement of the catalyst activity, which was attributed to both the downshift of the frontier d-band structure of platinum and the hindering of anionic spectator species that leads to an enhancement of ORR kinetics. These results suggest that the use of capping organic molecules could be a strategy to design advanced electrocatalysts via the alteration of both structural and electronic properties.

More recently, Chen and Xu immobilized some ligands containing secondary or tertiary amine groups and hydrophobic chains (**Fig. 9**) onto TiO₂-supported Ru nanoparticles [29]. The immobilization of the ligands onto Ru/TiO₂ was based on a simple two-phase centrifugation technique and driven by the hydrophobic effect. Additionally, the synthesis of "unprotected" Ru NPs (2.5 nm) was carried out according to previously reported methods [84] and subsequently immobilized onto TiO₂ by sol immobilization (2.0 nm) [85].

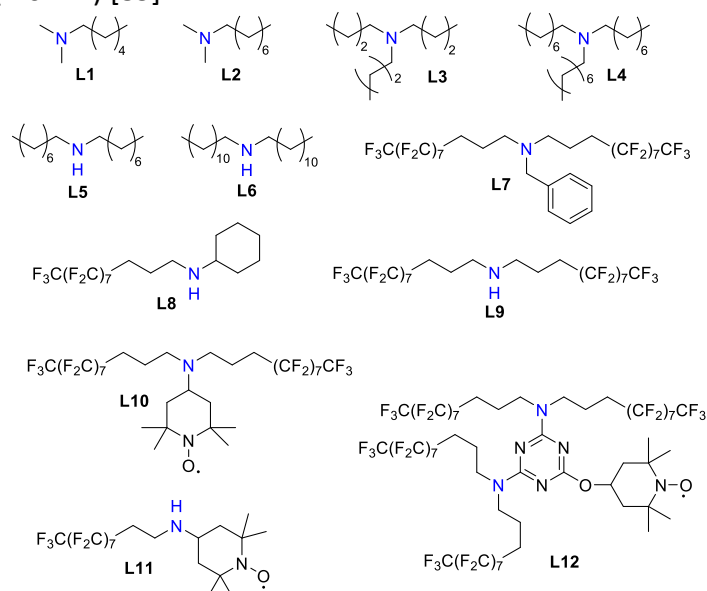


Fig. 9. Amine ligands used by Chen and Xu to functionalize TiO₂ supported Ru NPs.

These systems were tested in the aerobic oxidation of alcohols and ligands containing 2,2,6,6-tetramethyl-1-piperidine-Noxyl (TEMPO) moieties (L10, L11 and L12) significantly improved the selectivity to the aldehyde at high conversions.

Later, the same authors reported the modification of Pt/SiAl catalyst with ethylenediamine (EDA) by co-impregnation method and its application in the selective catalytic oxidation of ammonia [86]. TEM measurements demonstrated that the average particle sizes of Pt species decreased from 2.8 nm to 2.0 nm upon diamine addition. The results based on precise characterization indicated that the addition of EDA could adjust the states and particle sizes of Pt, and the reduction property of Pt/SiAl catalyst.

The functionalization of heterogeneous catalysts by chiral N-donor amines was also reported. Indeed, the first examples of asymmetric hydrogenations catalyzed by metal surface modified by the presence of (*S*)-proline (and other chiral molecules) as additive

under catalytic conditions were first reported in the 1980's by Petró and co-workers [87, 88, 89]. This strategy was used to induce enantioselectivity in the asymmetric hydrogenation of α,β -unsaturated ketones.

More recently, Liu, Shen and co-workers reported the use of a series of Pd/MgO catalysts modified by (*S*)-proline for the hydrogenation of isophorone (**Fig. 10**) [90]. The Pd/MgO catalysts were synthesized by the impregnation method and variations in MNP size were observed depending on the temperatures employed during calcination and hydrogen reduction. Particles sizes between 1 and 25 nm were obtained. In this study, it was demonstrated that Pd surfaces not only supply chemisorbed hydrogen but also participate in the enantio-differentiating step. Indeed, the configuration of the chiral product could be tuned by varying the size of Pd NP. In this process, two competitive reactions were identified: the formation of excess (*R*)-TMCH enantiomer was reached through the enantioselective hydrogenation of intermediates formed between isophorone and (*S*)-proline on Pd particles with a mean diameter >10 nm, while the direct hydrogenation of isophorone yielded racemic TMCH over Pd particles smaller than 4 nm, followed by kinetic resolution that yielded the (*S*)-TMCH enantiomer in excess.

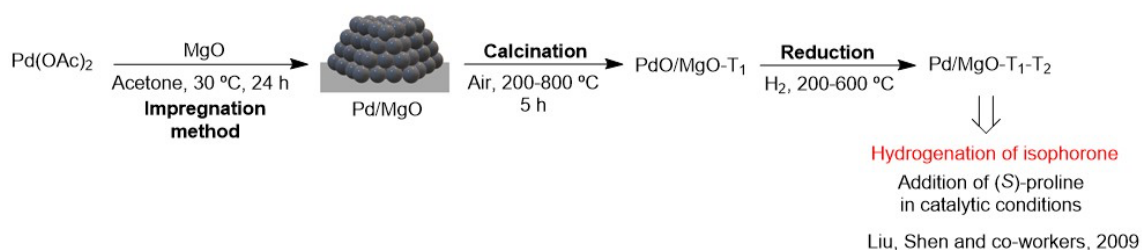


Fig. 10. Preparation of Pd/MgO catalysts modified by (*S*)-proline for the hydrogenation of isophorone.

In 2010, Gyóffy and co-workers also reported the use of Pd NPs supported over various supports for the kinetic resolution of 3,5,5-trimethyl cyclohexanone (TMCH) and asymmetric hydrogenation of isophorone (3,5,5-trimethyl cyclohex-2-enone) in the presence of (*S*)-proline [91].

Aromatic N-donor ligands were also used as metal surface capping agents. In an early example, Baiker and co-workers reported the modification of commercial Pt/Al₂O₃ with cinchonidine as additive for the asymmetric hydrogenation of activated ketones such as ketopantolactone and ethyl pyruvate [92]. The catalytic reactions were carried out in a fixed-bed reactor with continuous feeding of the chiral modifier into the reactant stream. Total chemoselectivity was obtained with enantiomeric excesses of 83.4% for ketopantolactone, and 89.9% *ee* for ethyl pyruvate.

Blaser and co-workers also reported the use of commercial Pt/Al₂O₃ for the asymmetric hydrogenation of ethyl pyruvate using chiral modifiers derived from natural cinchona alkaloids [93]. Effects related to the structure of the chiral modifiers were studied. The strongest effects on *ee* were observed for changes in the O-C₉-C₈-N part of the cinchona alkaloid and for partial or total hydrogenation of the quinoline rings (**Fig. 11**).

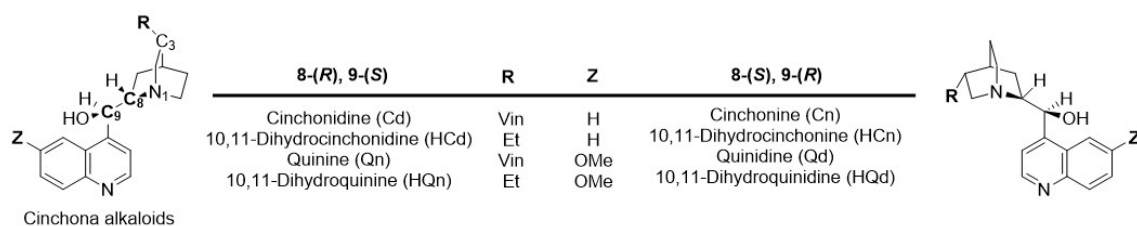


Fig. 11. Chiral modifiers derived from cinchona alkaloids used for the modification of Pt/Al₂O₃ catalysts for application in asymmetric hydrogenation of ethyl pyruvate.

The solvent also demonstrated to have a significant effect on enantioselectivity and reaction rate and it was concluded that an efficient modifier for the hydrogenation of R-keto esters must contain a basic nitrogen center close to stereogenic center(s) and connected to an aromatic system.

Similarly, the modification of Pt NPs (4.4 nm) immobilized over silica coated Fe₃O₄ by cinchonidine was reported for the enantioselective hydrogenation of activated ketones (**Fig. 12**) [94]. The catalyst could be recycled up to eight times with little variation in activity although the enantioselectivity slightly decreased from 57% to 52% *ee* after the eighth cycle.



Fig. 12. Modification of Pt catalysts on silica coated Fe₃O₄ by cinchonidine.

In 2010, Sugimura and Ogawa reported the use of cinchonidine (CD) and cinchonine (CN) as chiral modifiers for Pd/C in enantioselective hydrogenation reactions (**Fig. 13**) [95]. The catalytic hydrogenation with CD always provided higher *ee* and faster reaction rate than that with CN and it was concluded that the difference between CD and CN was not in their adsorption properties, but in the enantioinduction ability of these modifiers.

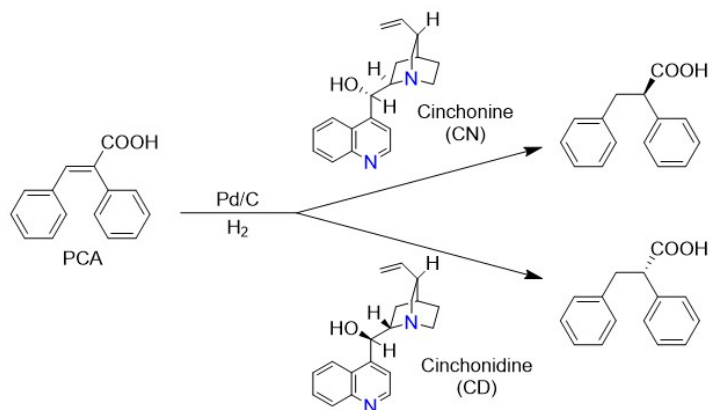


Fig. 13. Cinchonidine (CD) and cinchonine (CN) as chiral modifiers for Pd/C in enantioselective hydrogenation.

Baiker and co-workers used commercial Pt/Al₂O₃ in presence of different modifiers such as NMe₃, NEt₃, Quinuclidine, Quinoline, (*R,R*)-PNEA, CD, and *O*-Methyl-CD as additives in the hydrogenation of different substrates [96]. The addition of trace amounts of the chiral modifiers enhanced the chemoselectivity up to 100% in the hydrogenation of activated carbonyl groups with up to 93% *ee*. This study showed a higher adsorption for the chiral ligands than for simple amines and the high chemo- and enantioselectivities were attributed to the formation of an ion pair involving the protonated amine function of the chiral modifier and the enolate form of the substrate.

3. Phosphorus donor ligand-capped heterogeneous catalysts

Another common type of capping agents for heterogeneous catalysts are P-donor ligands. Similarly to N-donor ligands, depending on the focus of the study and the catalytic application, several synthetic strategies were reported to modify the MNP surface with these ligands.

3.1 Colloidal synthesis of P-capped MNPs followed by deposition over a solid support

In order to form well defined MNPs with small size and narrow distribution, one of the most efficient synthetic methods consist in the colloidal formation of the MNPs followed by their deposition onto a solid support. The drawback of this strategy is, in some cases, the weak interactions between the MNPs and the support that can result in the release of the MNPs under catalytic conditions.

For instance, Philippot and co-workers synthesized a series of ligand-stabilized Pd NPs and their subsequent deposition over mesoporous carbon (Fig. 14) [97]. The Pd NPs were prepared via the organometallic approach [98] and stabilized with triphenylphosphine or trioctylphosphine [99, 100]. Direct impregnation of the colloids onto the solid support provided the final catalysts which were tested in aerobic oxidation of benzyl alcohol to benzaldehyde, providing excellent conversions and high selectivity to the aldehyde.

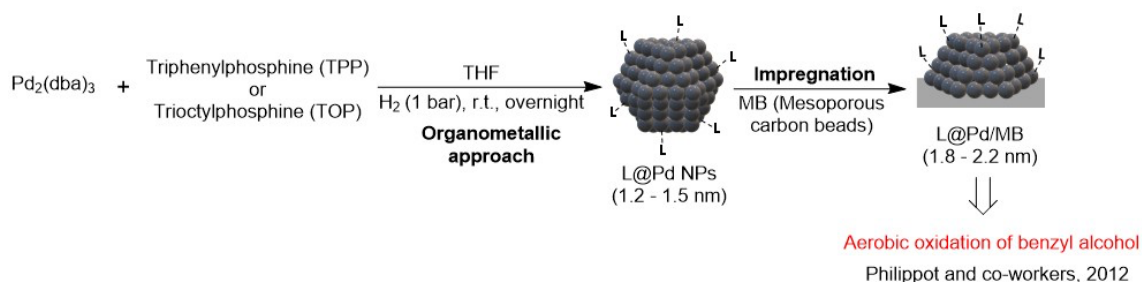


Fig. 14. Phosphine-stabilized Ru NPs supported on carbon beads for their application in the aerobic oxidation of benzyl alcohols.

Later, the same group reported a series of carbon-supported Pd NPs for the dual selective aqueous oxidation of benzyl alcohol and the hydrogenation of furfural in water under microwave irradiation [101]. Two synthetic routes for the catalysts were compared: the impregnation of the carbon support with the previously synthesized Pd

nanoparticles stabilized with trioctylphosphine and triphenylphosphine as ligands and the impregnation of the support with the palladium metal precursor (in absence of phosphine ligand) followed by hydrogenation. The nanoparticles stabilized by trioctylphosphine followed by their anchoring onto carbon support provided the highest activity. When trioctylphosphine was ligand, the steric hindrance induced by the aliphatic chains limited the interaction between the Pd NPs and the carbon surface oxygen groups, thus preventing their agglomeration that was observed when triphenylphosphine was used. The presence of oxygen groups on the surface of the carbon support improved the Pd NPs immobilization and the water affinity, and consequently enhanced the catalytic performances of the system.

In 2016, Lee and co-workers reported the preparation of Ni NPs by a colloidal method using oleylamine (OAm) and trioctylphosphine (TOP) as stabilizers, and their subsequent deposition over a carbon support. These systems were used as catalysts for the selective hydrogenation of unsaturated furanic aldehydes to their corresponding alcohols [102]. In a previous study, it was reported that OAm was the reductant that controlled the nucleation rate while TOP provides a tunable surface stabilization through its coordination to the Ni surface [103]. The capped nanoparticles provided high selectivities (> 90%) in the hydrogenation of unsaturated furanic aldehydes. In comparison, the uncapped calcined Ni/SiO₂ catalysts presented selectivity to the over-hydrogenation product tetrahydrofurfuryl alcohol. DRIFT results confirmed that the approach of the furanic ring to the Ni surface was limited at the capped nanoparticles due to the steric hindrance induced by the organic surface layer.

In 2012, Serp, Gómez and co-workers synthesized bimetallic platinum–ruthenium nanoparticles stabilized by pyridine- and monophosphine-based ligands and supported over functionalized multiwalled carbon nanotubes in supercritical CO₂ or in THF (**Fig. 15**) [104]. The bimetallic nanoparticles were confined into functionalized multiwalled carbon nanotubes (MWCNT), which led to a higher degree of agglomeration for the PtRu nanoparticles stabilized by phosphine ligands than for those stabilized by the pyridine ligands. These catalysts were applied to cinnamaldehyde hydrogenation and confined PtRu nanoparticles provided higher activity and selectivity than the unsupported nanoparticles.

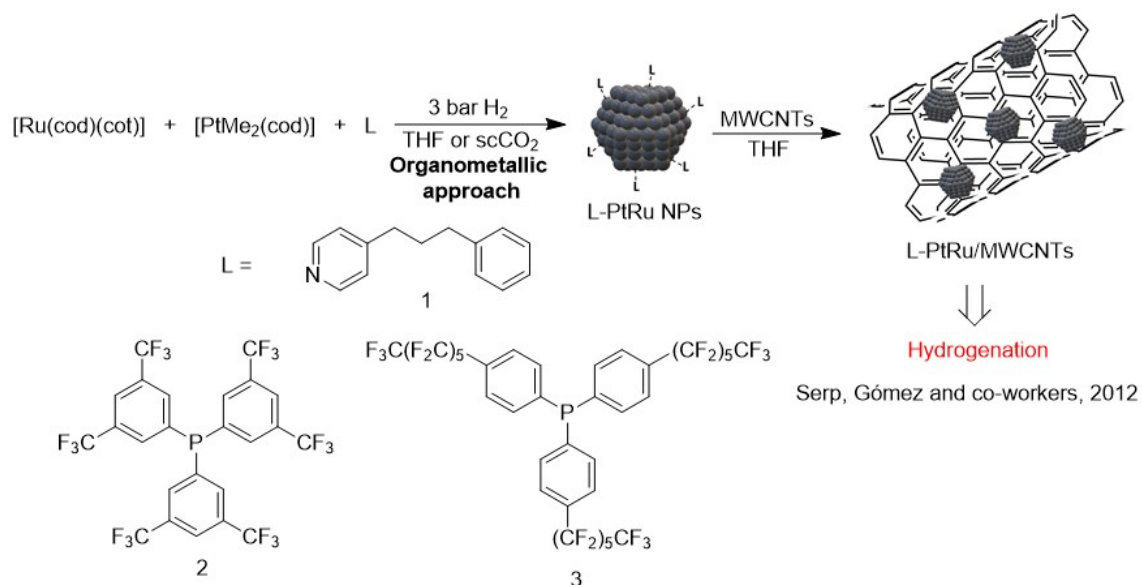


Fig. 15. Preparation of ligand-capped bimetallic platinum–ruthenium hydrogenation catalysts supported over functionalized multiwalled carbon nanotubes.

Ruiz and co-workers synthesized colloidal Rh NPs bearing a chiral ligand ((-)-DIOP) by chemical reduction of the Rh precursor with NaBH₄ (**Fig. 16 (a)**) prior to their deposition over SiO₂ [105]. The range of NP sizes were 3.9-5.8 nm depending on the metallic loading and the ligand concentration. When the catalyst prepared in the absence of ligand was used, the diameter of the corresponding MNPs was *ca.* 14 nm, which highlights the stabilization role of the ligand during the synthesis. These MNPs were tested in the asymmetric hydrogenation of acetophenone, 1-phenyl-1,2-propanedione, 3,4-hexanedione, 2,3-butanedione and ethyl pyruvate with *ee*'s up to 53%.

The same authors reported a series of Rh NPs stabilized with (*S,S*)-1,2-ethanediylbis[(2-ethoxyphenyl)phenylphosphine] ((*S,S*)-DIPAMP) (**Fig. 16 (b)**) subsequently deposited over SiO₂ [106]. Catalysts formed using various Rh/L ratios were tested in the asymmetric hydrogenation of hexanodione, ethyl pyruvate, ketopantolactone and acetophenone. Good conversion (99%) and enantiomeric excess (*ee* up to 54%) were obtained.

In 2019, they also reported the preparation of Rh NPs (<3 nm) stabilized with a series of P-chiral ligands supported over SiO₂ (**Fig. 16 (c)**) [107].

These systems were tested in 1-phenyl-1,2-propanedione hydrogenation and obtained up to 98% conversion and 67% enantiomeric excess for (*R*)-1-hydroxy-1-phenyl-propan-2-one and 59% for (*R*)-2-hydroxy-1-phenylpropan-1-one.

Ruiz and co-workers:

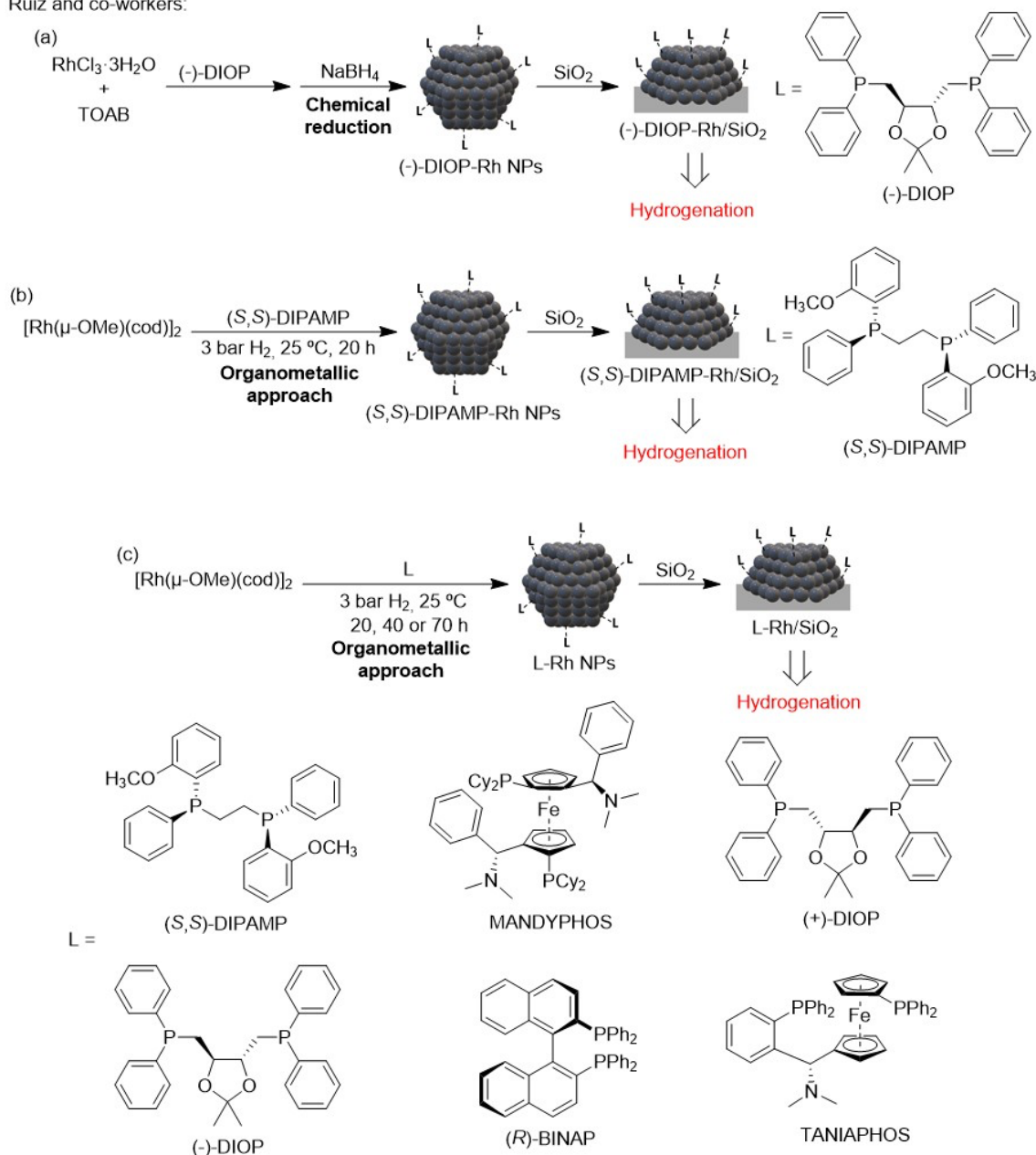


Fig. 16. Asymmetric hydrogenation Rh catalysts stabilized by chiral P-ligands and supported over silica reported by Ruiz and co-workers.

In 2016, Rossi, Philippot and co-workers synthesized a series of Rh NPs stabilized with PVP and mono- and diphosphines (i.e. PPh₃ and dppe) using the organometallic approach [108]. The resulting MNPs presented diameters of 2.2, 1.3 and 1.7 nm, respectively, and were subsequently supported over an amino functionalized silica-coated magnetite.

The colloidal and supported systems were tested in the hydrogenation of cyclohexene. Lower activity was observed for the phosphine-stabilized catalysts due to the blocking of the active sites by the ligands. It is noteworthy that the presence of the phosphine ligands was confirmed even after several recycling cycles of the supported nanocatalysts.

Li and co-workers reported the synthesis of colloidal Rh NPs stabilized by (*R*)-BINAP by chemical reduction with NaBH₄ (**Fig. 17**) [109]. The size of these MNPs were between 1.5 and 2.0 nm. The stabilized MNPs were later immobilized by impregnation over silica. The coordination of the ligand was demonstrated by ³¹P MAS NMR and IR. These systems were tested in the asymmetric hydroformylation of olefins with total regioselectivity and *ee* up to 59%. The supported MNPs exhibited higher activity than the colloidal systems. The system was also successfully recycled and reused upon addition of (*R*)-BINAP ligand after a few runs.

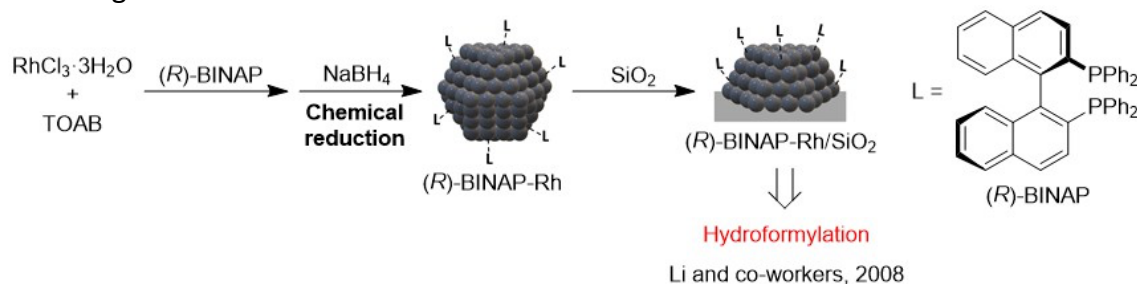


Fig. 17. Preparation of heterogeneous asymmetric hydroformylation catalysts reported by Li and co-workers.

3.2 Colloidal synthesis of *P*-capped MNPs in the presence of a solid support

Chen and co-workers reported the preparation of Ir NPs by reduction of a metal salt in the presence of PPh₃ and SiO₂ modified with a chiral amine derived from natural cinchona alkaloids (**Fig. 18**) [110]. This catalyst was employed in the hydrogenation of aromatic ketones and provided high activity and high enantioselectivity. The authors later expanded their study with the synthesis of Ir NPs stabilized with a series of phosphines and supported over SiO₂ modified with cinchonine, cinchonidine, quinone and derivatives (9-amino(9-deoxy)epicinchonine, 9-amino(9-deoxy)epicinchonidine (1), and 9-amino(9-deoxy)epiquinine) (2) [111]. When cinchonine, cinchonidine, and quinine were used, low catalytic activity and high chemoselectivity to saturated ketone was observed. The best results were obtained when PPh₃ was the ligand used for the stabilization of the catalyst but rather low enantioselectivity was obtained in all cases (up to 46%).

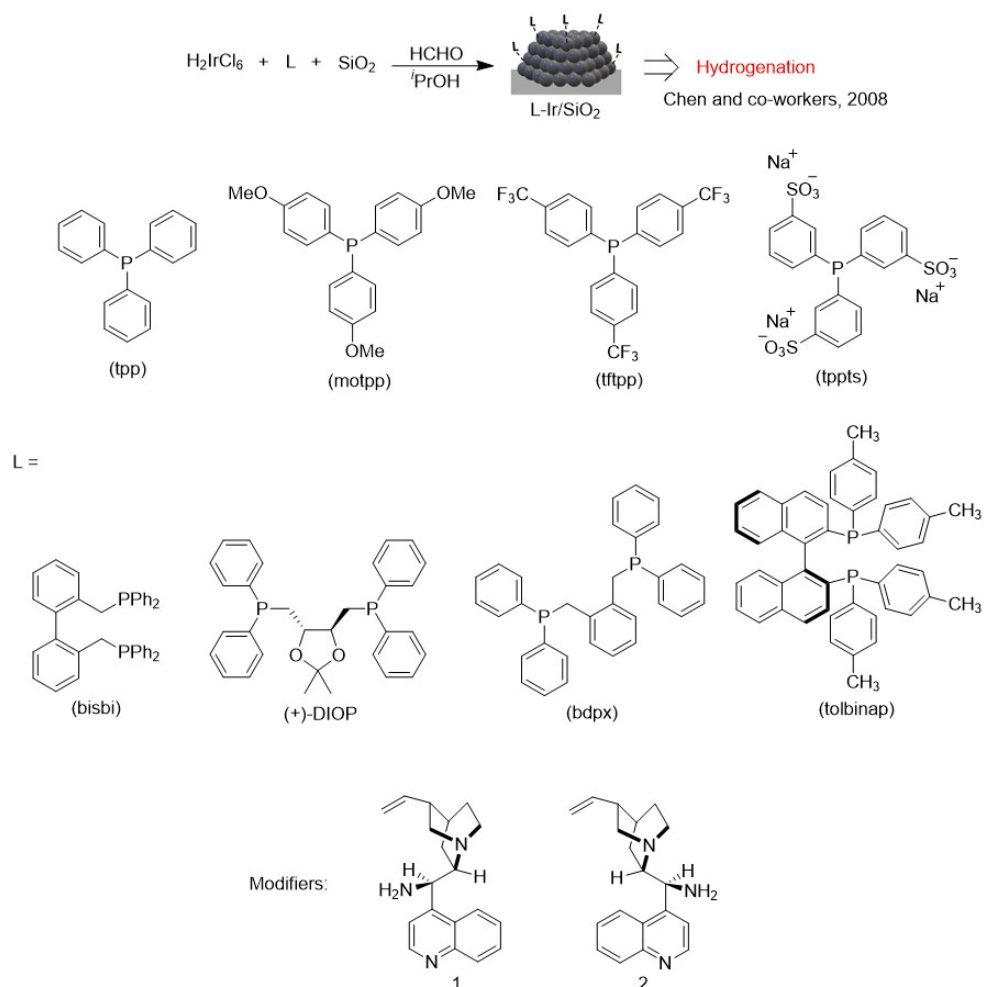


Fig. 18. Preparation of Ir NPs by reduction of a metal salt in the presence of phosphines and SiO_2 modified with a chiral amine.

In 2017, Godard, Taoufik and co-workers prepared by surface organometallic chemistry (SOMC) methodology a series of Rh and Pt NPs ligated by phosphine ligands and supported on phosphine functionalized silica [112]. The presence of the P-donor ligand both in solution and at the support surface revealed important. These systems were employed in the hydrogenation of *p*-xylene, 3-hexyne, 4-phenyl-2-butanone, benzaldehyde and furfural, and demonstrated high activities. Moreover, excellent results were obtained for the selective hydrogenation of furfural to furfuryl alcohol, with high activities in the case of the Pt catalyst. It was concluded that the phosphine stabilized moiety played an important role in the selectivity of this reaction by blocking some surface sites.

Later, the same authors reported the synthesis of monometallic Pd and Cu and bimetallic PdCu NPs stabilized by triphenylphosphine supported over carbon nanotubes (CNTs) and phosphorus functionalized silica (P- SiO_2) [113]. When the support employed was the P- SiO_2 , MNP sizes were between 1 and 2.4 nm. However, when CNTs were used, the nanoparticle size was larger, in the range of 2.4-2.6 nm. These catalysts were tested in the semi-hydrogenation of alkynes. PdCu/CNTs was the most active system when the substrates were alkyl substituted alkynes and also showed good recyclability during several cycles.

Godard and coworkers also used this approach to synthesize a Pd based catalyst supported on filter paper [114]. In this work, a Pd precursor was decomposed under H₂ atmosphere in the presence of PCy₃ and CNTs. The resulting material was then used to make an ink via dispersion in water in the presence of a surfactant. Painting of the ink on the filter paper support followed by drying provided a low cost and easy handling catalyst that was applied in the semi-hydrogenation of alkynes and alkynols. Recycling of this catalyst could be performed at least 5 times without loss of activity or selectivity. In 2023, the same group reported a series of Pd NPs stabilized with ligands of different nature (mono- and bidentate phosphines organic and water-soluble and NHCs) (**Fig. 19**) via the organometallic approach in the presence of different supports (metal oxides and carbon-based) [115]. The Pd NPs supported over TiO₂ presented sizes between 1.92 (for PTA) and 2.83 nm (for NHC). When the synthesis of Pd/TiO₂ was performed in the absence of ligand, the particle size was 5.36 nm, demonstrating the beneficial effect of the ligands on the MNP stabilization. All these catalysts were tested in the hydrogenation of CO₂ in basic media to produce formate. The system containing PPh₃ provided the highest catalytic activity (TON = 1032).

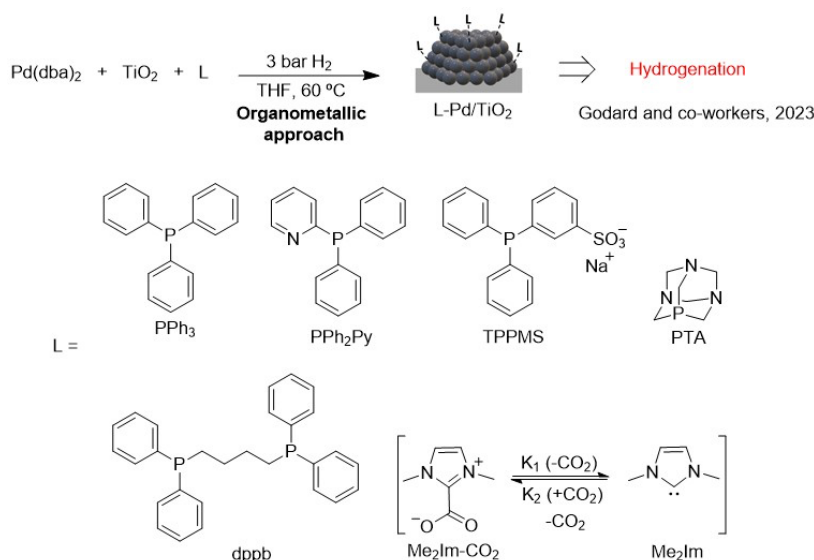


Fig. 19. Preparation of phosphine stabilized heterogeneous Pd catalysts for CO₂ hydrogenation into formate.

In 2008, Xiong and co-workers reported the synthesis of the Ru-phosphine/ γ -Al₂O₃ catalyst (*ca.* 5 nm) by reduction of RhCl₃ in the presence of the support and the phosphine ligand. For application in catalysis, modification of this material by addition of (1*S*,2*S*)-DPEN was performed. This catalyst was used in the asymmetric hydrogenation of acetophenone and derivatives [116]. The effect of various phosphine stabilizers was examined in catalysis. When the Ru/ γ -Al₂O₃ catalyst was stabilized by phosphine ligands, the catalytic activity and enantioselectivity increased. The best results were obtained when PPh₃ was used. Under optimum conditions, total conversion of acetophenone was reached with enantioselectivity up to 78% *ee*. The catalyst could be reused several times and although *ee* was maintained for several cycles, the catalytic activity decreased due to the loss of Ru-PPh₃/ γ -Al₂O₃ catalyst during the separation process and the partial oxidation of the PPh₃ stabilizer.

3.3 Functionalization of previously synthesized heterogeneous catalysts using P-donor ligands

In 2000, Anderson and co-workers reported the use of Rh/SiO₂·Al₂O₃ in the presence of the chiral diphosphines [i.e. (-)-Chiraphos and (-)-DIOP] in the hydroformylation of styrene [117]. The catalytic activity was significantly decreased after functionalization with the diphosphines although high chemo- and regioselectivity towards the chiral aldehyde were obtained. Optical yields were moderate (up to 9% *ee*) and dropped to zero when the catalysts were reused. The interactions of these diphosphines with the supported rhodium catalysts were studied after exposing the catalyst to a solution of the P-additive in toluene. Mechanistic investigations revealed the formation of a rhodium gem-dicarbonyl complex, Rh(I)(CO)(P–P) attached to the solid. The modifiers were adsorbed on the support and to a lesser extent on the metal surface. Controlling the water content of the solvent and the degree of hydration of the catalyst surface reduced the Rh leaching.

In 2005, Ding and co-workers prepared Leaching of rhodium a novel heterogeneous Rh/SiO₂ catalyst by impregnation of RhCl₃ over commercial SiO₂ through the incipient wetness method followed by calcination. The resulting material was then modified with PPh₃ [118]. This catalyst was employed in the hydroformylation of propylene provided higher catalytic performance than the unmodified heterogeneous Rh/SiO₂ catalyst. Spectroscopic techniques confirmed that PPh₃ molecules were chemically adsorbed at the surface of the modified heterogeneous Rh/SiO₂. The same authors later reported a novel catalyst based on Rh NPs supported over SiO₂ [119] modified with triphenylphosphite (P(OPh)₃). This system was employed in the hydroformylation of internal olefins to linear aldehydes and reached high activity and regioselectivity.

In 2009, Yamashita and co-workers synthesized bimetallic FePd NPs by thermal decomposition of iron carbonyl (Fe(CO)₅), followed by reduction of palladium acetylacetonate (Pd(acac)₂) and using oleic acid and oleylamine as stabilizers [120]. These MNPs contained a Fe_xO_y-rich core and a Pd-rich shell which was functionalized by ligand exchange with the chiral ligands, (*S*)-BINAP and (*R*)-BINAP. These MNPs exhibited sizes of *ca.* 5.6 nm. These materials were the first example of asymmetric catalytic application using chiral magnetic FePd NPs. They were used in asymmetric Suzuki-Miyaura coupling reaction giving >99% yield in both cases and *ee* values of 48% when (*S*)-BINAP was used and 46% in the case of (*R*)-BINAP.

Felbin and Landais reported the first Pd/C-mediated allylic substitution in water using PPh₃ as additive under catalytic conditions [121]. It was concluded that the phosphine probably acts as a stabilizing agent for Pd, generating *in situ* a more reactive Pd(0)-PPh₃ complex. Finally, an asymmetric version of this new catalytic system was performed using chiral diphosphine ligands. (*R*)-BINAP afforded diethyl 2-[(*E*)-1,3-diphenyl-2-propenyl]malonate in 80% *ee*, albeit in low yield.

In this field, Baiker and co-workers reported the use of commercial Pd/Al₂O₃ (*ca.* 3 nm) modified by chiral ligands (**Fig. 20 (a)**) for their application in asymmetric allylic substitution of (*E*)-1,3-diphenylallyl acetate with dimethyl malonate [122]. The enantioselectivity of the Pd/Al₂O₃-BINAP system [58–60% *ee* to (*S*)-2] was independent of the reaction temperature (60 and 120 °C), and very low amounts of BINAP were required. Surprisingly, the addition of BINAP induced a significant rate acceleration (by a factor of almost 7 at 60 °C) and improved the chemoselectivity of Pd/Al₂O₃. Among the

diphosphine ligands tested, (*R*)-Solphos provided the highest enantioselectivity (67% *ee*).

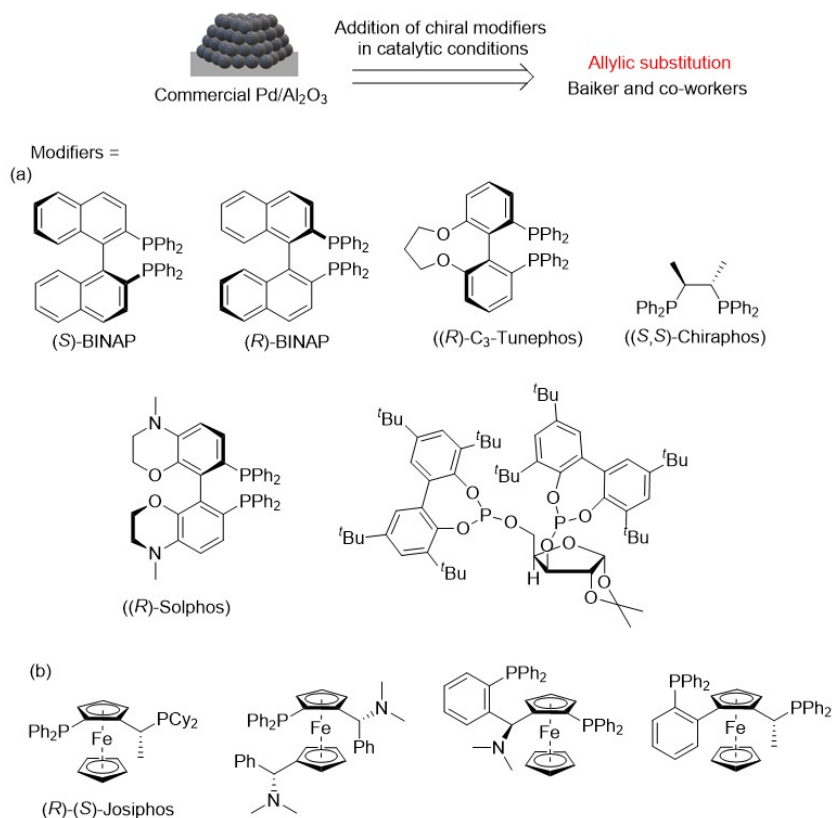


Fig. 20. Modification of commercial Pd/Al₂O₃ for application in asymmetric allylic substitution of (*E*)-1,3-diphenylallyl acetate with dimethyl malonate.

In 2008, the same group reported the use of commercial Pd/Al₂O₃ in the presence of optically active ferrocenyl phosphines (**Fig. 20 (b)**) as chiral modifiers for the enantioselective allylic substitution of (*E*)-1,3-diphenylallyl acetate with dimethyl malonate [123]. These catalytic systems exhibiting good activity along with good enantioselectivity (up to 88% *ee*). Addition of the modifiers to Pd led to significant rate acceleration and in some cases, kinetic resolution of the racemic allylic acetate substrate.

More recently, the same group reported the functionalization of commercial Pd/Al₂O₃ by (*R*)-BINAP for the enantioselective allylic alkylation of (*E*)-1,3-diphenylallyl acetate with enantio-induction in the range 20-30% *ee* (**Fig. 20**) [124].

Using a different approach, Park and Chung prepared colloidal CoRh heterobimetallic nanoparticles (*ca.* 2 nm) by thermal decomposition of cobalt-rhodium carbonyl clusters [i.e. Co₂Rh₂(CO)₁₂ and Co₃Rh(CO)₁₂] in the presence of oleic acid and trioctylphosphine oxide, prior to their immobilization on charcoal [125]. These catalysts were applied in the Pauson–Khand-type reaction under 1 atm of CO. The catalytic activity depended on the Co:Rh ratio of the bimetallic nanoparticles and the highest activity for intra- and intermolecular Pauson–Khand-type reactions was observed when the ratio was 1. When the catalyst was used in the presence of an aldehyde instead of carbon monoxide, high efficiency was reported. In addition, when the reaction was carried out in the presence of chiral diphosphines, up to 87% *ee* was achieved. The catalytic system could be reused

at least five times in the presence of chiral diphosphines (added in catalytic conditions as modifiers) without loss of catalytic activity and enantioselectivity.

In 2007, Zhao and co-workers reported the asymmetric hydrogenation of acetophenone catalyzed by Ru/ γ -Al₂O₃ in the presence of PPh₃ and the chiral amine (*R,R*)-DPEN, obtaining up to 60% *ee* [126]. The results demonstrated that the phosphine ligand and amine were crucial for this reaction. The catalyst could be reused four times without loss of activity nor enantioselectivity although the addition of the modifier and the ligand together with the substrate was required for the recycling.

Recently, Cui and co-workers employed the combination of the non-noble metal heterogeneous catalyst Ni/Al₂O₃ (*ca.* 5 nm) with the Xantphos ligand as catalytic system in the regioselective hydrosilylation of a wide range of aromatic and aliphatic terminal alkynes with PhSiH₃, affording the corresponding (*E*)-vinylsilanes in good to excellent yields with high regioselectivity [127]. Several ligands were employed but the best results were obtained with Xantphos, which was attributed to the efficient modulation of the steric and electronic environment of the supported Ni/Al₂O₃ catalyst by this ligand.

Anderson and co-workers previously reported the preparation of Pd/TiO₂ catalyst by impregnation and subsequently modified via the adsorption of triphenylphosphine for acetylene semi-hydrogenation (**Fig. 21**) [128, 129]. Spectroscopic and theoretical calculations provided evidence for charge transfer from PPh₃ to the metal centers [130, 131] and it has been postulated that the impact of this ligand on a metal surface is to polarize the metal–hydrogen bond and subsequently enhance selectivity in the semi-reduction of alkynes [132].



Fig. 21. Preparation and modification of a Pd/TiO₂ catalyst for application in the semi-hydrogenation of acetylene.

Very recently, Ballesteros-Soberanas and Leyva-Pérez reported the use of Pd/C catalyst modified by electron-poor phosphines in the semi-hydrogenation of alkynes [133]. The presence of the ligands increased the catalyst activity by *ca.* 7 folds while the selectivities were comparable to that of the industrial benchmark Lindlar catalyst. In terms of recyclability, when PPh₃ was employed as modifier, the catalyst could be reused up to four cycles without loss of activity or selectivity.

Li and co-workers prepared Rh NPs supported over silica modified with chiral phosphorus ligands (**Fig. 22**) by impregnation methods [134]. Different particle sizes were obtained depending on the metal loading (2.0, 3.0-4.0 and 5.0-6.0 nm for loadings of 1.0, 3.0 and 5.0 wt%, respectively). The coordination of the chiral phosphine to the Rh was demonstrated by ³¹P MAS NMR and IR spectra of adsorbed CO. These materials were tested as catalysts in hydroformylation reactions. 100% selectivity of branched

3.4 Functionalization of the support/solvent using P-donor ligands

In 2018, Guo et al. reported the preparation of Pd NPs over a support modified (FDU-12) with PPh₃ by impregnation-reduction method [139]. PPh₃ was cross-linked in the nanopores of a mesoporous silica. Very small Pd NPs were obtained (1.1 nm). Interaction between the phosphine and Pd NPs was demonstrated by XPS, *in situ* FTIR adsorption of CO and D/H exchange reactions. The catalytic performance of this system in hydrogenation reactions was compared with an analogous unmodified material. Interestingly, the activity of Pd NPs was enhanced by the presence of PPh₃ in the hydrogenation of electrophilic nitro compounds.

In 2010, Wang and co-workers synthesized very small Rh NPs (1.8 nm) stabilized by PEG-substituted triphenyl-phosphine [140]. The enhanced stabilization of these MNPs were due to the presence of the PPh₃ groups, and it was evidenced by comparison with MNPs stabilized by PEG. This system was tested in the biphasic hydrogenation of benzene with high activity (TOF= 3333 h⁻¹) and stability. In contrast, the catalyst only stabilized by PEG showed intense aggregation and resulted in very low catalytic activity.

In 2017, Yang and co-workers synthesized a series of Pt NPs over silica modified with triphenylphosphine through an impregnation-reduction method [141]. Very small MNPs were obtained (less than 1 nm) and *in situ* CO adsorption FTIR spectroscopy demonstrated the existence of strong interactions between PPh₃ and Pt NPs. The catalyst in which silica was modified with PPh₃ showed the highest chemoselectivity in the hydrogenation of various substrates compared with other catalysts in which silica was not modified, demonstrating the benefit in terms of stabilization and catalytic performance.

More recently, Yu, Yang and co-workers reported the synthesis of Ru NPs incorporated into silica nanoreactors modified with phosphines and amines [142]. The MNPs were synthesized by chemical reduction with NaBH₄ and the particle sizes were *ca.* 2.5 nm. These systems were tested in the hydrogenation of benzoic acid. In the absence of phosphine, the adsorption of benzoic acid was disfavored and DFT calculations showed that phosphine ligands could modulate the adsorption energy of the benzoic acid over the MNPs tuning their surface.

In 2020, Lei and co-workers reported the synthesis of Pd NPs stabilized with phosphine-functionalized porous inorganic polymer through a two-step approach of anion exchange followed by chemical reduction with NaBH₄ [143]. These MNPs were tested in the hydrogenation of nitroarenes with high yield (99.7%) and could be at least 5 times recycled with no significant loss of activity.

In 2021, Philippot and Poli synthesized Rh NPs inside triphenylphosphine-functionalized core-crosslinked micelle latexes [144]. The synthesis was performed by reduction of the Rh precursor with H₂. Rh NPs stabilized with PPh₃ and PEOMA were also synthesized. These systems were tested in several hydrogenation reactions under biphasic conditions. Using this system, the efficient hydrogenation of the vinyl group of styrene was observed. For acetophenone, reduction of both benzene ring and carbonyl reduction was detected. The use of neat 1-octene was also successful, although an increase in activity was obtained when the substrate was diluted in 1-nonanol. For 1-octene hydrogenation, the molecular Rh catalyst was more active than the Rh NPs while when styrene was the substrate, the opposite trend was observed.

In 2022, Doherty, Knight, Chamberlain and co-workers synthesized Ru NPs through impregnation and reduction of the metal precursor over a phosphine and phosphine oxide decorated styrene-based polymer immobilized ionic liquid [145]. This was the first report of RuNPs stabilized by a phosphine oxide-modified support. Very small MNPs were obtained and the catalysts were employed in the hydrogenation of ketones, aldehydes and other substrates such as levulinic acid and ethyl levulinate, with high yields and selectivities. The catalyst in which phosphine oxide is present could be recovered ten times without relevant loss in activity (from 85% to 84%) or selectivity.

In 2010, Rossi and co-workers synthesized Pd NPs over a phosphine-functionalized support ($\text{Fe}_3\text{O}_4@\text{SiO}_2$ -iminophosphine-Pd catalyst) [146]. After impregnation of the Pd complex over the previously synthesized support, refluxing conditions led to the formation of Pd NPs [147]. In Suzuki cross-coupling reactions, the catalysts revealed active without the addition of other sources of phosphine ligands. This catalytic system could be easily recovered with the use of an external magnetic field. However, deactivation of the catalyst by etching and MNP aggregation was observed. The addition of the base to the arylboronic acid solution before the introduction of the catalyst solved this issue.

Lu, Chen and co-workers also reported a system based on supported Ru NPs over phosphorus-rich polymer [148]. This system was tested in the reduction of nitro-compounds providing high yields using NaBH_4 as reductant.

4. NHC ligand-capped heterogeneous catalysts

4.1 NHC-capped heterogeneous catalysts formed by colloidal synthesis followed by deposition onto a solid support

In 2018, Ravoo and co-workers reported the first preparation of water-soluble NHC-stabilized Au-Pd alloy NPs by ligand exchange (**Fig. 23**) [149]. First, the synthesis of the AuPd NPs was carried out in presence of oleylamine (OAm) as ligand [150, 151, 152]. In a second step, the replacement of the hydrophobic OAm by NHCs was performed by ligand exchange, which led to an increase in Au content of the bimetallic MNPs, probably due to Au atom migration within the MNPs. Subsequently, the deposition over TiO_2 of the NHC stabilized AuPd NPs was carried out. The size of the obtained MNPs varied from 4.1 to 4.6 nm. These systems were applied in semi-hydrogenation reactions with good conversions and selectivities. They were also successfully tested in the hydrogenation of nitroarenes.

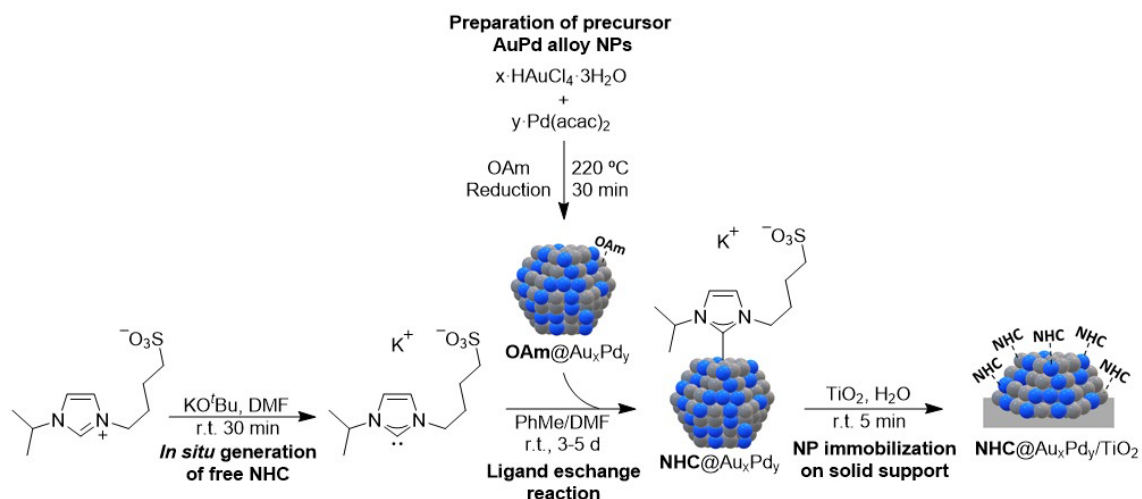


Fig. 23. First preparation of water-soluble NHC-stabilized Au-Pd alloy NPs by ligand exchange.

Ravoo, Evangelisti and co-workers also reported a new strategy including the NHC functionalization of ligand-free Pd NPs previously obtained by metal vapor synthesis and the subsequent dispersion of these NHC-Pd NPs onto a carbon support [153]. This NHC modified system was tested in the hydrogenation of limonene and higher regioselectivity was obtained than when the bare Pd NPs supported over carbon were used.

4.2 NHC-capped heterogeneous catalysts formed by colloidal synthesis in the presence of a solid support

In 2017, Godard and co-workers reported the synthesis of Ni NPs stabilized with NHCs that were obtained by a new methodology involving the decarboxylation of zwitterionic CO₂ adducts and using multiwalled carbon nanotubes (MWCNT) as support (**Fig. 24**) [154]. Immobilization of these Ni NPs in the inner cavity of carbon nanotubes was carried out by hydrogenation of the Ni precursor in the presence of both the NHC precursor and the support. This system was tested in the selective hydrogenation of alkynes into (*Z*)-alkenes, showing excellent activities and selectivities. Moreover, no over-hydrogenation of the *Z*-alkene products was observed under prolonged reaction times. Later, the same group extended the study to the synthesis of NHC-stabilized CNT-supported monometallic (Cu and Pd) and bimetallic (PdCu and NiCu) MNPs via decarboxylation of imidazolium carboxylate adducts (**Fig. 24**) [155]. In terms of size (2-7 nm), the trend was that the supported MNPs were slightly smaller than the colloidal ones in all cases, except the NiCu NPs. These systems were tested in the semi-hydrogenation of alkynes and alkynols. PdCu/CNTs revealed highly selective in the hydrogenation of terminal and internal alkynes and showed high stability in the semi-hydrogenation of acetylene in acetylene/ethylene-rich feeds.

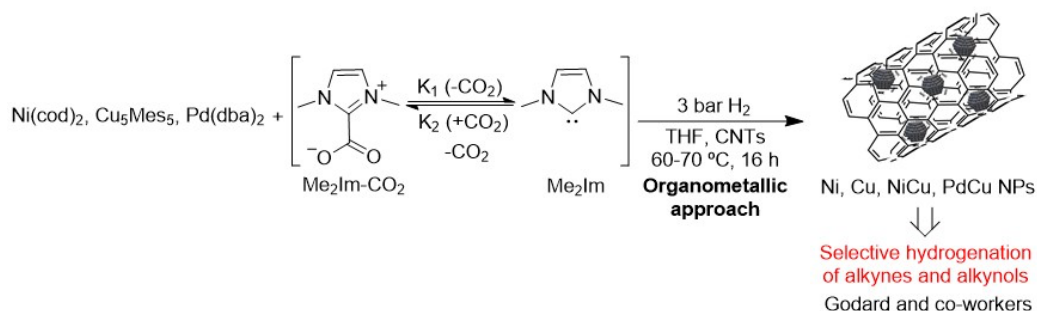


Fig. 24. Preparation of NHC-stabilized mono- and bimetallic MNPs supported on MWCNT by decarboxylation of imidazolium carboxylate.

In 2018, Borja, Mata and co-workers synthesized Pd NPs by decomposition of palladium complexes stabilized by NHC ligands, which were previously anchored onto the surface of reduced graphene oxide (rGO) (**Fig. 25**) [156]. These systems were successfully employed in the semi-hydrogenation of alkynes and could be recycled up to ten times with no relevant loss in activity. Very recently, Guisado-Barrios, Mata and co-workers synthesized a similar catalyst (**Fig. 25**) [157]. This system was tested in the hydrogenation and dehydrogenation of N-heterocycles without additives. In this case, the ligand did not only act as stabilizer of the Pd NPs, but also played a role in the π -interaction with the support, increasing the catalyst stability. Moreover, the support (rGO) also acted as carbocatalyst in the acceptorless dehydrogenation of N-heterocycles. This catalyst demonstrated high stability and could be recycled eight times without loss of activity.

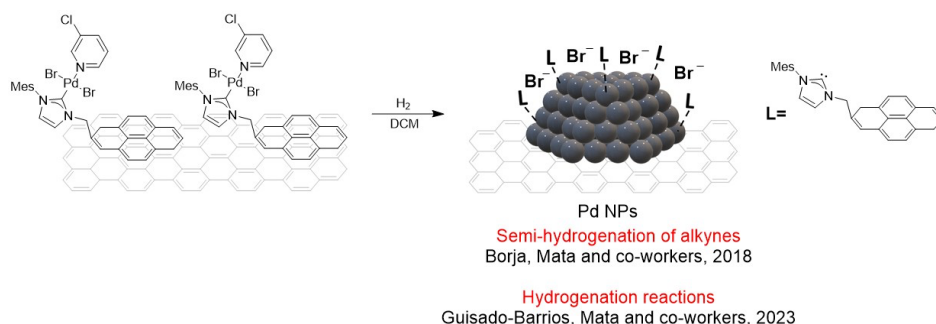


Fig. 25. Synthesis of NHC-capped heterogeneous Pd catalysts by decomposition of anchored palladium NHC complexes at the surface of reduced graphene oxide (rGO).

In 2018, Oberhauser and co-workers prepared Ir NPs stabilized by a bulky NHC [158]. However, when the catalyst was produced in the presence of carbon support (C^k), the NHC stabilizer could not be detected. These systems were tested in the oxidation of glycerol into lactic acid in the presence of NaOH. The colloidal system gave high selectivity to the lactic acid (93%) and good recyclability even under air.

In 2019, Fadakar and co-workers synthesized a new hybrid nanoporous material based on Pd NPs (50–200 nm determined by SEM) stabilized by an NHC ligand and supported on a zeolitic imidazole framework [159]. The procedure for the synthesis of these new materials was based on the impregnation of the NHC-palladium precursor over the support, followed by a reduction using NaBH_4 . These materials were tested in the Suzuki-Miyaura cross-coupling reaction and provided high activity and stability.

4.3 Functionalization of previously synthesized heterogeneous catalysts using NHC ligands

Recently, Liu, He and co-workers modified previously synthesized supported Au and Pd NPs by addition of polymers containing NHC ligands (mono- and polydentate) [160] and compared their performance in the CO₂ electroreduction with analogous catalysts modified by oleic amine and thiols (**Fig. 26**). The Pd catalysts containing OA and DT were less selective for CO₂ reduction. The highest activity was obtained for the system based on Au NPs, although, in both cases, the presence of the polymeric NHCs resulted in higher activities compared with the unmodified Au/C and Pd/C catalysts. The authors indicated that the polymeric NHCs formed a layer that does not only stabilize the MNPs against sintering, but also promote CO₂ reduction by limiting proton diffusion and binding to MNPs. As a result, the selectivity for CO₂ reduction was relevantly improved due to the hydrophobicity of the polymer ligands and the higher surface electron density of MNPs through σ -donation from NHCs.

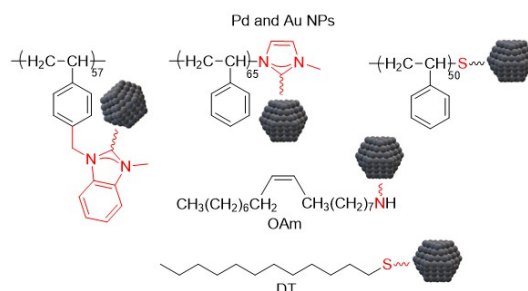


Fig. 26. Au and Pd catalysts modified by addition of polymers containing NHC ligands.

In 2020, Pieters and co-workers reported new air-stable catalysts based on the commercial Ru/C system modified by NHCs [161]. The coordination of the carbenes was confirmed via the use of ¹³C-labelled NHC ligands and subsequent analysis by solid-state ¹³C MAS-NMR. TEM analysis demonstrated that the morphology of the Ru NPs was not affected by the NHC modification. These catalysts were employed in C-H deuteration reactions. Depending on the Ru/NHC ratios, the reactivity of these systems could be tuned. The NHC modification increased the catalyst chemoselectivity and regioselectivity in the deuteration of alcohols and allowed the synthesis of pharmaceutically relevant deuterated heterocycles (i.e. azine, purine) that are completely reduced using unmodified commercial catalysts. Moreover, a novel reactivity was revealed for such heterogeneous Ru catalysts, namely the selective C-1 deuteration of aldehydes.

In 2022, Martínez-Prieto and co-workers reported the synthesis of graphene-supported Ru NPs (*ca.* 1.5 NPs) that were tuned by the modification of their metal surface with pyrene-tagged NHCs ligands [162]. XPS analysis showed that NHC ligands were present as protonated carbenes, coordinated to the Ru surface and interacting with the graphene support. Moreover, colloidal Ru NPs were also synthesized to study the coordination of the ligand [163]. These systems were tested in the hydrogenation of various molecules of interest. At high surface coverage, the activity of the catalyst was reduced, but the selectivity was enhanced.

In 2016, Muratsugu, Glorius and co-workers reported for the first time the extensive characterization of an NHC-modified supported heterogeneous catalysts (**Fig. 27 (a)**) [164]. The modification was carried out over the previously synthesized Ru/K-Al₂O₃ catalyst [165]. The modification with NHCs did not induce structural changes in the catalyst, even upon variations of the Ru/NHC ratios. The bond between the carbene ligands and Ru was probed by solid-state ¹³C MAS-NMR. The resulting catalysts were tested in hydrogenation of acetophenone, *trans*-stilbene and phenylacetylene. In the hydrogenation of *trans*-stilbene, a decrease in the conversion was observed at high NHC to surface Ru ratios, although the extent of this variation was dependent on the nature of the NHC ligand. In contrast, in the hydrogenation of acetophenone, a decrease in activity was already observed at lower NHC to surface Ru ratios. The reduced activity observed upon NHC addition was explained by the blockage of active sites of the catalyst by the NHC.

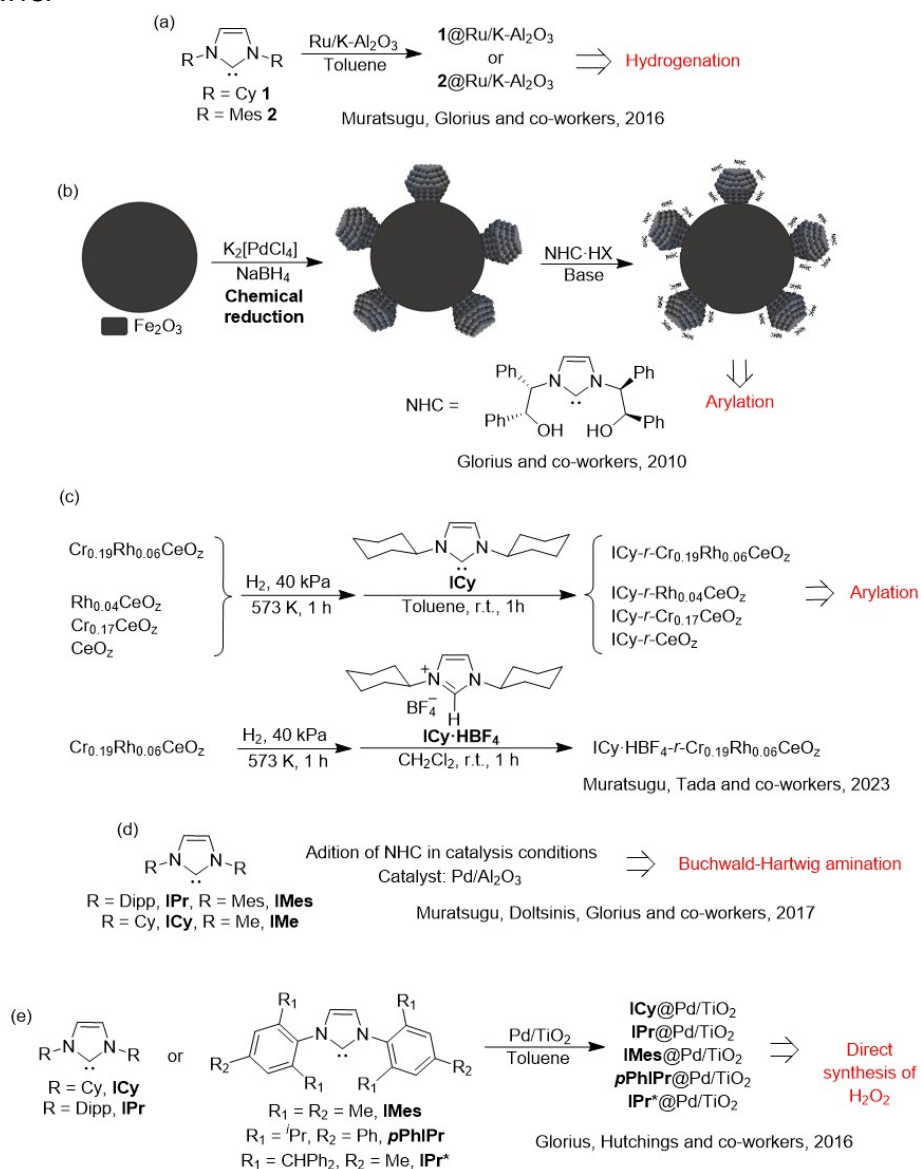


Fig. 27. Synthetic approaches used by Glorius and co-workers for the preparation of NHC-capped catalysts.

In 2010, Glorius and co-workers synthesized Pd NPs by chemical reduction of a palladium salt with NaBH₄ over magnetite (Fe₃O₄) [166]. They subsequently carried out the modification of this material using enantiomerically pure NHCs (**Fig. 27 (b)**) [167]. These functionalized-MNPs were tested in asymmetric α -arylation reactions reaching *ee*'s up to 85%. Moreover, these catalysts could be separated by magnetic removal from the reaction media and no loss of activity nor selectivity was observed after several cycles. Very recently, the same group reported the modification of a ceria-based catalyst incorporating Cr and Rh with NHCs (**Fig. 27 (c)**) [168]. The synthesis of the Cr_{0.19}Rh_{0.06}CeO₂ was performed by hydrothermal method [169] and followed by the modification with NHCs onto H₂-reduced Cr_{0.19}Rh_{0.06}CeO₂. These systems were tested in the 1,4-arylation of cyclohexanone with phenylboronic acid. When the NHC employed was 1,3-dicyclohexylimidazol-2-ylidene (ICy), high activity was obtained. However, in the absence of NHC ligand, the catalyst was inactive. DFT calculations were performed and suggested that the ICy controlled the adsorption sites of the phenyl group on the Rh nanocluster promote the C–C bond formation of the phenyl group and cyclohexanone. Glorius and co-workers also reported the modification of Pd/Al₂O₃ NPs with NHCs [170]. The NHC was directly added in the catalytic Buchwald–Hartwig amination of aryl halides (**Fig. 27 (d)**). Additionally, further insights into the electronic effect of the coordination with the NHC was obtained by precise characterization of the catalyst surface and *ab initio* calculations.

In 2022, Glorius, Hutchings and co-workers reported a heterogeneous Pd/TiO₂ catalyst modified by NHCs (2.4 nm) (**Fig. 27 (e)**) [171]. The Pd/TiO₂ (2.0 nm) system was first prepared by wet-impregnation method prior to the NHC modification [172]. The systems were tested in the direct synthesis of hydrogen peroxide (H₂O₂) in the absence of promoters. Depending on the NHC substituent, activity could be improved compared with the unmodified catalyst due to the ability of the NHC to electronically modify Pd NPs. Moreover, the modification had a positive effect on the recyclability of the catalysts.

Levratovsky and Gross reported the functionalization of previously synthesized Pt NPs (100 ± 30 nm) supported over SiO₂ with NHCs [173]. Pt NPs were synthesized by the deposition of a Pt film over a Si (110) surface which was coated with a layer of SiO₂. Subsequent modification with NHCs was carried out. The formation of a carbene self-assembled layer onto the surface of the Pt nanoparticles was confirmed and its stability under oxidizing conditions studied by infrared measurements.

In 2018, Wen, Chang and co-workers reported the modification of Pd electrodes with NHCs for the electrochemical reduction of CO₂ [174]. When the tris-N-heterocyclic carbene ligand modified Pd electrode was employed, a 32-fold increase in activity was measured in the electrochemical reduction of CO₂ to C1 products, observing a high Faradaic efficiency compared to the unmodified sample.

4.4 Functionalization of the support by NHC ligands prior to MNP formation

In 2009, Jia and co-workers immobilized Pd NPs onto NHC modified mesoporous SBA-15 by a post-grafting route [175]. First, a Pd bromide complex coordinated to the supported NHC ligand was formed, which, upon reduction under H₂ at 200 °C, yielded Pd NPs with a diameter of *ca.* 3.5 nm bearing NHC ligands. Both the initial complex and the resulting MNPs were tested in the aerobic oxidation of benzyl alcohol. The MNP-based catalyst

showed much higher activity and could be recycled up to 8 times despite a slight loss in activity.

In 2011, Glorius and co-workers reported the immobilization of an enantiomerically pure imidazolium salt on the surface of magnetite (Fe_3O_4) and the formation of three catalyst of different nature: an organocatalyst, a molecular Pd complex and Pd NPs [176]. The Pd NPs were obtained by chemical reduction in water using hydrazine as reductant. All of them were tested in the allylation of 4-nitrobenzaldehyde, resulting in enantioselectivities between 43 and 74% *ee*'s.

In 2016, Ervithayasuporn and co-workers described a new synthetic methodology for the fabrication of polyhedral oligomeric silsesquioxane-supported NHC/imidazolium salts palladium(II) complexes [177]. Upon addition of phenylboronic acid as reductant, the resulting Pd NPs exhibited a diameter of 3.0 ± 1.5 nm. This material was employed in the Suzuki-Miyaura cross-coupling reactions with high efficiency and could be reused up to ten times without losing activity.

In 2013, Kobayashi and co-workers synthesized a series of Ni NPs over polymer supports which incorporated NHC ligands [178]. The synthesis of the Ni NPs was carried out by the reduction with triethylborohydride (LiEt_3BH) and their sizes were between 1 and 4 nm. The presence of the embedded NHCs was checked by NMR analysis and these systems were tested in Corriu-Kumada-Tamao reactions with good results for a wide range of substrates. Moreover, the catalyst could be recycled several times without loss of activity.

5. S-donor ligand-capped heterogeneous catalysts

In view of the ability of S-donor molecules to stabilize group 11 metals and their strong coordination on metal surfaces, S-based ligands were also reported to enhance the catalytic performance of heterogeneous catalysts.

5.1 S-ligand-capped heterogeneous catalysts formed by colloidal synthesis followed by deposition onto a solid support

The first example using this synthetic methodology was reported by Obare and co-workers who described the synthesis of colloidal Pd NPs by thermal decomposition of $\text{Pd}_3(\text{OAc})_6$ in the presence of thioethers as stabilizing ligands (sizes: 1.7 - 3.5 nm) [179]. The Pd NPs were subsequently immobilized over commercial SiO_2 and the resulting catalysts evaluated in the hydrogenation of styrene, cyclooctadiene and 6-bromo-1-hexene (**Fig. 28**). The results revealed that the MNP size was a key parameter for catalytic performance as the smallest nanoparticles provided the highest activity. Moreover, these heterogeneous systems were robust since they could be recycled up to 8 times without loss of activity or selectivity.

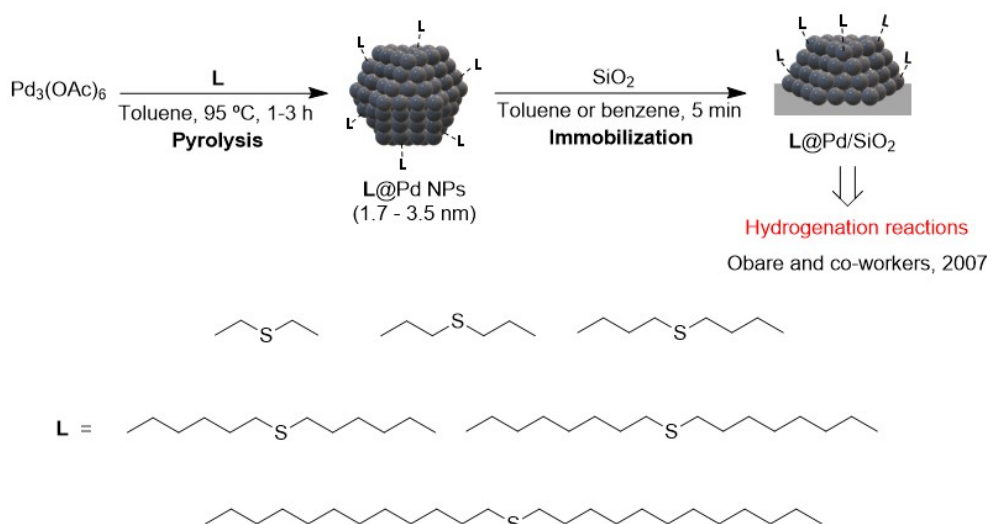


Fig. 28. Colloidal formation of S-donor ligand-capped Pd hydrogenation catalysts followed by deposition over silica.

In 2012, Khlobystov reported the use of Rh and RhPt NPs (≈ 3 nm) supported over hollow graphitized carbon nanofibers (GNF) and stabilized with sodium S-dodecylthiosulfate (SDS) in the hydrosilylation of terminal alkynes [180]. Colloidal MNPs were first prepared prior to their deposition over of the support (**Fig. 29**) [181]. Variations in the conditions for the deposition of the MNPs yielded two distinct catalysts containing the active phase either at the surface of the nanofibers or confined within the walls of the support. The regioselectivity was affected by the confinement of the MNPs and depending on the nature of the substituents at the substrates, three main trends were observed while using the confined catalysts: 1) when the reagents did not contain aromatic moieties, no confinement effect was observed; 2) when only the alkyne contained an aromatic substituent, a higher selectivity to dehydrogenative silylation products was observed together with a decrease in the β -(Z): β -(E) isomers ratio; 3) when both reagents possess aromatic groups, the resulting interactions favored the formation of the thermodynamically less stable β -(Z) regioisomer.

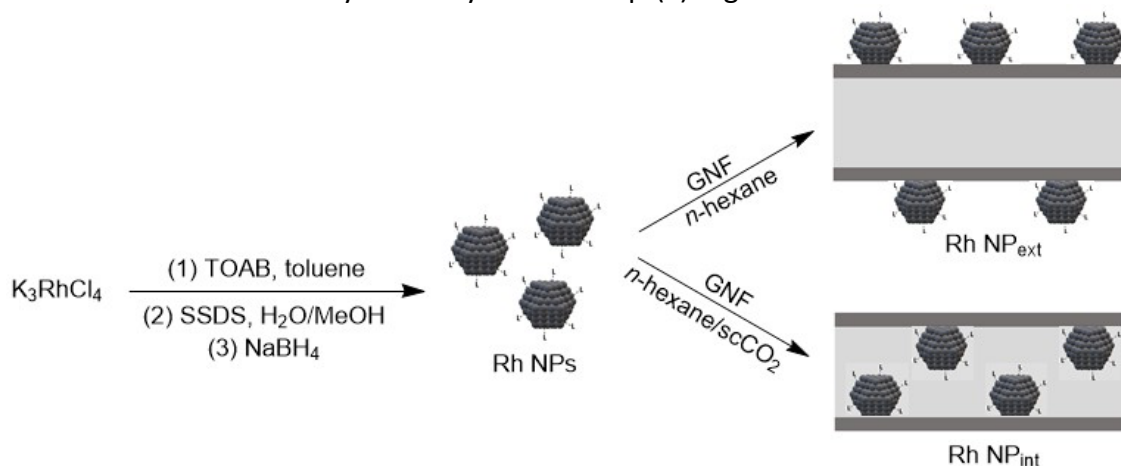


Fig. 29. Preparation of Rh alkyne hydrosilylation catalysts supported either at the surface or within the cavity of hollow carbon nanofibers.

In 2013, Lopez-Sanchez and co-workers reported the synthesis of colloidal Rh NPs (3 - 5 nm) stabilized by 1-octadecanethiol (ODT), polyvinyl alcohol (PVA), and tetraoctylammonium bromide (TOAB), and their subsequent immobilization for application in hydrogenation reactions [182]. These nanoparticles were immobilized over silica-coated magnetic MNPs modified with 3-aminopropyltriethoxysilane, 3-mercaptopropyltriethoxysilane and thiol [183]. Both colloidal and supported MNPs were tested in the hydrogenation of cyclohexene. Distinct results were obtained according to the stabilizing agents since Rh-ODT NPs were inactive, Rh-PVA NPs exhibited distinct activities in solution (aqueous biphasic catalysis) and as a supported catalyst, while colloidal Rh-TOAB NPs exhibited similar activities with their supported counterpart. Additionally, the stability of the nanoparticles depended on the nature of the ligand modifier and on the functionalization of the support surface before immobilization. The highest turnover frequencies (up to $700,000 \text{ h}^{-1}$) were observed for the colloidal catalyst Rh-TOAB that resulted stable and recyclable.

In 2014, Kunz and co-workers studied the influence of organic ligands on the geometric and electronic surface properties of colloidally prepared Pt nanoparticles (Pt NPs) ($1.8 \pm 0.3 \text{ nm}$) stabilized with dodecylamine (DDA) and dodecylthiol (DDT) [184]. These MNPs were subsequently supported over TiO_2 and a surface study was carried out by means of diffuse reflectance infrared spectroscopy (DRIFTS) using CO as probe molecule. Marked differences were evidenced according to the stabilizing agent used. The amine capping agent provided an electronic donor influence and a geometric effect, while the thiol was mainly geometric in nature. Moreover, DDA was only weakly adsorbed and could therefore be displaced by strongly binding adsorbates (*e.g.*, CO), while a strong coordination of DDT at the particle surface was observed, forming an uniformly distributed capping layer. The influence of both ligands on the catalyst selectivity in the hydrogenation of crotonaldehyde was also investigated. For amine-functionalized MNPs the catalytic results were similar to those of "unprotected" Pt NPs. In contrast, an enhancement of the selectivity was evidenced for thiol-functionalized particles.

More recently, Chen, Lian and co-workers modified Pt NPs prepared in basic solutions of glycol using a series of stabilizers such as triphenylphosphine (PPh_3), octadecylamine (ODA), poly(vinylpyrrolidone) (PVP), poly(vinyl alcohol) (PVA) and dodecanethiol (DT), prior to their deposition over activated carbon [185]. These materials were tested in the hydrogenation of *para*-chloronitrobenzene (*p*-CNB). Direct or indirect interactions between nanocrystals and stabilizers affected their catalytic performance. The electron-donating ability of the capping agents directly altered the surface electronic state of Pt nanocrystals and consequently affected the adsorption and reactivity of reactants, intermediates and products. Interestingly, using DT as stabilizer, the coordinated Pt atoms were oxidized to generate cationic Pt species at the surface of the nanocrystals, which improved both the hydrogenation rate and selectivity to *para*-chloroaniline.

5.2 Functionalization of previously synthesized heterogeneous catalysts using S-donor ligands

The strong coordination of S-donor ligands was mainly utilized to tune the selectivity of heterogeneous catalysts. However, in some cases, the activity of these catalysts was affected.

For instance, Rusling, Suib, He and co-workers reported the synthesis of Au, Pt and Pd catalysts supported on N-doped carbon by chemical reduction of metallic precursors with NaBH_4 [186]. These catalysts were subsequently modified by 3-mercaptopropionic acid (MPA) or dodecanethiol (DT). However, after comparison of their catalytic performance in electrocatalytic oxidation of methanol with the unmodified catalysts, the authors concluded that the presence of the modifiers had a negative effect in terms of activity.

In contrast, McKenna and Anderson reported the modification of a Pd/TiO_2 catalyst with diphenyl sulphide for testing in acetylene hydrogenation (**Fig. 30**) [187]. The Pd/TiO_2 catalyst was prepared by incipient wet impregnation and after calcination of the material, the modification was carried out by addition of an hexane solution of the diphenyl sulphide. The modification of the catalyst resulted in an enhancement of the selectivity to ethylene by inhibition of the over-hydrogenation process. Interestingly, even after thermal treatments which led to decomposition of the adsorbed phenyl sulphide, the remaining sulphur could enhance the selectivity in mixed acetylene/ethylene feed streams.

In a similar study, Anderson and co-workers reported the use of triphenylphosphine and diphenyl sulfide as modifiers of Pd/TiO_2 catalysts in the selective hydrogenation of acetylene from ethylene rich feed-streams at high pressures and in the presence of CO (**Fig. 30**) [188]. Pd/TiO_2 was synthesized by incipient wet impregnation prior to deposition of the modifiers. Initial ethylene selectivities of 85 - 92% were obtained with acetylene conversions up to 100%. Given the differences in the steric and electronic properties of the surface modifiers, the origin of the enhanced selectivity was attributed to the creation of ensembles of Pd atoms able to readily accommodate acetylene but limit the access of ethylene at the metal surface. The use of diphenyl sulfide enhanced ethylene selectivity but also increased the conversion of acetylene. However, the by-product formation was appreciably higher than with the unmodified or triphenyl phosphine modified catalysts.

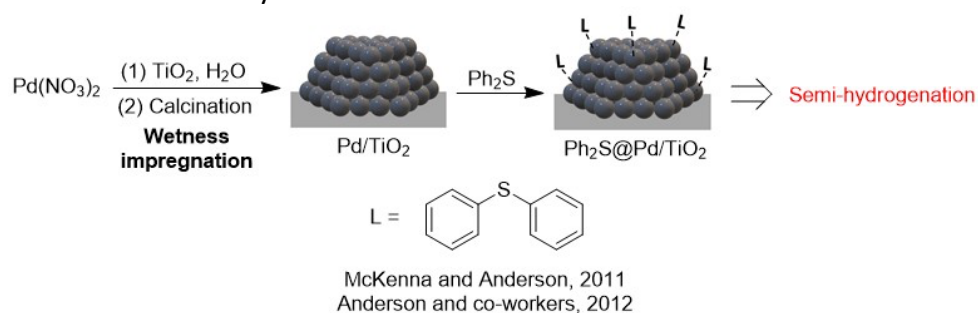


Fig. 30. Preparation of diphenyl sulfide modified Pd/TiO_2 catalysts for the semi-hydrogenation of acetylene.

Sajiki and co-workers also reported the modification of commercial Pd/C by diphenyl sulfide and its application in chemoselective hydrogenation reactions [189]. Using this system, olefin, acetylene, nitro, and azide functionalities were efficiently hydrogenated. In contrast, aromatic carbonyl and halide, benzyl ester and N-Cbz functionalities were not. The enhanced chemoselectivity observed were explained by the partial interaction between the active sites of the Pd surface with Ph_2S (i.e. Pd-S bonding). The catalyst could be recovered and reused at least five times without any significant loss of the reactivity.

In 2012, Bokhoven and co-workers reported the modification of Pt/TiO₂ (unmodified: 1 nm and modified: 1.4 nm) with organic thiols for the hydrogenation of 4-nitrostyrene [190]. The Pt/TiO₂ catalyst was synthesized by wet incipient impregnation and functionalized by thioglycerol, 1,6-dithiolhexane, α -lipoic acid and 1-dodecanethiol. The use of a modifier containing a polar group adjacent to the chain of the organic thiol provided higher selectivities and the best results in terms of activity and selectivity were obtained using 1,6-dithiolhexane as modifier.

The group Medlin and co-workers reported the modification of several heterogeneous catalysts with S-donor ligands for various catalytic applications (**Fig. 31**). In 2012, they described the coating of Pd/Al₂O₃ and Pt/Al₂O₃ catalysts with thioglycerol, octadecanethiol, dodecanethiol, hexanethiol, and propanethiol for the hydrogenation of epoxybutene [191]. Depending on the nature of the thiol used, positive or negative effects on activity and selectivity was observed. Coatings with 1-octadecanethiol resulted in marked improvements in selectivity in the liquid phase hydrogenation of epoxybutene. Interactions of the solvent with the self-assembled monolayer (SAM) were also important in controlling selectivity.

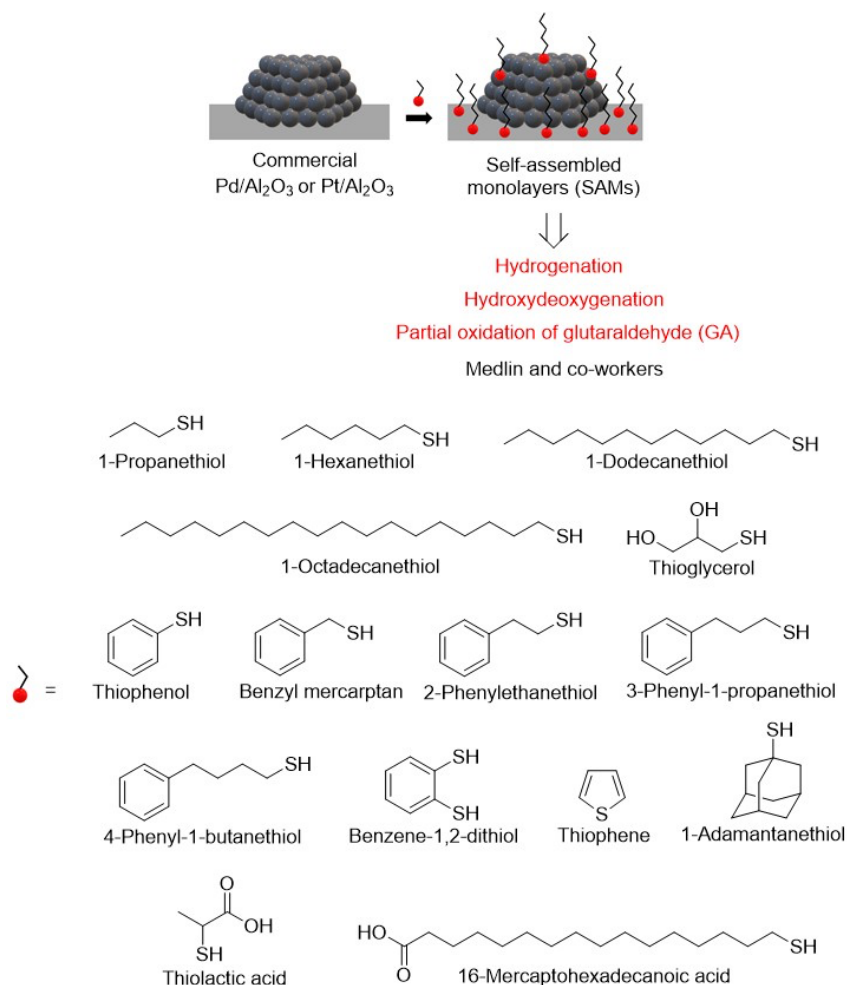


Fig. 31. Modification of heterogeneous catalysts with S-donor ligands reported by Medlin and co-workers.

Later, the same authors used thiolate (1-octadecanethiol and 1-adamantanethiol) self-assembled monolayers (SAMs) as modifiers on commercial Pd/Al₂O₃ (6.7 nm) catalysts (**Fig. 31**) for their use in hydrogenation reactions [192]. In acetylene hydrogenation, the

modification by thiols did not provide improvements. In contrast, for reactions where coking is less problematic, the reactivity of the catalysts could be tuned using SAMs and for instance, ethylene hydrogenation was much faster on adamantanethiol-coated surfaces than on C18-coated surfaces, which was attributed to the impediment of active sites using C18-modified surfaces.

The modification of commercial Pd/Al₂O₃ catalyst with alkanethiol SAMs (i.e. 1-propanethiol, 1-hexanethiol, 1-dodecanethiol, 1-octadecanethiol and thioglycerol) was also applied in the partial hydrogenation of 18-carbon polyunsaturated fatty acids (**Fig. 31**) [193]. While the use of the bare Pd/Al₂O₃ catalyst led to the fast formation of saturated fatty acid, the addition of alkanethiol SAMs increased the reaction selectivity to the monounsaturated product oleic acid up to 80–90%, even at high conversions. This effect was attributed to steric effects between the SAMs and reactants.

Similarly, Pd/Al₂O₃ catalysts coated with 1-octadecanethiol (C18), and benzene-1,2-dithiol (BDT) SAMs were applied in the hydrogenation of furfural [194]. Addition of these surface modifiers systematically increased the hydrogenation selectivity of furfural to furfuryl alcohol and methylfuran.

In 2014, the same authors reported the modification of commercial Pt/Al₂O₃ (Pt particle size: 3.9 ± 1.1 nm for 5wt % Pt/Al₂O₃ and 0.8 ± 0.1 nm for 0.5wt % Pt/Al₂O₃) with thiolate self-assembled monolayers (SAMs) (**Fig. 31**) [195]. The selective hydrogenation of both prenal and cinnamaldehyde substrates to the corresponding unsaturated alcohols was enhanced using thiol-modified catalysts. However, it is noteworthy that the selective hydrogenation of cinnamaldehyde was further controlled through interactions of the substrate phenyl ring with the aromatic ligands of the SAM layer.

Later, they reported the use of 3-phenyl-1-propanethiol, thiophenol and 1-octadecanethiol for covering the surface of commercial Pt/Al₂O₃ (**Fig. 31**) [196]. These systems were tested in the selective hydrogenation of cinnamaldehyde. Once again, the presence of modifiers enhanced the selectivity of the reaction but upon recycling, redeposition of the thiol modifier was necessary to maintain the efficiency of the process.

In 2016, Lien and Medlin reported the use of a mixture of modifiers (1-adamantanethiol (AT) and 1-octadecanethiol (C18)) (**Fig. 31**) on commercial Pd/Al₂O₃ for the benzyl alcohol hydrodeoxygenation [197]. The authors varied the relative amounts of modifiers and looked at the effects on catalyst performance. Compared with the performance of the unmodified catalyst, the use of AT as sole modifier improved both the selectivity to toluene and the activity. As the fractional C18 coverage increased, the selectivity to toluene increased and the selectivity to benzene decreased at the cost of activity. The authors showed that at higher C18 coverage, the availability of terrace sites was reduced due to selective poisoning, inhibiting the decarbonylation to benzene.

In a similar study, the same authors deposited thiol self-assembled monolayers (SAMs) onto Pt/Al₂O₃ catalyst for benzyl alcohol hydroxydeoxygenation (HDO) [198]. These SAMs consisted in the use of 16-mercaptohexadecanoic acid (MHDA) and tetraethylammonium (TEA) (**Fig. 31**). The density of the SAM affected the catalyst activity and the hydrodeoxygenation (HDO) selectivity was improved by suppressing decarbonylation and ring hydrogenation pathways, which was attributed to the ability of the ligands to control the binding orientation of benzyl alcohol.

The same group reported the impact of pretreatment and use of hydrophilic and hydrophobic thiolates SAMs modifiers (1-thioglycerol (TG), thiolactic acid (TLA),

adamantanethiol (AT) and 1-octadecanethiol (C18)) (**Fig. 31**) over Pd/Al₂O₃ for the partial oxidation of glutaraldehyde (GA) to glutaric semialdehyde (GSA) [199]. When using catalysts coated with hydrophilic thiols such as TG and TLA, an enhancement in selectivity was observed, although conversion was affected.

S-donor ligands were also used as chiral modifiers for application in asymmetric catalysis. For instance, Lambert and co-workers reported the use of chiral sulfide ligands (**Fig. 32**) as modifiers of commercial Pd/C for applications in the enantioselective catalytic hydrogenation of isophorone [200]. Using chiral sulfide ligands that strongly bind to Pd NPs and resist displacement, they reported the first heterogeneous enantioselective catalytic hydrogenation of isophorone with ee's up to 15%. The variation in asymmetric induction across the series of ligands was correlated with the size of the alkyl group in the sulfide.

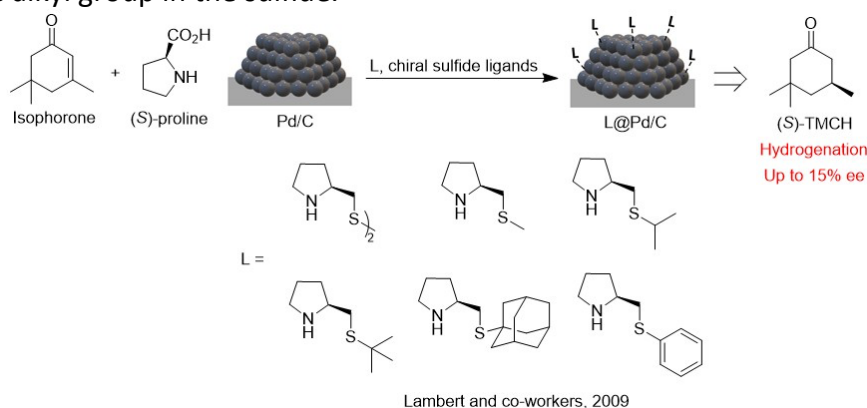


Fig. 32. Chiral sulfide ligands used as modifiers of commercial Pd/C for applications in the enantioselective catalytic hydrogenation of isophorone.

Another example was reported by Weng and Zaera who modified a previously synthesised Pt/Al₂O₃ catalyst with thiols (**Fig. 33**). The Pd catalyst was prepared via the formation of colloidal Pt NPs and their subsequent immobilization onto Al₂O₃. The modifiers used were 1-propanethiol, 1-hexaethiol, 1-nonanethiol, 1-hexadecanethiol and a cinchonidine-derivatized thiol [201]. First, colloidal naked MNPs were synthesized according to the literature [202] and subsequent, they were coated using the modifiers. For the preparation of the supported ones, the previously naked colloidal MNPs were dispersed in ethanol in presence of the support. They were also covered with the previously mentioned modifiers. The modification of this catalyst by thiols resulted in enhancement of both activity and selectivity in the hydrogenation of ethyl pyruvate. These heterogeneous catalysts can promote the α -keto ester hydrogenation reaction with similar enantioselectivity than the best naked supported Pt catalysts [203] without requiring the addition of cinchonidine to the reaction mixture.

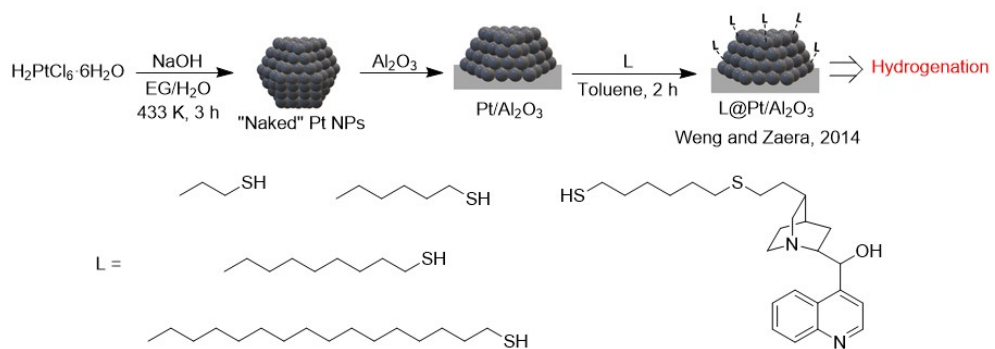


Fig. 33. Preparation of thiol-modified Pt catalysts supported onto Al₂O₃.

6. Conclusions and perspectives

This chapter highlights how the functionalization of heterogeneous catalysts by capping ligands constitutes an efficient method for the tuning of the structure and catalytic performance of supported metal nanoparticles.

The capping ligands can be introduced through several synthetic methodology according to the objectives to be reached. Introduction of these capping agents via colloidal methods, either by formation of colloids followed by deposition onto the support or by direct synthesis of the supported MNPs, can influence the size, shape and distribution of the MNPs at the support surface. Functionalization of pre-synthesized heterogeneous catalysts usually causes minor structural modifications of the nanoparticles but can enhance the catalytic performance through various effect such as alterations of the electronic properties of the surface atoms, poisoning of active sites, increase of the steric hindrance at the metal surface, etc.

The design of functionalized heterogeneous catalysts has been largely influenced by the knowledge acquired in homogeneous catalysis where precise mechanistic insights were acquired by spectroscopic investigations as well as theoretical calculations. The effects caused by the capping ligands are mainly influenced by their nature and properties such as electron donating/withdrawing abilities, steric hindrance, binding strength, etc.

Consequently, through the selection of the appropriate synthetic methodology and ligand, the activity of the catalysts can be enhanced by either modifying the electronic properties of the active phase or by increasing the relative surface area (size dependent) and/or active sites (shape dependent). The selectivity is usually influenced by the steric hindrance of the capping ligands and their ability to strongly bind the metal surface and as such, limit their mobility over the catalyst. In the case of asymmetric catalysis, this latter parameter is particularly important to condition the approach of the substrate to the active sites of the catalysts and thus provide enantio-induction. To improve the catalyst stability and allow its recycling, the synthetic strategy is key and the addition of extra ligands between each cycle is sometimes necessary to maintain the activity/selectivity of the catalysts.

The large numbers of ligands that were originally designed for homogeneous catalysis still provide a wide range of possibility for the efficient functionalization of the metal surface of heterogeneous catalysts. More recently, some of these ligands were also adapted to be introduced on the support surface and offer additional possibilities.

The specific design of ligands for heterogeneous catalysts to favor interactions with the metal surface, the support and/or with the substrates to be transformed during catalysis remains at an early stage and will probably be a focus of interest in the future.

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